

# Artificial Intelligence in *Cancer*

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**EDITORIAL**

- 1 Artificial intelligence and omics in cancer  
*Coulouarn C*

**EVIDENCE REVIEW**

- 8 Management of cancer patients during the COVID-19 pandemic: A comprehensive review  
*Cassell III AK, Cassell LT, Bague AH*

**MINIREVIEWS**

- 19 Application of artificial intelligence in clinical non-small cell lung cancer  
*Liu Y*

**ORIGINAL ARTICLE****Basic Study**

- 31 Impact of blurs on machine-learning aided digital pathology image analysis  
*Ogura M, Kiyuna T, Yoshida H*

**ABOUT COVER**

Editor-in-Chief of *Artificial Intelligence in Cancer*, Dr. Cedric Coulouarn has a long-standing expertise and track record in liver cancer with focus on TGF-beta signaling, non-coding RNA and functional genomics, including a 5-year experience at the National Cancer Institute. He currently heads a team at Inserm in France focused on studying the role of TGF-beta signaling in liver carcinogenesis. He is an active member of the French and European associations for the Study of the Liver (AFEF and EASL), International Liver Cancer Association, European Network for the Study of Cholangiocarcinoma. Dr. Coulouarn is also acting as a referee in scientific committees for evaluation of French and international Grants. He is teaching at University Paris-Diderot and University of Rennes 1, France.

**AIMS AND SCOPE**

The primary aim of *Artificial Intelligence in Cancer (AIC, Artif Intell Cancer)* is to provide scholars and readers from various fields of artificial intelligence in cancer with a platform to publish high-quality basic and clinical research articles and communicate their research findings online.

*AIC* mainly publishes articles reporting research results obtained in the field of artificial intelligence in cancer and covering a wide range of topics, including artificial intelligence in bone oncology, breast cancer, gastrointestinal cancer, genitourinary cancer, gynecological cancer, head and neck cancer, hematologic malignancy, lung cancer, lymphoma and myeloma, pediatric oncology, and urologic oncology.

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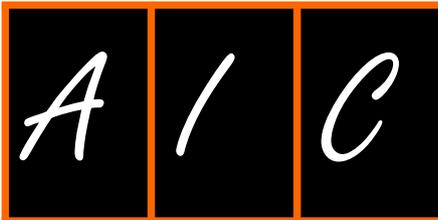
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## Artificial intelligence and omics in cancer

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### Abstract

Cancer is a major public health problem worldwide. Current predictions suggest that 13 million people will die each year from cancer by 2030. Thus, new ideas are urgently needed to change paradigms in the global fight against cancer. Over the last decades, artificial intelligence (AI) emerged in the field of cancer research as a new and promising discipline. Although emerging, a great potential is appreciated in AI to improve cancer diagnosis and prognosis, as well as to identify relevant therapeutics in the current era of personalized medicine. Developing pipelines connecting patient-generated health data easily translatable into clinical practice to assist clinicians in decision making represents a challenging but fascinating task. AI algorithms are mainly fueled by multi omics data which, in the case of cancer research, have been largely derived from international cancer programs, including The Cancer Genome Atlas (TCGA). Here, I briefly review some examples of supervised and unsupervised big data derived from TCGA programs and comment on how AI algorithms have been applied to improve the management of patients with cancer. In this context, *Artificial Intelligence in Cancer* journal was specifically launched to promote the development of this discipline, by serving as a forum to publish high-quality basic and clinical research articles in various fields of AI in oncology.

**Key words:** Omics; Big data; Artificial intelligence; Deep learning; Precision medicine

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**Core tip:** Artificial intelligence (AI) emerged in the field of cancer research as a new and promising discipline to improve the management of patients with cancer, including more accurate and fastest diagnosis to facilitate the therapeutic decision. AI models are mainly fueled by multi omics data. Integrating omics data and clinical data of patients represents a challenging but fascinating task.

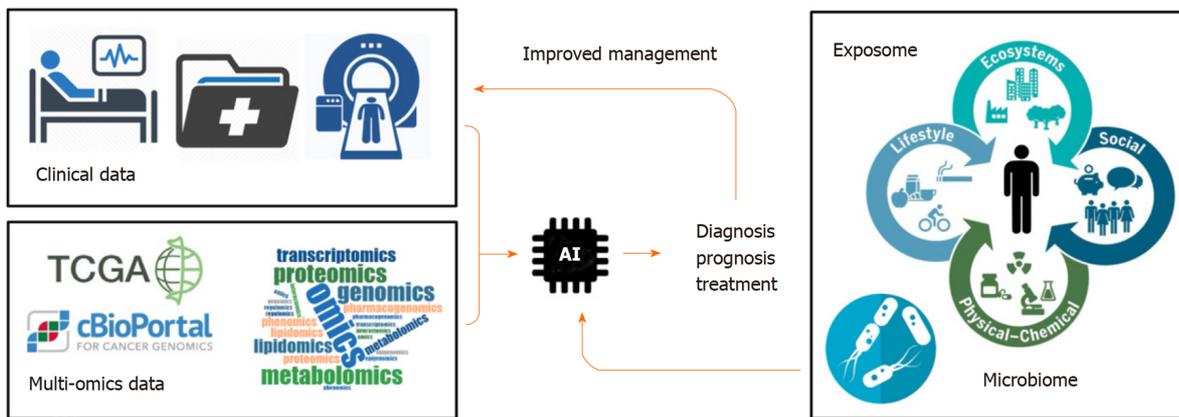
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## INTRODUCTION

Cancer is a public health problem worldwide<sup>[1]</sup>. Predictions suggest that 13 million people will die each year from cancer by 2030<sup>[2]</sup>. Tumor heterogeneity represents an important obstacle to establish efficient therapeutic strategies. Over the last decades, large-scale pan-genomic studies allowed to address tumor heterogeneity in multiple cancers and to provide a landscape of alterations occurring at multiple levels in tumor cells (e.g. at DNA, RNA and protein levels). Thus, international consortia have been initiated, including The Cancer Genome Atlas (TCGA) and its landmark cancer genomics program, which molecularly characterized over 84000 cases from 67 primary sites so far (<https://portal.gdc.cancer.gov>). Accordingly, TCGA and other cancer programs generated over 2.5 petabytes of genomic, epigenomic, transcriptomic, and proteomic data. This explosive growth of data represented a major driving force to develop innovative artificial intelligence (AI) methods, including deep learning algorithms, capable of analyzing large and multifaceted datasets in an integrated and comprehensive way<sup>[3]</sup>. By using algorithms that imitate the thinking process, deep learning allows computational models that are composed of multiple processing layers to learn representations of data with multiple levels of abstraction and to discover intricate structure in large data sets<sup>[4]</sup>. These automated methods, popularized in the society by image or speech recognition algorithms, are now moving into the field of health, including cancer research. Indeed, innovative algorithms are developed to extract meaningful genomic patterns and to translate this conceptual basic information into clinical applications, notably to improve cancer diagnosis, prognosis prediction and treatment efficacy (Figure 1). Here, I briefly review some examples of supervised and unsupervised big data derived from TCGA programs and comment on how AI algorithms have been applied to improve the management of patients with cancer.

## BIG DATA FROM TCGA

TCGA programs represented a major advance in the field of cancer research, allowing both supervised analysis of specific cancers and unsupervised analysis of pan-cancer datasets. Thus, supervised comparative and comprehensive analyses that distinguished clinically relevant molecular subtypes were reported in several cancers, including gastrointestinal (GI) cancers<sup>[5]</sup>, gynecologic and breast cancers<sup>[6]</sup>, pancreatic<sup>[7]</sup> or liver<sup>[8]</sup> cancers. Unsupervised analyses have been also performed using pan-cancer datasets. By analyzing mutation profiles, copy-number changes, gene fusions, mRNA expression, and DNA methylation in 9125 tumors profiled by TCGA, a detailed landscape of oncogenic pathway alterations was notably charted in 33 cancer types. Tumors were stratified into 64 subtypes, and patterns of co-occurrence and mutual exclusivity alterations were identified using SELECT, a method that infers conditional selection dependencies between alterations from occurrence patterns<sup>[9]</sup>. Importantly, using dedicated knowledge base of clinically actionable alterations, it was shown that 57% of tumors had at least one alteration potentially targetable and 30% of tumors had multiple targetable alterations, indicating opportunities for combination therapy<sup>[9]</sup>. This type of information will be crucial in the current area of cancer precision medicine to develop effective combination therapies that address or prevent resistance to initially successful single agent therapies. Pan-cancer supervised analyses were also performed to highlight frequent alterations in key signaling pathways involved in cancer progression. transforming growth factor beta (TGF $\beta$ ) is a pleiotropic cytokine that harbors a functional duality in cancer, i.e. exhibiting tumor suppressive features at early stages but switching toward pro-metastatic activities at late tumor stages<sup>[10]</sup>. Interestingly, genetic alterations in TGF $\beta$  signaling, affecting mostly metastatic-associated genes, were observed in 39% of pan-cancer TCGA cases, and were particularly enriched in GI cancers<sup>[11]</sup>. Specific algorithms have been also used to characterize the immune tumor microenvironment across 33 cancer types analyzed by TCGA. By integrating major immunogenomics methods, including analysis of



**Figure 1 Artificial intelligence and omics to improve the management of patients with cancer.** Actual artificial intelligence algorithms are mainly fueled with clinical data (e.g. clinical records, computed tomography scan, magnetic resonance imaging) and omics data, as exemplified by those from The Cancer Genome Atlas consortium (e.g. genetic, epigenetic, transcriptomic, proteomic, metabolomics profiles). They pave the way for future models that will integrate personalized clinical information related to lifestyle of each patient, including exposome and microbiome, in order to improve cancer diagnosis, prognosis prediction and treatment efficacy. AI: Artificial intelligence; TCGA: The Cancer Genome Atlas.

genomic profiles, hematoxylin and eosin stained tumor sections and deconvolution analysis of mRNA sequencing (mRNA-seq) data, six immune subtypes were characterized, spanning multiple tumor types, with potential therapeutic and prognostic implications for cancer management<sup>[12]</sup>. Interestingly, one so-called TGF $\beta$  dominant subtype, displayed the highest TGF $\beta$  signature and a high lymphocytic infiltrate. This observation is particularly relevant with the emergence of effective immunotherapies, including the recent development of an innovative immunotherapeutic that simultaneously blocks the PD-L1 checkpoint protein and the TGF $\beta$  signaling pathway<sup>[13]</sup>.

From a basic point of view, several efforts have been made also to integrate multi omics data and to provide a better understanding of tumor biology. As an example, a deep learning-based predictive model using deep denoising auto-encoder and multi-layer perceptron was developed to quantitatively capture how genetic and epigenetic alterations correlate with directionality of gene expression in liver cancer<sup>[14]</sup>. Similarly, an innovative one-class logistic regression machine-learning algorithm was used to identify stemness features associated with oncogenic dedifferentiation<sup>[15]</sup>. Interestingly, an unanticipated correlation of cancer stemness with immune checkpoint expression and infiltrating immune cells was highlighted in the tumor microenvironment<sup>[15]</sup>. The analysis of gene regulatory networks from available omics data is a challenging task given that biological data is prone to different kinds of noise and ambiguity. Soft computing tools, such as fuzzy sets, evolutionary strategies, and neurocomputing, have been found to be helpful in providing low-cost, acceptable solutions in the presence of various types of uncertainties<sup>[16]</sup>.

## AI AND OMICS FOR CANCER DIAGNOSIS AND PROGNOSIS

Cancer diagnosis using deep learning has been recently reviewed<sup>[17]</sup>. Soft computing techniques also provided solutions for cancer, regarding diagnosis, prediction, inference and classification<sup>[18,19,20]</sup>. The approaches are mainly based on segmentation processes using convolutional neural networks (CNN) in clinical images notably acquired from computed tomography (CT) and magnetic resonance imaging (MRI). AI allows integrating quantitative, multiparametric and functional imaging data to automatically recognize complex patterns and to provide quantitative, rather than qualitative, assessments of radiographic characteristics<sup>[21]</sup>. A classification of skin lesions using a single CNN, trained end-to-end from images directly, using only pixels and disease labels as inputs, nicely illustrates the interest and the power of AI algorithms<sup>[22]</sup>. Indeed, a CNN trained using a dataset of 129450 clinical images (2032 different cases) was capable of classifying skin lesions with a level of competence comparable to dermatologists<sup>[22]</sup>. By helping clinicians in characterizing early benign and/or malignant lesions, AI recently emerged as the next step towards precision pathology. Screening programs for early detection of colorectal cancer (CRC) have been shown to reduce mortality in multiple studies. Thus, a machine learning-based

algorithm (MeScore) was trained to predict the occurrence of CRC and to identify a group of individuals at a high risk for CRC. Remarkably, MeScore can help identifying individuals in the population who would benefit most from CRC screening, including those with no clinical signs or symptoms of CRC<sup>[23]</sup>. In another study, a total of 1970 whole slide images of 731 cases of nasopharyngeal carcinoma were divided into training, validation and testing sets. A CNN model was trained to classify images into three categories: Chronic nasopharyngeal inflammation, lymphoid hyperplasia and nasopharyngeal carcinoma. Remarkably, the model equals the senior pathologist when considered in terms of accuracy, specificity, sensitivity, area under the curve and consistency<sup>[24]</sup>. Thus, this couple of examples suggests that deep learning algorithms could potentially assist pathologists in clinical practice by providing a second opinion and thus increasing consistency on the diagnosis.

