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#### SYSTEMATIC REVIEWS

Kar SK, Kumari B, Joseph R, Chatterjee S, Joshi M, Agrawal A. Role of transcranial magnetic stimulation in the management of trichotillomania: A systematic review. World J Meta-Anal 2025; 13(1): 98933 [DOI: 10.13105/wjma. v13.i1.98933]

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#### **ABOUT COVER**

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SYSTEMATIC REVIEWS

### Role of transcranial magnetic stimulation in the management of trichotillomania: A systematic review

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#### Abstract

#### BACKGROUND

Trichotillomania is a challenging to treat psychiatric disorder, with limited evidence for pharmacotherapy. Treatment typically involves medication, cognitive behavioral therapy, and behavioral interventions. Recently, transcranial magnetic stimulation (TMS) has emerged as a potential treatment strategy.

#### AIM

To assess the role of TMS in treating trichotillomania.

#### **METHODS**

A systematic search using specific terms was done in PubMed and Scopus databases for articles published until May 17, 2024, related to trichotillomania and TMS. The search included randomized controlled trials, open-label studies, case series, case reports, and retrospective chart reviews, following the Preferred Items for Systematic Reviews and Meta-Analysis guideline.

#### RESULTS

We identified 32 articles (6 in PubMed and 26 in Scopus). After removing duplicates and articles that did not meet the selection criteria, we conducted a final analysis of four articles. These included one retrospective study, two case series, and one case study, with a total of 22 patients diagnosed with trichotillomania enrolled across all four studies. The brain areas targeted were the supplementary



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motor area (SMA), pre-SMA, and left dorsolateral prefrontal cortex. The studies reported an improvement in the severity of symptoms of trichotillomania in the majority of patients with negligible side effects. Nevertheless, it is important to note that the existing studies are mostly of low to moderate quality.

#### CONCLUSION

Early evidence suggests repetitive TMS and accelerated continuous theta burst stimulation can help treat trichotillomania adjunctively to other treatments.

Key Words: Neuromodulation; Transcranial magnetic stimulation; Theta burst stimulation; Trichotillomania; Systematic review

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**Core Tip:** Transcranial magnetic stimulation (TMS) can be a viable treatment option in the management of trichotillomania. The brain areas targeted are supplementary motor area (SMA), pre-SMA, and left dorsolateral prefrontal cortex. TMS is well tolerated with negligible side effects. Maintenance TMS treatment facilitates sustained improvement of symptoms of trichotillomania.

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#### INTRODUCTION

Trichotillomania is characterized by recurrent hair-pulling and is associated with significant distress[1,2]. A recent metaanalysis involving 30 studies, including 38526 individuals estimated the prevalence of trichotillomania to be 1.14%[2]. When individuals with hair-pulling behaviors are considered, prevalence goes close to 9%[2]. These figures indicate that the prevalence of these disorders is higher than the prevalence of schizophrenia or bipolar affective disorder alone. Trichotillomania and related disorders have a significant health impact and produce enormous psychological distress, which results in impairment of socio-occupational functioning and social embarrassment[3]. Patients with trichotillomania experience extreme shame and guilt[3].

The common age of onset of trichotillomania is the second decade of life (mean age 17.7 years), and 80% of the patients with trichotillomania have comorbid psychiatric illnesses[1]. The common psychiatric comorbidities associated with trichotillomania include anxiety disorders, obsessive-compulsive disorder (OCD), depression, post-traumatic stress disorder, and attention deficit hyperactivity disorder (ADHD)[1]. Several neurobiological changes have been identified in the brains of patients with trichotillomania. Chamberlain *et al*[4] found that patients with trichotillomania have increased cortical thickness in the region of the right inferior frontal gyrus, in contrast to impulsive-compulsive disorders like OCD, ADHD, and gambling disorders (where there is thinning of the cortex). In another neuroimaging study, it is found that during the activities of reward anticipation, there is hyperactivation of the bilateral inferior frontal gyrus in patients with body-focused repetitive disorders like trichotillomania and skin picking disorder[5]. A diffuse tensor imaging study revealed a significant reduction in fractional anisotropy of the anterior cingulate cortex, pre-supplementary motor area (SMA) and temporal cortex[6]. This abnormality of the white matter might be responsible for affective dysregulation, and dysregulation in the formation of motor habit[6]. A recent neuroimaging study found the involvement of inferior temporal gyrus and ventral visual pathways in trichotillomania<sup>[7]</sup>. Additionally, there is involvement of brain structures like parahippocampal gyrus, fusiform gyrus, cerebellum, as well as inferior parietal lobule during the above-mentioned task [5]. Evidence supports about several shared unique neural mechanisms in the pathogenesis of trichotillomania and OCD [<mark>8</mark>].

Comorbidities are common with trichotillomania. The common psychiatric comorbidities associated with trichotillomania are: (1) Anxiety disorders; (2) depression; (3) OCD; (4) ADHD; (5) panic disorder; (6) post-traumatic stress disorder; (7) substance use disorder; and (8) eating disorders[3]. Skin picking disorder is also commonly found to be comorbid with trichotillomania[3].

To date, there is no treatment that has been approved by the US Food and Drug Administration for the treatment of trichotillomania[9-11]. Various pharmacological and psychological/behavioral interventions are conventionally used in the treatment of trichotillomania. However, the mainstay of treatment remains psychotherapy and behavior therapy like habit reversal therapy[12,13]. Among the pharmacological treatment, serotonergic agents and tricyclic antidepressants such as clomipramine are commonly used in the management of trichotillomania, although N-acetyl cysteine, lamotrigine, olanzapine, naltrexone, and inositol are also used with some efficacy[12,14]. However, a recent Cochrane systematic review reported inconclusive evidence concerning the roles of different psychotropic agents in the treatment of trichotillomania and the existing studies are mostly of poor quality[15]. Despite trials of pharmacotherapy and psychotherapy, the treatment of trichotillomania remains challenging as many patients do not respond well to these therapies. Therefore,

Table 1 Chara	cteristics of the included studies				
Ref.	Title of the article	Journal name	Country	Type of study	Level of evidence
Chaudhary <i>et al</i> [18], 2024	Accelerated Continuous Theta Burst Stimulation in the Treatment of Trichotillomania: A Comprehensive Case Study From Acute Intervention to Maintenance Phase	The Journal of ECT	India	Case report	IV
Di Ponzio <i>et al</i> [19], 2023	rTMS investigation of resistant Obsessive-Compulsive Related Disorders: Efficacy of targeting the reward system	Frontiers in Psychiatry	Italy	Retrospective study	III
Aydin <i>et al</i> [17], 2020	Repetitive Transcranial Magnetic Stimulation for Treatment of Trichotillomania: Case Series	Clinical Psychopharmacology and Neuroscience	Turkey	Case series	IV
Kar et al <mark>[21</mark> ], 2020	Successful treatment of trichotillomania with repetitive transcranial magnetic stimulation: A report of two cases with review of literature	Asian Journal of Psychiatry	India	Case series	IV

researchers explored other possible treatment avenues for trichotillomania. As trichotillomania is an OCD spectrum disorder and shares a lot of neurobiological similarities with OCD, possibly the targets of neuromodulation in OCD may also be useful in the management of trichotillomania.

Neuromodulation techniques such as repetitive transcranial magnetic stimulation (rTMS)[16], transcranial direct current stimulation (tDCS), and electroconvulsive therapy (ECT) have been used in the management of trichotillomania in the recent decade[17-21]. The existing evidence is mostly limited to case studies. Neuromodulation treatment is a rapidly evolving field and evidence regarding the safety and efficacy of the above-mentioned neuromodulation techniques are well-established in various psychiatric disorders. However, a limited number of studies have discussed the scope and relevance of neuromodulation techniques in trichotillomania. Of the existing studies that discuss about the relevance of neuromodulation in trichotillomania, most have used TMS. TMS uses repetitively generated magnetic stimuli that modulate the neuronal activity of a focal group of neurons in the superficial cortical region (at the site of placement of the TMS coil). The underlying cortical neuronal activity following TMS application depends on the nature of TMS stimuli. High-frequency (> 5Hz) rTMS, and intermittent theta burst stimulation (a form of patterned TMS) produces underlying cortical stimulation whereas, low-frequency rTMS and continuous theta burst stimulation produces underlying cortical inhibition[22-25]. No systematic review has explored the relevance of TMS in the management of trichotillomania.

#### MATERIALS AND METHODS

#### Methodology

A systematic search was done by using the search terms: (trichotillomania) AND ((TMS) OR (rTMS) OR (transcranial magnetic stimulation) OR ((transcranial magnetic stimulation))). The databases searched are PubMed and Scopus. All articles published from inception up to May 17, 2024 were included. To be included in this systematic review, the article had to be either a randomized controlled trial (RCT), open-label study, case series, case report, or retrospective chart review. The articles were extracted to RAYYAN software and screened by two investigators (Joshi M and Agrawal A) keeping the blinding on. Subsequently, a third investigator (Kar SK) evaluated the extracted articles, and through mutual discussion final selection of the articles was made. An additional search was done from the prominent journals focusing on neuromodulation (*Brain Stimulation, The Journal of ECT*, and *Neuromodulation: Technology at the Neural Interface*). Extraction of data in a given format was done by an independent investigator (Kumari B). The risk of bias assessment was done by using the Joanna Briggs Institute (JBI) critical appraisal tools[26] by two blinded investigators (Joseph R and Chatterjee S) independently and was finally sorted through mutual discussion. As this systematic review included one retrospective cohort study, two case series and one case report, the risk of bias assessment was done by applying specific tools for critical appraisal.

#### RESULTS

We found 32 hits (6 in PubMed and 26 in Scopus). Six duplicate articles were removed after the initial screening and subsequent screening of the title and abstract resulted in the exclusion of 21 more articles (Figure 1). Articles were excluded for multiple reasons (which were not mutually exclusive). Major reasons for exclusion were: wrong publication type (n = 21), wrong study design (n = 15), wrong outcomes (n = 14), wrong population (n = 11), and wrong intervention type (n = 4). A total of five articles were initially included; however, one[27] more article was excluded after a detailed evaluation of the whole article (as the article discussed biological markers, neuroimaging changes, and pathophysiological models in OCD and related disorders). The final analysis was done on four selected articles, which included one retrospective study, two case series and one case study (Table 1)[17-19,21]. A total of 22 patients diagnosed with trichotil-

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Figure 1 Preferred Items for Systematic Reviews and Meta-Analysis flow diagram describing the studies.

lomania were enrolled in these four studies (Table 2)[17-19,21]. In all the cases, TMS was being used as an add-on treatment modality to ongoing pharmacotherapy with or without psychotherapy. The brain areas targeted in managing trichotillomania were the SMA, pre-SMA, and left dorsolateral prefrontal cortex. Out of the four included studies, one study used accelerated continuous theta burst stimulation, one used high-frequency rTMS, and the other two used low-frequency rTMS (Table 3)[17-19,21]. On risk of bias assessment, only one study scored a JBI quality score of  $\geq$  70% [28], hence was of good quality and the other three were of moderate to low quality (Table 4, 5 and 6)[17-19,21].

#### DISCUSSION

Neuromodulation, like TMS, is a less-studied treatment modality to manage trichotillomania. To date, only four published articles discussed the efficacy/effectiveness of TMS in trichotillomania, of which three were case reports/ series. Con-sidering the publications available in PubMed and Scopus until the week 3 of May 2024, the first reports of the use of TMS in management of trichotillomania were published in March 2020, by Kar *et al*[21] in the *Asian Journal of Psychiatry*. In November, 2020 another case series was published by Aydin *et al*[17], on five females with trichotillomania. The first observational study (retrospective database review) involving 41 patients, of whom, 14 had trichotillomania, and the rest had hoarding disorder or skin picking disorder, was published in 2022 by Di Ponzio *et al*[19]. A case study that used theta burst stimulation in an accelerated fashion for the first time in the management of trichotillomania was published in March 2024[18]. A total of 22 patients with trichotillomania received TMS treatment, of which only two were males (as part of the study of Di Ponzio *et al*[19]) and the remaining 20 were females[17,18,21]. Although some evidence suggests no gender difference in the prevalence of trichotillomania[1], most studies reported that females had a higher prevalence of trichotillomania than males had (odds ratio = 2.23), which involved hair-pulling with noticeable hair loss [2].

SMA and pre-SMA are commonly targeted in the management of trichotillomania[17,18,21]. Another study that used tDCS in the management of trichotillomania also targeted SMA as the target of neuromodulation[16]. As SMA and pre-SMA are commonly targeted in the management of OCD[29,30] and there is commonality in the neurobiology and phenomenology of OCD and trichotillomania[8,31,32], this probably tempts researchers to target these areas for focal neuromodulation. An inhibitory protocol is exclusively used for the management of trichotillomania targeting SMA and pre-SMA[16-18,21] as hyperactivity of these areas is consistently reported in OCD and related repetitive behavioral disorders.

The studies reported improvement in the severity of symptoms of trichotillomania in most patients with negligible side effects [17-19,21]. One study also considered maintenance accelerated continuous theta burst stimulation in the management of trichotillomania, with improvement of symptoms [18].

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Table 2 Characte	Table 2 Characteristics of participants									
		<b>B</b>	Concurrent treatn	nent	Past treatment					
Ref.	Sample size	morbidities	Psychotropics (mg/d)	Psychotherapy	Psychotropics (mg/d)	Psychotherapy	Outcome scale	Results	Side effects	Conclusion
Chaudhary <i>et al</i> [ <b>18</b> ], 2024	1	Not mentioned	Fluoxetine 80 mg, Aripiprazole 2 mg	Not mentioned	Yes (details not mentioned)	Not mentioned	YBOCS, MGH- HPS, and CGI-I	56% and 80% reduction in YBOCS and MGH- HPS scores, respectively. CGI-I scores improved from moderately worse to moderately efficacious. After 6 sessions of maintenance acTBS over 6 weeks, improvement was sustained	NR	First case report for acute and maintenance acTBS which was well tolerated and effects sustained
Di Ponzio <i>et al</i> [19], 2023	14	7 major depressive disorder, 2 ADHD, 4 GAD, 1 bipolar disorder	Selective serotonin reuptake inhibitors: Fluoxetine equivalent of 30 mg	None	Yes (details not mentioned)	Cortical bone trajectory	MGH-HPS and SDQ	Mean percentage of improvement was 58.2% in MGH-HPS and 17.1% in SDQ. No significant reduction after 1 month follow-up. Linear regression analysis indicated no age effect between pre- and post-intervention. Worsening of MGH-HPS scores was at follow-up in older age group	NR	Positive outcomes suggest implic- ations for reward circuits, which are usually used for addictions. This is consistent with the emerging view that obsessive- compulsive and related disorders are behavioral addictions. Worsening of MGH-HPS scores at follow-up in the older age group indicates reduced plasticity in elderly
Aydin et al[17], 2020	5	Case 1 ADHD; Case 2 none; Case 3 none; Case 4 GAD; Case 5 bipolar type II, obsessive- compulsive disorder, alcohol abuse, specific phobia	Case 1 Methyl- phenidate 36 mg; Case 2 no psycho- tropics; Case 3 Fluoxetine 80 mg; Case 4 Fluoxetine 20 mg; Case 5 Sertraline 100 mg, Aripiprazole 5 mg, Quetiapine 150 mg	None in all cases	Case 1 Fluoxetine 20 mg; Case 2 Escitalopram 20 mg; Case 3 Fluoxetine 80 mg, N-acetyl cystine 1200 mg; Case 4 no; Case 5 no	Yes in case 1 and case 3	MGH-HPS, Beck's anxiety inventory, Beck's depression inventory	Three patients had substantial benefit (100%, 75%, and 70% improvement in MGH-HSP), one patient had 33% improvement. The last patient experienced a mild increase in the severity	Transient flashes in eye for 2-3 session in one case	Repetitive transcranial magnetic stimulation was effective in 3 of 5 patients. One was a partial responder, and the other nonresponder might have been due to multiple comorbidities that

									acted as confounding factors
Kar <i>et al</i> [21], 2020	2	Not mentioned	Case 1 Escitalopram 30 mg; Case 2 Sertraline 200 mg	Habit reversal therapy	Not mentioned	Not mentioned	MGH-HPS	Reduction of 66.6% NR and 73.6% in both cases, respectively. Case 1 sustained benefits for 6 weeks, while case 2 was maintained well for 2 months then experienced worsening which improved with psychotherapy for 6 months	Reduction in symptom severity and therapy was well tolerated

ADHD: Attention deficit hyperactivity disorder; acTBS: Accelerated continuous theta burst stimulation; CGI-I: Clinical global impression improvement; GAD: Generalized anxiety disorder; MGH-HPS: Massachusetts General Hospital Hair Pulling Scale; NR: None reported; SDQ: Symptoms of depression questionnaire; YBOCS: Yale-Brown Obsessive-Compulsive Scale.

Table 3 Parameters of protocols used										
Ref.	Type of TMS intervention	Target area	Frequency (Hz)	Resting motor threshold (%)	Total no. of pulses	No. of sessions per day	Total no. of sessions	Duration of treatment (week)	Maintenance TMS	
Chaudhary <i>et al</i> [18], 2024	Accelerated continuous theta burst stimulation	SMA	Not mentioned	Not mentioned	900	2 (15 min apart)	20	Not mentioned	Yes (6 > 6 weeks)	
Di Ponzio <i>et al</i> <b>[19]</b> , 2023	High frequency rTMS	Left dorsolateral prefrontal cortex	15	100	2400	1	24	4	No	
Aydin <i>et al</i> [17], 2020	LF rTMS	Pre-SMA	1	100	1200	1	15	3	No	
Kar et al[ <mark>21</mark> ], 2020	LF rTMS	SMA	1	Not mentioned	1200	1	20	4	No	

LF: Low frequency; rTMS: Repetitive transcranial magnetic stimulation; SMA: Supplementary motor area; TMS: Transcranial magnetic stimulation.

The existing evidence is mostly limited to case reports/series or retrospective studies on a small sample. There was no RCT on TMS in trichotillomania. The existing studies were mostly of low to moderate quality. Hence, there is a need for extensive research, particularly RCTs, to substantiate the evidence for TMS treatment of trichotillomania.

A major limitation of this systematic review was the small number of studies and the heterogeneity among the studies in terms of the protocols used, sites targeted, number of sessions used, and the presence of comorbidity. Further research is required for better understanding about the efficacy and safety of TMS in trichotillomania.