Gene expression profiling has been extensively used to derive prognostic signatures in multiple types of cancers. However, these signatures are usually derived from a single type of omics data (e.g. mRNA, miRNA, lncRNA profiling). Integration of multifaceted datasets with different levels of information appears relevant to better reflect the biology of a specific tumor. Accordingly, integrated genome-wide epigenetic and multi omics analyses using AI entered in the era of precision medicine with the burst of data generated over the last decades<sup>[25]</sup>. Thus, a deep learning multi omics model integrating RNA-seq, miRNA-seq, and methylation data from TCGA, was reported to robustly predict survival of patients with liver cancer<sup>[26]</sup>. A more aggressive subtype was associated with frequent TP53 inactivation mutations, higher expression of stemness markers, and activated WNT and AKT signaling pathways<sup>[26]</sup>. Pathway-based biomarker identification with crosstalk analysis has been also reported in liver cancer for efficiently differentiating patients into moderate or aggressive risk subtypes with significant differences in terms of survival<sup>[27]</sup>. Besides, deep-learning algorithms based on whole slide histological images were reported to predict prognosis of patients with liver cancer. By using a training set made of 390 slides from 206 tumors and a validating set made of 342 slides from 328 patients, a model was built for predicting the survival of patients after surgical resection of hepatocellular carcinoma<sup>[28]</sup>. Notably, the study highlights the importance of pathologist/machine interactions for the construction of deep-learning algorithms<sup>[28]</sup>. By processing 5202 digital pathology images from 13 cancer types, a deep-learning model established tumor-infiltrating lymphocytes maps correlated with molecular data, tumor subtypes, immune profiles and patient survival<sup>[29]</sup>. The application of deep learning in cancer prognosis has been shown to be equivalent or better than current approaches, as recently reviewed<sup>[30]</sup>.

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## AI AND OMICS FOR CANCER TREATMENT

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Deep learning-based analysis of multi omics data finds its natural place for the development of personalized therapies in cancer, notably by linking molecular actionable alterations with specific drugs already developed for these alterations or through a drug repositioning process (also referred to as drug repurposing). Deep learning models also enable large scale virtual screening of compound databases for predictive activity profiling against targets important for multiple cancers. Such large scale screening facilitate the quick and cost-effective repurposing of existing drugs<sup>[31]</sup>. By using a pharmacogenomics database of 1001 cancer cell lines, deep neural networks were trained for predicting drug response and their performance was assessed on multiple clinical cohorts<sup>[32]</sup>. By integrating RNA-seq, copy number, and mutations from 33 different cancer types (TCGA PanCanAtlas project), a deep learning model was shown to successfully predict RAS activation across cancer types and to identify phenocopying variants (e.g. NF1 loss). The model represents a useful tool to predict response to MEK inhibitors and identify the best responders<sup>[33]</sup>. Specific algorithms for drug repurposing have been also developed, based notably on linking gene expression profiles of tumors with gene signatures of bioactive molecules. Thus, the L1000 Connectivity Map is a library of gene expression signatures established in cell lines after pharmacologic or genetic (knockdown or over-expression) perturbation (approximately 20000 compounds, 4500 knockdowns, and 3000 over-expressions)<sup>[34]</sup>. This approach has been successfully used to propose epigenetic modulators (e.g. HDAC inhibitors) as relevant innovative therapeutics to target several hallmarks of liver cancer<sup>[35]</sup>. Using the same approach, anthelmintic drugs were also identified as potential therapeutic candidates in liver cancer<sup>[36]</sup>. Thus, combined with a robust stratification of human tumors, AI would help predicting response to individual

therapy. Although translation between research and clinical practice requires to fully addressing the question of the reproducibility and interpretability of the developed algorithms, there is no doubt that AI will positively impact clinical decision-making, providing a more personalized management of patients<sup>[37]</sup>. Another aspect that needs to be fully appraised is the regulatory issue for AI technologies, including clinically approved algorithms (Software as Medical Devices, SaMD), *e.g.* in terms of personal data sharing<sup>[38]</sup>.

## CONCLUSION

Over the last decades, cancer genomic programs generated a large amount of multi omics data. This information fueled the development of innovative algorithms to extract meaningful information possibly translatable into clinical practices. AI emerged only recently in the field of cancer research. However, specific studies demonstrated already the possibility of AI to improve diagnosis and prognosis of patients with cancer and to develop innovative targeted therapeutics. Although, the actual algorithms are fueled mainly with omics data and clinical images (*e.g.* genetic, epigenetic, transcriptomic, proteomic, metabolomics profiles, CT scan, MRI), they pave the way for future models that will also integrate personalized clinical information related to lifestyle of each patient, including environmental exposure (exposome) or microbiome composition that may influence response to treatment<sup>[39]</sup>(Figure 1). As a promising future direction, research on exposome, genetic factors, microbiome, immunity, and molecular tissue biomarkers is needed using AI and omics technologies. This field referred to as molecular pathological epidemiology (MPE) aims at investigating those factors in relation to molecular pathologies and clinical outcomes by means of computational analyses. Thus, MPE represents a promising area of investigation to better understand how a particular exposure influences the carcinogenic and pathologic process<sup>[40,41]</sup>.

In this context, *Artificial Intelligence in Cancer* journal was specifically launched to promote the development of this discipline, by serving as a forum to publish high-quality basic and clinical research articles in various fields of AI in oncology.

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## Management of cancer patients during the COVID-19 pandemic: A comprehensive review

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### Abstract

The novel 2019 corona virus disease also called severe acute respiratory syndrome coronavirus 2 has caused a global pandemic and more than 2.5 million people have been affected globally with over 100000 deaths. The disease has caused an escalation in hospitalization with growing need for hospital beds and intensive care unit for severe cases. Recent evidence has shown that a significant proportion of cancer patients affected by the corona virus present with severe respiratory pneumonia-like illness with need for subsequent intensive care unit ventilation and higher mortality risk. This susceptibility may be due to the immunosuppressive state of patients with malignancy confounded by chemotherapy, immunotherapy and targeted therapy. Many solid tumors (lung cancer, pancreatic cancer) as well as hematological malignancies (leukemias) may require prompt diagnosis and treatment based on the disease aggression and progression. Many centers lack clear guideline on the management of cancer during the pandemic. The objective of this review is to synthesize the available literature and provide recommendations on the management of various soft tissue and hematological malignancies. The review will also assess the management guidelines for hospitalized cancer patients; cancer patients in the outpatient setting as well as available modalities for follow-up.

**Key words:** Cancer; Chemotherapy; COVID-19; Coronavirus; Pandemic; Transmission; Radiotherapy

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**Core tip:** Management of cancer patients during the novel 2019 corona virus disease pandemic is challenging. The need of surgery, chemotherapy or radiation therapy places the patients at risk of nosocomial transmission. The myelosuppressive effect of chemotherapy and radiation may increase the morbidity and mortality associated with the coronavirus. Therefore, cancer treatment should be stratified based on the benefits and risk of intervention. Avoiding unnecessary procedures, social distancing, hand hygiene and mask wear could reduce the associated disease burden.

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## BACKGROUND

The novel 2019 corona virus disease (COVID-19) also called severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) has caused a global pandemic and more than 2.5 million people have been affected globally with over 100000 deaths<sup>[1]</sup>. The corona virus is highly contagious and transmitted from person to person through direct contact of respiratory secretions from coughing or sneezing<sup>[2]</sup>. The disease has caused an escalation in hospitalization with growing need for hospital beds and intensive care unit for severe cases. During this period, the oncological practice has faced enormous challenges.

Recent evidence has shown that a significant proportion of cancer patients affected by the corona virus present with severe respiratory pneumonia-like illness with need for subsequent intensive care unit (ICU) ventilation along with higher mortality risk<sup>[3]</sup>. Study by Liang *et al*<sup>[4]</sup> revealed that cancer patients with corona virus progress more rapidly to severe disease than non-cancer patients. This susceptibility may be due to the immunosuppressive state of patients with malignancy confounded by chemotherapy, immunotherapy and targeted therapy<sup>[1]</sup>. Most cancers centers are now weighing the benefit of cancer treatment and risk of exposure to the corona virus.

Many solid tumors (lung cancer, pancreatic cancer) as well as hematological malignancies (leukemias) may require prompt diagnosis and treatment based on the disease aggression and progression<sup>[5]</sup>. However, low risk early-stage breast cancer, prostate cancer and cervical cancer may be amenable to some delay in treatment. The major risks to cancer patients remain the availability of hospital beds, changes of resource allocation and the lack of an appropriate guideline for cancer care during a pandemic<sup>[6]</sup>. Even if cancer treatment is to continue, the risk of nosocomial infection remains a concern during the pandemic.

Currently, many oncological societies and cancer networks have assessed the risk of COVID-19 infection for cancer patients and formulated practice recommendation for oncological care including neoadjuvant therapy, surgery, adjuvant therapy, immunotherapy, targeted therapy and palliative care. Several soft tissue malignancies have now been stratified according to priority or risk level predicting the need for either urgent intervention, delayed intervention or deferment of intervention after the pandemic.

The objective of this review is to synthesize the available literature and provide recommendations on the management of various soft tissue and hematological malignancies. The review will also assess the management guidelines for hospitalized cancer patients; cancer patients in the outpatient setting as well as available modalities for follow-up.

## LITERATURE SEARCH

A comprehensive literature search of COVID-19 was conducted using the PubMed database from December 2019 until the May 11, 2020. The keyword used was "managing cancer patients during the COVID-19 pandemic". A total of 71 articles were retrieved after using free full-text filter in the PubMed database. Both the English and French literatures were included for analysis. Duplicated articles on COVID-19 during the search were also excluded. All articles published in the Chinese language

were also excluded from the study. The title, abstract and full text of the retrieved publication were screened for eligibility. A snapshot of the search in PubMed data was presented in [Figure 1](#).

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## ELIGIBILITY

About 37 texts met the desired objective and were included in the review for analysis. All soft tissue malignancies with proposed management recommendation on neoadjuvant therapy, surgery, adjuvant therapy, immunotherapy, targeted therapy and palliative care were included in the study. All commentaries, editorials, reviews, group consensus and original article with recommendation on cancer management during the COVID-19 pandemic were considered for inclusion. All accepted articles, with published online proof reviewing recommendation of cancer management were included for analysis. A PRISMA flow chart was used to summarize the selection process ([Figure 2](#)).

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## RESULT

Out of the 35 papers retrieved, 34 articles were published in the English language with only one in French. The result included review recommendations and guidelines, commentaries, editorials, letters and correspondence. The selected articles provided various recommendations for cancer care during this current corona virus crisis assessing the benefit of treatment against the risk of contracting the virus.

The qualitative analysis included articles with data on COVID-19 epidemiology, recommendations for hospitalized cancer patients, outpatient settings and oncological follow-up during the COVID-19 pandemic. There was also specific recommendation for specific types of malignancy during the pandemic including hematological cancers, sarcoma, breast cancer, urological cancers, thoracic cancers, neuro-oncology, head and neck cancers, gastrointestinal cancers and colorectal cancer ([Figure 3](#)). A qualitative analysis of the various ‘malignancies is synthesized below.

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## EVIDENCE SYNTHESIS AND DISCUSSION

### ***Managing cancer patients during the COVID-19 pandemic***

Due to the immunosuppressive state of cancer patients most oncological practices are now informing all cancer patients about signs and symptoms of COVID-19 (fever, cough, dyspnea, fatigue)<sup>[7]</sup> and advocating strong adherence to social distancing principle<sup>[1,5]</sup>. Report from a Collaborative Cancer Network in the United States by Ueda *et al*<sup>[9]</sup> have demanded that all cancer patients are triaged for respiratory symptoms as part of a mandatory practice for this current outbreak. A systematic review by Moujaess *et al*<sup>[9]</sup> found that cancer patients may present with atypical clinical and radiological features that could be confused for SARS-CoV-2 infection causing a diagnostic dilemma.

**Management in outpatient settings:** An International Collaborative Group involving several cancer centers around the world have proposed that clinic visits should be restricted, and universal precaution is taken<sup>[6]</sup>. According to Al-Shamsi *et al*<sup>[6]</sup>, clinic attendants should be limited as much as possible because the coronavirus could be asymptomatic in up to 33.3% of patients. To minimize occupational hazard, health care workers wear personal protection equipment (PPE) and maintain health protocols to ensure infection control and avoid nosocomial transmission<sup>[1]</sup>. Shankar *et al*<sup>[1]</sup> and Motlagh *et al*<sup>[2]</sup> recommended that patients with cancer could be selectively treated provided there is a guideline for risk stratification to prevent unnecessary infection from COVID-19 in hospital settings<sup>[5]</sup>. According to Al-Shamsi *et al*<sup>[6]</sup> and Gosain *et al*<sup>[7]</sup>, patients on intravenous chemotherapy can be switched to appropriate oral chemotherapy if feasible. Decision should be considered on a case by case basis. Home drawn blood service is now being recommended to monitor side effect from chemotherapy<sup>[6]</sup>. For symptom management and chemotherapy supervision, telemedicine is being strongly advocated. The Gustave Roussy cancer center in France is now utilizing telemedicine for monitoring and organizing referrals for cancer patients with COVID-19<sup>[10]</sup>. According to Scotté *et al*<sup>[10]</sup> telemedicine is also being used

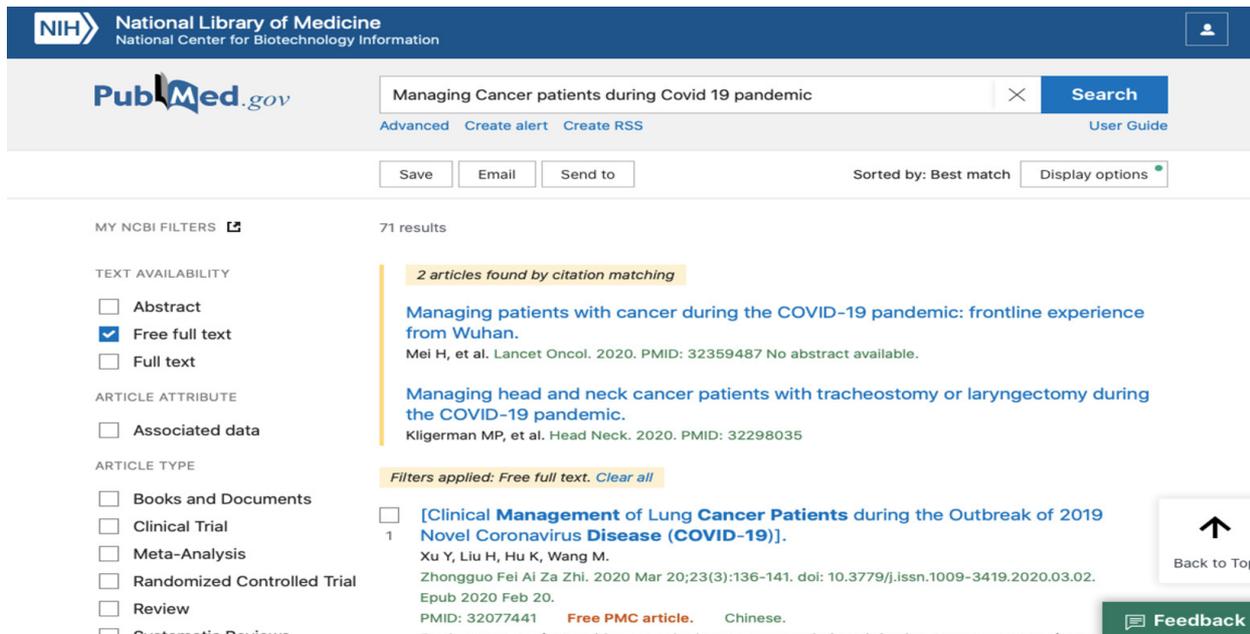


Figure 1 Search strategy using PubMed database for free full text.

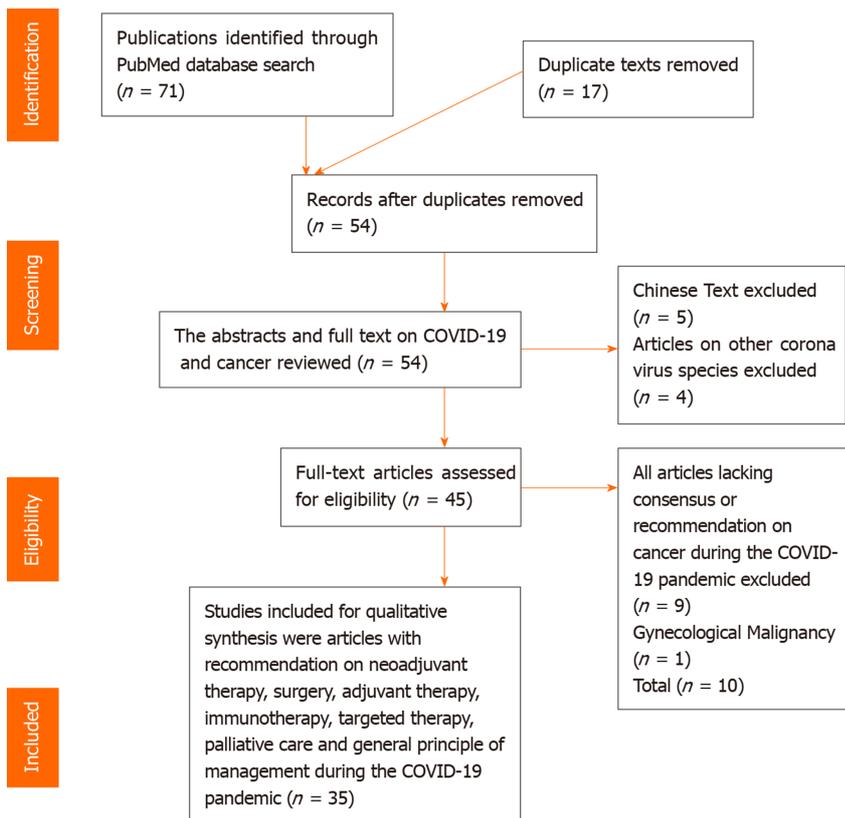
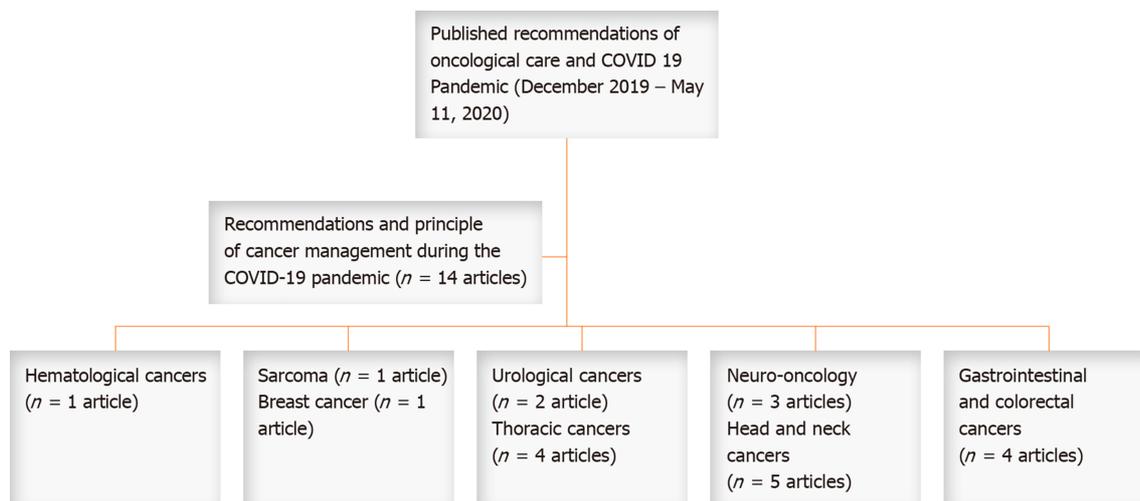


Figure 2 PRISMA flow chart outlining the selection of articles for qualitative analysis. COVID-19: Novel 2019 corona virus disease.

by other institution in France to monitor cancer patients on oral therapy. Mei *et al*<sup>[11]</sup> reported that the Cancer Center of Wuhan in China have now attended to more than 80000 cancer patients using the telemedicine platform. Nonetheless, the limitation of telemedicine remains the inability to perform a physical examination. Patients receiving curative radiation therapy are encouraged to continue<sup>[6]</sup>. Patients who have a known contact can continue treatment in a separate compartment.



**Figure 3** Number of retrieved recommendations on each malignancy during the novel 2019 corona virus disease pandemic. COVID-19: Novel 2019 corona virus disease.

**Hospitalized patients with cancer:** The management of hospitalized patients during the pandemic is complicated. Strict safety measures should be ensured by all health care provider to avoid nosocomial transmission<sup>[11]</sup>. The number of ward staff should be reduced as much as possible<sup>[12]</sup>. Patients that are symptomatic should be isolated and tested. If results are positive for SARS-CoV-2 infection, the patient should be moved to the COVID-19 disease treatment unit according to the safety protocols. According to Motlagh *et al*<sup>[2]</sup> cancer patients are at higher risk vascular thrombosis therefore, mobilizing the patients is crucial during these isolations. Delaying surgery and chemotherapy in these setting is reasonable due to the high demand of ICU beds and ventilation<sup>[3,4]</sup>. Conversely, an editorial by Peng *et al*<sup>[13]</sup> stated that the cessation or continuation of chemotherapy in the setting of COVID-19 infection remains debatable. This was based on reports that cancer patients coinfectd with human immunodeficiency virus and hepatitis B did not experience viral reactivation during chemotherapy. A multi-center study by Tan *et al*<sup>[12]</sup> recommended risk stratification for cancer patients requiring surgery as either emergency or selective operation. Rapidly progressing and compressive tumors with imminent risk of rupture and hemorrhage should be operated as an emergency<sup>[12]</sup>. According to Gosain *et al*<sup>[7]</sup> patient who have received neoadjuvant therapy awaiting tumor resection can be addressed on a selective basis considering the hospital capacity, cancer stage and the burden of the prevailing coronavirus pandemic. Intervention for cancer can be avoided for patients that are clinically stable or those requiring palliative care during the peak of the virus epidemic.

**Cancer in older patients:** Data have shown that older cancer patients have higher risk of respiratory complications and death following a viral infection therefore increase barrier methods, mask wearing, and hand hygiene should be provided for this population<sup>[3,4,14]</sup>. The report by Falandry *et al*<sup>[14]</sup> from France was inconclusive whether older cancer with COVID-19 infection should be offer resuscitation when needed considering the high demand of ICU beds and ventilation. This calls into question ethical issues that differs across center based on the disease burden and available resources for treatment. However, it is being advocated that older cancer patients should not become systemically excluded from oncological care with the theory of their impending risk of severe disease when infected with the coronavirus<sup>[3,14]</sup>.

**Specific recommendation for hematological malignancies:** A multi-center review in Brazil by Perini *et al*<sup>[15]</sup> provided recommended management algorithm for patients with lymphoid malignancies during the coronavirus outbreak. All lymphoid malignancies in remission are advised to be postponed with virtual follow-up and counseling. Patients with aggressive non-Hodgkin lymphoma like Burkitt’s lymphoma, plasmoblastic lymphoma, lymphoblastic lymphoma, mantle cell lymphoma and peripheral T-cell lymphoma are recommended immediate treatment using the appropriate regimen<sup>[15]</sup>. Granulocyte stimulating agents (G-CSF) should be considered strongly to avoid febrile neutropenia during the COVID-19 pandemic.

Nonetheless, patients with indolent lymphoma like chronic lymphocytic lymphoma and follicular lymphoma can benefit from watchful waiting or a less intense regimen including oral chemotherapies can be considered. Patients with relapse and refractory diseases can be managed on an outpatient basis. Treatment should not be delayed for patients with Hodgkin lymphoma, but less intensive chemotherapeutic regimen should be initiated.

**Specific recommendation for sarcoma:** The French Sarcoma in collaboration with the European Society for Medical Oncology (ESMO) by Penel *et al*<sup>[16]</sup> proposed several management recommendations for sarcoma patients during the COVID-19 crisis. Operable patients with soft tissue sarcoma, visceral sarcoma and bone sarcoma without symptoms of coronavirus infection should not have their surgery delayed<sup>[16]</sup>. Patients with Ewing's sarcoma, osteosarcoma, alveolar sarcoma and embryonal rhabdomyosarcoma without symptoms of COVID-19 infection should proceed with standard treatment including neoadjuvant chemotherapy, surgery and adjuvant chemotherapy<sup>[16]</sup>. Patients with advanced soft tissue sarcoma should receive standard chemotherapy along with G-CSF to avoid neutropenia.

**Specific recommendation for breast cancer:** Based on the challenges of cancer care during the recent coronavirus outbreak, the Commission on Cancer, the National Accreditation Program for Breast Centers, American Society of Breast Surgeons, the National Comprehensive Care Network, and the American College of Radiology have stratified patients with breast cancer into priority categories<sup>[17]</sup>. These recommendations were based on individual patient's disease, comorbidities and treatment benefits.

Priority A category are patients that are clinically unstable with life threatening disease like breast abscess and sepsis or expanding breast hematoma. Immediate operative drainage is warranted for breast abscess; breast tumor hematoma should be evacuated with control of the bleeder.

Patients priority B category do not have life threatening conditions, but their surgery should not be deferred after the pandemic. A short delay of 6-12 wk may not adversely affect treatment outcome in this group. Patients in this group include hormone receptor positive patients, mastectomy flap ischemia, patients completing neoadjuvant therapy and suspected local recurrences<sup>[17]</sup>.

Individuals in priority C category are patients whose intervention can be deferred indefinitely till after the epidemic without adversely affecting treatment outcome. These include hormone receptor +/- ductal carcinoma *in situ*, clinical stage I breast cancers, benign breast lesions, prophylactic mastectomies and discordant benign biopsies<sup>[17]</sup>.

**Specific recommendation for urological cancers:** The Cancer Committee of the French Association of Urology (CCFAU) published a report by Méjean *et al*<sup>[18]</sup> with formulated guidelines for the management of urological malignancies during the COVID-19 pandemic.

Localized renal cancer along with renal cyst Bosniak I and II should be postponed and undergo quarterly surveillance. Patients with locally advanced renal cancer or symptomatic tumor with pain and hematuria should have their surgery prioritized. Good prognosis metastatic renal cancer can benefit from immunotherapy with virtual follow-up from home. Poor prognosis metastatic renal cancer can receive immunotherapy, but the benefit should be balanced against the risk of toxicity<sup>[18]</sup>. Otherwise, palliative care is a reasonable alternative.