Table 4	Table 4 Risk of bias assessment of cohort study on Joanna Briggs Institute critical appraisal tool											
Ref.	Were the two groups similar and recruited from the same population	Were the exposures measured similarly to assign people to both exposed and unexposed groups	Was the exposure measured in a valid and reliable way	Were confounding factors identified	Were strategies to deal with confounding factors stated	Were the groups/participants free of the outcome at the start of the study (or at the moment of exposure)	Were the outcomes measured in a valid and reliable way	Was the follow up time reported and sufficient to be long enough for outcomes to occur	Was follow up complete, and if not, were the reasons to loss to follow up described and explored	Were strategies to address incomplete follow up utilized	Was appropriate statistical analysis used	Score
Di Ponzio <i>et al</i> [ <b>1</b> 9], 2023	Yes	NA	Yes	Yes	Yes	Yes	Yes	Yes	Unclear	No	Yes	73%

#### Table 5 Risk of bias assessment of case series on Joanna Briggs Institute critical appraisal tool

Ref.	Were there clear criteria for inclusion in the case series	Was the condition measured in a standard, reliable way for all participants included in the case series	Were valid methods used for identification of the condition for all participants included in the case series	Did the case series have consecutive inclusion of participants	Did the case series have complete inclusion of participants	Was there clear reporting of the demographics of the participants in the study	Was there clear reporting of clinical information of the participants	Were the outcomes or follow up results of cases clearly reported	Was there clear reporting of the presenting site(s)/clinic(s) demographic information	Was statistical analysis appropriate	Score
Kar et al[ <mark>21</mark> ], 2020	Unclear	Unclear	Yes	Unclear	Unclear	Unclear	Yes	Yes	Unclear	NA	30%
Aydin et al [17], 2020	Unclear	Yes	Yes	Unclear	Unclear	Unclear	Yes	Yes	Unclear	NA	40%

#### CONCLUSION

Trichotillomania is a difficult-to-treat mental illness, with limited evidence for pharmacotherapy. Preliminary evidence supports the beneficial role of rTMS and accelerated continuous theta burst stimulation in managing trichotillomania as an add-on treatment modality. There is a need for further research and more clinical trials in larger groups of patients with trichotillomania to establish the evidence.

#### Table 6 Risk of bias assessment of case reports on Joanna Briggs Institute critical appraisal tool

Ref.	Were patient's demographic characteristics clearly described	Was patient's history clearly described and presented as a timeline	Was current clinical condition of the patient on presentation clearly described	Were diagnostic tests or assessment methods and results clearly described	Was interventions or treatment procedure clearly described	Was post- intervention clinical condition clearly described	Were adverse events or unanticipated events identified and described	Does the case report provide takeaway lessons	Score
Chaudhary <i>et al</i> [18], 2024	Unclear	Yes	Unclear	Unclear	Yes	Yes	Yes	Yes	63%

#### FOOTNOTES

**Author contributions:** Kar SK was responsible for conceptualization; Kar SK and Kumari B were responsible for manuscript writing; Kar SK, Joshi M, and Agrawal A were responsible for the literature search; Kumari B was responsible for tabulation and data extraction; Joseph R and Chatterjee S were responsible for risk of bias assessment; Kar SK, Kumari B, Joseph R, Chatterjee S, Joshi M, and Agrawal A were responsible for revision and editing of the manuscript; all of the authors read and approved the final version of the manuscript to be published.

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SYSTEMATIC REVIEWS

### Systematic review comparing the efficacy and safety of covered and uncovered self-expanding metal stents in benign airway stenosis

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#### Abstract

#### BACKGROUND

Current United States Food and Drug Administration (FDA) guidelines established since 2005 recommend the usage of silicone stents over metal stents due to the risk of complications associated with the older generation of uncovered stents. Yet, with the advancement of technology, novel innovations of self-expanding metal stents (SEMS) have revolutionized the treatment of benign airway stenosis (BAS), where the insertion of SEMS is known to be easier than silicone stents.

#### AIM

To compare the efficacy and safety of covered SEMS against uncovered SEMS, and thereafter propose more direct trials comparing covered SEMS against silicone stents for consideration of revision of current FDA guidelines.

#### **METHODS**

A comprehensive literature review of MEDLINE and EMBASE was conducted according to Preferred Reporting Items for Systematic Reviews and Meta-analyses guidelines. Of 3002 articles, 64 publications met the eligibility criteria with a total of 900 patients (468 covered SEMS, 432 uncovered SEMS). The collected data were analyzed using Statistical Package for the Social Sciences version 11.5.

#### RESULTS

Covered SEMS showed a higher success rate of insertion (98.6% vs 88.2%) and lower complication rates of infection (1.3% vs 13.2%), restenosis (1.5% vs 10.6%), stent fracture (2.6% vs 7.4%), bleeding (0% vs 5.8%), and pneumothorax (0% vs 2.8%) compared to uncovered SEMS. However, covered SEMS compared to uncovered SEMS showed higher complication rates of stent migration (12.4% vs 6.9%) and granulation tissue formation (26.5% vs 20.1%).



#### **CONCLUSION**

Our study suggests that covered SEMS are an effective, safe, and viable option in the treatment of BAS. Thus, further consideration regarding the utilization of covered SEMS over other forms of stent types is appropriate.

Key Words: Benign; Airway; Stenosis; Stent; Outcomes

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Core Tip: Covered self-expanding metal stents (SEMS) are viable alternatives to uncovered SEMS and silicone stents, with higher stenting success rates and less complication risks. This is a systematic review evaluating the safety and efficacy of SEMS in benign airway stenosis treatment. Our results challenge the existing guidelines that favor silicone stents and point to contemporary covered SEMS as a viable alternative in view of its increased insertion success and lower complication rates, compared to uncovered SEMS.

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#### INTRODUCTION

Benign airway stenosis (BAS) causes significant morbidity and mortality. Patients with tracheal stenosis present clinically with dyspnea, stridor, or wheezing with symptoms persisting for several years[1]. Causes of BAS can be broadly divided into mechanical causes and inflammatory diseases. A large proportion of mechanical causes include those of iatrogenic etiology such as post-tracheostomy tracheal stenosis and post-intubation tracheal stenosis. Other mechanical causes involve extramural compression due to trauma, aneurysm, and goiter. Inflammatory causes include tuberculosis of the lung, tracheobronchomalacia, granulomatosis with polyangiitis, sarcoidosis, amyloidosis, and relapsing polychondritis [2].

Airway stenting of benign tracheal stenosis is an effective approach that has been employed widely in clinical practice [3]. Silicone stents have been available since the mid-1980s and have traditionally been the mainstay treatment for BAS. In the 1980s, modified vascular metal stents (Gianturco) were used in airways, however this was eventually disfavored due to the unacceptable complication rates with over 30% of cases experiencing migration and/or rupture of the metallic mesh[4]. Subsequently in the 1990s, the introduction of self-expanding metal stents (SEMS) proved better clinical effectiveness and lower complication rates[5]. SEMS can be broadly categorized into two groups of covered and uncovered.

Among respiratory interventionists, there has been controversy regarding the advantages of SEMS vs silicone stents. Proponents of SEMS cite several advantages they have over silicon stents: (1) Their deployment via flexible bronchoscopy, which requires only topical airway anesthesia and moderate sedation compared to the use of rigid bronchoscopy needed in silicone stents[6-8]; (2) How SEMS have excellent adherence properties to the airway wall therefore decreasing risk of migration; and (3) The radio-opaque properties of SEMS, thereby being easily appreciated on radiography scans. However, others cite protocols and guidelines like the 2005 United States Food and Drug Administration (FDA) public health notification which favor the use of silicone stents over uncovered SEMS, due to the adverse complications faced during the insertion and removal of uncovered metal stents[9].

With the accumulation of almost two decades of evidence on newer covered SEMS since the conception of the FDA notification, this guideline requires reviewing as evident by the multiple advantages SEMS offer compared to silicone stents. There remains currently no literature on the comparison of SEMS and silicone stenting in the context of BAS.

Therefore, this paper provides a systematic review comparing the efficacy and safety of covered SEMS against uncovered SEMS, and thereafter proposes more direct trials comparing covered SEMS against silicone stents for consideration of revision of current FDA guidelines should there be resounding evidence for the use of covered SEMS in BAS.

#### MATERIALS AND METHODS

#### Study identification

The literature search was conducted in accordance with the Preferred Reporting Items for Systematic Reviews and Metaanalyses (PRISMA) guidelines. The search terms of "Metal", "Stent", "Benign airway obstruction", "Stenosis", "Airway", "Trachea", "Tracheol", "Bronchus", "Bronchial", "Self-expanding metal stents", "Self Expandable Metallic Stents", "Montgomery T tube", "Dumon stent", "Polyflex stent", "SEMS" were searched on Medline and EMBASE. Additionally, bibliographies of included citations were hand searched. The protocol for this study was registered in PROSPERO (No. CRD42022333088).



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#### Study eligibility

The search was conducted on publications from database inception until December 31, 2021. A systematic review of literature was conducted for all existing cohort studies, case series, and case reports containing data on efficacy and safety of metal stent usage. Studies involving malignant airway diseases, non-pulmonary stenting, bronchoscopy procedures without the use of stents and pediatric patients (less than 16 years of age) were excluded. The search was limited to English and human studies. Systematic reviews, review articles, editorials, letters, and studies with no results were also excluded.

#### Outcomes of interest

The primary outcome of this study was to evaluate the efficacy and safety of SEMS in the treatment of BAS. The assessment of efficacy was based on the success of the procedure defined by declared correct placement of stents at the desired location to relieve airway stenosis with subsequent clinical, radiological, or functional improvement. A procedure was deemed effective if it reported success or postoperative improvements in any of these three domains.

Separately, the assessment of safety was evaluated throughout the course of treatment-stent insertion, stent-induced complications, stent removal, and stent-related death. The definitions of key terms summarizing the primary outcomes of efficacy and safety are shown in Table 1.

#### Data extraction and synthesis

Relevant studies were identified by two independent authors through title and abstract screening. Further selection for inclusion was based on the full text according to PRISMA guidelines, summarized in Figure 1. Then data were extracted independently by the authors. Data from individual articles included study design, types of stents (covered and uncovered), stent brand, demographic presentation of patients, stent insertion indication, number of successful stent insertions, stent induced complications, stent removal indication, number of successful stent removals, and number of deaths caused by stent intervention. A summary of stent indications and complications can be found in Supplementary Table 1[6,10-73]. Any discrepancies related to data extraction and synthesis were resolved by the senior author after further discussion.

#### Study quality

The Joanna Briggs Institute (JBI) Critical Appraisal tool is a validated tool used to assess the methodological quality of studies and to determine the extent of possible bias in terms of its design, conduct and analysis. For this study, the appraisal tools for cohort studies, case reports, and case series were utilized. The JBI critical appraisal tables can be found in Supplementary Table 2[10,28], Supplementary Table 3[6,11,13-16,18-23,25,26,29,31-34,36,37,39-41,43-45,47,49-52,54-58, 60,62,63,65-69,71,73-79] and Supplementary Table 4[12,17,24,27,30,35,38,42,46,48,53,58,59,61,64,70,80-82].

#### Statistical analyses

The collected data were analyzed using Statistical Package for the Social Sciences version 11.5 (SPSS Inc., Chicago, IL, United States). Patient characteristics were described using proportions, means, and standard deviations. Comparison of proportions was done using Fisher's Exact Test.

#### RESULTS

From a pool of 3002 studies, 64 publications describing 900 patients cases met the inclusion criteria for final analysis in this systematic review. Of the 900 cases, 468 involved the utilization of covered SEMS, while the other 432 cases were on uncovered SEMS.

Of the 1082 stents inserted in 900 patients, we saw a promising rate of 93.6% (1013/1082) of metal stents successfully inserted. The 98.6% (511/518) of covered SEMS were successfully inserted, which was significantly better compared to the 88.2% (462/524) of uncovered SEMS (*P* < 0.001).

Overall, 95.2% (435/457) of metal stents were successfully removed. The 95.0% (305/321) of covered SEMS and 93.8% (90/96) of uncovered SEMS were successfully removed. This difference however was not statistically significant (P = 0.607).

A range of complications in SEMS were observed, most common being infection, restenosis, stent fracture, bleeding, pneumothorax, stent migration, and granulation tissue formation. For infection, restenosis, stent fracture, bleeding, and pneumothorax, patients with covered SEMS showed statistically significantly lower complication rates. The 1.3% (6/468) of patients with covered SEMS developed infection compared to 13.2% (57/432) of patients with uncovered SEMS (P < 0.001). The 1.5% (7/468) of patients with covered SEMS developed restenosis compared to 10.6% (46/432) of patients with uncovered SEMS (P < 0.001). The 2.6% (12/468) of patients with covered SEMS developed stent fracture compared to 7.4% (32/432) of patients with uncovered SEMS (P = 0.001). No (0/468) patient with covered SEMS developed bleeding or pneumothorax, compared to 5.8% (25/432) (P < 0.001) and 2.8% (12/432) (P < 0.001) respectively of patients with uncovered SEMS.

By contrast, for stent migration and granulation tissue formation, the results reflected a significantly higher complication rate in patients with covered SEMS compared to uncovered SEMS (12.4%, 58/468 to 6.9%, 30/432; and 26.5%, 124/468 to 20.1%, 87/432 respectively). Other complications such as halitosis, laryngeal oedema, tracheobronchial obstruction, bronchomalacia, dyspnea, and a change in stent configuration were more likely in patients with covered

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Table 1 Definitio	n of key terms
TS	TS was defined as the number of successful insertion procedures of a balloon catheter through a stenosis followed by appropriate positioning of the stent across the diseased segment and increase in tracheal diameter of the stenotic segment
CS	CS was defined as the number of patients with improved clinical symptoms pertaining to the tracheal pathology post-stent insertion
RS	RS was defined as the number of patients with a lack of abnormal pathological findings on chest X-ray investigation post-stent insertion
FS	FS was defined as the number of patients with an improved FEV1/forced vital capacity ratio or FEV1 post-stent insertion as compared to pre-stent insertion
Successful insertions <sup>1</sup>	Successful insertion of airway stent was defined as the number of insertion procedures where the stent was placed at the desired location to relieve airway stenosis
Unsuccessful insertions	Unsuccessful insertion of airway stent was defined as the number of insertion procedures where the stent could not be placed at the desired location to relieve airway stenosis
Removal success	Successful removal was defined as the number of removal procedures where airway stents were removed from tracheal lumen without immediate deterioration of patient's clinical symptoms or restenosis of airway
Unsuccessful removal	Unsuccessful removal of airway stent was defined as the number of removal procedures where the stent could not be removed and remained lodged in the patient's airway
Complications	Complications were defined as the number of a particular adverse stent-related clinical event occurring after insertion
Uncovered SEMS	Uncovered SEMS were defined as SEMS without any polymer coverage over the body of the stent
Covered SEMS	Covered SEMS were defined as SEMS covered with a complete polymer coverage across the entire body of the stent
Metal stent death	Metal stent death was defined as the number of patients whose death was directly caused by the insertion or removal of a SEMS

<sup>1</sup>Successful insertions defined as achieving either technical (technical success), clinical (clinical success), radiological (radiological success), or functional (functional success) success.

CS: Clinical success; FEV1: Forced expiratory volume in one second; FS: Functional success; RS: Radiological success; SEMS: Self-expanding metal stents; TS: Technical success.

SEMS (10.3%, 48/468) compared to patients with uncovered SEMS (3.5%, 15/432).

Stent-related death was rarely reported: 0% for covered SEMS *vs* 0.2% (1/432) for uncovered SEMS, the difference being found to be not statistically significant (P = 0.480). A summary of the results can be found in Table 2.

#### DISCUSSION

Determining the type of airway stents to employ in the treatment of BAS is a crucial decision with consideration of both patient and disease factors. Understanding the efficacy and safety of each airway stent is of paramount importance. An ideal stent should be easy to insert and remove, effective in relieving airway stenosis, and have minimal complications-it should not fracture, migrate nor pose any life-threatening risks to a patient[83]. The results of this systematic review argue for the use of covered SEMS over uncovered SEMS due to its higher rate of success in both stent insertions, and the lower prevalence of complications such as infection, restenosis, stent fracture, bleeding, and pneumothorax. Additionally, stent-related death was not reported for covered SEMS.

#### Comparison between SEMS and silicone stents

Despite SEMS being traditionally regarded as inferior to silicone stenting in BAS, an argument on the contrary can be made. SEMS have a thinner wall thickness compared to silicone stents and their ability to wrap the inner surface of the airway lumen provides an added benefit and advantage in the effective relief of airway stenosis. It is noteworthy that SEMS also overcome several intrinsic limitations of silicone stents such as customization for wider lumen sizes which is otherwise not possible in a fixed silicone stent[84]. Another practical advantage of SEMS is its ease of insertion *via* flexible bronchoscopy under topical anesthesia[85]. This bypasses the need for general anesthesia used in the insertion of silicone stents *via* rigid bronchoscopy which poses significant risks of cardiovascular and respiratory complications especially in patients with multiple comorbid medical conditions[86].

This paper acknowledges prior literature as reviewed by Rodriguez *et al*[87] in 2000 which highlights the difficulty of removal and high incidence of complications such as stent fracture associated with the use of uncovered SEMS in BAS, which amongst many, have supported the 2005 FDA discommendation of the use of uncovered SEMS in BAS.

However, it is important to acknowledge and recognize technological advancement and improvement of covered SEMS since the 2005 FDA advisory. Notably, this has led to a reduction in the stent fracture rate amongst covered SEMS to a level comparable to that of silicone stents<sup>[9]</sup>. An example of a recent study on such covered SEMS is one published by Salguero *et al*<sup>[88]</sup> on the safety of covered metal stents in airway diseases. Although it covers both malignant and benign

Table 2 Comparison b	etween covered-self-expan	aing metal stents and uncovere	ed- sen-expanding metal stents, n	(%)
	All patients with SEMS ( <i>n</i> = 900)	Patients with covered SEMS ( <i>n</i> = 468)	Patients with uncovered SEMS ( <i>n</i> = 432)	<i>P</i> value (fisher's exact test) <sup>7</sup>
Successful insertions	1013 (93.6) <sup>1</sup>	511 (98.6) <sup>2</sup>	462 (88.2) <sup>3</sup>	< 0.001
Successful removals	435 (95.2) <sup>4</sup>	305 (95.0) <sup>5</sup>	90 (93.8) <sup>6</sup>	0.607
Stent-related deaths	1 (0.1)	0 (0.0)	1 (0.2)	0.480
Complication rate				
Stent migration	88 (9.8)	58 (12.4)	30 (6.9)	0.007
Granulation tissue formation	211 (23.0)	124 (26.5)	87 (20.1)	0.027
Infection	63 (7.0)	6 (1.3)	57 (13.2)	< 0.001
Restenosis	53 (5.9)	7 (1.5)	46 (10.6)	< 0.001
Stent fracture	44 (4.9)	12 (2.6)	32 (7.4)	0.001
Bleeding	25 (2.8)	0 (0.0)	25 (5.8)	< 0.001
Pneumothorax	12 (1.3)	0 (0.0)	12 (2.8)	< 0.001
Mucus plugging	11 (1.2)	7 (1.5)	4 (0.9)	0.550
Others <sup>8</sup>	63 (7.0)	48 (10.3)	15 (3.5)	< 0.001

<sup>1</sup>Total of 1082 metal stent insertions among patients (n = 900) with either covered or uncovered self-expanding metal stents (SEMS).

<sup>2</sup>Total of 518 insertions among patients (n = 468) with covered stents.

<sup>3</sup>Total of 524 insertions among patients (n = 432) with uncovered stents.

<sup>4</sup>Total of 457 metal stent removals among patients (n = 900) with either covered or uncovered SEMS.

<sup>5</sup>Total of 321 removals among patients (n = 468) with covered stents.

<sup>6</sup>Total of 96 removals among patients (n = 432) with uncovered stents.

<sup>7</sup>P-value showing Fisher's exact test comparison between covered and uncovered stent insertions.

<sup>8</sup>Other complications include-halitosis, laryngeal oedema, bronchial obstruction, bronchomalacia, dyspnoea and a change in stent configuration. SEMS: Self-expanding metal stents.

airway pathologies, the paper concluded that in an era of contemporary stents and surveillance bronchoscopies, covered metal stents is a safe and applicable option, with multiple pragmatic advantages. Hence, it is evident that the practice of metal stenting is widely adopted internationally, and this study challenges the traditional and obsolete association of metal stents with high complication rates in airway diseases.

#### Complication rate of granulation tissue formation

The results of this paper show significantly lower complications rates of covered SEMS compared to uncovered SEMS in the domains of infection, restenosis, stent fracture, bleeding, pneumothorax, and other miscellaneous complications. However, we acknowledge the increased risks of granulation tissue formation and stent migration.

A study conducted by Xiong *et al*[72] in 2019 supports the findings of our study where there was a statistically significant increased complication rate of minor granulation of covered SEMS (22/59, 37.29%) compared to uncovered SEMS (8/72, 11.11%) in BAS, while major granulation (requiring stent replacement) complication rate was likewise higher although not statistically significant.

By contrast, a study by Li *et al*[89] in 2021 comparing covered SEMS with uncovered SEMS among 45 patients (36 covered SEMS, 9 uncovered SEMS), showed a significantly lower complication rate of granulation tissue formation in covered SEMS (15/36, 41%) compared to uncovered SEMS (9/9, 100%). This finding aligns with conventional pathophysiological understanding that granulation tissue regrowth is lower in covered SEMS compared to uncovered counterparts because they prevent the proliferation of tissue through stent interstices[90].

Evidently, there are differing data and reviews regarding complication rates of granulation tissue formation between covered and uncovered SEMS. There are two plausible explanations for this discrepancy. First, the degree of granulation tissue formation is not always quantified or defined as seen in Xiong *et al*'s review, and the extent of granulation tissue defined and assessed between studies could be inconsistent[72]. Second, the exact pathogenesis of granulation is multifactorial and still unclear. Multiple studies have surmised that the degree of granulation tissue hyperplasia is most likely due to an array of factors such as friction of the airway wall, pressure of stent on wall, site of stent implantation and the presence of ongoing airway infection, which confounds the evaluation of granulation tissue formation being definitively attributed to the type of SEMS[72,91]. Ultimately, this complication can be successfully managed and resolved using argon plasma coagulation[92], which can be performed without the use of a laser, reducing the chance of airway fire[93].





#### Complication rate of stent migration

As aforementioned, the complication rate of stent migration was significantly higher in covered SEMS compared to uncovered SEMS. This could be attributed to the allowance of stent ingrowth and epithelialization in uncovered SEMS which provides a lower risk of stent migration compared to covered SEMS.

Although there is a paucity of head-to-head comparative studies between covered SEMS and silicone stents in the existing literature, when comparison is made between covered SEMS and silicone stents, it is interesting to note that covered SEMS still provide a lower risk of stent migration compared to silicone stents (Table 3)[94-97]. According to a study published by Chen *et al*[94], based on a 7-year experience with silicone Dumon stents, covered SEMS appear to have a relatively low stent migration rate of 12.4% compared to silicone stents with stent migration rates ranging from 17.2% to 51.0%. A possible explanation for less frequent stent migration in metallic stents is the self-expanding nature of metal stents that promotes better wall adherence[68,80,98]. Additionally, it is noteworthy that only 69.0% of silicone stents were successfully inserted while 54.7% of them were successfully removed[99] which pales in comparison with the high success rate of insertion of covered SEMS as seen from this study, where covered SEMS had a relatively high success of stent insertion of 98.6%, and of stent removal of 95.0%.

Table 2 Comparison between covered calf or	panding motal stants, uncovered calf expansion	nding motal stants and silicons stants $n(0/)$
lable 5 Collibalison between covered sen-ex	Danuniu metai stents. Uncovereu sen-expa	nunu metal stents and sincone stents. If (%)

	Patients with covered SEMS ( <i>n</i> = 468)	Patients with uncovered SEMS ( <i>n</i> = 432)	Silicone stent study (No. 1) ( <i>n</i> = 263)	Silicone stent study (No. 2) ( <i>n</i> = 63)	Silicone stent study (No. 3) ( <i>n</i> = 58)	Silicone stent study (No. 4) ( <i>n</i> = 75)			
Successful insertions	511 (98.6) <sup>1</sup>	462 (88.2) <sup>2</sup>	181 (69.0)	-	-	70 (93.3)			
Successful removals	305 (95.0) <sup>3</sup>	90 (93.8) <sup>4</sup>	117 (54.7)	-	-	49 (65.0)			
Stent-related deaths	0 (0.0)	1 (0.2)	2 (0.8)	-	-	-			
Stent complication rate									
Stent migration	58 (12.4)	30 (6.9)	78 (18.6) <sup>5</sup>	62 (28.0) <sup>5</sup>	10 (17.2)	38 (51.0)			
Granulation tissue formation	124 (26.5)	87 (20.1)	72 (17.2) <sup>5</sup>	-	-	-			
Infection	6 (1.3)	57 (13.2)	-	-	14 (24.1)	-			
Restenosis	7 (1.5)	46 (10.6)	24 (5.7) <sup>5</sup>	-	-	30 (40.0)			
Stent fracture	12 (2.6)	32 (7.4)	-	-	1 (1.7)	-			
Bleeding	0 (0.0)	25 (5.8)	-	-	-	1 (1.3)			
Pneumothorax	0 (0.0)	12 (2.8)	-	-	-	5 (6.67)			
Mucus plugging	7 (1.5)	4 (0.9)	-	131 (60.0) <sup>5</sup>	21 (36.2)	14 (19.0)			
Others <sup>6</sup>	48 (10.3)	15 (3.5)	-	18 (8.0) <sup>5</sup>	6 (10.3)	-			

<sup>1</sup>Total of 518 insertions among patients (n = 468) with covered stents.

<sup>2</sup>Total of 524 insertions among patients (n = 432) with uncovered stents.

<sup>3</sup>Total of 321 removals among patients (n = 468) with covered stents.

<sup>4</sup>Total of 96 removals among patients (n = 432) with uncovered stents.

<sup>5</sup>Total of number of stents involved (instead of number of patients) were used to calculate complication rates. The 419 stents were inserted in patients in the silicone stent study (No. 1), 220 stents were inserted in patients in the silicone stent study (No. 2).

<sup>6</sup>Other complications include-halitosis, laryngeal oedema, bronchial obstruction, bronchomalacia, dyspnea, and a change in stent configuration.

#### Limitations

Limitations of our study include the following. Firstly, although the total number of patients (n = 900) was substantial, there were 11 papers consisting of 204 patients that were excluded as these papers did not specify if covered or uncovered SEMS were used.

Second, due to the lack of comparative studies primarily comparing covered SEMS with silicone stents, evaluation regarding silicone stents could not be conducted in this systematic review. Therefore, further comparative studies with long-term follow up would be beneficial in directly addressing the advantages and disadvantages of SEMS against silicone stents.

Third, a main finding of the paper was the rate of restenosis whereby the data reflected a significant difference between covered and uncovered SEMS hence supporting that covered SEMS are of superior efficacy. It is noteworthy to consider factors which could confound this result such as experience and competence of the physician conducting the stent insertion, which are impossible to ascertain from the reports.

Lastly, given that the pooled data are from case reports, series and cohort studies with a diversity of patient population, in terms of ethnicity, age, and comorbidities, there is increased likelihood of heterogeneity within this study. Furthermore, we acknowledge that different airway pathologies are classically managed differently. For example, post-transplant stenosis/dehiscence are typically managed internationally with uncovered SEMS while benign tracheoeso-phageal fistulas are managed with fully covered SEMS due to the different and unique set of challenges each complex pathology poses. Given the aforementioned heterogeneity of the population of this study, this highlights the necessity for further subgroup analyses on this topic.

With these considerations, firm recommendations against FDA's advisory cannot be made solely based on the results of this study. However, it is evident that covered SEMS are likely superior to uncovered SEMS in view of significantly reduced complications and increased insertion and removal rates. Therefore, we propose and recommend for further direct trials and head-to-head comparative studies between covered SEMS and silicone stents. This would allow further subgroup analyses (*i.e.* post-transplant stenosis/dehiscence patients, benign tracheoesophageal fistula, tracheal/bronchial/Lobar stenosis, *etc.*) to be conducted and provide an avenue for more accurate representative data and definitive conclusion on the efficacy and safety of covered SEMS in BAS. We feel that these are necessary and urgently required to ascertain the relevance of the FDA guideline in the context of advanced medical technology, with improved

covered SEMS treatment in BAS.

#### CONCLUSION

To conclude, in our review of the efficacy and safety of SEMS, contemporary covered SEMS show higher success rates of stent insertion compared to uncovered SEMS making them a viable option for the treatment of BAS. Coupled with lower complication risks of infection, restenosis, stent fracture, bleeding, and pneumothorax the benefits of covered SEMS over uncovered SEMS warrant a re-evaluation of the 2005 FDA advisory against SEMS use in BAS[9].

#### FOOTNOTES

Author contributions: Han L and Peck EW contributed to the data collection; Teo E performed the data analysis; Han L, Peck EW, Teo E, and See KC contributed to the writing of this manuscript; All co-authors read and approved the manuscript.

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SYSTEMATIC REVIEWS

### Nutritional interventions in the treatment of Hashimoto's disease: A systematic review

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#### Abstract

#### BACKGROUND

Hashimoto's thyroiditis (HT) is an autoimmune dysfunction caused by genetic and environmental changes that attack the thyroid gland. HT affects approximately 2% to 5% of the population, being more prevalent in women. It is diagnosed through a blood test (anti-thyroid peroxidase). Pharmacological treatment consists of daily administration of the synthetic hormone levothyroxine on an empty stomach. The most common signs and symptoms are: Tissue resistance to triiodothyronine T3, weight gain, dry skin, hair loss, tiredness/fatigue, and constipation, and nutritional therapy appears to help reduce these symptoms.

#### AIM

To analyze nutritional interventions for treating HT.

#### **METHODS**

This is an integrative review of original studies on nutritional interventions for treating Hashimoto's disease. Articles were searched in the MEDLINE/PubMed and Latin American and Caribbean Literature on Health Sciences (LILACS) databases via virtual health library, using controlled vocabulary and free terms. A total of 70 articles were found: 67 from PubMed and 3 from LILACS. After exclusions, 9 articles met the eligibility criteria, including dietary interventions for maintaining and restoring the patient's quality of life.

#### RESULTS

The reviewed articles evaluated the nutritional treatment of HT through supplementation of deficient micronutrients, anti-inflammatory diets, gluten-free diets, exclusion of foods that cause food sensitivities, lactose-free diet, paleo diet, and calorie restriction diets. However, some results were controversial regarding the



beneficial effects of HT.

#### **CONCLUSION**

In general, it was observed that nutritional interventions for HT are based on the recovery of micronutrient deficiencies, treatment of the intestinal microbiota, diet rich in foods with anti-inflammatory properties, lifestyle changes, and encouragement of healthy habits.

Key Words: Hashimoto's thyroiditis; Nutrition; Health; Autoimmune diseases; Inflammation

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Core Tip: The review addresses the efficacy of different dietary approaches in treating Hashimoto's thyroiditis (HT). Although gluten and lactose-free diets may benefit some patients, the evidence is controversial and varies according to individual sensitivity and other associated conditions. Paleo diets, which include lifestyle changes and micronutrient supplementation, have improved inflammatory and metabolic interventions in patients with HT. Nutritional interventions focused on anti-inflammatory diets and management of nutritional deficiencies are recommended as complementary alternatives to drug treatment.

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#### INTRODUCTION

Hashimoto's thyroiditis (HT) is an autoimmune disorder caused by genetic and environmental alterations that are not yet fully understood and attack the thyroid gland. It is the most common form of hypothyroidism, characterized by complications and chronic thyroid edema, which eventually leads to its dysfunction[1-4]. HT is diagnosed by measuring antithyroid antibodies [anti-thyroid peroxidase (TPO)], indicating metabolic alterations in the gland[5].

The thyroid is frequently affected by autoimmune diseases, and patients with autoimmune hyperthyroidism may develop hypothyroidism and vice versa[6].

Subacute thyroiditis may also result in hypothyroidism; however, this condition is usually transient. Approximately 20% to 30% of women with subacute thyroiditis in the postpartum period develop hypothyroidism. The risk increases as anti-TPO antibody levels increase[7].

There is no single definitive cause for this autoimmune disorder, as it involves multiple contributing factors and specific genetic markers. However, early diagnosis can avoid complications, prevent the onset of other autoimmune diseases, and help improve the patient's quality of life with appropriate treatment[8].

The treatment of HT varies according to the clinical manifestations of the disease, which may include diffuse or nodular goiter with euthyroidism, subclinical hypothyroidism, and permanent hypothyroidism. However, in most cases, and under the prescription of an endocrinologist, levothyroxine should be administered daily, preferably on an empty stomach, to improve drug intake[9].

Nutritional treatment of HT is still often neglected. However, some studies recommend supplementation in cases of nutrient deficiencies such as iodine, iron, copper, selenium, zinc, and vitamin D[9]. Micronutrients are often deficient in autoimmune diseases; therefore, it is important to recommend a diet that meets the deficiencies and nutritional needs[10-12]. Anti-inflammatory diets and the elimination or reduction of specific foods to address potential sensitivities are also being explored in the nutritional therapy for HT[5].

The gut microbiota composition plays a key role in the availability of essential micronutrients for thyroid function[10]. With its vast tissue surface and numerous immune cells interacting with the microbiota, the intestine is central to this process. While the microbiota's composition is generally stable in adults, it can be influenced by dietary changes and various diseases. More specifically, patients with HT, often present intestinal dysbiosis, as dysregulation of thyroid hormone levels affects the composition of the microbiota, promoting bacterial overgrowth and increased intestinal permeability, ultimately leading to autoimmune processes[10,13,14].

#### MATERIALS AND METHODS

This is an integrative review of original studies that investigated nutritional interventions for the treatment of HT patients. Articles were searched in two databases: The National Library of Medicine National Institutes of Health (MEDLINE/PubMed) and the Latin American and Caribbean Literature on Health Sciences (LILACS), via the Virtual



Health Library (VHL). The search strategy was developed by combining controlled vocabulary and free terms related to: (1) Nutrition; (2) Nutritional Therapy; and (3) Hashimoto's disease. There was no restriction regarding age group or language. References cited in the articles selected for full reading were reviewed to identify additional studies of interest that may have been missed in the search process (grey literature). Controlled vocabulary was used whenever possible, with health sciences descriptors for VHL and medical subject headings for PubMed. The final search strategy was executed in both databases in June 2024.

#### RESULTS

This review identified 70 studies, of which 67 were retrieved from MEDLINE/PubMed and 3 from LILACS. Articles that did not focus on nutritional interventions for HT (n = 47) or did not address dietary treatment (n = 20) were excluded. Additionally, 19 articles from grey literature were included, of which 13 did not meet the inclusion criteria. Ultimately, nine articles met the eligibility criteria, exploring dietary interventions for HT and their impact on patients' quality of life. Figure 1 graphically presents the results of the study selection process. The diets analyzed by the authors included: Lactose-free[15], gluten-free [16,17], gluten-free combined with healthy habits and nutritional counseling[18], gluten-free with selenium supplementation[19], calorie-restricted diets with or without food exclusion[20], paleo diets excluding grains and dairy products with micronutrient supplementation[21], autoimmune diets with lifestyle modifications[22], and autoimmune paleo diets with calorie restriction up to 1200 kcal[23].

The parameters investigated included: Thyroid-stimulating hormone (TSH), free thyroxine (fT4), free triiodothyronine (fT3), parathyroid hormone (PTH), TPO antibodies (TPOAb), thyroglobulin antibodies (TgAb), C-reactive protein, vitamins D and B12, zinc, ferritin, lipid profile (high-density lipoprotein-c, low-density lipoprotein-c, triglycerides), symptom questionnaire, quality of life questionnaire, anthropometry [weight and body mass index (BMI)], fasting glucose, and fasting insulin. Table 1 presents the characteristics of the studies included in this review, organized according to the type of treatment investigated.

#### DISCUSSION

Firstly, in the study by Asik *et al*[15], a lactose-free diet demonstrated positive results in patients with lactose intolerance, leading to a reduction in TSH levels but no significant effect on other evaluated parameters, such as fT4, calcium, PTH, and TPOAb titers. Excessive lactose consumption can lead to bacterial overgrowth, gas production, and intestinal distension, potentially impairing T4 absorption. This underscores the rationale for excluding lactose in these patients.

In the study by Krysiak *et al*[16], the group that followed a gluten-free diet showed a reduction in TPOAb and TgAb levels and an increase in vitamin D levels. These findings suggest a positive effect of gluten exclusion in patients with HT, possibly through the reduction of autoimmunity and increased thyroid production.

This review observed that gluten-free diets support pharmacological treatment of HT in patients with associated celiac disease, reducing the prescribed oral hormone dose by up to 50%. Poor absorption of thyroid medication is common in these patients due to the high permeability caused by the disease in the digestive tract[17]. Also, a gluten-free diet is often recommended for the dietary management of autoimmune diseases, albeit the effects are not immediately evident. For instance, a study by Poblocki *et al*[18] examined the effects of a gluten-free diet in combination with healthy habits and nutritional counseling. No significant differences were observed between the control and gluten-free groups until the 12-month mark when the gluten-free group showed reduced TSH levels and increased fT4. These findings suggest that while gluten exclusion is recommended for patients with celiac disease, its use in managing other autoimmune diseases remains controversial, despite its potential to enhance levothyroxine absorption.

Interestingly, Velija *et al*[19] demonstrated that selenium supplementation significantly reduced TPOAb, TgAb, and TSH levels. Additionally, combining selenium supplementation with a gluten-free diet provided more benefits than supplementation alone in women.

Avard and Grant[21] investigated the association between the restriction of gluten, grains, and dairy products and micronutrient supplementation in women following a paleo diet. Similarly, the case report by Al-Bayyari[23], which also used the paleo diet, demonstrated improvements in thyroid levels and a significant improvement in quality-of-life parameters, including regulation of insomnia, chronic fatigue, stress, exhaustion, hair loss, and bowel movements.

The study that evaluated the "modified autoimmune paleo-diet" [23], combined paleo, vegan, and gluten-free diets. The meal plan contained 1200 kcal/day, with 150 g/day of carbohydrates, 45 g/day of protein, and 47 g/day of lipids, distributed over three daily meals. After 6 months, there was an improvement in parameters related to body mass and adiposity, such as TSH, TPOAb, cholesterol, and insulin, without affecting levels of the thyroid hormones fT3 and fT4. These results favor the treatment of HT, as they reduce inflammation, decrease the need for synthetic fT4, and reduce the risk of associated chronic diseases[23].