According the CCFAU guideline, transurethral resection for low-grade, low volume, non-muscle invasive bladder tumor without out evidence of carcinoma *in situ* from urine cytology can be delayed for 3 mo. Patients with muscle invasive bladder cancer or non-muscle invasive disease refractory to bacille Calmette-Guerin therapy should have radical cystectomy within 3 mo following diagnosis<sup>[18]</sup>. Neoadjuvant chemotherapy is discouraged in this setting.

According to Méjean *et al*<sup>[18]</sup> low risk localized prostate cancer should preferably undergo surveillance during the outbreak. A systematic review by radio-oncology groups in the United Kingdom (UK) and the United States of America (USA) by Zaorsky *et al*<sup>[19]</sup> recommended that radiotherapy for low risk prostate cancer can be delayed until safe. The CCFAU recommended that patients with intermediate risk localized prostate cancer, treatment can be delayed within 2 mo. These include radical prostatectomy, extremal beam radiotherapy and brachytherapy. For patients with high risk and localized advanced prostate cancer, surgery cannot be delayed more than 2 mo and hormonotherapy should not be delayed. The radio-oncology group in UK and USA<sup>[19]</sup> recommended a delay of 1-3 mo for intermediate risk prostate cancer, high risk

prostate cancer, postprostatectomy and nodal cancer requiring radiation therapy. The initiation of androgen deprivation therapy (ADT) for high risk prostate cancer can help in the delay of intervention. However, if delay is not feasible, external beam radiotherapy is preferred with the shortest fractionation schedule. Nevertheless, the benefit of treatment, the toxicity of treatment as well as the risk of contracting COVID-19 infection are important parameters to assess to limit morbidity and mortality.

Patients with hormone sensitive metastatic prostate cancer can continue ADT and newer generation hormonotherapy (apalutamide or enzalutamide)<sup>[18]</sup>. Patients with castrate resistant metastatic prostate cancer treated who did not receive second generation hormonotherapy can continue ADT with enzalutamide. Chemotherapy and steroid should be avoided to prevent unwanted toxicity. For patients with castrate resistant metastatic prostate cancer who received second generation hormonotherapy, the risk and benefits of initiating chemotherapy can be discussed (docetaxel, carbazitaxel)<sup>[18]</sup>. G-CSF should be considered to avoid neutropenia in patient on chemotherapy. According to Zaorsky *et al*<sup>[19]</sup> radiotherapy for low volume metastatic cancer and oligometastases can be delayed up to 6 mo for patients on ADT.

**Specific recommendation for thoracic cancers:** A consensus statement from the Thoracic Surgery Outcomes Research Network formulated several recommendations for thoracic cancers based on the high usage of ICU beds, ventilators and PPE during the COVID-19 pandemic. Lung cancer  $\geq$  2-cm, node positive lung cancer, high risk chest wall tumors, symptomatic mediastinal tumors and invasive esophageal cancer should have surgery prioritized in the soonest possible time<sup>[20]</sup>. Yet, lung cancer less than 2-cm, indolent histology, asymptomatic thymoma, pulmonary oligometastases, bronchoscopy, upper endoscopy and tracheostomy can be deferred for up to 3 mo<sup>[20]</sup>.

An ESMO publication by Banna *et al*<sup>[21]</sup> also stratified non-small cell lung cancer and small cell lung cancer for treatment intervention. For locally advanced resectable non-small cell lung cell, neoadjuvant chemotherapy, chemotherapy + radiotherapy and immunotherapy should not delay treatment when possible<sup>[21]</sup>. Nonetheless, Chemotherapy should be withheld in patients at significant risk of COVID-19 infection. Patients with locally advanced to advanced small cell lung cancer should continue with standard treatment. Treatment should be delayed in patients at risk of COVID-19 infection or those requiring long period of immunotherapy. According to Zhao *et al*<sup>[22]</sup>, immunotherapy is associated with significant pulmonary toxicity as such should be suspended or postponed in patients with stable disease.

Study by Mazzone *et al*<sup>[23]</sup> also provided a consensus statement on the management of lung nodule during the epidemic. There was almost a unanimous agreement that evaluation should be delayed for pulmonary nodule discovered incidentally or during screening that are likely indolent<sup>[23]</sup>. The expert group from this study generally agreed that surgery for localized non-small cell lung cancer can be postponed if there no evidence of an aggressive disease or progression.

**Specific recommendation for brain cancers:** A correspondence by Zacharia *et al*<sup>[24]</sup> stratified patients with brain tumor needing surgery into emergent, urgent and semi-urgent. In the setting of a brain tumor with impending herniation or hydrocephalus, surgery should be performed as soon as possible<sup>[24]</sup>. All patients should be considered COVID-19 positive until otherwise. Enhanced PPE is required for all operating staff and health care providers. High grade malignancies or tumor presenting with progressive neurological deficits should be operated urgently between 2 to 7 d. Attempt COVID-19 testing preoperatively if possible.

Patients with asymptomatic or benign brain lesions can have their surgery delayed up to 4 wk. Patient should be properly screened, and every health precaution should be maintained including self-quarantine for 14 d before surgery. Studies by Mohile *et al*<sup>[25]</sup> and Bernhardt *et al*<sup>[26]</sup> also formulated guidelines for patients with gliomas during the COVID-19 pandemic. According to Mohile *et al*<sup>[25]</sup> newly diagnosed glioma should continue with their standard of care but with precaution to avoid nosocomial transmission. Therapy for recurrent glioma should be delayed and certain chemotherapeutic agents avoided<sup>[25,26]</sup>.

**Specific recommendation for head and neck cancers:** The French consensus on the standard of care of head and neck surgery by Fakhry *et al*<sup>[27]</sup> stratified patients into 3 groups. Cancer patients with life-threatening emergencies (dyspnea, hemorrhage) where classified as Group A and required immediate treatment. The SARS-COV-2 swab test along with a chest CT-scan in 24 h is advisable before surgery.

Aggressive cancer of the salivary gland and aerodigestive tract for whom treatment postponement for a month will adversely affect outcome of the disease were considered as Group B. If tracheostomy is not required, the surgery should be delayed,

and all necessary investigation done<sup>[27]</sup>.

Well differentiated thyroid cancer, non-progressive skin cancer and slow growing salivary gland tumor were considered as Group C for which treatment can be postponed for 6 to 8 wk without adversely affecting outcome.

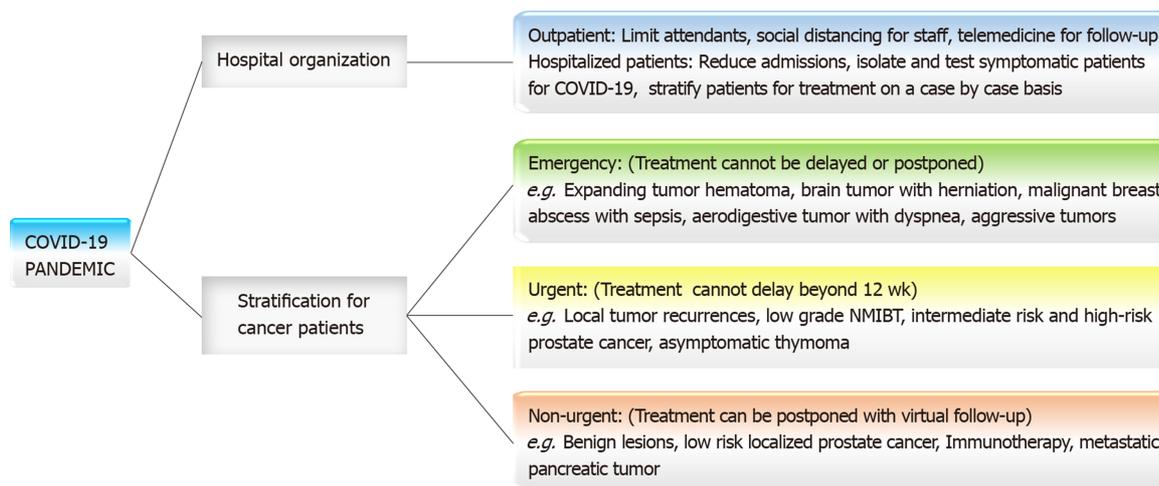
A review by a head and neck oncology group Day *et al*<sup>[28]</sup> proposed that hospitals should provide preoperative, intraoperative and postoperative management algorithm to ensure patient and staff safety. They proposed several reasons for strict precautions by head and neck surgeons. The coronavirus replicates in the nasal cavities, nasopharynx and oropharynx which are sites for routine head and neck surgery<sup>[28]</sup>. The coronavirus is aerosolized for at least three hours. Most head and neck surgeries require general anesthesia which entail aerosol generating procedures like bag-valve mask ventilation and intubation. The team recommended that most high-risk procedures should be performed with an N95 mask or a powered air-purifying respirator. The disadvantage is that these masks are uncomfortable to surgeons for long standing procedures.

A guideline consensus from the European Society for Radiotherapy and Oncology and the American Society of Radiation Oncology agreed that patients with locally advanced squamous cell carcinoma of the oropharynx and larynx can continue radiation therapy with concurrent chemotherapy<sup>[29]</sup>.

According to Sharma *et al*<sup>[30]</sup> the management of head and neck cancer in elderly patient during the COVID-19 pandemic is very challenging. About 70% of death from head and neck cancer occur in patients over 70 years. Moreover, 95% of COVID-19 death occur in patients over 60 years and about 50% in patients over 80 years alone<sup>[30]</sup>. Therefore, treatment decision for this group should be individualized considering disease severity, comorbidity and risk of coronavirus infection (Figure 4).

Report by Salari *et al*<sup>[31]</sup> conveyed that multidisciplinary meeting for head and neck cancer in Iran have now been moved to a virtual platform, since the COVID-19 pandemic. During these virtual meetings, cancer surgeons, head and neck surgeons, maxillofacial surgeons, medical oncologists, radiologist, radiotherapist and nuclear medicine specialist discuss the benefit and risk of treatment and patient are prioritized for the appropriate treatment<sup>[31]</sup>.

**Specific recommendation for gastrointestinal and colorectal cancers:** An editorial by Patel *et al*<sup>[32]</sup> recently outlined three groups prioritizing the treatment of cancer patient during the COVID-19 pandemic. This stratification had been previously released by the American Society of Clinical Oncology. Group 1 were patients who completed treatment or patients with controlled disease. Clinics visits were recommended to be delayed and telemedicine platform are to be used for follow-up. Group 2 were patients undergoing active neoadjuvant or adjuvant treatment with curative intent. Patients were encouraged to continue treatment while minimizing the risk of nosocomial transmission with hand hygiene, PPE for staff and social distancing<sup>[32]</sup>. Patients undergoing treatment for metastatic disease were considered as Group 3. Delaying treatment in this group was considered reasonable if it did not adversely affect the disease outcome. Another multi-center radio-oncology report by Tchelebi *et al*<sup>[33]</sup> classified the provision of radiotherapy during the pandemic for several gastrointestinal cancers including esophageal cancer, gastric cancer, hepatocellular cancer, cholangiocarcinoma, pancreatic cancer, rectal cancer and anal cancer. The group recommended that operable esophageal cancer, advanced gastric cancer, locally advanced operable rectal cancer and hepatocellular cancer can receive radiation therapy reducing the period of fractionation. Radiotherapy was not recommended in operable or resected gastric cancer, operable cholangiocarcinoma and resectable pancreatic tumor<sup>[33]</sup>. These recommendations were made to guide treatment decision; to either reduce disease progression or avoid unnecessary exposure to the COVID-19 infection. A report by Romesser *et al*<sup>[34]</sup> from the Memorial Sloan Kettering Cancer Center suggested that short course radiation therapy (SCRT) can provide quality and efficient oncological care for patients while reducing the risk of exposure to the COVID-19 infection. A report from a multinational colorectal cancer group in Europe by Di Saverio *et al*<sup>[35]</sup> proposed that surgery during the COVID-19 pandemic should be aligned by clear perioperative protocols. The group advocated safe transfer of patients between the ward and the operating theater with the proper use of PPE by staffs and coordinated transport system between the theater staff and ward staff<sup>[35]</sup>.



**Figure 4 Organizational structure and risk stratification of cancer patient for management during the novel 2019 corona virus disease pandemic.** COVID-19: Novel 2019 corona virus disease.

## CONCLUSION

Management of cancer patients during the COVID-19 pandemic is challenging. The need of surgery, chemotherapy or radiation therapy places the patients at risk of nosocomial transmission. The myelosuppressive effect of chemotherapy and radiation may increase the morbidity and mortality associated with the coronavirus. Therefore, cancer treatment should be stratified based on the benefits and risk of intervention. Avoiding unnecessary procedures, social distancing, hand hygiene and mask wear could reduce the associated disease burden.

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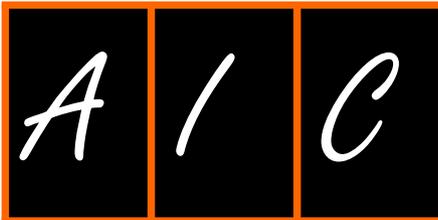
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## Application of artificial intelligence in clinical non-small cell lung cancer

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### Abstract

Lung cancer is the most common cause of cancer death in the world. Early diagnosis, screening and precise individualized treatment can significantly reduce the death rate of lung cancer. Artificial intelligence (AI) has been shown to be able to help clinicians make more accurate judgments and decisions in many ways. It has been involved in the screening of lung cancer, the judgment of benign and malignant degree of pulmonary nodules, the classification of histological cancer, the differentiation of histological subtypes, the identification of genomics, the judgment of the effectiveness of treatment and even the prognosis. AI has shown that it can be an excellent assistant for clinicians. This paper reviews the application of AI in the field of non-small cell lung cancer and describes the relevant progress. Although most of the studies to evaluate the clinical application of AI in non-small cell lung cancer have not been repeatable and generalizable, the research results highlight the efforts to promote the clinical application of AI technology and influence the future treatment direction.