Similarly, Abbott *et al*[22] reported that an "autoimmune protocol", which is an extension of the paleo diet (removing gluten, and refined sugar, and gradually eliminating pro-inflammatory food groups such as grains, legumes, dairy, eggs, coffee, alcohol, nuts, seeds, sugars, processed foods, oils, and food additives, encouraging the consumption of fresh, nutrient-rich foods, bone broth, and fermentable foods), combined with lifestyle changes, can reduce inflammation and positively assist in the recovery of the immune system weakened by HT. This therapy aims to improve health-related quality of life and reduce disease symptoms.

#### Table 1 Description of the studies included in the integrative review, according to the type of treatment investigated

Ref.	Country	Type of study	Number of participants, gender and age	Objectives	Groups and treatments	Parameters evaluated	Main results
Lactose-free diet							
Asik et al [15]	Türkiye	Case- control	50 patients with HT (Female: 48; Male: 02). Average age: 47.9 ± 8.73 years	To evaluate the prevalence of lactose intolerance in patients with HT and the effects of lactose restriction on thyroid function in these patients	Groups: 1: Lactose intolerant ( $n = 48$ ); 2: No lactose intolerance ( $n = 12$ ); Time: 8 weeks	TSH, fT4, calcium, and parathormone (PTH)	The TSH levels decreased in patients with HT and lactose intolerance. Patients without lactose intolerance did not have significant changes in TSH levels. The other parameters did not change after lactose restriction
Gluten-free	diet						
Krysiak et al[16]	Poland	Case- control	34 women with HT. Average age: Group A = $30 \pm 5$ years; Group B = $31 \pm 6$ years	To investigate whether a gluten-free diet affects thyroid function in women with HT	Group A: Gluten-free diet ( $n = 16$ ); Group B: Gluten-containing diet ( $n = 18$ ); Time: 6 months	Titers of TPOAb and TgAb; serum levels of TSH, fT3, fT4 and 25-hydroxyvitamin D	In group A, TPOAb and TgAb levels decreased and Vitamin D increased. TSH, fT3, and fT4 levels remained unchanged. In group B, the evaluated parameters remained unchanged
Gluten-free	diet for pat	ients witł	n CD and HT				
Virili et al [17]	Italy	Case report	103 individuals with HT and/or CD (Female: 92; Male: 11); Age: Patients with HT: 41 (33-51); Patients with HT and CD: 39 (33- 50)	To analyze the need to increase fT4 in CD patients adhering to a gluten-free diet	Patients with isolated HT: Gluten-containing diet; Patients with HT and CD: Gluten-free diet; Time: 15 months	TSH, TPOAb, weight and BMI	It was observed that there was a need to increase the fT4 dose by up to 50% in patients with HT and CD who were non-adherent to a gluten-free diet. However, this can be reversed if a gluten-free diet is implemented. Normal thyroid levels were observed after the gluten-free diet, but the same result was obtained after increasing the fT4 dose in patients who were non-adherent to the diet
Gluten-free	diet associa	ted with	healthy lifestyle and	l nutritional follow-up			
Pobłocki et al[18]	Poland	Case- control	62 women with HT. Average age: 38.86 ± 4.28 years	To evaluate whether the use of a gluten-free diet is effective in patients with HT	Group selection: Gluten-free diet ( <i>n</i> = 31); Control group: Average Pole's diet ( <i>n</i> = 31); Time: 12 months	Serum levels of TSH, fT3, fT4, and Titers of TPOAb and TgAb, weight and BMI	There was a reduction in TSH in the study group and an increase in fT4 levels, the other parameters did not change in either group
Gluten-free	diet with se	elenium s	upplementation				
Velija <i>et al</i> [19]	United Kingdom	Case- control	98 women with HT. Average age: 39.60 ± 7.36 years	To validate the positive effect of HT patients adhering to a gluten-free diet and selenium supple- mentation on the recovery of thyroid function	Group A: Receiving 200 µg selenium in the form of L-selenome- thionine orally and gluten-free diet ( $n = 50$ ); Group B: Receiving 200 µg selenium without any dietary treatment ( $n = 48$ ); Time: 6 months	Titers of TPOAb and TgAb, and serum levels of TSH, fT3, fT4	At the end of the study, euthyroidism was restored in 74% of group A participants, and in 58.3% of group B participants. TSH, TPOAb and TgAb levels were significantly reduced in both group after six months of treatment. Serum TPOAb titer in group A had a more significant decrease (by 49%) than those in group B (by 34%)
Calorie reduction and food exclusion diet							
Ostrowska et al[20]	Poland	Case- control	100 women with HT and obesity. Average age: Group A = 42.7 ± 10.51 years;	To evaluate the influence of calorie reduction diets, with and without food exclusion, on thyroid	Group A: Calorie reduction and food exclusion diet ( $n = 50$ ); Group B: Calorie reduction diet without	Titers of TPOab and TgAb, and serum levels of TSH, fT3, fT4, weight and BMI	In both groups, loss of body mass and decrease in BMI were observed, in group A this loss was greater. Both groups



			Group B = 41.02 ± 11.96 years	parameters in women	excluding foods ( <i>n</i> = 50). Average reduction of 1000 kcal/day in both diets. Time: 6 months		obtained decreases in the parameters of TSH, TPOAb, TgAb, and an increase in fT3 and fT4 in group A
Paleo diet with exclusion of gluten, grains, dairy and micronutrient supplementation							
Avard and Grant[21]	Australia	Case report	Woman with HT, 23 years	To validate whether an approach to modulating the intestinal microbiota and reducing inflam- mation can be used as methods to regulate intestinal permeability and favor the course of HT treatment	Paleo diet with exclusion of gluten, grains, dairy, low- protein with micronu- trient supplementation (vitamin C, D, B1, B4, B5, B6, B12, zinc, selenium, iron a N- Acetyl cysteine) and probiotics. Time: 15 months	Serum levels of TSH, fT4, zinc, ferritin, Vitamin D and B12, Titers of TPOAb and TgAb	Reduction in TSH and TgAb levels and significant improvement in the symptoms that most affected the patient (daytime naps, exhaustion, stress and mood swings, excessive fatigue, hair loss, nighttime insomnia), only intestinal transit, which despite improving, remained unstable
Autoimmur	ne diet and l	ifestyle cl	hanges				
Abbott et al[22]	United States	Pilot study	16 women with HT. Average age: 35.6 ± 5.7 years	To determine the efficacy of a multi- disciplinary diet and lifestyle intervention for improving the quality of life,clinical symptom burden, and thyroid function in a population of middle- aged women with HT	Online health coaching program focused on the implementation of a phased elimination diet known as the Autoimmune Protocol. Time: 10 weeks	Complete metabolic profile, serum levels of TSH fT3, fT4, titers of TPOAb, TgAb, CRP, symptom and quality of life questionnaires	No changes in TSH, fT3, fT4, TPOAb, TgAb. Improvement in health and quality of life. Clinical symptoms with significant decrease. In the CRP test there was a decrease of 29%
Autoimmune and hypocaloric Paleo diet (1200 kcal)							
Al-Bayyari [23]	Jordan	Case report	Woman with HT, 49 years	To observe the effect of a modified paleo immune diet on anthropometry, body composition, insulin, lipid profile and thyroid function of the patient analyzed	Modified autoimmune paleo low-calorie diet (1200 kcal). Time: 6 months	Anthropometric measurements, body composition, fasting blood glucose and insulin, serum levels of HDL-c, LDL-c, triglycerides, fT3, fT4, TSH, and titers of TPOAb	Reduction in anthropo- metric measurements, body composition, trigly- cerides, LDL, TSH, TPOAb, and insulin. There were no changes in fT3, fT4. There was an increase in HDL-c

HT: Hashimoto's thyroiditis; fT4: Free thyroxine; fT3: Free triiodothyronine; TPOAb: Anti-thyroid peroxidase antibodies; TgAb: Anti-thyroglobulin antibodies; BMI: Body mass index; CRP: C-reactive protein; TSH: Thyrotropin; CD: Celiac disease; HDL-c: High-density lipoprotein cholesterol; LDL-c: Low-density lipoprotein cholesterol; PTH: Parathyroid hormone.

A Polish study[20] involving 100 women evaluated a hypocaloric diet of up to 1000 kcal/day, with or without the exclusion of foods such as wheat, egg white, cow's milk, yeast, corn, gluten, peanuts, almonds, egg yolk, shrimp, soy, barley, sheep's milk, goat's milk, rice, apple, tomato, mushrooms, hazelnuts, carrots, walnuts, garlic, sesame, cocoa, vanilla, meat, and pineapple. The diet was established through food sensitivity tests and compared to a hypocaloric diet of up to 1000 kcal/day without dietary restrictions[20]. After 6 months of treatment, both groups showed weight loss and reduced adiposity, albeit the group with food exclusion also showed decreased levels of TSH, TPOAb, and TgAb, as well as increased levels of fT3 and fT4 hormones, and higher rates of BMI reduction. This study demonstrated that weight loss benefits the treatment of HT and that an individualized elimination diet potentiates therapeutic results[20].

According to Mikulska *et al*[24], an anti-inflammatory dietary intervention rich in vitamins and minerals and low in animal-based foods may positively affect the dietary treatment of HT. However, the authors state that no sufficiently reliable studies were found to recommend the exclusion of gluten for all patients with HT. Likewise, Szczuko *et al*[25] corroborate these findings, stating that it is unsafe to recommend that patients with HT follow this nutritional approach. It is worth noting that a gluten-free diet can be extremely restrictive, difficult to adhere to, and present a high risk of inadequate intake of essential nutrients.

A gluten-free diet, aimed at preventing or treating HT, can lead to deficiencies in some nutrients, as the exclusion of this food group generally results in lower quality and variety in the diet[14]. Additionally, the effects of a gluten-free diet in non-celiac patients with autoimmune diseases have not been sufficiently studied. Some publications suggest that thyroid-related antibodies may respond to a gluten-free diet in patients with both conditions[4]. However, there is insufficient scientific evidence to recommend such a diet for patients with autoimmune diseases other than celiac disease.

On the other hand, it has been reported that, in obese women, a restricted diet excluding pro-inflammatory food groups is more effective than a calorie-restricted diet alone[4,20]. Due to the inflammatory process associated with HT, it seems advisable to prescribe an anti-inflammatory diet in parallel with treatment, in addition to investigating associated autoimmune diseases and deficiencies of micronutrients essential for thyroid function[25].

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Figure 1 Flowchart of the article selection process. LILACS: Latin American and Caribbean Literature on Health Sciences.

The results of this review indicate that patients with HT should undergo regular medical follow-up, including tests to monitor vitamins and micronutrients, to assist in managing the progression of the disease. The efficacy of a gluten-free diet in patients with HT is controversial; some studies suggest that gluten exclusion may be beneficial, whereas others have indicated that there is no clear positive impact and may even be associated with disease progression. A lactoserestricted diet may be advantageous for patients with lactose intolerance, but it is unjustified for patients lacking this condition.

Paleodiets, including lifestyle changes and weight reduction, associated with appropriate pharmacotherapy and micronutrient supplementation have satisfactory outcomes. These interventions help reduce the inflammation associated with excess weight, contributing to the progression of HT. The "autoimmune protocol", excluding pro-inflammatory foods and foods identified as sensitivities, appears to be a favorable approach for treating autoimmune diseases such as HT. However, these dietary strategies are highly restrictive and may be difficult to adhere to.

#### CONCLUSION

It is concluded that nutritional interventions based on an anti-inflammatory diet and supplementation of deficient micronutrients may be beneficial alternatives for HT treatment when combined with drug therapy.

#### FOOTNOTES

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META-ANALYSIS

### Efficacy of bright light therapy for perinatal depression: A metaanalysis of a randomized controlled trial

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#### Abstract

#### BACKGROUND

Pharmacological treatments are commonly used in individuals experiencing perinatal depression (PPD); however, a debate regarding the reproductive safety of antidepressants is ongoing. Many pregnant women opt to discontinue antidepressant out of concern about potential negative effects on the developing fetus, while slow and ineffective antidepressant medications hinder improved outcomes in women with PPD. In recent years, bright light therapy (BLT) has gained traction as a treatment option for PPD; however, clinical trials findings examining the efficacy of BLT in this population have been inconclusive.

#### AIM

To validate the feasibility and safety of BLT for the treatment of PPD.

#### **METHODS**

We performed a meta-analysis of randomized controlled trials of patients with PPD treated with BLT vs placebo following the Preferred Reporting Items for Systematic Reviews and Meta-Analysis. We searched PubMed, Embase, the Cochrane Library, and Web of Science for randomized controlled studies published up to December 2023. The results were evaluated using the standardized mean difference of improvement for depression scores and odds ratios (ORs) for remission rate, response rate, incidence of adverse events, and dropout rate.



#### RESULTS

The BLT group had higher PPD response rate [50.68% vs 33.08%; OR = 2.05; 95% confidence interval (CI): 1.25-3.35; P = 0.004; I = 35% and remission rate (54.10% vs 18.52%; OR = 5.00; 95% CI: 2.09-11.99; P = 0.0003; I = 0%) than the placebo group. Improvements in depression scores were higher in the BLT group than the placebo group for the overall efficacy (standardized mean difference = -0.47; 95%CI: -0.80 to -0.13; P = 0.007). No significant differences between the two groups in drop-outs (21.84% *vs* 29.63%; OR = 0.63; 95% CI: 0.31-1.29; *P* = 0.21; *I* = 0%) or adverse events (17.89% *vs* 9.68%; OR = 2.01; 95% CI: 0.95-4.25; *P* = 0.07; *I*<sup>2</sup> = 0%) were observed.

#### **CONCLUSION**

BLT can potentially treat PPD, showing better results than the control group in this study. BLT is effective and safe and could increase the available therapeutic options for PPD.

Key Words: Bright light therapy; Randomized controlled trial; Perinatal depression; Pregnancy; Meta-analysis

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**Core Tip:** Bright light therapy represents a promising intervention for the treatment of perinatal depression, demonstrating significant efficacy and a favorable safety profile. This non-pharmacological approach is particularly well-received among pregnant and postpartum individuals, as well as their support networks, due to its lack of systemic side effects. The integration of bright light therapy into the psychiatric armamentarium for perinatal depression may enhance therapeutic flexibility and patient adherence, thereby contributing to improved mental health outcomes in this vulnerable population.

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#### INTRODUCTION

Perinatal depression (PPD) is a mood disorder that occurs during pregnancy and up to 12 months after childbirth[1]. The American Psychiatric Association has categorized PPD as a subtype of major depression, with studies indicating a prevalence rate of 10-15% [2], with over 25% of perinatal women experiencing symptoms of depressed mood [3]. According to the World Health Organization 2020, mental illnesses affect 15.6% of pregnant and 19.8% of postpartum women in developing countries, with depression being the most common. A recent epidemiological review in China found that the prevalence rates of perinatal, prenatal, and postpartum depression were 16.3%, 19.7%, and 14.8%, respectively[4]. The elevated rates of depression underscore its substantial impact on the health outcomes of mothers and infants, influencing the well-being of not only the affected individuals but also their family members<sup>[5]</sup>.

Conventional pharmacological treatments commonly prescribed to women during pregnancy demonstrate notable effectiveness but inherent limitations[6]. Selecting antidepressant medications during the perinatal phase is complex, given the delayed onset of action and suboptimal therapeutic outcomes associated with these drugs[7]. Furthermore, extensive research has evaluated the reproductive safety of antidepressants, with a significant increase in discontinuation rates among pregnant women[8]. Furthermore, individuals with untreated PPD are at an increased risk of suicide[9] and prone to extreme behaviors, such as extended suicide<sup>[10]</sup>. The infants of women with PPD are more susceptible to adverse outcomes such as low birth weight and perinatal death[7]. Despite the potential efficacy of conventional antidepressants in alleviating PPD symptoms, one-third of patients do not recover effectively[11]. Patients with PPD have relapse rates of around 50% in subsequent pregnancies[12]. Therefore, effective treatment methods and maintenance strategies for PPD need to be identified.

Bright light therapy (BLT) is a type of physical therapy that uses light rays. A patient is placed in a bright light environment and completes light therapy according to the established time and cycle. Its mechanism may be through regulating the neural circuits of brain function, which plays an important role in the patient's clinical symptoms. While the neural mechanisms by which BLT improves mood are currently unknown[13], BLT has gradually been used to treat mental illnesses in recent years, demonstrating therapeutic effects. However, few studies have examined BLT as an adjunctive treatment for patients with PPD. BLT is safe, effective, and relatively inexpensive compared to other treatments, such as pharmacological and psychological treatments<sup>[14]</sup>. However, studies have been inconsistent, and evidence of its effectiveness in treating PPD needs to be substantiated. Li et al [15] conducted a systematic review of depression scale scores after receiving light therapy to treat depression and sleep in pregnant and postpartum women, concluding that light therapy was effective in relieving depression and sleep; however, the results displayed considerable heterogeneity. The purpose of this review was to systematically synthesize existing studies to further assess the efficacy of BLT in treating PPD in terms of remission rate, response rate, and improvement in depression scores before and after the intervention to identify the evidence-based medical basis for BLT in clinical treatment decisions.

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#### MATERIALS AND METHODS

#### Protocol and registration

This study was registered in PROSPERO (https://www.crd.york.ac.uk/PROSPERO/; Registration Number CRD420245 60280).

#### Information sources and search

We systematically searched PubMed, Embase, the Cochrane Library, and Web of Science using a combination of Medical Subject Headings and free-word methods. Studies were published between the database's inception and December 2023. The search terms used were perinatal, pregnancy, depression, postpartum depression, and light therapy (Supplementary Table 1).

#### Literature eligibility criteria

Inclusion criteria: (1) Randomized control trials (RCTs) written in English; (2) A sample that included perinatal women diagnosed with depression at baseline. All studies excluded patients with other systemic diseases; (3) Experimental groups were treated with BLT, and control groups received dim light therapy (DLT); (4) Outcomes were assessed using the Edinburgh postnatal depression scale, structured interview guide for the Hamilton depression rating scale, and Hamilton depression scale; and (5) The primary outcome was the response to treatment. The secondary outcomes include: Depression remission, improved depression score, adverse events, and treatment drop-out.

Exclusion criteria: (1) Repeated publications; (2) Studies not including outcome indicators; (3) Articles that were case reports, abstracts, comments, letters, and reviews; (4) Studies that used cross-sectional, cohort, case-control, single-arm, and observational designs; and (5) Animal trials.

#### Literature quality evaluation

We used the Cochrane risk-of-bias tool for randomized controlled studies to evaluate the possibility of bias in specific studies. The risk of bias tool evaluates selection, performance, detection, attrition, and reporting indicators[16]. The risk of bias assessment resulted in each article were classified as "low risk of bias", "unclear", or "high risk of bias", and labeled as "+", "-", and "?". Two reviewers assessed the risk of bias separately. When disagreements emerged between the reviewers from the included studies, a consensus was reached through discussion.

#### Data extraction and consolidation

Two researchers independently retrieved the first author, sample size, publication date, study site, average age, diagnosis, frequency and intensity of intervention, drug dosage, duration of intervention, depression scale scores, remission rate, response rate, adverse events, and dropouts. Response rate was defined as a drop of 50% or more in depression score from baseline to the completion of therapy, and remission rate was defined for each study.

#### Statistical methods

We utilized Review Manager 5.3 and Stata 12.0 software for statistical analysis. We used odds ratio (OR) and 95% confidence interval (CI) as the effect size for dichotomous variables. We chose the standardized mean difference and 95%CI as the effect size for the continuous variables because of the differences in the scales used to assess PPD. The metaanalysis indicated significant differences at P < 0.05. The heterogeneity of the entries was evaluated using P statistics. P < 0.05. 50% was not considered significantly heterogeneous using a fixed-effects model.  $l^2 > 50\%$  indicated significant heterogeneity; therefore, we used a random-effects model[17]. The sources of significant heterogeneity were investigated using subgroup analysis and univariate meta-regression. We performed a sensitivity analysis to test the stability of the results. Significant publication bias was indicated by P < 0.05.

#### RESULTS

#### Study selection

Totally 2262 records were retrieved through the search strategy, including PubMed (134), Embase (522), Cochrane Library (354), and Web of Science (1252; Figure 1). We removed 583 duplicate entries using Zotero. Then, the remaining articles were screened for titles and abstracts, and 1630 irrelevant entries were removed. After reading the remaining articles, 43 studies were eliminated because they did not meet the predetermined inclusion and exclusion criteria. Ultimately, six RCTs were chosen to synthesize data.

#### Characteristics of the study

This study included 168 patients with PPD, 87 in the BLT group and 81 in the control group, incorporating data from a total of six RCTs[18-23] published between 2004 and 2022. In this study, the test group was defined based on their use of the BLT for PPD. The light treatments ranged in intensity from 7000 to 10000 Lux, and all studies were performed in the morning. Daily treatments ranged from 30 minutes to 60 minutes, with treatment duration ranging 3 weeks to 6 weeks. The control group received DLT using dim lights < 1000 Lux. All studies used lightboxes. Tables 1 and 2 show the main features of the included trials.



#### Table 1 Essential features of the included research Ref. Location Population Age (years), mean ± SD T/C (n) Bais et al[18], 2020 Netherlands Pregnant DSM-V T: $31.9 \pm 4.4$ 33/34 C: 31.9 ± 5.3 Corral et al[20], 2007 Spain Postnatal DSM-IV; SIGH-SAD $\geq 15$ T: 34.6 ± 4.0 5/10C: $33.6 \pm 2.1$ Donmez et al[19], 2022 Marmara Perinatal DSM-V; EPDS $\geq 12$ T: 29.73 ± 6.57 12/11 C: 28 ± 3.8 Epperson et al[21], 2004 United States DSM-IV; SIGH-SAD $\geq 20$ T: 32.1 ± 3.9 5/5 Pregnant C: $32.1 \pm 3.9$ Garbazza et al[22], 2022 Multicenter Perinatal $EPDS \ge 12$ T: 33 11/11 C: 32 16/11 Wirz-Justice et al[23], 2011 DSM-IV; EPDS $\geq 10$ T: 31 ± 4.7 Italy and Switzerland Pregnant C: 32.7 ± 5.4

T: Treatment group; C: Control group; DSM: Diagnostic and statistical manual of mental disorders, fifth edition; SIGH-SAD: Structured interview guide for the Hamilton depression rating scale; EPDS: Edinburgh postnatal depression scale.

Table 2 Essential features of the included research						
Ref.	Test group	Control group	Duration	Main evaluation scales		
Bais et al[18]	9000 Lux	100 Lux	30 minutes/day, 6 weeks	EPDS; HAMD		
Corral <i>et al</i> [20]	10000 Lux	600 Lux	30 minutes/day, 6 weeks	EPDS; SIGH-SAD		
Donmez et al[19]	10000 Lux	< 500 Lux	45 minutes/day, 3 weeks	EPDS; HAMD; SIGH-SAD		
Epperson <i>et al</i> [21]	7000 Lux	500 Lux	60 minutes/day, 5 weeks	EPDS; HAMD; SIGH-SAD		
Garbazza et al[22]	10000 Lux	19 Lux	30 minutes/day, 6 weeks	EPDS		
Wirz-Justice <i>et al</i> [23]	7000 Lux	70 Lux	60 minutes/day, 5 weeks	SIGH-SAD; HAMD		

EPDS: Edinburgh postnatal depression scale; HAMD: Hamilton depression scale; SIGH-SAD: Structured interview guide for the Hamilton depression rating scale.

#### Evaluation of RCT quality

Figure 2 shows the risk of bias evaluation for the six RCTs, which had a low probability of bias overall. Six studies incorporated randomization into their design, and three outlined their randomization method (i.e., random number table or computer randomization). Two provided detailed information on distribution concealment (i.e., the use of envelopes or methods for keeping the shape of the machine identical in appearance). One study had its blinding disrupted, and the remaining 5 studies used double-blinding to avoid bias. Follow-up bias was observed in one study, and a low risk was observed in the other investigations. Four RCTs were broadly in line with the individual design of the study and had a low chance of reporting bias. Two did not mention if any bias was associated with the experimental design in question. All six studies were considered at low risk for other types of bias.

#### Meta-analysis results

**Response rate:** We investigated the six studies' treatment response rates, which were defined as a 50% or greater reduction in depression scores from baseline to treatment completion. The pooled data showed a statistically significant difference between the test and control groups (50.68% vs 33.08%; OR = 2.05, 95% CI: 1.25-3.35, P = 0.004,  $l^2 = 35\%$ ; Figure 3A).

Remission rate: Four RCTs reported the remission rates of depressive symptoms after the intervention, with remission being defined differently depending on each study's criteria. The results were statistically significant, with higher remission rates in the test group than in the control group (54.10% vs 18.52%; OR = 5.00, 95% CI: 2.09-11.99, P = 0.0003; I<sup>2</sup> = 0%; Figure 3B).



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Figure 1 Flowchart for searching and screening literature. RCT: Randomized control trial.





**Improvements in depression score:** Five studies investigated the efficacy of neuromodulation therapies for PPD, which were measured before and after treatment using the structured interview guide for the Hamilton depression rating scale, Edinburgh postnatal depression scale, or Hamilton depression scale. According to the pooled results (standardized mean difference = -0.47, 95%CI: -0.80 to -0.13, P = 0.007,  $I^{c} = 0\%$ ), we used a fixed effects model (Figure 3C). The depression scores showed better overall efficacy against PPD in the neuromodulation group than did the control group.
Α	Experim	ental	Contr	ol		Odds ratio		Odd	s ratio	
Study or subgroup	Events	Total	Events	Total	Weight	M-H, fixed, 95%	CI	M-H, fix	ed, 95%CI	
Bias 2020b	13	33	17	34	45.3%	0.65 [0.25, 1.71]			<u> </u>	
Bias 2020c	11	33	9	34	26.4%	1.39 [0.49, 3.97]			+=	
Corral 2007a	3	10	1	5	4.2%	1.71 [0.13, 22.51]				
Donmez 2022b	7	12	2	11	3.9%	6.30 [0.93, 42.73]				_
Donmez 2022c	5	12	1	11	2.7%	7.14 [0.68, 75.22]		-		
Epperson 2004a	3	5	2	5	3.6%	2.25 [0.18, 28.25]				
Garbazza 2022c	8	11	3	11	3.7%	7.11 [1.09, 46.44]				_
Wirz-Justice 2011a	12	16	4	11	5.3%	5.25 [0.99, 27.89]				
Wirz-Justice 2011b	13	16	5	11	5.0%	5.20 [0.92, 29.26]			· · · · ·	
Total (95%Cl)		148		133	100.0%	2.05 [1.25, 3.35]			<b>•</b>	
Total events	75		44							
Heterogeneity: Chi <sup>2</sup> =	12.37, df =	8(P = 0)	).14); l² =	35%			+		1 10	+
Test for overall effect:	Z = 2.86 (F	P = 0.004	4)				0.01	0.1	1 10	100
		,	.,				⊦avo	urs [experimental]	⊢avours [control]	

В	Experim	ental	Contr	ol		Odds ratio	Odds ratio
Study or subgroup	Events	Total	Events	Total	Weight	: M-H, fixed, 95%	CI M-H, fixed, 95%CI
Corral 2007a	1	5	1	10	11.4%	2.25 [0.11, 45.72]	
Donmez 2022b	8	12	2	11	14.8%	9.00 [1.29, 63.02]	
Donmez 2022c	8	12	1	11	7.4%	20.00 [1.85, 216.18]	
Wirz-Justice 2011a	5	16	2	11	34.8%	2.05 [0.32, 13.16]	
Wirz-Justice 2011b	11	16	4	11	31.6%	3.85 [0.76, 19.47]	
Total (95%Cl)		61		54	100.0%	5.00 [2.09, 11.99]	◆
Total events	33		10				
Heterogeneity: Chi <sup>2</sup> = 2	2.91, df = 4	(P = 0.5)	57); l <sup>2</sup> = 0	%			
Test for overall effect:	Z = 3.61 (P	P = 0.000	03)				Favours [experimental] Favours [control]

С	Exp	perimental			Control		Sto	I. mean difference	Std. mean difference
Study or subgroup	Mear	ו SD	Total	Mear	ו SD	Total	Weight	IV, fixed, 95%C	I IV, fixed, 95%CI
Corral 2007a	-13.1	6.67308025	10	-15.6	6.80881781	5	9.7%	0.35 [-0.73, 1.43]	
Donmez 2022b	-9.66	9.46833143	12	-5.73	6.62905725	11	16.4%	-0.46 [-1.29, 0.37]	
Donmez 2022c	-10.08	5.05635244	12	-4.82	4.45592864	11	14.5%	-1.06 [-1.95, -0.18]	
Epperson 2004a	-10.3	5.85752898	5	-12	7.7315858	5	7.3%	0.22 [-1.02, 1.47]	
Garbazza 2022c	-0.5	6.31804523	11	1	3.5717225	11	16.0%	-0.28 [-1.12, 0.56]	
Wirz-Justice 2011a	-15.6	6.50922422	16	-11.9	6.72235078	11	18.5%	-0.54 [-1.33, 0.24]	
Wirz-Justice 2011b	-11.2	4.6808119	16	-7.1	5.29150262	11	17.6%	-0.81 [-1.61, -0.00]	
Total (95%CI)			82			65	100.0%	-0.47 [-0.80, -0.13]	◆
Heterogeneity: Chi <sup>2</sup> = 6	6.01, df =	6 (P = 0.42);	l² = 0%						
Test for overall effect:	Z = 2.72	( <i>P</i> = 0.007)							Favours [experimental] Favours [control]

Figure 3 Meta-analysis results. A: Forest plot of response rate; B: Forest plot of remission rate; C: Forest plot of improvement in depression score. The a, b, and c represent the results of one test for Edinburgh postnatal depression scale, structured interview guide for the Hamilton depression rating scale, and Hamilton depression scale, respectively. CI: Confidence interval.

**Incidence of adverse events:** Two studies reported detailed data on the adverse effects (AEs). Four studies reported no AEs, including headaches, dizziness, sleep problems, nausea, and vomiting, with dizziness being the most common. The two groups in each article were analyzed by adding various adverse reaction times for each article. The combined findings of these two studies found no significant difference in AEs between the trial and control groups (17.89% *vs* 9.68%; OR = 2.01, 95% CI: 0.95-4.25, P = 0.07; I = 0%; Supplementary Figure 1).

**Drop-outs:** We observed no significant difference in drop-outs between the two groups (21.84% *vs* 29.63%; OR = 0.63, 95%CI: 0.31-1.29, P = 0.21; I = 0%; n = 168; Supplementary Figure 2).

**Sensitivity analysis:** We performed sensitivity analyses for the response rates, remission rates, and depression score improvement and found that the effect sizes for all studies were within the 95%CI of the total compound effect size, with the overall study results being reliable (Supplementary Figure 3). Our analysis of the PPD remission and response rates using a one-by-one exclusion method also showed that the overall study results were reliable.

**Publication bias:** Funnel plots were used to determine the remission rate, response rate, and depression score improvement (Supplementary Figure 4). Since this study included fewer than ten papers, Egger's analysis was not performed.

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### DISCUSSION

In the present meta-analysis, we found that BLT was effective in treating PPD. As we hypothesized, the response rates, remission rates, and depression score improvements in the test group significantly differed from the control group. The present study confirmed the efficacy of 7000-10000 Lux light therapy for PPD, while the corresponding low-intensity light treatment in the control groups showed a non-significant decrease in PPD-related depression scale scores. The 1250 Lux blue-enriched white light has also been shown to be effective in improving depression in postpartum women[24] in several single-arm evaluations[25-28]. However, owing to the limited number of studies and the small sample size in our meta-analysis, further collection of unpublished data is required to provide further support.

BLT for PPD remains a clinical challenge. Current guidelines recommend psychotherapy (*e.g.*, cognitive-behavioral therapy and interpersonal psychotherapy) for mild-to-moderate PPD and medication for severe PPD; however, these treatments have not achieved the desired results. Although some emerging interventions (*e.g.*, transcranial magnetic stimulation, transcranial direct current stimulation, and vagus nerve stimulation) are controversial, research has demonstrated the effectiveness of light therapy in treating PPD. However, some study results were inconsistent. One critical reason for this inconsistency is the small sample sizes of the studies or that they were conducted at a single research site, limiting the credibility of the statistical analysis. Many researchers have reported challenges in enrolling patients, resulting in the studies failing to achieve the expected sample size. However, no statistical differences between the two groups were found in terms of AEs, which were transient and disappeared shortly after treatment. We found no significant differences in the test groups' detachment rates compared to the rates in the control group, suggesting lower AEs or no effect on detachment in the test groups in women with PPD, in response to the better safety and acceptability of BLT.

Follow-up data and literature on prolonged interventions are not currently available. The longest BLT intervention among the studies in this meta-analysis was 10 weeks, and in this intervention, one participant developed hypomania, recovering after decreasing the daily intervention time. Some patients showed improvement without symptomatic relief after increasing their daily irradiation duration, suggesting that individualized treatment for light therapy improves the likelihood of its success[21]. Subgroup analysis of the length of intervention showed better efficacy for interventions lasting three and five weeks than six weeks, similar to previous results. Previous meta-analyses reported greater efficacy among interventions less than 6 weeks[29] or 2-3 weeks[15]. However, a regression analysis of existing literature reported a significant effect for BLT interventions lasting 10 weeks compared to 5 weeks on the mood of patients with PPD[21]. Subgroup analyses for intervention intensity showed that 7000 Lux interventions were more effective than 10000 Lux interventions, which was unexpected (Supplementary Table 2). The relationship between the length of each intervention, the timing of the intervention, and efficacy remains controversial, which may be related to the mechanism of light therapy also affecting mood through hormones due to its alteration of circadian rhythms *via* the eye-brain hormone regulatory pathway[30]. The mechanism of BLT has not been clarified, and further studies on the light information transduction pathways associated with depression are needed.

Light therapy is a non-invasive neuromodulation treatment showing promising results; however, other neuromodulation therapies have been used to treat PPD, with some showing positive effects. Transcranial magnetic stimulation treatment, which stimulates both the right and left dorsolateral prefrontal cortex, effectively improves depressive symptoms in patients with PPD[31-33]. Vigod *et al*[34] concluded that a randomized clinical trial of transcranial direct current stimulation treatment for depression during pregnancy was feasible. Similarly, a case-control study demonstrated the efficacy of electroconvulsive therapy to treat PPD[35], and several case reports and related studies have also reported the feasibility and safety of vagus nerve stimulation in treating PPD[36-39]. Unfortunately, few reliable RCTs are currently available. In addition, several clinical studies on neuromodulation therapies are actively in progress, and we plan to conduct large randomized controlled trials to comprehensively study the efficacy and safety of neuromodulation therapies, including phototherapy, for treating PPD.

Our study had a few limitations, we only included studies that recruited participants diagnosed with PPD who received either BLT or DLT interventions; therefore, the sample size for the meta-analysis was small. Further collection of relevant unpublished results is required to consolidate the results of the current analysis. Although we excluded higher-risk bias studies, other biases may still be present. Additionally, some studies included participants who were also being treated using pharmacotherapy, potentially affecting the results. Third, differences in the timing of BLT existed, but all the included studies showed low heterogeneity in the results; therefore, we did not analyze heterogeneity in this meta-analysis. Our sensitivity analysis suggested stable results.

### CONCLUSION

BLT showed significant efficacy in treating PPD. While it was effective in reducing depression symptoms among women with PPD, BLT's long-term outcomes remain unclear. Currently, more randomized controlled trials are required to assess the effectiveness and safety of PPD interventions. In summary, BLT has great potential for treating PPD. Better results are available, which could expand the therapeutic options available to psychiatrists.

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# FOOTNOTES

Author contributions: Yang X contributed to the conceptualization, data curation, formal analysis, investigation and methodology of the study and the writing of the manuscript; Yang X and Gao YY contributed to the writing the manuscript, they contributed equally to this article, they are the co-first authors of this manuscript; Gao YY, Xu SQ, Wang JC, Ma YJ, and Jiao LH contributed to the investigation of the study; Wang L and Bashir S contributed to the investigation and formal analysis of the study; Wang XY contributed to the quality and professional revision; An CX and Wang R contributed to the conceptualization and funding acquisition of the study, they contributed equally to this article, they are the co-corresponding authors of this manuscript; Wang R contributed to the revision of the manuscript; and all authors thoroughly reviewed and endorsed the final manuscript.

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META-ANALYSIS

# Incidence of luminal gastrointestinal cancers in patients with cirrhosis: A systematic review and meta-analysis

Manisha Jogendran, Kai Zhu, Rohit Jogendran, Nasruddin Sabrie, Trana Hussaini, Eric M Yoshida, Daljeet Chahal

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# Abstract

### BACKGROUND

The global incidence of cirrhosis and luminal gastrointestinal cancers are increasing. It is unknown if cirrhosis itself is a predisposing factor for luminal gastrointestinal cancer. Such an association would have significant clinical implications, particularly for cancer screening prior to liver transplantation.

### AIM

To investigate the incidence of luminal gastrointestinal cancers in patients with underlying cirrhosis.

### **METHODS**

An electronic search was conducted to study the incidence of luminal gastrointestinal cancers in patients with cirrhosis. Study-specific standardized incidence ratios (SIR) along with corresponding 95%CI for both overall cancer incidence and luminal cancer incidence were analyzed using a random-effects model. Subgroup analysis was performed based on cirrhosis etiology and location of luminal malignancy.



Jogendran M et al. Incidence of gastrointestinal cancers in cirrhosis

### RESULTS

We identified 5054 articles; 4 studies were selected for data extraction. The overall incidence of all cancers was significantly higher in patients with cirrhosis, with an SIR of 2.79 (95%CI: 2.18–3.57). When stratified by cirrhosis etiology, the incidence of luminal cancers remained significantly elevated for alcohol (SIR = 3.13, 95%CI: 2.24–4.39), Primary Biliary Cholangitis (SIR = 1.40, 95%CI: 1.10–1.79), and unspecified cirrhosis (SIR = 3.52, 95%CI: 1.87–6.65).

### CONCLUSION

The incidence of luminal gastrointestinal cancer is increased amongst patients with cirrhosis. Oral cavity, pharyngeal and esophageal cancer had increased incidence across all cirrhosis etiologies compared to gastric and colorectal cancer. Therefore, increased screening of luminal cancers, and in particular these upper luminal tract subtypes, should be considered in this population.