**Key words:** Artificial intelligence; Machine learning; Non-small cell lung cancer; Diagnosis; Prognosis; Therapy

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**Core tip:** Artificial intelligence has been shown to help clinicians make more accurate judgments and decisions in non-small cell lung cancer screening and preliminary evaluation of lung nodules, histological differentiation and diagnosis, genomic identification, decision-making of therapy, prognosis of overall survival, metastasis or recurrence. Electronic medical records could be used as a source of artificial intelligence

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to help clinicians. This manuscript reviews the state of art artificial intelligence applications in clinical non-small cell lung cancer for those who will be interested in this field.

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## INTRODUCTION

The global tumor statistics report released in 2018 shows that lung cancer is the malignant tumor with the highest morbidity and mortality in the world. The incidence of lung cancer accounts for 11.6% of the incidence of all tumors, and the mortality rate accounts for 18.4% of the deaths of all tumors<sup>[1]</sup>. Due to the late onset of clinical symptoms and limited screening procedures, a large number of patients are diagnosed as advanced<sup>[2]</sup>. Histologically, about 85% of new lung cancer cases are classified as non-small cell lung cancer (NSCLC), 10% are small cell lung cancer, and 5% are other variants<sup>[3]</sup>. Most NSCLC can be divided into three categories: squamous cell carcinoma, adenocarcinoma and large cell carcinoma<sup>[4]</sup>. Patients need the most accurate personalized treatment from doctors. Therefore, doctors need to obtain genomics, proteomics, immunohistochemistry and imaging data, in addition to histological, clinical and demographic information in order to develop precise treatment plans for patients. There are many factors, such as high cost of testing and treatment discontinuity, which will limit the timely access to data. This has aroused people's interest in developing artificial intelligence.

Artificial intelligence (AI) is an important product of the rapid development of computer technology. It has a profound impact on the development of human society and the progress of science and technology through communication and cooperation with multidisciplinary and multifield, especially the organic combination with medicine, which is one of the most promising fields. John McCarthy first proposed the concept of AI: To develop machine software with human thinking mode, so that computers can think like humans<sup>[5]</sup>. Machine learning (ML) is a method to realize AI, which belongs to a subfield of AI. It analyzes and interprets data through machine algorithms, learns from it, and then makes decisions or predictions about something. Therefore, unlike manually writing software routines to complete specific tasks with a specific set of instructions, machines use a large number of data and algorithms to "train", which give machines the ability to learn how to perform tasks. ML comes directly from the idea of the early artificial intelligence crowd. For many years, algorithm methods include decision tree learning, inductive logic programming, clustering, reinforcement learning and Bayesian network, etc. These algorithms allow information to be classified, predicted and segmented to provide insights that are difficult to obtain by the human eye or cognitive system.

Deep learning is a technology to realize ML. There are two key aspects in the description of advanced definition of deep learning: (1) A model composed of multilayer or multistage nonlinear information processing; and (2) A supervised or unsupervised learning method for feature representation at a higher and more abstract level<sup>[6]</sup>. There are many kinds of network learning models for deep learning, such as convolutional neural networks (CNN), recurrent neural networks, bi-directional long-term and short-term memory cyclic neural networks, multilayer neural networks, etc. Among them, the CNN is one of the representative algorithms of deep learning, which is a kind of feed forward neural networks with deep structure and convolution calculation. It consists of a series of layers. Each layer performs specific operations, such as convolution, pooling, loss calculation, etc. Each middle layer receives the output of the previous layer as its input and finally extracts the high-level abstraction through the fully connected layer. In the process of back propagation in the training stage, the weights of neural connection and kernel are optimized continuously. A CNN has the ability of representation learning, which can classify input information according to its hierarchical structure. Therefore, it is also called "translation invariant artificial neural network (ANN)".

There are two main methods of data processing in ML: Supervised learning and

unsupervised learning. Supervised learning specifically refers to the use of labeled data learning process to assist, so as to achieve learning objectives. The advantage is that the generalization ability of the machine itself can be given full play, and problems such as classification and regression can be effectively solved. Unsupervised learning does not need to be marked, and it explores the similarity between instances according to specific indicators and methods or the value relationship among features. The algorithms commonly used in unsupervised learning are as follows: Deep confidence network, automatic encoder, *etc.* The most important research problems of unsupervised learning include clustering, correlation analysis and dimension reduction. Other learning methods include reinforcement learning, which optimizes the model to get the best decision by giving different feedback to different choices in the iterative process, semisupervised learning that mixes supervised and unsupervised learning and transfer learning with models as an experiential training.

AI can improve patients' treatment results, ameliorate patients' treatment process and even mend medical management<sup>[7]</sup>. In view of the increasing application of AI in lung cancer treatment (Figure 1), this paper will review the AI applications being developed for NSCLC detection and treatment as well as the challenges facing clinical adaptability.

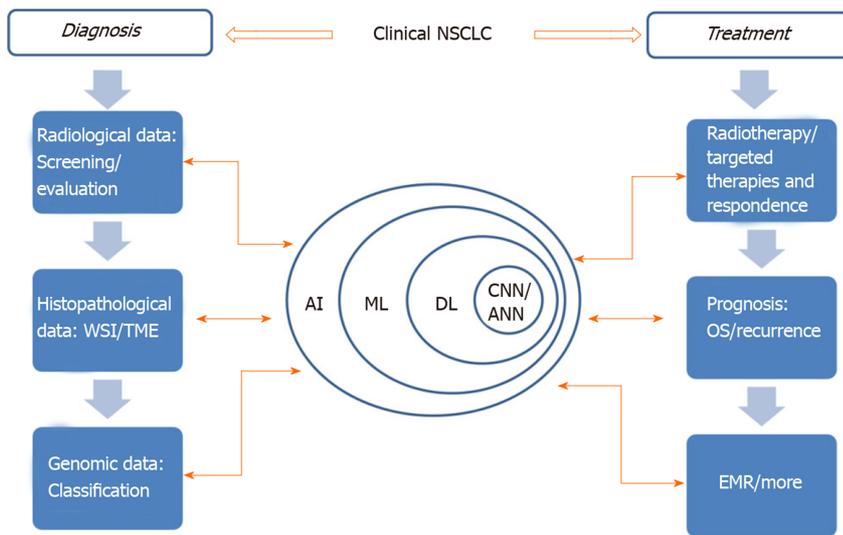
## APPLICATION OF AI IN SCREENING AND PRELIMINARY EVALUATION OF NSCLC

Pulmonary nodules are the early signs of lung cancer, which are of great significance for the diagnosis of early lung cancer. Early detection, early diagnosis and early treatment can improve the survival rate and prolong the survival time of patients. The national lung screening test showed that low-dose computed tomography (LDCT) screening was associated with a significant 20% reduction in overall mortality among current and previous high-risk smokers<sup>[8]</sup>. While conducting LDCT screening to detect patients with early-stage lung cancer, the number of health checkups, disease screenings and follow-up examinations is increasing. As a result, the workload of radiologists has multiplied. The increasing workload aggravates the fatigue of doctors, affects the quality of reading images and the accuracy of diagnosis results. The emergence of AI is just like a drop of sweet dew in a long drought for radiologists. AI can carry out self-learning and self-evolution under semi-supervision. At the same time as improving the accuracy of diagnosis, the time for doctors to read the images is greatly shortened, which solves the clinical needs well<sup>[9]</sup>.

Most uncertain lung nodules were discovered by accident<sup>[10]</sup>. Every year, more than 1.5 million Americans are diagnosed with accidental detection of lung nodules<sup>[11]</sup>. Most of these nodules are benign granuloma and about 12% may be malignant<sup>[12]</sup>. Another potential hazard of lung cancer screening is the over diagnosis of slow-growing, inactive cancers. If left untreated, these cancers may not pose a threat. Therefore, over diagnosis must be identified and significantly reduced. Identifying the nature of pulmonary nodules by AI can effectively reduce the clinical work pressure as well as the long-term follow-up workload and ameliorate the psychological pressure of pulmonary nodule owners. In the field of cancer imaging, AI has found tremendous utility in three main clinical tasks: Detection, characterization and monitoring. In current clinical practice, imaging methods used to assess the presence of lung cancer include chest X-ray, computed tomography (CT) and positron emission tomography/computed tomography (PET/CT).

Chest X-ray is one of the most commonly used methods. The covering of the chest ribs on the lung field often affects the radiologists' reading of the film and increases the missed diagnosis rate of the lung nodule shadow. von Berg *et al*<sup>[13]</sup> used a dual energy subtraction technology based on ANN to reduce the bone density shadow in the X-ray film, expose the lung nodule covered by the bone structure and improve the sensitivity and specificity of the radiologist in the diagnosis of lung nodules. Nam *et al*<sup>[14]</sup> recently developed an algorithm for detecting malignant pulmonary nodules on chest X-ray films based on deep learning and compared its performance with that of physicians, half of whom were radiologists. They used 43292 cases of chest X-ray data. The ratio of normal to pathological changes was 3.67. Using external validation data sets, they found that the area under the curve (AUC) of the developed algorithm was higher than 17 of the 18 doctors. When all doctors used this algorithm as the second reader, they found the improvement of nodule detection.

For lung cancer screening, the sensitivity and specificity of LDCT are much higher than that of general chest X-ray<sup>[15]</sup>. More than 200 thin-layer images can be



**Figure 1** The application of artificial intelligence involved in clinical non-small cell lung cancer. Learning process and application of AI in different fields are indicated by those two-way arrows. AI: Artificial intelligence; ANN: Artificial neural network; CNN: Convolutional neural networks; DL: Deep learning; EMR: Electronic medical record; ML: Machine learning; NSCLC: Non-small cell lung cancer; OS: Overall survival time; TME: Tumor microenvironment; WSI: Whole slide image.

reconstructed after high-resolution CT scanning or spiral CT scanning, which results in excessive reading of radiologists. Pulmonary nodules < 3 mm are more time-consuming and laborious. This has caused a considerable workload for radiologists in the traditional mode. Pulmonary nodule AI detection software is most sensitive to pulmonary nodules of 3-6 mm followed by nodules above 6 mm. Nodules of 3-6 mm are the most easily missed diagnosis by human vision<sup>[16]</sup>. After the application of AI, the daily working time can be halved without changing the inspection amount, and there will be no missed diagnosis due to excessive fatigue<sup>[17,18]</sup>. Detection refers to the positioning of objects of interest in X-rays or CTs and is collectively referred to as computer-aided detection<sup>[19]</sup>. In the early 2000s, methods of computer-aided detection for automatically detecting lung nodules on CT were based on traditional ML methods, such as support vector machines<sup>[20]</sup>. Computer-aided detection is used as an assistant in LDCT screening to find missed cancers and to detect brain metastases on MRI to improve radiological interpretation time while maintaining high detection sensitivity<sup>[21]</sup>. The computer-aided detection x system has been used for the diagnosis of pulmonary nodules by thin-layer CT<sup>[22]</sup>.

Due to the simplicity of clinical implementation, size-based measurements such as the longest tumor diameter are widely used for staging and response assessment. However, size-based features and disease stages have limitations such as imprecise diagnosis. A preliminary work shows that AI can automatically quantify the radiographic characteristics of tumor phenotype, which has a significant prognosis for many types of cancer, including lung cancer<sup>[23]</sup>. Liu *et al*<sup>[24]</sup> combined a model of four semantic features (minor axis diameter, contour, concavity and texture) of quantitative scores. The accuracy of distinguishing malignant and benign nodules in lung cancer screening environment was 74.3%. In a separate study<sup>[25]</sup>, semantic features were identified from small lung nodules (less than 6 mm) to predict the incidence of lung cancer in the context of lung cancer screening. The AUC of the final model was 0.930 based on the total score of emphysema, vascular attachment, nodal location, border definition and concavity. Paul *et al*<sup>[26]</sup> used a kind of pre-trained CNN after large-scale data training to detect lung cancer by extracting the features of CT images. They combined the extracted deep neural network features with the traditional quantitative features and obtained 90% accuracy (AUC: 0.935) by using the five best corrected linear unit features and five best traditional features extracted by vgg-f pre-trained CNN.

In recent years, the number of pure ground glass nodules (pGGN) has increased significantly. Judging its nature and making the treatment plan is very important. Qi *et al*<sup>[27]</sup> retrospectively analyzed the clinical follow-up data of 573 CT scans belonging to 110 patients with pGGNs from January 2007 to October 2018. The Dr. Wise system based on CNN was used to segment the initial CT scan and all subsequent CT scans automatically. Then, the diameter, density, volume, mass, volume doubling time and mass doubling time of pGGNs were calculated. Kaplan-Meier analyses with the log-

rank test and Cox proportional hazards regression analysis were used to analyze the cumulative percentages of pGGN growth and identify risk factors for growth. It was found that persistent pGGNs showed a slow course. The 12-mo, 24.7-mo and 60.8-mo cumulative percentages of pGGN growth were 10%, 25.5% and 51.1%, respectively. Deep learning helps to clarify the natural history of pGGNs accurately. Those pGGNs with lobulated sign and larger initial diameter, volume and mass are more likely to grow up. Ardila *et al*<sup>[28]</sup> trained a deep learning algorithm on the NLST dataset, which came from 14851 patients and 578 of those patients developed lung cancer the following year. They tested the model on the first test data set of 6716 patients, and the AUC reached 94.4%. A part of 507 patients was compared with six radiologists. When a single CT is analyzed, the performance of the model was the same or higher than that of all radiologists.