Key Words: Liver disease; Cancer epidemiology; Cirrhosis

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**Core Tip:** Very limited data remains studying the association between luminal gastrointestinal cancers and cirrhosis. We showed that overall incidence of gastrointestinal cancers is higher in patients with cirrhosis. Alcohol related cirrhosis had the highest incidence of oral and pharyngeal cancer. Primary biliary cirrhosis did not demonstrate higher incidence of cancer sites.

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# INTRODUCTION

The global incidence of cirrhosis is rising, paralleled by a growing number of patients with gastrointestinal cancers[1,2]. Notably, patients with gastrointestinal cancer may also have underlying cirrhosis[3,4]. The presence of cirrhosis complicates the management of patients with malignancy, potentially limiting both surgical and chemotherapeutic options due to concerns regarding increased peri-operative hepatic decompensation and hepatotoxicity[5,6]. Importantly, the presence of malignancy would detrimentally impact post liver transplant outcomes, and if detected during transplant assessment, may exclude patients from liver transplantation altogether[7].

While it is widely acknowledged that liver cirrhosis is associated with heightened susceptibility to hepatocellular carcinoma (HCC), there are limited data regarding the incidence of extrahepatic cancers in cirrhosis. As such, we have herein conducted a systematic review and meta-analysis to investigate the incidence of luminal gastrointestinal cancers in patients with underlying cirrhosis.

# MATERIALS AND METHODS

### Search strategy

This systematic review followed the Preferred Reporting Items for Systematic Reviews and Meta-analyses statement. An electronic search of EMBASE (1974-present), MEDLINE (1946-present), EBM Reviews-Cochrane Central Register of Controlled Trials (1946-present) and EBM Reviews-Cochrane Database of Systematic Reviews (2005-present) was conducted to study the incidence of gastrointestinal cancer in cirrhosis. The MeSH searched were "cirrhosis" AND "esophageal cancer" OR "colon cancer" OR "gastric cancer" OR "small bowel cancer" OR "rectal cancer" OR "oropharyngeal cancer". From these initially selected articles, the references were analyzed to identify additional relevant articles. Please refer to our appendix documents for full details regarding our search strategy.

### Study selection

Three investigators (Jogendran M, Jogendran R, Sabrie N) conducted the initial screen by independently reviewing study titles and abstracts to identify full text studies examining the incidence of luminal gastrointestinal cancers in patients with cirrhosis. Only studies in English were used in this systematic review. Those that met the criteria were reviewed in full. Disagreements between reviewers were resolved through consensus.

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### Data extraction

Two investigators (Jogendran M, Jogendran R) extracted and tabulated the following data: (1) Study characteristics (title, author, location, type of study, region, study period); (2) Cause of cirrhosis; (3) Demographics (gender and age); and (4) Cancer characteristics [number of cancers, standardized incidence ratio (SIR), and mean follow-up years]. The primary outcome assessed was the incidence of gastrointestinal cancers.

### Data synthesis and analysis

Study-specific SIRs along with their corresponding 95%CI for both overall cancer incidence and luminal cancer incidence were synthesized using a random-effects model. We employed the DerSimonian and Laird random-effects model due to expected clinical and methodological diversity among the included studies. The relative weight of each study was determined through generic inverse-variance weighting. However, due to the limited number of included studies, we did not generate a funnel plot to assess publication bias. For a subgroup analysis of the association between cirrhosis and luminal malignancy, we stratified patients based on their cirrhosis etiology, which included alcohol related liver disease, PBC, or unspecified causes. Furthermore, luminal malignancy was further sub-grouped, where reported, into oral cavity and pharynx, esophageal, stomach, and colorectal cancer.

Statistical heterogeneity was assessed using Cochran's Q statistic and  $l^2$  statistic, where P < 0.10 and  $l^2 > 50\%$  were considered significant indicators of heterogeneity. The significance level for all other statistical tests was set at P < 0.05. RevMan version 5.4 (Copenhagen, Denmark) was used for all statistical analyses

### RESULTS

### Search results

The search strategy identified 5054 articles after duplicates were removed, 4927 were excluded after examining the title and abstract. Full text review of 127 papers was then undertaken. Of these, 4 studies were selected for data extraction. Studies were excluded for various reasons, including being reviews, case reports, wrong outcomes, wrong patient population and not being in the English language (Figure 1). All studies were retrospective studies and conducted in European countries[8-11].

### Study characteristics

The study characteristics of the included summaries are outlined in Table 1. A total of 23877 patients were included, comprising 16722 patients with alcohol related cirrhosis, 744 with primary biliary cholangitis (PBC) cirrhosis, 1690 with chronic hepatitis cirrhosis, and 4721 with unspecified cirrhosis. The studies were conducted in Europe, including Finland,



### Table 1 Study characteristics of included studies, n (%)

Ref.	Study years	Country	Patient population	Sex, female	Age, mean years	Mean follow- up (years)
Sahlman <i>et al</i> [ <mark>8</mark> ]	1996- 2012	Finland	Alcohol related cirrhosis: 7746 (65). Alcoholic hepatitis: 4127 (35)	3077 (26)	N/A	2.9
Kalaitzakis et al <mark>[9</mark> ]	1994- 2005	Sweden	Alcohol related cirrhosis: 492 (48). Non-specified cirrhosis: 527 (52)	323 (32)	52 (12)	3.3
Goldacre <i>et al</i> [10]	1963- 1999	England	Alcohol related cirrhosis: 1319 PBC; cirrhosis: 424; non-specified cirrhosis: 1764. Alcohol related cirrhosis: 7165 (62). PBC cirrhosis: 320 (3). Chronic hepatitis cirrhosis: 1690 (14)	1603 (46)	N/A	N/A
Sorensen <i>et al</i> [11]	1977- 1989	Denmark	Non-specified cirrhosis: 2430 (21)	4547 (39)	N/A	6.4

PBC: Primary biliary cholangitis; N/A: Not applicable.

				SIR				s	IR		
Study or subgroup	Log [SIR]	SE	Weight 🔅	IV, Random, 95%CI	Year		1	IV, Rando	om, 95%CI		
1.1.1 ALD cirrhosis											
Sorensen 1998	0.79	0.03	12.0%	2.20 [2.08, 2.34]	1998				-		
Goldacre 2008	0.89	0.1	11.2%	2.44 [2.00, 2.96]	2008						
Kalaitzakis 2011	1.7	0.1	11.2%	5.47 [4.50, 6.66]	2011						
Sahlman 2016 Subtotal (95% Cl)	1.21	0.04	11.9% 46.2%	3.35 [3.10, 3.63] 3.13 [2.24, 4.39]	2016						
Heterogeneity: Tau <sup>2</sup> =	= 0.11; Chi <b></b> ²	= 12	5.81, df = 3	8 ( <i>P</i> < 0.00001); I <sup>2</sup> = 98	3%						
Test for overall effect	: Z = 6.63 (A	? < 0.I	00001)								
1.1.2 PBC cirrhosis											
Sorensen 1998	0.34	0.16	10.1%	1.40 [1.03, 1.92]	1998				<b></b>		
Goldacre 2008 Subtotal (95% Cl)	0.34	0.2	9.2% 19.3%	1.40 [0.95, 2.08] 1.40 [1.10, 1.79]	2008				•		
Heterogeneity: Tau <sup>2</sup> =	= 0.00; Chi <sup>z</sup>	= 0.0	10, df = 1 (A	P = 1.00); I <sup>2</sup> = 0%							
Test for overall effect	: Z = 2.72 (P	<b>? = 0</b> .1	007)								
1.1.3 Unspecified cir	rhosis										
Sorensen 1998	0.69	0.05	11.8%	1.99 [1.81, 2.20]	1998				+		
Goldacre 2008	1.14	0.07	11.6%	3.13 [2.73, 3.59]	2008				-	-	
Kalaitzakis 2011	1.97	0.11	11.0%	7.17 [5.78, 8.90]	2011						-
Subtotal (95% CI)			34.4%	3.52 [1.87, 6.65]							
Heterogeneity: Tau <sup>2</sup> =	= 0.31; Chi <b></b> ≇	= 11!	9.86, df = 2	2 ( <i>P</i> < 0.00001); <b>I<sup>2</sup> =</b> 98	3%						
Test for overall effect:	: Z = 3.89 (A	° < 0.I	0001)								
Total (95% CI)			100.0%	2.79 [2.18, 3.57]					-		
Heterogeneity: Tau <sup>2</sup> =	= 0.13; Chi <b></b> ²	= 27	1.11, df = 8	3 ( <i>P</i> < 0.00001); I <sup>2</sup> = 97	7%				1 1		-
Test for overall effect	: Z = 8.14 (A	× 0.۱	00001)			0.1	0.2	0.5	1 2	5	10
Test for subgroup dif	ferences: C	hi² =	17.81, df=	= 2 ( <i>P</i> = 0.0001), I <sup>2</sup> = 8	8.8%						

Figure 2 Forest plots of standardized incidence ratio for overall cancer risk in patients with cirrhosis. SIR: Standardized incidence ratio; ALD: Alcoholic liver disease; PBC: Primary biliary cholangitis.

Sweden, England, and Denmark. The study durations ranged from 11 to 36 years, with a mean of 16.6 years.

### Cirrhosis and overall risk of cancer

The overall incidence of all cancers was significantly higher in patients with cirrhosis, with a significant SIR of 2.79 (95%CI: 2.18-3.57,  $I^2 = 97\%)$  (Figure 2). When stratified by cirrhosis etiology, the incidence of all cancers remained significantly elevated for alcohol related (SIR = 3.13, 95%CI: 2.24-4.39, I<sup>2</sup> = 98%), PBC (SIR = 1.40, 95%CI: 1.10-1.79, I<sup>2</sup> = 0%), and unspecified cirrhosis (SIR = 3.52, 95%CI: 1.87-6.65, I<sup>2</sup> = 98%). Unspecified cirrhosis had the greatest overall cancer risk followed by alcohol related cirrhosis, and PBC cirrhosis (P < 0.01). High heterogeneity was observed and persisted even after conducting subgroup analyses based on cirrhosis etiology.

### Alcohol related cirrhosis and risk of luminal cancer

Alcohol related cirrhosis was significantly associated with an increased incidence of luminal cancers (SIR = 4.39, 95% CI: 2.84-6.78,  $l^2 = 92\%$ ) (Figure 3). Specifically, the highest incidence was observed for oral cavity and pharyngeal cancer (SIR = 10.44, 95% CI: 8.90-12.24, *I*<sup>2</sup> = 0%), followed by esophageal cancer (SIR = 7.85, 95% CI: 4.98-12.36, *I*<sup>2</sup> = 45%), and colorectal





Figure 3 Forest plots of standardized incidence ratio for luminal cancer risk in patients with alcoholic cirrhosis. SIR: Standardized incidence ratio.

cancer (SIR = 2.43, 95% CI: 1.62-3.66,  $l^2$  = 67%) (P < 0.01). The incidence of gastric cancer was not significantly associated with alcohol related cirrhosis (SIR = 1.84, 95%CI: 0.93-3.65,  $l^2 = 59\%$ ). Heterogeneity was less pronounced when subgrouped according to the etiology of luminal cancer, but significant differences remained for the stomach and colorectal cancer subgroups.

### PBC cirrhosis and risk of luminal cancer

PBC cirrhosis is significantly associated with an increased incidence of luminal cancers (SIR = 2.11, 95% CI: 1.10-4.04,  $l^2$  = 0%) (Figure 4). However, subgroup analysis did not reveal a significant association with the incidence of any subgroups, including esophageal, stomach, or colorectal cancer. There was no significant statistical heterogeneity among the studies.

### Unspecified cirrhosis and risk of luminal cancer

Unspecified cirrhosis was significantly linked to an increased incidence of luminal cancers (SIR = 2.60, 95% CI: 1.65-4.09, I<sup>2</sup> = 78%) (Figure 5). Risk of oral cavity and pharynx cancers (SIR = 4.75, 95%CI: 2.18-10.33,  $l^2 = 37\%$ ) were greatest, followed by esophageal (SIR = 4.52, 95%CI: 1.57-2.99, *I*<sup>2</sup> = 73%), stomach (SIR = 2.12, 95%CI: 1.31-3.42, *I*<sup>2</sup> = 0%), and colorectal cancer (SIR = 1.57, 95% CI: 1.14-2.16,  $l^2 = 0\%$ ) (P = 0.03). When stratified by luminal cancer subgroups, only esophageal cancer exhibited statistical heterogeneity, while the others did not.

### DISCUSSION

The association between cirrhosis and HCC is well-established [12,13]. Patients diagnosed with cirrhosis undergo routine HCC screening due to increased cancer risk[14]. However, screening remains limited for luminal gastrointestinal cancers in this patient population[15]. Given the growing literature, it is crucial to systematically evaluate the possibility of



Jogendran M et al. Incidence of gastrointestinal cancers in cirrhosis



Figure 4 Forest plots of standardized incidence ratio for luminal cancer risk in patients with primary biliary cholangitis cirrhosis. SIR: Standardized incidence ratio.



Figure 5 Forest plots of standardized incidence ratio for luminal cancer risk in patients with non-specified cirrhosis. SIR: Standardized incidence ratio.

increased risk of developing luminal gastrointestinal cancers in cirrhosis patients, considering both the etiology of cirrhosis and the specific sites of gastrointestinal cancer. Our meta-analysis incorporated four studies and revealed that the overall incidence of all luminal gastrointestinal cancers was higher in patients with cirrhosis. Notably, alcohol related cirrhosis exhibited the highest incidence of oral and pharyngeal cancer, followed by esophageal and colorectal cancer. On the other hand, PBC did not demonstrate a significantly higher incidence within the specified cancer site subgroups. However, our study had high heterogeneity in our analysis which may limit the validity of these findings.

Prior studies have demonstrated similar findings to our review. For instance, Zullo *et al*[16] concluded a 2.6-fold increase in the prevalence of gastric cancer among individuals with cirrhosis. Additionally, a study led by Pan *et al*[17], encompassing 1391165 admissions for gastrointestinal malignancies, found that 81.7% of cirrhosis cases were associated with HCC, 8.1% with pancreatic cancer, and 5.7% with colorectal cancer. Patients with cirrhosis also exhibited a higher mortality risk, possibly attributed to limited treatment options due to underlying cirrhosis[17]. The increase in cirrhosis and luminal gastrointestinal cancers may be related to shared risk factors between certain gastrointestinal cancers and cirrhosis, such as alcohol and tobacco use. It is also hypothesized that gastric erosive ulcers, congestive gastropathy, zinc deficiency, and alterations in gastrointestinal microbiota, which are all features of cirrhosis, can be associated with malignancy[3].

Despite employing a systematic review and meta-analysis methodology there remain inherent limitations to this study. Our meta-analysis primarily included a diverse range of patients from various study designs, which could potentially impact the interpretation of our results. Moreover, our study primarily focused on a European population, and the findings may not be directly applicable to other regions. Furthermore, the underlying causes of cirrhosis varied, encompassing alcohol related, PBC, and unspecified aetiologies. This lack of specificity in the unspecified group presents a challenge, as it makes it difficult to discern the exact aetiologies within this category. There may be cases that overlap with alcohol related and PBC-related cirrhosis. Ideally, future retrospective or prospective studies should incorporate standardized data on covariates. Finally, all studies included were retrospective in nature. Prospective cohort studies evaluating the incidence of luminal gastrointestinal malignancy in patients with cirrhosis may be of use in the future.

### CONCLUSION

In conclusion, our analysis demonstrated a significant increase in luminal gastrointestinal cancers in patients with cirrhosis in comparison to the general population. As such, we believe that screening for luminal gastrointestinal cancers may be needed for patients with cirrhosis. Screening for oropharyngeal malignancy may be of importance during assessment for liver transplantation, particularly in patients with alcohol related disease. Comprehensive, long-term studies will be necessary for potential redevelopment of screening guidelines including, esophageal and oropharyngeal screening.

# FOOTNOTES

**Author contributions:** Jogendran M, Chahal D designed the research study; Jogendran M, Zhu K, Sabrie N, Jogendran R performed the research; Chahal D, Hussaini T, Yoshida EM contributed new reagents and analytic tools; Jogendran M and Zhu K analyzed the data and wrote the manuscript. All authors have read and approved the final manuscript.

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META-ANALYSIS

# Risk factors associated with the development of chronic pouchitis following ileal-pouch anal anastomosis surgery for ulcerative colitis

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# Abstract

# BACKGROUND

Chronic pouchitis remains a significant and prevalent complication following ileal pouch-anal anastomosis in patients with ulcerative colitis.

# AIM

To identify potential risk factors for the development of chronic pouchitis.

### **METHODS**

Predictors of chronic pouchitis were investigated through a systematic review and meta-analysis. A comprehensive search of the Medline, EMBASE, and PubMed databases was undertaken to identify relevant studies published up to October 2023. Meta-analytic procedures employed random-effects models for the combination of estimates, with the I<sup>2</sup> statistic used to assess between-study heterogeneity.

# RESULTS

Eleven studies with a total of 3722 patients, comprising 513 with chronic pouchitis and 3209 patients without, were included in the final analysis. Extraintestinal manifestation [odds ratio (OR) = 2.11, 95% confidence intervals (CI): 1.53-2.91, P < 0.001,  $I^2 = 0\%$ ], specifically primary sclerosing cholangitis (PSC) (OR = 3.69,



95%CI: 1.40-9.21, P = 0.01,  $l^2 = 48\%$ ), and extensive colitis (OR = 1.96, 95%CI: 1.23-3.11, P = 0.00,  $l^2 = 31\%$ ) were associated with an increased risk of chronic pouchitis. Other factors, including gender, smoking status, family history of inflammatory bowel disease and ileal pouch anal anastomosis surgical indication were not significantly associated with chronic pouchitis.

### CONCLUSION

Extraintestinal manifestations, PSC and extensive colitis are associated with the development of chronic pouchitis. These findings underscore the importance of comprehensive pre-operative assessment and tailored post operative management strategies.

Key Words: Ulcerative colitis; Ileal pouch-anal anastomosis; Pouch; Chronic pouchitis; Risk factor

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**Core Tip:** Pouchitis is the most frequent complication after ileal pouch anal anastomosis (IPAA) surgery. This condition can significantly impact a person's quality of life, leading to social isolation. Identifying the risk factors of chronic pouchitis could lead to more personalized patient care, better preoperative counselling, and potential interventions to reduce the risk of chronic pouchitis in patients undergoing IPAA surgery for ulcerative colitis in the future and improve long-term outcomes.

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### INTRODUCTION

Ileal pouch anal anastomosis (IPAA) surgery is frequently performed in patients with ulcerative colitis (UC) who have medically refractory disease, acute severe colitis, or histologically confirmed dysplasia. These conditions represent serious complications of UC that often require surgical intervention. While IPAA provides a valuable option for many patients, the fact that the 10-year colectomy rate of patients with UC has been reported as approximately 10%-30% in Western countries underscores several important points[1]. It highlights the potential for disease progression despite available treatments, the need for ongoing research into more effective therapies, and the importance of close monitoring and follow-up for UC patients. The preferred procedure for these conditions is a total proctocolectomy followed by surgical IPAA creation, but this statistic emphasizes that even with this procedure, some patients may still experience challenges post resection.

The most commonly reported complication following IPAA surgery is pouchitis, which can develop in up to 70% of patients within 5 years of surgery[2]. Pouchitis is diagnosed by active symptoms of pouch dysfunction and endoscopic evidence of inflammatory activity[3]. Antibiotics effectively control inflammation and symptoms for most pouchitis patients in the short term[4]. However, up to 30% of these patients develop chronic pouchitis, which may be responsive or resistant to antibiotics[5-7]. These conditions are termed "chronic antibiotic-refractory pouchitis" and "chronic antibiotic-dependent pouchitis" respectively[8]. While acute pouchitis is typically treatable and does not significantly impact functional pouch outcomes, chronic pouchitis is associated with significant morbidity and an increased incidence of pouch failure.

Treatment of chronic antibiotic-refractory and antibiotic-dependent pouchitis remains challenging due to a lack of evidence guiding therapeutic decisions. A systematic review and meta-analysis of existing literature of real-world experience on currently available therapies for chronic pouchitis published in 2023 by Khoo *et al*[9] found that vedolizumab and ustekinumab are effective in treating chronic pouchitis with a good safety profile. EARNEST is the only well-powered randomized controlled trial demonstrated the positive effects of vedolizumab in treating chronic pouchitis disease activity index[10]. This evidence led to vedolizumab's approval by the European Medicines Agency for treating chronic pouchitis. Other treatments used for UC have been used empirically to treat chronic pouchitis with variable efficacy[9]. As a result, in severe cases of intractable chronic pouchitis, it may be necessary to divert or resect the ileal pouch.

The paucity of high-quality studies to date indicates an unmet therapeutic need in the management of chronic pouchitis. Hence, identifying risk factors for chronic pouchitis is crucial for categorizing clinical profiles and predicting disease progression. Early recognition, even prior to IPAA surgery, is important to allow for interventions, such as counselling, risk assessment and postoperative management. These measures are crucial to enhance long-term patient outcomes and prevent complications.

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Multiple risk factors for the development of chronic pouchitis have been investigated, including smoking history, male gender, extensive colitis, primary sclerosing cholangitis (PSC), and indication of IPAA surgery[11]. These risk factors were often derived from cohort or case-control studies. The risk factors reported in the literature have been inconsistent and sometimes contradictory. While systematic reviews and meta-analyses have focused on serological markers, microbiota, and extraintestinal manifestations as risk factors for the development of chronic pouchitis, none have evaluated the consistency of the previously reported clinical predictive risk factors which focused specifically on chronic pouchitis<sup>[12-14]</sup>.

The aim of this study is to assess factors, including demographic and clinical characteristics, as well as pathology-based variables, for the development of chronic pouchitis after IPAA surgery in patients with UC. Our meta-analysis offers a more comprehensive and statistically robust assessment of these risk factors compared to previously published individual cohort or case-control studies. Identifying the risk factors of chronic pouchitis could inform more personalised patient management strategies, improve preoperative counselling, and potentially guide interventions to mitigate the risk of chronic pouchitis in patients undergoing IPAA surgery for UC in the future and improve long-term outcomes.

# MATERIALS AND METHODS

### Search strategy

A systematic literature search of the Medline, EMBASE and PubMed databases was conducted to identify relevant articles published up to October 2023. Studies assessing the risk factors for the development of chronic pouchitis were searched using the Medical Subject Headings terms 'ulcerative colitis' and 'pouchitis', or 'IPAA', along with 'risk factors', 'predictor', 'prevalence' or 'incidence'. The titles and abstracts of the identified studies were independently reviewed by two authors (Khoo E and Gilmore R) to exclude those that did not address the research questions of interest. Each study was assessed for relevance based on predefined inclusion criteria, which included factors such as study design, population, and the specific risk factors examined. Any discrepancies between the authors' assessments were resolved through discussion or consultation with a third reviewer if necessary (Begun J). The full text of the remaining articles was then read in full to determine if they contained relevant information pertaining to the risk factors for the development of chronic pouchitis. This comprehensive review process ensured that only studies with pertinent data were included in the final analysis.

### Study selection and data extraction

The studies included in this meta-analysis were observational cohort studies and case control studies, that met the following inclusion criteria: (1) Adult patients (aged 18 and older) who underwent IPAA surgery for UC; (2) Developed chronic pouchitis, either chronic antibiotic refractory pouchitis and/or chronic antibiotic responsive pouchitis; and (3) Provision of raw data or unadjusted odds ratio (OR) with either standard errors or confidence intervals (CI). Articles were excluded from the analysis if they focused on pediatric or other specific populations, lacked raw data or unadjusted odd ratios, or were unable to separate data for patients with Crohn's disease of the pouch or acute pouchitis from patients with chronic pouchitis. When multiple publications from the same population were available, only data from the most recent and comprehensive report were included in the analysis to avoid duplication and ensure data integrity.

Data extraction was conducted by author (Khoo E) and independently verified by author (Griffin A) to ensure accuracy and minimize bias. Any disagreements between the two authors were resolved by consulting a third independent reviewer (Begun J). Once the data extraction was agreed upon, the final data was compiled in an Excel spreadsheet (Microsoft, Redmond, WA, United States) format for statistical analysis.

### Risk of bias assessment

The Risk of Bias In Non-Randomized Studies of Exposure Effects (ROBINS-E) tool was employed to evaluate the risk of bias of each study. ROBINS-E assesses seven domains of bias including confounding, measurement of the exposure, selection of participants, post exposure interventions, missing data, measurement of outcomes and selection of reported results. The risk of bias assessment was conducted independently by two authors (Khoo E and Gilmore R). Any conflicts were resolved by reaching a consensus, with reference to the original article when necessary.

### Statistical analysis

All predictors of interest were binary categorical. The OR and 95%CI for chronic pouchitis with reference to non-chronic pouchitis (combined normal pouch and acute pouchitis) was calculated for each predictor separately for each study using  $2 \times 2$  tables obtained from the included studies. If raw data in the form of  $2 \times 2$  tables were not available but univariable OR and either 95%CI or standard errors were available, then the latter were used. Estimates were combined using a random effects model to calculate the pooled effect for each predictor. A weighted average effect size was calculated, with weights inversely proportional to the sum of the within-study and between-study variance. The restricted maximum likelihood method was used to estimate the variance. Forest plots including individual study effect size and CI as well as the pooled effect size and CI are presented for each predictor of interest. Between-study heterogeneity was estimated using the  $l^2$  statistic, which describes the percentage of total variability across studies due to heterogeneity. An  $l^2 > 50\%$  is considered high and suggests that a substantial portion of the variability in effect size is due to differences between studies. Statistical analysis was completed using Stata v17.0 (Stata Corp, College Station, TX, United States) and a P value < 0.05 was considered statistically significant throughout inferential analysis.





Figure 1 Preferred Items for Systematic Reviews and Meta-Analysis flow diagram for the review. PRISMA: Preferred Items for Systematic Reviews and Meta-Analysis.

# RESULTS

### Study selection and study characteristics

A total of 569 citations were identified from the database search. Duplicate articles, those studies that focused on specific subgroups of IPAA patients, and those with insufficient data were excluded. The flow diagram illustrating the studies excluded from this analysis is shown in Figure 1. Eleven articles met the inclusion criteria and were included in the metaanalysis. The final analysis included 3722 patients who underwent IPAA surgery. Among them, 3209 patients had either a normal pouch or acute pouchitis, while 513 had developed chronic pouchitis. The cohort with Crohn's like disease of the pouch was excluded. The majority of the studies (10 out of 11) were conducted at single centres. All pouch cases were identified retrospectively, with some (7 out of 11) followed up prospectively. The baseline characteristics, including the study period, sample size, number of patients who developed chronic pouchitis, and the definition of chronic pouchitis, are summarized in Table 1[3,15-24].

Among the eleven studies, the size of the study populations varied significantly, ranging from 36 participants in the study by Werner *et al*[23] to 1564 participants in the study by Wu *et al*[24]. Most of these studies reported similar proportions of patients with chronic pouchitis as have been previously reported, with rates ranging from 11.5% to 31.3% [5]. However, there were notable exceptions: (1) Uchino *et al*[22]; and (2) Werner *et al*[23]. Uchino *et al*[22] reported a low incidence of chronic pouchitis at just 4%, with 90% of patients having a normal pouch. In contrast, Werner *et al*[23] reported a significantly higher incidence of chronic pouchitis at 36%, with only 10% of patients having a normal pouch. It is important to note that Werner *et al*[23] did not specify the study duration or follow-up period.

Each study was assessed for risk of bias using ROBINS-E tool, as shown in Figure 2[3,15-24]. None of the studies were found to have a high risk of bias; one out of eleven studies (Scarpa *et al*[21]) had a moderate risk, and the rest had a low overall risk of bias. The most common bias identified were bias due to confounding and bias due to post-exposure interventions.

### Risk factors for chronic pouchitis

Baseline patient characteristics including male gender, family history of inflammatory bowel disease (IBD) and smoking status were the most described risk factors for development of pouchitis (Figure 3A-C)[3,15,17-24]. Male gender was reported as a variable in nine of the studies. While the odds of chronic pouchitis tended to be higher in males, results were inconsistent and this difference did not reach statistical significance (OR = 1.22, 95%CI: 0.94-1.59, P = 0.13,  $I^2 = 18\%$ ). A family history of IBD was reported in five studies. The analysis indicated that the odds of developing chronic pouchitis were not significantly associated with a family history of IBD (OR = 1.02, 95%CI: 0.67-1.54, P = 0.93,  $I^2 = 0\%$ ). Smoking status, comparing current smokers and ex-smokers to those who had never smoked, was described in four studies. The OR was 0.85 with a 95%CI of 0.30-2.47, favouring smoking as a potential protective factor for chronic pouchitis. However, the *P* value was not significant (P = 0.77) and there was high heterogeneity ( $I^2 = 71\%$ , P = 0.02), with two studies suggesting that chronic pouchitis is more likely in non-smokers, and two studies indicating it is more likely in smokers (combined active and former smokers). This high heterogeneity means that the combined estimate is of limited value.

Table 1 Stu	dies whicl	h were incl	luded in me	ta-anal	ysis, <i>n</i> (	%)
-------------	------------	-------------	-------------	---------	------------------	----

Ref.	Population	Number of IPAA	Normal pouch <sup>1</sup>	Chronic pouchitis	Follow up period after IPAA	Definition of chronic pouchitis
Abdelrazeq et al[3], 2008	York Hospital, Huddersfield Royal Infirmary, Derby Hospitals-prospective	198	134 (68)	29 (15)	Mean 64 months (range: 12-180 months)	Presence of active symptoms continuously for more than 4 weeks despite full dose of standard therapy or required more than 2 weeks of therapy every month for three consecutive months to achieve symptomatic control
Achkar <i>et al</i> [ <b>15</b> ], 2005	Cleveland Clinic Foundation-prospective case control study	120	40 (33)	40 (33)	Mean 5.2 years	Presence of 4 or more episodes of pouchitis per year, or active symptoms lasting continuously for more than 4 weeks despite antibiotic therapy, or chronic antibiotic or anti- inflammatory therapy to control symptoms of pouchitis
Ferrante <i>et al</i> [16], 2008	University Hospital Gasthuisberg-retrospective	173	92 (53)	33 (19)	Median 6.5 years (range: 34-9.9 years)	Presence of active symptoms lasted for more than 4 weeks, despite standard therapy
Fleshner <i>et al</i> [17], 2007	Cedars-Sinar Medical Centre-prospective	186	127 (68)	23 (12)	Median 24 months (range: 3-117 months)	Required continuous antibiotic treatment for symptom relief or did not respond to antibiotic treatment
Hashavia <i>et</i> al <b>[18]</b> , 2012	Tel-Aviv Sourasky Medical Centre- prospective	201	-	63 (31)	Mean 107 months	Presence of at least 4 weeks of persistent symptoms and dependent on prolonged therapy of more than two different antibiotics, or those who did not respond to antibiotics
Lian <i>et al</i> [19], 2009	Cleveland Clinic Foundation-retrospective	251	35 (14)	29 (12)	-	Failed to respond to a 2-4 weeks course of a single antibiotic, or required therapy over 4 weeks with 2 antibiotics
Okita <i>et al</i> [ <mark>20</mark> ], 2013	Mie University-retrospective	231	165 (71)	31 (13)	Median 1882.5 days (range: 31-4465 days)	Required long-term, continuous antibiotic therapy to maintain remission, or relapsing episodes (> 3 per year), or failed to respond to antibiotics
Scarpa <i>et al</i> [ <mark>21</mark> ], 2011	University of Padova-prospective	32	-	6 (19)	Median 23 months	No response to first line antibiotic therapy and required continuous antibiotic treatment for symptom relief or had a treatment resistant form
Uchino <i>et al</i> [ <mark>22</mark> ], 2013	Hyogo College of Medicine-retrospective	772	695 (90)	29 (4)	Median 5.67 years (range: 152-10.81 years)	Failed to respond to a 4-week course of a single antibiotic, requiring prolonged therapy for $\geq$ 4 weeks with $\geq$ 2 antibiotics or topical corticosteroid therapy
Werner <i>et al</i> [23], 2013	Tel Aviv Medical Centre-prospective	36	10 (28)	13 (36)	Follow up period was not mentioned	Required antibiotic or anti-inflammatory therapy for at least 4 weeks, or patients having more than 5 flares of pouchitis per year
Wu et al <mark>[24]</mark> , 2016	Cleveland Clinic Pouch Centre-prospective	1564	181 (12)	217 (14)	Median 9 years (range: 4-14 years)	Symptoms lasted for 4 weeks or more and failed to respond to a 4-week course of single antibiotic therapy

<sup>1</sup>Normal pouch: Normal pouch cases do not include acute pouchitis.

IPAA: Ileal pouch anal anastomosis.

Immune-related extraintestinal manifestations<sup>[25]</sup>, including joint conditions (sacroiliitis, ankylosing spondylitis and peripheral arthritis), PSC, eye conditions (episcleritis and uveitis) and skin conditions (pyoderma gangrenosum and erythema nodosum) were described in six studies (Figure 3D and E)[3,15-19]. The odds of chronic pouchitis were higher in individuals with extra-intestinal manifestations (OR = 2.11, 95%CI: 1.53-2.91, P < 0.001), and this finding was consistent across all six included studies ( $I^2 = 0\%$ ). PSC, in particular, was found to have the highest OR among all risk factors analysed. The OR for chronic pouchitis in individuals with PSC was 3.59, with a 95%CI of 1.40-9.21 (P = 0.01). Although all four included studies showed an increase in the risk of chronic pouchitis, there was heterogeneity in the magnitude of the effect ( $I^2 = 48\%$ ).

Distribution of colitis prior to surgery was reported in seven studies (Figure 3F)[3,15,17-20,22]. The odds of chronic pouchitis were higher in people with pancolitis (OR = 1.96, 95% CI: 1.23-3.11, P < 0.001,  $I^2 = 31\%$ ). While a single study found lower odds of chronic pouchitis in individuals with pancolitis, this did not reach statistical significance. This discrepancy could be due to chance or differences in population or methodology. The indication for IPAA surgery [refractory disease compared with other indications (acute severe colitis, colonic dysplasia or cancer)] was described in eight studies (Figure 3G)[3,15,17-22]. The odds of chronic pouchitis (OR = 1.17, 95%CI: 0.83-1.66, *P* = 0.37, *P* = 0%) were

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		D1	D2	D3	D4	D5	D6	D7	Overall	
Abdelraze	eq <i>et al</i> [3], 2008									
Achkar <i>ei</i>	<i>t al</i> [15], 2005									
Ferrante	<i>et al</i> [16], 2008									
Fleshner	<i>et al</i> [17], 2007									
Hashavia	<i>et al</i> [18], 2012									
Lian <i>et al</i>	/[19], 2009									
Okita <i>et a</i>	a/[20], 2013									
Scarpa <i>e</i> i	<i>t al</i> [21], 2011									
Uchino ei	<i>t al</i> [22], 2013									
Werner e	et al [23], 2013									
Wu <i>et al</i>	[24], 2016									
Domains:										1. de concerto
D1	Bias due to conf	oundir	ig							Judgement:
D2	Bias arising from	meas	ureme	nt of t	he exp	osure				Some concerns
D3	Bias in selection	of par	ticipar	its into	the st	udy o	r analy	rsis		low
D4	Bias due to post-	-expos	ure in	terven	tions					
D5	Bias due to miss	ing da	ta							
D6	Bias arising from	meas	ureme	nt of o	outcom	e				

D7 Bias in selection of the reported result

Figure 2 Risk of bias by domain of the included studies using the Risk of Bias In Non-Randomized Studies of Exposure Effects tool.