The diagnosis of simultaneous or metachronous multiple pulmonary nodules is a new challenge for clinicians. In a retrospective study<sup>[29]</sup>, a total of 53 patients with multiple pulmonary nodules, simultaneously or metachronously, were included. The coincidence rate of AI diagnosis and postoperative pathology to benign and malignant lesions was 88.8%. AI may represent a relevant diagnostic aid that can display more accurate and objective results when diagnosing multiple lung nodules. It may reduce the interpretation of results by displaying visual information directly to doctors and patients and the clinical status of multiple primary lung cancer patients. The time required and a reasonable follow-up and treatment plan may be more beneficial to the patient.

PET/CT using 18F-fluorodeoxyglucose (FDG) has been established as a great imaging method for the staging of patients with lung cancer<sup>[30]</sup>. Schwyzer *et al*<sup>[31]</sup> assessed whether machine learning would help detect lung cancer in FDG-PET imaging against the background of ultra-low-dose PET scans. The ANN was used to identify 3936 PET images, including images of lung tumors visible to the naked eye and image slices of patients without lung cancer. Based on clinical standard radiation dose PET images (PET 100%), 10% dose and 3.3% radiation dose (approximately 0.11 mSv), the diagnostic performance of the artificial neural network was evaluated. Their results indicated that even at very low effective radiation doses of 0.11 mSv, machine learning algorithms may contribute to fully automated lung cancer detection.

More and more new PET and single-photon emission computerized tomography tracers are used to explore various aspects of tumor biology, and hybrid multimodal imaging is increasingly used to provide multiparameter measurements. AI is needed to deal with the huge workload. According to reports<sup>[32]</sup>, texture and color analysis of human FDG-PET images can be used to judge heterogeneity within tumors, thereby distinguishing NSCLC subtypes. Using support vector machine algorithm to extract texture and color features from FDG-PET images to differentiate histopathological tumor subtypes (squamous cell carcinoma and adenocarcinoma), the area under the receiver operating characteristic curve was 0.89. The use of the least absolute shrinkage and selection operator method<sup>[33]</sup> to derive radiographic descriptors of metastatic lymph nodes from FDG-PET images of patients with NSCLC has been found relate better with overall survival (OS) than the radiological data extracted from the primary tumor. Wang *et al*<sup>[34]</sup> made a comparison of ML methods for classifying NSCLC mediastinal lymph node metastasis from PET/CT images. A CNN and four ML methods (random forest, support vector machine, adaptive boosting and artificial neural networks) were used to classify mediastinal lymph node metastases of NSCLC. PET/CT images of 1397 lymph nodes were collected from 168 patients and were evaluated by the five methods with corresponding pathology analysis results as gold standard. The accuracy of CNN is 86%, which is not significantly different from the best ML method that uses standard diagnostic features or a combination of diagnostic features and texture features. CNN is more accurate than ML methods that simply use texture features.

## APPLICATION OF AI IN HISTOPATHOLOGY OF NSCLC

In the differential diagnosis of lung cancer, it is necessary to classify the types or subtypes accurately. Because the hematoxylin-eosin (HE) stained full-scale whole slide image (WSI) is usually at the megapixel level, the much smaller image blocks (about 300 × 300 pixels) extracted from it are often used as training input. For example, Wang *et al*<sup>[35]</sup> trained a CNN model; each 300 × 300 pixel image block of lung adenocarcinoma WSIs stained by HE was classified as malignant or nonmalignant. The overall classification accuracy (malignant and nonmalignant) of the test set was 89.8%. This

method can detect tumor rapidly when the tumor area is very small, which will greatly help pathologists in future clinical diagnoses. In the study reported by Teramoto *et al*<sup>[36]</sup>, a deep CNN (DCNN) was developed for an automatic lung cancer classification scheme, which is a major deep learning technology. In the evaluation experiment, they used original database, including fine needle aspirate cytology images and HE stained WSIs and a graphics processing unit to train DCNN. First, the micro images were cropped and resampled to obtain the image with a resolution of  $256 \times 256$  pixels. In order to prevent over fitting, the collected images were enhanced by rotation, flipping and filtering. The probability of three types of cancer was evaluated using the developed scheme, and its classification accuracy was evaluated using triple cross validation. In the results obtained, about 71% of the images were correctly classified, which is equivalent to the accuracy of cell technicians and pathologists.

The identification of early lung adenocarcinoma before operation, especially in the case of subcentimeter cancer, can provide important guidance for clinical decision making. Zhao *et al*<sup>[37]</sup> developed a 3D deep learning system based on 3D CNN and multitask learning. The deep learning system had better classification performance than radiologists. In terms of three-level weighted average F1 score, the model reached 63.3%, while the four radiologists reached 55.6%, 56.6%, 54.3% and 51.0%, respectively.

With tumor microenvironment increasingly considered as an important factor affecting tumor progression and immunotherapy response, tumor microenvironment for lung cancer has been studied in depth. Saltz *et al*<sup>[38]</sup> developed a CNN model to distinguish lymphocytes from necrotic or other tissues at the image spot level in multiple cancer types, including adenocarcinoma and small cell carcinoma of the lung. Then, by quantifying the spatial organization of lymphoid image plaques detected in WSIs, they reported the relationship between the distribution pattern, prognosis and lymphoid components of tumor infiltrated lymphocytes.

Lung cancer patients usually present with advanced, inoperable disease. Because the whole tumor specimen cannot be obtained, the size of the biopsy specimen obtained is usually very limited. It is difficult to distinguish squamous cell carcinoma and adenocarcinoma especially in poorly differentiated tumors because of their obscure histological features. ML in immunohistochemistry<sup>[39]</sup> was applied to establish a comprehensive and automatic diagnosis strategy for NSCLC biopsy specimen subtypes, which successfully solved this problem. Koh *et al*<sup>[40]</sup> described a comprehensive diagnostic strategy using a reliable and minimal immunohistochemistry team for histopathological subtype analysis of NSCLC biopsy specimens. The team used two ML methods: Decision tree and support vector machines to learn from 30 small NSCLC biopsies with fuzzy morphology. The decision tree model showed that the highest accuracy of the combination of two markers (such as p63 and CK5/6) was about 72% except for three other markers (*i.e.* TTF-1, Napsin A and P40).

Wang *et al*<sup>[41]</sup> explored the correlation between the morphological features of the WSIs stained with HE and the NSCLC epidermal growth factor receptor (EGFR) mutation to achieve the purpose of predicting the risk of gene mutation. The results showed that the AUC of the EGFR mutation risk prediction model proposed in this paper can reach 72.4% on the test set, and the accuracy rate was 70.8%, suggesting a close relationship between morphological characteristics and EGFR mutations of NSCLC. Coudray *et al*<sup>[42]</sup> trained a DCNN (inception V3) to accurately and automatically classify the WSIs obtained from The Cancer Genome Atlas. Its performance was comparable to that of the pathologist, and the average AUC was 0.97. They trained the network to predict the ten most common mutations in lung adenocarcinoma and found that six genes (*STK11*, *EGFR*, *FAT1*, *setbp1*, *KRAS* and *TP53*) could be predicted by pathological images. In the nonexperimental population, AUC was 0.733-0.856. It suggested that deep learning models could help pathologists detect cancer subtypes or gene mutations.

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## APPLICATION OF AI IN GENOMIC CLASSIFICATION OF NSCLC

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Various molecular abnormalities affecting oncogenes and tumor suppressor genes have been reported in NSCLC. It is so important to identify potential lung cancer genome subtypes that a specific targeted therapy was proposed. For example, mutations in EGFR or anaplastic lymphoma kinase (ALK) receptors are significant in NSCLC because they provide molecular targets for customized treatment regimens.

The gene expression profile of NSCLC subtype has been established by

microarray<sup>[43,44]</sup>. Microarray data used to identify NSCLC genetic subtypes can be used to train ML algorithms to better understand genomic pathways. Yamamoto *et al*<sup>[45]</sup> screened 24 CT image traits performed in a training set of 59 patients, followed by random forest variable selection incorporating 24 CT traits plus six clinical-pathologic covariates to identify a radiomic predictor of ALK+ status. This predictor was then validated in an independent cohort ( $n = 113$ ). Tests for accuracy and subset analyses were performed. It was found that ALK+ NSCLC had distinct characteristics at CT imaging that when combined with clinical covariate discriminated ALK+ from non-ALK tumors and could potentially identify patients with a shorter durable response to crizotinib.

With the commercialization of next generation sequencing technology and the improvement of the performance of these algorithms, clinicians will be able to better describe NSCLC based on genome data<sup>[46]</sup>. Duan *et al*<sup>[47]</sup> explored the application of the ANN model in the auxiliary diagnosis of lung cancer. They compared the effects of the back-propagation neural network with the Fisher discrimination model for lung cancer screening by combining the detection of four biomarkers, *p16*, *RASSF1A* and *FHIT* gene promoter methylation levels and the relative telomere length. The result of the back-propagation neural network AUC was higher than that of the Fisher discrimination analysis, which meant that the back-propagation neural network model for the prediction of lung cancer was better than Fisher discrimination analysis.

## APPLICATION OF AI IN THERAPY OF NSCLC

Systemic treatment is needed in most stages of NSCLC; for example, those in stage II often need adjuvant radiotherapy and chemotherapy. The contour of organs at risk is an important but time-consuming part of radiotherapy treatment planning. Lustberg *et al*<sup>[48]</sup> analyzed the CT scan data of 20 patients with stage I-III NSCLC and compared the user adjusted contour and manual contour based on atlas and deep learning contour. It was found that the median time of manual contour drawing was 20 minutes. When using atlas-based contour drawing, a total of 7.8 minutes was saved, while the deep learning contour drawing saved 10 minutes. It showed that it was a feasible strategy for users to adjust the contour generated by the software, which could reduce the contour time of organs at risk in lung radiotherapy. Compared with the existing programs, deep learning shows encouraging results.

At present, targeted therapies<sup>[49]</sup> such as EGFR tyrosine kinase inhibitors, ALK inhibitors or angiogenesis inhibitors are used depending on the patients' molecular status. The prediction of targeted therapy response is mainly accomplished by biopsy to analyze the status of the targeted mutation. AI prediction models can complement this by identifying the imaging phenotypes associated with mutation status. Support for this approach comes from quantitative imaging studies of patients with NSCLC treated with gefitinib. The results<sup>[50]</sup> showed that the mutation state of EGFR could be predicted by radiology. AI analysis of quantitative imaging data can also improve the assessment of response to targeted therapy. Bevacizumab (a monoclonal antibody against vascular endothelial growth factor)-treated NSCLC tumors had reduced FDG uptake and were found to have more patients responding to treatment (73% than 18%). In this study<sup>[51]</sup>, both PET and CT were independent of OS (PET,  $P = 0.833$ ; CT,  $P = 0.557$ ).

The level of PD-L1 expression detected by immunohistochemistry is a key biomarker to identify whether NSCLC patients respond to the treatment of PD-1/PD-L1. The quantification of PD-L1 expression currently includes a pathologist's visual estimate of the percentage of PD-L1 staining (tumor proportion score or TPS) in tumor cells. Kapil *et al*<sup>[52]</sup> proposed a new deep learning solution that can automatically and objectively grade PD-L1 expression for the first time in advanced NSCLC biopsy. Using a semisupervised approach and a standard full supervised approach, they integrated manual annotation for training and visual tumor proportion scores for quantitative evaluation by multiple pathologists. It was believed to be the first proof of concept study that showed that deep learning could accurately and automatically estimate the PD-L1 expression level and PD-L1 status of small biopsy samples.

Researchers have studied the use of ML in predicting treatment failure or death. For example, Jochems *et al*<sup>[53]</sup> studied ML methods for predicting early death in NSCLC patients after receiving therapeutic chemical radiation. Similarly, Zhou *et al*<sup>[54]</sup> used ML to predict the failure of stereotactic body radiotherapy in early NSCLC patients. Both groups used ML methods to establish the prognosis model of early mortality or treatment failure, which could be used to inform patients of treatment plan and

optimize treatment. Kureshi *et al*<sup>[55]</sup> studied the role of multiple factors in predicting tumor response to EGFR-TKI therapy (erlotinib or gefitinib) in patients with advanced NSCLC.

## APPLICATION OF AI IN PROGNOSIS OF NSCLC

Accurate classification, clinical stage, molecular subtype and therapies of NSCLC are all important because prognosis is closely related to these factors. Hsia *et al*<sup>[56]</sup> incorporated the clinical detection indicators and gene polymorphism detection results and predicted the prognosis of 75 lung cancer patients without indications of surgical treatment through the ANN model and made treatment plans accordingly. The actual average survival time of the patients was  $12.44 \pm 7.95$  mo, while the ANN prediction result was  $13.16 \pm 1.77$  mo with an accuracy of 86.2%. Zhu *et al*<sup>[57]</sup> successfully used DCNN to directly predict the survival time of patients from lung cancer pathological images. Another lung cancer study<sup>[58]</sup> showed that the prognosis of OS can be improved by adding genomic and radiological information to clinical models, thereby increasing the 95% confidence index from 0.65 (Noether  $P = 0.001$ ) to 0.73 ( $P = 2 \times 10^{-9}$ ), and the inclusion of radiation data led to a significant improvement in performance ( $P = 0.01$ ).

Wang *et al*<sup>[59]</sup> proposed a computational histomorphometric image classifier using nuclear direction, texture, shape and tumor structure to predict the recurrence of early NSCLC diseases from digital HE tissue microarray slides. The results showed that the combination of these four features could predict the early recurrence of NSCLC, but it had nothing to do with clinical parameters such as gender, cancer stage and histological subtype. Yu *et al*<sup>[60]</sup> reported that Zernike shape characteristics of the nucleus could predict the recurrence of NSCLC adenocarcinoma and stage I squamous cell carcinoma.

In an article published in 2018, Saltz *et al*<sup>[38]</sup> described the use of CNN combined with pathologist's feedback to automatically detect the spatial tissue of tumor infiltrating lymphocytes (TIL) in the tissue slide image of The Cancer Genome Atlas and found that this feature predicted the prognosis of 13 different cancer subtypes. In a related study, Corredor *et al*<sup>[61]</sup> showed the spatial arrangement of TIL clusters in early NSCLC, which was found by calculating the adjacent TILs and the prognosis of cancer cell nuclear recurrence risk compared with TIL density alone. The accuracy of the model in predicting recurrence was 82% and 75%, respectively, which proved to be an independent prognostic factor.

Blanc-Durand *et al*<sup>[62]</sup> trained a CNN in 189 NSCLC patients who received PET/CT examination. The subcutaneous adipose tissue, visceral adipose tissue and muscle weight were automatically segmented from the low-dose CT images. After a quintuple cross validation of a subset of 35 patients, body surface area was standardized as the anthropometric index extracted by deep learning. Cox risk regression analysis showed that body surface area normalized visceral adipose tissue/subcutaneous adipose tissue ratio was an independent predictor of progression free survival and OS in NSCLC patients.

Another study<sup>[63]</sup> evaluated the ability of CT radiomic features in patients with lung adenocarcinoma to predict distant metastasis. The phenotype of the primary tumor was quantified with 635 radiomic features in the pre-treatment CT scan. Univariate and multivariate analyses were performed using the consistency index to evaluate the efficacy of radiotherapy. Thirty-five radiomic features were used as prognostic indicators for distant metastasis (consistency index  $> 0.60$ , FDR  $< 5\%$ ) and 12 prognostic indicators. Notably, tumor volume was only a moderate prognostic indicator for distant metastasis in the discovery cohort (consistency index = 0.55,  $P = 2.77 \times 10^{-3}$ ). This study suggested that radiomic features that capture the details of the tumor phenotype can be used as prognostic biomarkers for clinical factors such as distant metastasis.

## APPLICATION IN ELECTRONIC MEDICAL RECORDS OF NSCLC

Electronic medical records (EMR) can be used in clinical diagnosis and treatment, medical insurance and scientific research. EMR is rich in information that can provide evidence of clinical diagnosis, treatment and data source of clinical research phenotype. In Wang *et al*<sup>[64]</sup>'s study, multiobjective ensemble deep learning, a dynamic integrated deep learning and adaptive model selection method based on

multiobjective optimization, was developed. The information extracted from EMRs through analysis can better predict the treatment results than other conventional methods. According to accurate prognosis prediction, we can stratify the risk of treatment failure of lung cancer patients after radiotherapy. This method can help to design personalized treatment and follow-up plan and improve the survival rate of lung cancer patients after radiotherapy.

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## FUTURE CHALLENGES

It is one of the key directions of medical research in the information age to build a big database by collecting and integrating various biomics, clinical detection indicators and nonbiological environmental background data of patients. Effective analysis and interpretation of these data will be the top priority, and the integration and analysis of the existing massive information is precisely the biggest advantage of AI.

At present, the investment in AI in lung cancer and the entire medical field is huge, but there is still a certain distance from the actual clinical application. The lack of a high-quality standardized lung cancer clinical database is an important factor restricting AI's use in lung cancer research. The deficiency of research sample size causes most prediction or diagnostic studies to not fully simulate the actual clinical environment, limiting the value of clinical applications. Studies<sup>[65]</sup> have pointed out that the current use of AI in the medical field, such as inadequacy of correct methods and evaluation criteria in ANN and the credibility of the results is questionable. In addition, in terms of social regulations, lack of common technical regulations on medical responsibility issues and information security issues exists.

In the future, major medical centers should take the lead to establish a multicenter standardized lung cancer clinical database as a world-class database in line with epidemiology and to develop an AI system that meets the clinical environment. Diagnosis, treatment and optimization of medical resources have positive significance. On the other hand, active promotion of AI-related system regulations, technical specification, audit systems to provide institutional support and corresponding constraints for the development of AI are needed. AI has promising prospects for lung cancer research in the future, but it is still full of challenges.

According to the accuracy stated, which is around 90%, misjudgment may happen in 10% of cases, which reflects a pitfall of AI. Therefore, in clinical work, AI must be placed in a subordinate position. It should exist as an assistant to clinicians and provide auxiliary information under the supervision of doctors to avoid mistakes as much as possible.

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## CONCLUSION

AI has become an indispensable method to solve complex problems in modern life. In this review, I introduced various attempts and applications of AI in clinical work of NSCLC patients. According to a large number of imaging, histology, genomics, EMR system and other data, doctors can accurately diagnose and treat NSCLC patients. It has been shown that AI is gradually becoming a powerful assistant for doctors. Oncologists, radiologists and surgeons should continue to integrate AI into the clinical treatment of NSCLC in order to provide more patients with accurate and personalized therapy. Over time, both patients and doctors will benefit from the combination of AI and clinical practice.

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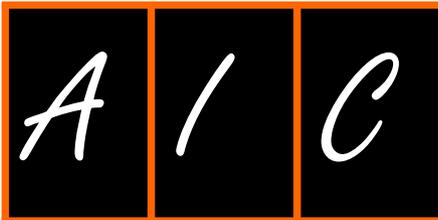
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## Basic Study

# Impact of blurs on machine-learning aided digital pathology image analysis

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**Author contributions:** Ogura M, Kiyuna T, and Yoshida H drafted and revised the manuscript and prepared the figures; Ogura M collected the pathological data; Kiyuna T performed all the image analysis; all the authors have read and approved the final manuscript.

### Institutional review board

**statement:** The study was conducted in accordance with the Declaration of Helsinki and with the approval of the Institutional Review Board of the National Cancer Center, Tokyo, Japan.

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## Abstract

### BACKGROUND

Digital pathology image (DPI) analysis has been developed by machine learning (ML) techniques. However, little attention has been paid to the reproducibility of ML-based histological classification in heterochronously obtained DPIs of the same hematoxylin and eosin (HE) slide.

### AIM

To elucidate the frequency and preventable causes of discordant classification results of DPI analysis using ML for the heterochronously obtained DPIs.

### METHODS

We created paired DPIs by scanning 298 HE stained slides containing 584 tissues twice with a virtual slide scanner. The paired DPIs were analyzed by our ML-aided classification model. We defined non-flipped and flipped groups as the paired DPIs with concordant and discordant classification results, respectively. We compared differences in color and blur between the non-flipped and flipped groups by L1-norm and a blur index, respectively.

### RESULTS

We observed discordant classification results in 23.1% of the paired DPIs obtained by two independent scans of the same microscope slide. We detected no significant difference in the L1-norm of each color channel between the two groups; however, the flipped group showed a significantly higher blur index than the non-flipped group.

### CONCLUSION

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Our results suggest that differences in the blur - not the color - of the paired DPIs may cause discordant classification results. An ML-aided classification model for DPI should be tested for this potential cause of the reduced reproducibility of the model. In a future study, a slide scanner and/or a preprocessing method of minimizing DPI blur should be developed.

**Key words:** Machine learning; Digital pathology image; Automated image analysis; Blur; Color; Reproducibility

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**Core tip:** Little attention has been paid to the reproducibility of machine learning (ML)-based histological classification in heterochronously obtained Digital pathology images (DPIs) of the same hematoxylin and eosin slide. This study elucidated the frequency and preventable causes of discordant classification results of DPI analysis using ML for the heterochronously obtained DPIs. We observed discordant classification results in 23.1% of the paired DPIs obtained by two independent scans of the same microscope slide. The group with discordant classification results showed a significantly higher blur index than the other group. Our results suggest that differences in the blur of the paired DPIs may cause discordant classification results.

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## INTRODUCTION

Recent developments in medical image analysis empowered by machine learning (ML) have expanded to digital pathology image (DPI) analysis<sup>[1-3]</sup>. For over ten years, NEC Corporation has researched and developed image analysis software that can detect carcinomas in tissue in the digital images of hematoxylin and eosin (HE) stained slides. DPI analysis is generally performed for digital images obtained with special devices such as microscopic cameras or slide scanners. These devices cannot make completely identical digital images or data matrices even when the same microscope slide is repeatedly shot with the same camera or scanned by the same scanner.

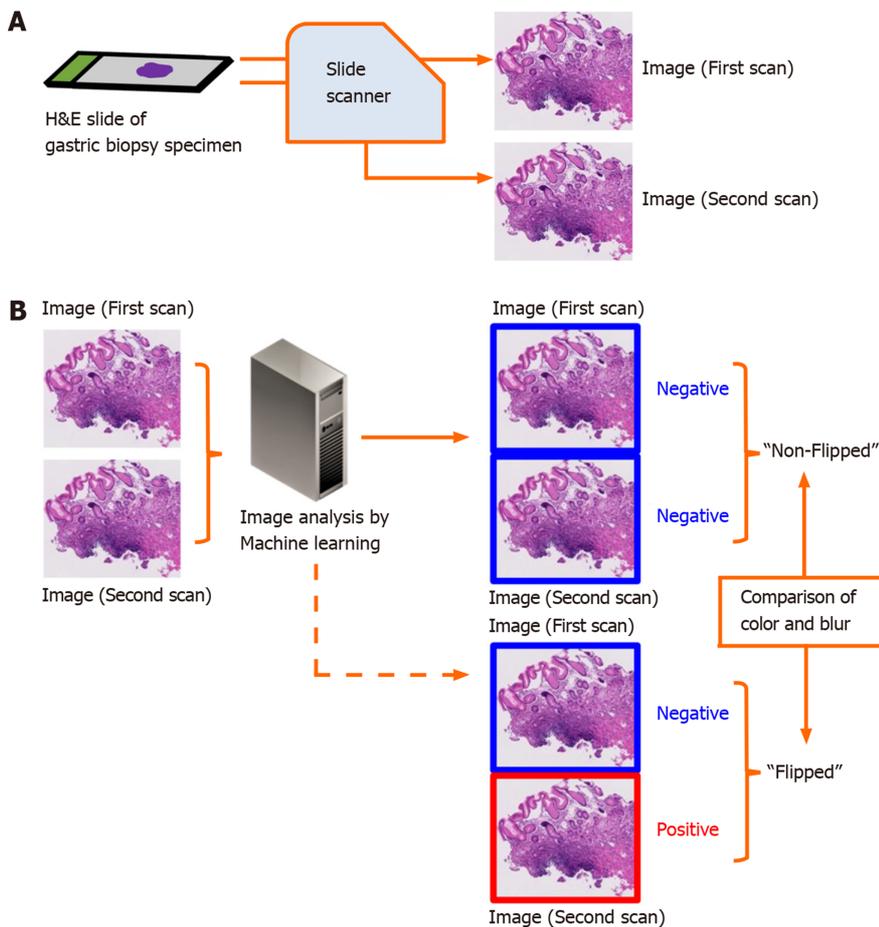
In general, image analysis by ML can provide different classification results if an object has multiple images showing different features. Therefore, slight differences in a DPI made by imaging devices can also cause different classification results. Each digital image will have different characteristics even when the same microscope slide of a patient is repeatedly digitized by the same slide scanner. Similarly, the same microscope slide of a patient can be digitized at a local hospital and then at a referral hospital. The resulting differences in image features of the same microscope slide can provide discordant classification results of DPI analysis, confusing both patients and medical professionals. However, only a few reports have mentioned this issue.

The aim of this study is to elucidate the frequency and preventable cause of discordant classification results of DPI analysis using ML in the aforementioned situation. We compared the classification results between paired DPIs of the same microscope slide obtained from two independent scans using the same slide scanner (Figure 1).

## MATERIALS AND METHODS

### Tissue sample

We conducted the study in accordance with the Declaration of Helsinki and with the approval of the Institutional Review Board of the National Cancer Center, Tokyo, Japan. We consecutively collected 3062 gastric biopsy specimens between January 19-April 30, 2015 at the National Cancer Center (Tsukiji and Kashiwa campuses). The



**Figure 1 The schema of this study.** A: Hematoxylin and eosin stained slides of gastric biopsy specimens were scanned twice by the same slide scanner, then the paired digital images were created; B: The paired images were independently analyzed and classified by our machine-learning model. If concordant classification results were obtained, the case is "Non-flipped"; if discordant classification results were obtained, the case is "Flipped." Then, color and blur differences were compared between the "Non-flipped" and "Flipped" groups.

specimens were placed in 10% buffered formalin and embedded in paraffin. Each block was sliced into 4- $\mu$ m thick sections. Routine HE staining was performed for each slide using an automated staining system.

### Digital image acquisition and automated image analysis

During the image collection and analysis procedure, the researchers were blind to all of the diagnoses of the human pathologists. We developed an ML model to analyze the DPIs using a multi-instance learning framework<sup>[4]</sup>. The results of the concordance between pathological diagnosis by human pathologists and classification by an ML model was previously reported<sup>[5]</sup>. In our study, we randomly selected 584 images of the 3062 specimens to use for the present analysis.

We scanned 298 HE stained slides containing 584 tissues twice using the NanoZoomer (Hamamatsu Photonics K. K., Shizuoka, Japan) virtual slide scanner, creating the paired DPIs. The paired DPIs were analyzed by our ML-aided classification model<sup>[4]</sup>. Our ML-aided classification model classified the results of each tissue as "Positive" or "Negative". "Positive" denoted neoplastic lesions or suspicion of neoplastic lesions and "Negative" denoted the absence of neoplastic lesions. The procedure for classification of a cancerous areas in a given whole-slide image is as follows: (1) Identify the tissue regions at 1.25  $\times$ ; (2) The tissue area was then divided into several rectangular regions of interest (ROIs); (3) From each ROI, the structural and nuclear features are extracted at different magnification (10  $\times$  and 20  $\times$ ); (4) After the feature extraction, all ROIs were classified as positive or negative using a pre-trained classifier (support vector machine, SVM); and (5) The SVM-based classifier assigns a real number  $t$  to each ROI, where  $t$  takes value in the range (-1.0, 1.0). A value of 1.0 indicates a positive ROI and a value of -1.0 indicates a negative ROI<sup>[5]</sup>. In this experiment, we interpreted the value of  $t \geq 0.4$  indicates a positive ROI.

We defined the group without discordant classification results between the paired

DPIs as the “non-flipped group” and the group with discordant classification results as the “flipped group”.

For reference, we repeated analysis of the identical DPIs that had identical data matrices twice, then compared their results.

### **Color analysis**

We separated tissue images into tissue regions and non-tissue regions. To examine the differences in tissue color in the first and second scanned images, we measured the L1-norm distance between color distributions of images in each color channel; *i.e.*, red (R), green (G), and blue (B). The L1-norm distance between normalized histograms  $p$  and  $q$  were defined as **Formula 1**:

Where  $p_i$  and  $q_i$  are the normalized frequencies at the  $i$ -th bin of histograms  $p$  and  $q$ , respectively.

### **Quantification of the degree of image blurring**

We quantified the degree of image blurring using the variance of wavelet coefficients of an image<sup>[6]</sup>. The degree of image blurring is calculated and normalized as follows: (1) 2D convolution by neighboring filter; (2) Local variance of a  $5 \times 5$  area; and (3) Captures local phase variations after convolution with wavelet filters, normalized by a sigmoid function to (0, 1) range. The degree of blurring was then normalized to between 0 and 255 and we calculated its distribution (normalized histogram). We defined the blur index using the 98<sup>th</sup> percentile of the above distribution of the variance of wavelet coefficients.

### **Statistical analysis**

We used the Mann-Whitney test to evaluate the significant differences in the blur index between the non-flipped and flipped groups.

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## **RESULTS**

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### **Classification results of the paired DPIs**

The analysis results did not change in 449 tissues; however, the results changed in 135 tissues (23.1%), either from positive to negative or from negative to positive (**Table 1**). Therefore, 135 tissues were in the flipped group.

On the other hand, 100% (584/584) of the concordance rate was observed between the classification results of the first analysis and the second analysis of the identical DPIs by our ML-aided classification model.

### **Comparison of the DPI color**

We compared the medians of the L1-norm in the non-flipped and flipped groups and found no significant difference (**Table 2**).

### **Comparison of the blur index of the DPIs**

Next, we calculated the blur index of the paired DPIs and compared it between the non-flipped group and the flipped group. The flipped group showed a significantly higher blur index than the non-flipped group (**Figure 2**). **Figure 3** shows a representative case of the flipped group's results.

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## **DISCUSSION**

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We observed 23.1% of discordant classification results between the paired DPIs obtained from two independent scans of the same microscope slide. Furthermore, we detected differences in blur (not color) of the paired DPIs as a potential cause of different classification results.

Differences in the colors of DPIs did not correlate with discordant classification results in this study. Since differences in the colors of digitized images reportedly result in different features of digitized images and different data matrices<sup>[7]</sup>, we expected the difference in color to reduce reproducibility in our ML-aided classification model. However, the distribution of RGB value did not differ significantly between the paired DPIs and did not seem to cause discordant classification results. Nevertheless, color differences should be a concern because the

Table 1 Concordance of classification results between the paired digital pathology images

		The second scan		
		Positive	Negative	Unclassifiable
The first scan	Positive	248	66	0
	Negative	69	197	2
	Unclassifiable	1	0	4

Table 2 Comparison of pair-wise L1-norm between non-flipped and flipped groups

Color channel	Median of the non-flipped group	Median of the flipped group	P value
R	0.0350 ± 0.0220	0.0347 ± 0.0217	0.900
G	0.0319 ± 0.0197	0.0313 ± 0.0205	0.931
B	0.0266 ± 0.0148	0.0250 ± 0.0190	0.255

$$D_{L1}(p, q) = \sum_{i=1}^{255} |p_i - q_i|$$

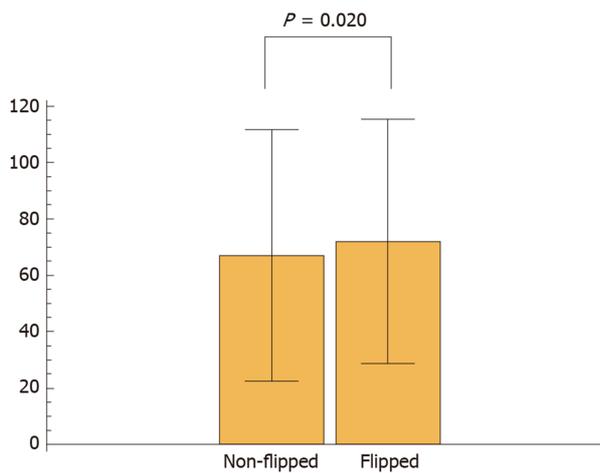
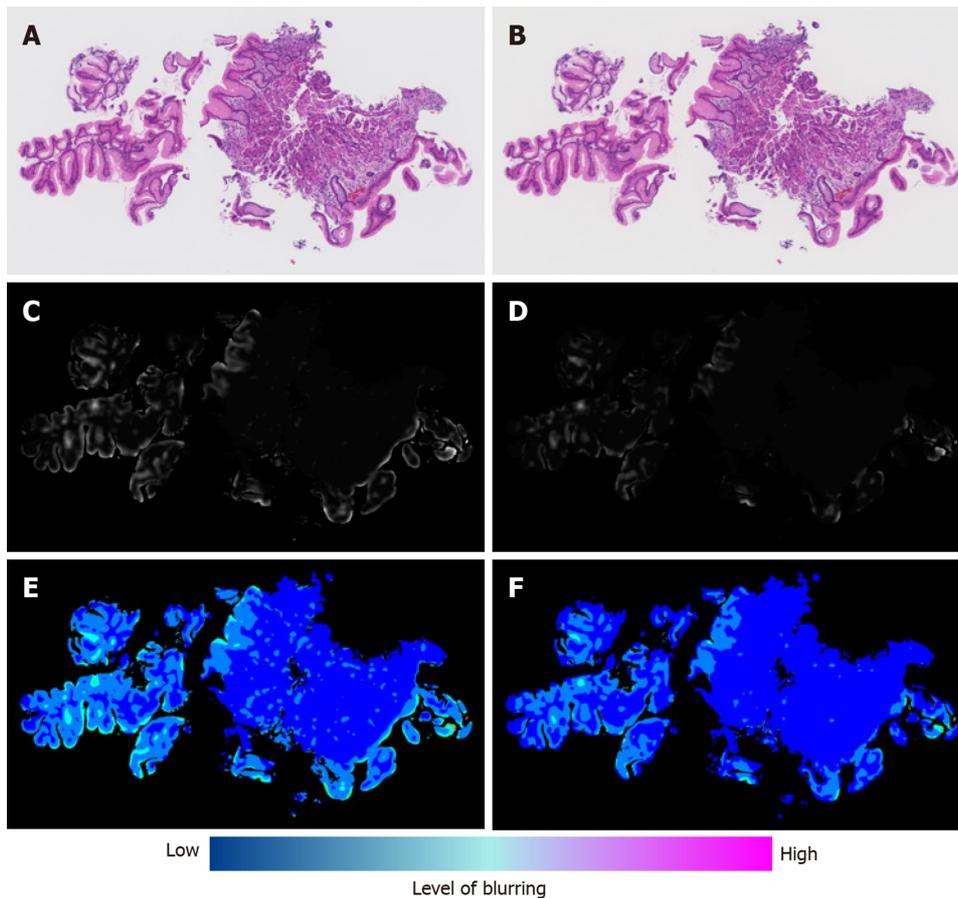


Figure 2 Differences in the blur index between the “Non-flipped” and “Flipped” groups.

color of HE stained slides obviously differed between different pathological laboratories. In such cases, a discordant classification result was observed in the same specimen with an identical pathological diagnosis (unpublished data). Therefore, even DPIs taken from the same microscope slide might show discordant classification results from obvious color changes due to the miscalibration of an imaging device.

Although qualitative changes in the blurs of the paired DPIs were macroscopically recognizable, their qualitative assessment was difficult. However, we developed a blur index that provided a quantitative comparison and detected the significant differences in blurs between the DPIs of the non-flipped group and those of the flipped group. Reportedly, blur can potentially influence the stability of features of a digitized image<sup>[7]</sup>; so, first, our study demonstrated that quantifying blurs revealed their impact on classification results.

A significant portion of cases showed discordant classification results; however, our ML-aided classification model worked efficiently for our intended purpose. 80.7% of all the flipped cases was non-tumor tissue, and 6.5% was carcinoma tissue. Our ML-aided classification model set a lower threshold than the best one (*i.e.*, the threshold that yields a minimum error rate) because we made our model minimize false negative results, classifying carcinoma as non-tumor tissue. This lower threshold caused more frequent flipped cases in non-tumor tissue. In other words, the larger the percentage of



**Figure 3 Typical examples of differences in the blurring level.** A: Whole-slide image at the first scan; B: Whole-slide image at the second scan; C: The blurring level at the first scan (blur index = 115); D: The blurring level at the second scan (blur index = 78); E: A heat map representation of the blurring level at the first scan; F: A heat map representation of the blurring level at the second scan.

non-tumor tissue included in the dataset, the greater the total number of flipped cases. Our dataset contained non-tumor tissue images 4.4 times more than cancerous tissue images, so the total number of flipped cases increased. Slide scanners have been broadly used to obtain DPIs for ML-aided image analysis, so the issue of blurring should be mentioned more in the implementation of DPI analysis and in the development of more robust ML-aided classification models.

This study had some limitations. First, the robustness of a classification model for DPIs differs depending on the objects being analyzed, the method of machine-learning, and the quality and quantity of the dataset for learning. Therefore, the issue mentioned above should not be overgeneralized. However, a classification model for medical images (including DPI) should be tested to find if image blur might reduce reproducibility of the classification model. Second, we only investigated differences in color and blur in this study, while there may be another potential cause of discordant classification.

In conclusion, our findings suggest that differences in the blur in paired DPIs from the same microscope slide could cause different classification results by an ML-aided classification model. If an ML model has sufficient robustness, these slight differences in DPI might not cause a different classification result. However, an ML-aided classification model for DPI should be tested for this potential cause of the reduced reproducibility of the model. Since our method provides a quantitative measure for the degree of blurring, it is possible to avoid discordance through excluding these disqualified slides using this measure. However, further experiments are required to establish more reliable measure together with other factors, for instance, such as tissue area size and nuclear densities. In a future study, we will develop a slide scanner and/or a preprocessing method that will minimize DPI blur.

## ARTICLE HIGHLIGHTS

### Research background

Little attention has been paid to the frequency and preventable causes of discordant classification results of digital pathological image (DPI) analysis using machine learning (ML) for the heterochronously obtained DPIs.

### Research motivation

Authors compared the classification results between paired DPIs of the same microscope slide obtained from two independent scans using the same slide scanner.

### Research objectives

In this study, the authors elucidated the frequency and preventable causes of discordant classification results of DPI analysis using ML for the heterochronously obtained DPIs.

### Research methods

Authors created paired DPIs by scanning 298 hematoxylin and eosin stained slides containing 584 tissues twice with a virtual slide scanner. The paired DPIs were analyzed by our ML-aided classification model. Differences in color and blur between the non-flipped and flipped groups were compared by L1-norm and a blur index.

### Research results

Discordant classification results in 23.1% of the paired DPIs obtained by two independent scans of the same microscope slide were observed. No significant difference in the L1-norm of each color channel between the two groups; however, the flipped group showed a significantly higher blur index than the non-flipped group.

### Research conclusions

The results suggest that differences in the blur - not the color - of the paired DPIs may cause discordant classification results.

### Research perspectives

An ML-aided classification model for DPI should be tested for this potential cause of the reduced reproducibility of the model. In a future study, a slide scanner and/or a preprocessing method of minimizing DPI blur should be developed.

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