A Ref.	Male +	Male -	Female +	Female -		Odds ratio with 95%CI	Weight (%)
Scarpa <i>et al</i> [21], 2011	4	18	2	8		0.89 (0.13-5.89)	1.85
Werner <i>et al</i> [23], 2013	6	13	7	8		0.53 (0.13-2.14)	3.29
Fleshner <i>et al</i> [17], 2007	13	99	10	64		0.84 (0.35-2.03)	7.71
Abdelrazeq et al[3], 200	)8 <mark>18</mark>	98	11	71		1.19 (0.53-2.66)	8.94
Lian <i>et al</i> [19], 2009	13	94	16	86		0.74 (0.34-1.63)	9.37
Uchino <i>et al</i> [22], 2013	17	420	12	323		1.09 (0.51-2.31)	10.12
Okita <i>et al</i> [20], 2013	20	108	11	92		1.55 (0.71-3.40)	9.40
Hashavia <i>et al</i> [18], 201	2 32	63	31	75		1.23 (0.68-2.23)	14.74
Wu et al[24], 2016	144	737	73	610		1.63 (1.21-2.21)	34.57
Overall					•	1.22 (0.94-1.59)	
Heterogeneity: $\tau^2 = 0.03$	3, <i>I</i> <sup>2</sup> = <b>1</b>	7.62%, H	l <sup>2</sup> = 1.21				
Test of $\theta_i = \theta_j$ : Q(8) = 7.	30, <i>P</i> =	0.51			Female Male		
Test of $\theta = 0$ : $Z = 1.50$ , $B$	P = 0.13						
					1/4 1/2 1 2 4		
<b>B</b> Ref.	FHx +	FHx -	No FHx +	No FHx -		Odds ratio with 95%CI	Weight (%)
Fleshner <i>et al</i> [17], 2007	7	36	16	127		1.54 (0.59-4.04)	18.53
Abdelrazeq et al[3], 2008	31	13	28	156		0.43 (0.05-3.14)	3.99
Lian <i>et al</i> [19], 2009	6	40	23	140		0.91 (0.35-2.40)	18.43
Achkar et al[15], 2005	9	20	31	60		0.87 (0.35-2.14)	21.26
Hashavia <i>et al</i> [18], 2012	17	36	46	102		1.05 (0.53-2.05)	37.79
Overall					•	1.02 (0.67-1.54)	
Heterogeneity: $\tau^2 = 0.00$	$I^2 = 0$	.00%, H <sup>i</sup>	<sup>2</sup> = 1.00				
Test of $\theta_i = \theta_j$ : Q(4) = 1.	56, P =	0.82			No family history Family history		
Test of $\theta = 0$ : $Z = 0.08$ , 1	P = 0.93						
				1	/16 1/4 1 4		

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С						Odds ratio	Weight
Ref.	Smk +	Smk -	No Smk +	No Smk -	1	with 95%CI	(%)
Fleshner <i>et al</i> [17], 2007	3	61	20	102 -		0.25 (0.07-0.88)	24.00
Abdelrazeq et al[3], 2008	3	34	26	135		0.46 (0.13-1.60)	24.02
Lian <i>et al</i> [19], 2009	3	6	26	174		3.35 (0.79-14.21)	21.61
Achkar et al[15], 2005	21	36	18	43		1.39 (0.65-3.01)	30.37
Overall						0.85 (0.30-2.47)	
Heterogeneity: $\tau^2 = 0.8$	81, I <sup>2</sup> = 7	70.51%,	$H^2 = 3.39$				
Test of $\theta_i = \theta_j$ : Q(3) = 9	9. <b>49</b> , <i>P</i> =	0.02			No smoking Smoking		
Test of $\theta = 0$ : $z = -0.29$	P = 0.7	77					

1/8

1/2

2 8

D Ref.	EIM +	EIM -	No EIM +	No EIM -			Odds ratio with 95%CI	Weight (%)
Fleshner <i>et al</i> [17], 2007	6	24	17	139			2.04 (0.73-5.71)	9.70
Abdelrazeq et al[3], 200	8 <mark>10</mark>	26	19	143			- 2.89 (1.21-6.93)	13.44
Lian <i>et al</i> [19], 2009	18	68	11	112			2.70 (1.20-6.05)	15.65
Ferrante <i>et al</i> [16], 2008							2.53 (1.15-5.56)	16.47
Achkar <i>et al</i> [15], 2005	20	29	20	51			1.76 (0.81-3.80)	17.27
Hashavia <i>et al</i> [18], 2012	28	46	35	92	_		1.60 (0.87-2.94)	27.47
Overall							2.11 (1.53-2.91)	
Heterogeneity: $\tau^2 = 0.0$	<b>10</b> , $I^2 =$	0.00%	$H^2 = 1.00$					
Test of $\theta_i = \theta_j$ : Q(5) = 2	<mark>07</mark> , P	= 0.84			No EIM	EIM		
Test of $\theta$ = 0: Z = 4.58,	p = <b>0</b> .	00						

					1	2	4	_	
E Ref.	PSC +	PSC -	No PSC +	No PSC -				Odds ratio with 95%CI	Weight (%)
Abdelrazeq et al[3], 2	2008 9	7	20	162				10.41 (3.50-31.02)	30.99
Lian <i>et al</i> [19], 2009	4	8	25	172	ł			3.44 (0.96-12.27)	26.98
Achkar <i>et al</i> [15], 200	52	2	38	78				2.05 (0.28-15.14)	15.67
Hashavia et al[18], 2	012 4	6	59	132				1.49 (0.41-5.48)	26.36
Overall								3.59 (1.40-9.21)	
Heterogeneity: T <sup>2</sup> :	= <b>0</b> . <b>44</b> , <i>I</i> <sup>2</sup>	= 47.88	%, H <sup>2</sup> = 1.92	2					
Test of $\theta_i = \theta_j$ : Q(3)	) = 5.64,	P = 0.13	5		No PSC	PSC			
Test of $\theta = 0$ : $z = 2$	2. <b>66</b> , <i>p</i> =	0.01							
					1/2 1	2 4	8 16		

				-/ -			
F	Dencel	Dancal	No Densel	No Doweol		Odds ratio	Weight
Ref.		Pancol -	NO Pancol +			With 95%CI	(%)
Fleshner <i>et al</i> [17], 2007	19	114	4	49		2.04 (0.66-6.31)	12.33
Abdelrazeq et al[3], 200	)8 <mark>23</mark>	102	6	67		2.52 (0.97-6.51)	15.71
Lian <i>et al</i> [19], 2009	27	160	2	20		1.69 (0.37-7.64)	7.80
Uchino et al[22], 2013	26	566	3	177		- 2.71 (0.81-9.06)	11.16
Okita <i>et al</i> [20], 2013	24	170	7	30		0.61 (0.24-1.53)	16.22
Achkar <i>et al</i> [15], 2005	35	54	5	25		— 3.24 (1.13-9.26)	13.69
Hashavia <i>et al</i> [18], 201	2 <b>4</b> 9	81	14	57		2.46 (1.24-4.88)	23.09
Overall					-	1.96 (1.23-3.11)	
Heterogeneity: $\tau^2 = 0.12$	<b>2</b> , <i>I</i> <sup>2</sup> <b>= 31</b> .	19%, H <sup>2</sup> =	1.45				
Test of $\theta_i = \theta_j$ : Q(6) = 8.	<b>07</b> , <i>P</i> = <b>0</b>	.23			No pancolitis Pancolitis		
Test of $\theta$ = 0: Z = 2.85,	P = 0.00						
						T-	
					1/4 1/2 1 2 4	8	

<b>G</b> Ref.	Refract +	Refract -	No Refract +	No Refract -		Odds ratio with 95%CI	Weight (%)
Scarpa <i>et al</i> [21], 2011	4	17	2	9		1.06 (0.16-6.94)	3.46
Fleshner <i>et al</i> [17], 2007	21	132	2	31		2.47 (0.55-11.08)	5.43
Abdelrazeq et al[3], 20	08 24	137	5	32	<b>_</b>	1.12 (0.40-3.16)	11.37
Lian <i>et al</i> [19], 2009	20	140	9	40		0.63 (0.27-1.50)	16.49
Uchino <i>et al</i> [22], 2013	20	539	9	204		0.84 (0.38-1.88)	18.99
Okita <i>et al</i> [20], 2013	28	173	3	27		1.46 (0.41-5.12)	7.74
Achkar <i>et al</i> [15], 2005	29	47	10	32	<b></b>	1.97 (0.85-4.61)	17.04
Hashavia <i>et al</i> [18], 201	2 53	110	10	28		1.35 (0.61-2.98)	19.47
Overall					•	1.17 (0.83-1.66)	
Heterogeneity: $\tau^2 = 0.0$	$0, I^2 = 0.0$	00%, H <sup>2</sup> = 1	.00				
Test of $\theta_i = \theta_j$ : Q(7) = 5	5.25, P = 0	.63			No refractory Refractory		
Test of $\theta = 0$ : Z = 0.89,	P = 0.37						
					1/4 1/2 1 2 4	8	

Figure 3 Meta-analysis and forest plots of studies assessing. A: Gender; B: Family history of ulcerative colitis; C: Smoking history; D: Extra-intestinal manifestations; E: Primary sclerosing cholangitis; F: Extent of colitis (pancolitis); G: Indication for surgery (refractory disease). EIM: Extra-intestinal manifestations; FHX: Family history; Pancol: Pancolitis; PSC: Primary sclerosing cholangitis; Refract: Refractory disease as indication for surgery; Smk: Smoking (current or exsmoker).

not significantly associated with the indication for surgery.

One study suggested that pre-operative use of biologic agents might be associated with a lower risk of chronic pouchitis, although this finding was not statistically significant (OR = 0.38, 95%CI: 0.05-3.00, P = 0.361). Another study reported a possible association between post-operative infection and chronic pouchitis, but this finding was also not statistically significant (OR = 1.41, 95%CI: 0.45-4.46, P = 0.56). Due to the limited number of studies investigating these factors, a meta-analysis could not be performed.

### DISCUSSION

To our knowledge, this is the first meta-analysis to evaluate risk factors associated with the development of chronic antibiotic-dependent and antibiotic-refractory pouchitis in patients who underwent IPAA surgery for UC. Eleven studies, comprising a total of 3722 patients, met the inclusion criteria for the final analysis. Within this cohort, 513 patients (13.8%) developed chronic pouchitis, while 3209 patients (86.2%) did not. The latter group comprised patients with a normal pouch and those with acute pouchitis or a history of acute pouchitis; cases resembling Crohn's disease of the pouch were excluded.

Our study corroborates previous findings that extraintestinal manifestations, specifically PSC, are risk factors for chronic pouchitis. This aligns with Hata *et al*[14], who reported an association between both extraintestinal manifestations (EIMs) and PSC with overall pouchitis, particularly chronic pouchitis. Similarly, Penna *et al*[26] observed a cumulative pouchitis risk of 79% in UC patients with PSC, compared to 46% in those without PSC over a ten-year post-IPAA follow up. Additionally, we identified extensive colitis as a pre-operative risk factor for chronic pouchitis, suggesting disease severity may influence its development. In contrast, extraintestinal manifestations, including PSC, and pancolitis did not impact the risk of Crohn's disease of the pouch (CDP)[27].

Other factors, such as male gender and refractory UC as a surgical indication, demonstrated a non-significant trend towards increased chronic pouchitis risk. Family history of IBD did not alter the risk. While smoking may increase acute pouchitis incidence[28], the exposure to cigarette smoking, whether active or former, exhibited a protective trend against chronic pouchitis in two out of four of the studies, albeit non-significant with high heterogeneity between studies. In comparison, Fadel *et al*[27] reported that smoking and family history of IBD were associated with CDP development. A surgical indication of dysplasia or colon cancer was protective against CDP[27], suggesting distinct pathogenic mechanisms for CPD and chronic pouchitis following IPAA for UC.

The precise mechanisms underlying chronic pouchitis remain elusive. However, accumulating evidence suggests that certain serological markers, microbial dysbiosis and genetic polymorphisms may contribute to its development. This condition represents a dysregulated mucosal immune response to intestinal microbiome. High titres of anti-neutrophil cytoplasmic antibody and the presence of microsomal antibody are positively associated with chronic pouchitis[12,29]. Elevated serum immunoglobulin G 4 (IgG4) levels and an increased number of IgG4 expressing plasma cell of more than 10 per high power field within pouch biopsies, have also been identified as risk factors for chronic pouchitis, independent of autoimmune serological markers, when compared to non-chronic pouchitis cohort[29]. Microbiota studies have suggested that mucosal toll like receptor (TLR) 2 and TLR4 expression, as well as increased levels of mucosa associated *Clostridium spp*, are associated with chronic pouchitis[21]. Carrier trait analysis revealed that the presence of TLR9-1237C

and CD14-260T alleles simultaneously occurs significantly higher in patients with chronic pouchitis[21]. Haplotyping of TLR9 has shown that a C allele at TLR9-1237 and an A allele at TLR9+2848 are more frequently observed in patients with chronic pouchitis<sup>[30]</sup>. These features collectively suggest that a dysregulated immune response to commensal bacteria may predispose individuals to chronic pouchitis. Furthermore, these combined markers could potentially be used to identify a subgroup of IPAA patients at increased risk of developing chronic pouchitis.

Pre-operative predictive factors for chronic pouchitis remain inconclusive. Several studies have investigated the association between different types of pre-operative therapies and chronic pouchitis development, but no significant association has been established. While Okita et al<sup>[20]</sup> suggested a potential risk factor for chronic pouchitis associated with high pre-operative steroid doses (accumulative dose of 500 mg or more per month), the overall effect of preoperative steroid use on the risk of chronic pouchitis remains uncertain. Similarly, the role of pre-operative immunomodulators and biologic therapies in chronic pouchitis development is unclear. While some studies have suggested a potential link, others have found no association. For example, Esckilsen et al[31] reported a possible increased risk with vedolizumab, but this finding has not been consistently replicated. Furthermore, an investigation into other potential preoperative predictive factors, such as anal pressures and laboratory markers, did not reveal any significant associations with chronic pouchitis development[31].

Post-operative factors were also examined as potential predictors of chronic pouchitis. Studies found that complications following surgery, particularly anastomotic leaks or separations, were linked to a higher risk of developing chronic pouchitis[32]. However, the exact relationship between these complications and chronic pouchitis remains ambiguous. Some experts suggest that patients with anastomotic complications, especially those with additional risk factors, might benefit from preventive treatments for chronic pouchitis. Additionally, imaging evidence revealed that excess fat around the pouch, including mesenteric, visceral, and subcutaneous fat, was strongly associated with chronic pouchitis[33]. Researchers proposed that this fat accumulation might reflect ongoing inflammation and could potentially disrupt blood flow to the pouch, making it a valuable indicator of future pouch health. In contrast, post-operative use of immunomodulators or biologic therapies[34], as well as factors like anal pressure and soiling[31], were not found to increase the risk of chronic pouchitis.

### Strengths and limitations

The strengths of our study include the well-defined inclusion and exclusion criteria with a large sample size of chronic pouchitis patients. The overall risk of bias was also deemed low in the eleven included studies. Unfortunately, numerous articles meeting the inclusion criteria were excluded due to incomplete raw datasets. Also, the studies exhibited a substantial range in study population characteristics and follow up duration that range from median of 23 months to 9 years, contributing to heterogeneity across the studies. All these limitations contribute to overall study's validity, generalizability and the strength of its findings.

### CONCLUSION

This study identified extraintestinal manifestations, particularly PSC, and extensive colitis as the strongest predictors of chronic pouchitis development in UC patients undergoing IPAA surgery. Conversely, gender, smoking history and IPAA surgical indication did not significantly influence chronic pouchitis incidence. Given the association between these factors and chronic pouchitis, prospective studies are warranted to further elucidate their pathophysiological mechanisms and inform potential targeted interventions. By unravelling these pathways, we can develop targeted and earlier interventions to prevent or treat chronic pouchitis in high-risk patients.

### Recommendations

Key risk factors for chronic pouchitis: (1) PSC: This chronic biliary condition, which commonly occurs alongside UC, increases the risk of chronic pouchitis after IPAA surgery; (2) EIMs of IBD: These are symptoms of IBD that occur outside the intestines, such as joint pain (arthritis), skin problems (erythema nodosum, pyoderma gangrenosum), and eye inflammation (uveitis). Having a history of EIMs can increase the risk of chronic pouchitis; and (3) Extensive colitis or pancolitis: The distribution of colitis could reflect the severity of disease and increase the risk of chronic pouchitis after IPAA surgery.

Importance of identifying risk factors: (1) Patient counselling: Healthcare providers can counsel patients about their individual risk of developing pouchitis after IPAA surgery; (2) Early detection and intervention: Patients with risk factors can be monitored more closely for signs of pouchitis, allowing for early intervention and potentially preventing the development of chronic pouchitis; and (3) Research: Further research into these risk factors can help to better understand the causes of pouchitis and develop more effective prevention and treatment strategies.

### FOOTNOTES

Author contributions: Khoo E conducted data extraction and wrote the manuscript; Khoo E, Holtmann G and Begun J designed the research study; Khoo E and Gilmore R reviewed literature search and select eligible articles; Griffin A performed statistical analysis and verified data extraction; all authors have read and approved the final manuscript to be published.



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SCIENTOMETRICS

# Bibliometric analysis of original studies on stereoelectroencephalography from 1990 to 2023

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# Abstract

### BACKGROUND

Stereoelectroencephalography (SEEG) is a minimally invasive preoperative evaluation of drug-resistant focal epilepsies. Increasing preclinical data show that SEEG has tremendous diagnostic and therapeutic value for epilepsy. There are currently many studies on SEEG.

# AIM

To summarize the development and application of SEEG.

### **METHODS**

We conducted a bibliometric analysis of research on SEEG from 2019 to 2023. We obtained publications on SEEG from the Web of Science Core Collection (WoSCC) database. Excel, VOSviewer, and CiteSpace were responsible for the analyses. A variety of bibliographic elements were collected, including annual publications, authors, countries/regions, journals, keywords, etc.

# RESULTS

A total of 691 publications were included in this study. Professor Fabrice Bartolomei of Timone Hospital in France was the most productive and influential author in the field of SEEG, whereas the authors from the United States were the leaders in general. In addition, we found that deep learning and source localization in SEEG have been popular in recent years.

# **CONCLUSION**

This study provides a comprehensive analysis of SEEG research and highlights the growing interest in SEEG and its deep learning and source localization.

Key Words: Stereoelectroencephalography; Neurology; Bibliometric analysis; Science



Core Collection; VOSviewer; CiteSpace

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Core Tip: Stereoelectroencephalography (SEEG) is a popular issue recently. The United States, China, and France are in the leading place. Epilepsia, Clinical Neurophysiology, Journal of Neurosurgery, and Frontiers in Neurology are the most popular journals in this field. Epilepsy is still the primary clinical application of SEEG, while deep learning and source localization have strongly increased.

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# INTRODUCTION

Stereoelectroencephalography (SEEG) was first formed in the 1950s at Saint Anne Hospital in France and was designed and developed in the 1960s in France by Talairach et al[1]. SEEG uses stereotactic techniques to place intracranial electrodes to record intracranial electrical activity and direct electrical stimulation to identify epileptogenic areas in patients with focal epilepsy[2-4]. SEEG is a diagnostic tool for drug-resistant focal epilepsies, showing precise positioning, minimal trauma, high patient tolerance, and other advantages[5,6]. A recent study demonstrated the performance of deep learning on SEEG signals and demonstrated that the deep learning method was beneficial for the decoding process because it is good at translating brain signals into commands for controlling external devices[7]. Another study showed that SEEG source localization is useful for corroborating the epileptogenic zone because it is inherently three-dimensional and can be modeled through source localization[8]. As a result, SEEG has attracted increasing attention in the research field of deep learning and source localization for drug-resistant focal epilepsies.

Bibliometrics is a technique employed to assess and examine the advancement of a specific field of science[9]. It utilizes computer technologies to present visual representations of literature analysis outcomes in a concise and comprehensible graphical format[10]. Overall, bibliometric analysis offers a comprehensive approach to evaluating academic productivity, summarizing academic frontiers and hotspots, and predicting trends within a particular research field. By understanding the results of bibliometric analysis, researchers can gain valuable insights into the scholarly landscape and make informed decisions regarding their research endeavors.

This study aims to analyze the bibliometric characteristics of the original studies on SEEG over the past 5 years; to analyze the publication trends, popular research topics, and cutting-edge trends in this field; and to provide references for clinicians and researchers.

# MATERIALS AND METHODS

### Data source and search strategy

The literature was retrieved from the Web of Science Core Collection (WoSCC) on 15 November 2023. After the inclusion and exclusion criteria were determined, the following formula was used as the retrieval strategy: TS = "stereotactic EEG" OR "stereoelectroencephalography" OR "SEEG" OR "stereoelectroencephalography SEEG" OR "intracranial EEG" OR "intracranial encephalography" OR "IEEG". The literature type was restricted to "article", and the publication year was limited to 2019 to 2023. To adequately retrieve the relevant literature, an exact search was not performed.

### Inclusion and exclusion criteria

The original research related to SEEG, identified through the WoSCC database and published between 2019 and 2023, was further screened. Conversely, reviews, conference papers, letters, editorials, and notes, as well as retracted papers, were excluded from the analysis. There were no language restrictions.

### Data screening and extraction

To ensure the reliability of the results, two independent researchers meticulously selected the literature by reading the abstracts and methods. Divergence in literature selection was settled by discussion or consultation with specialists. Consequently, all retrieved records were downloaded in "plain text" and "Excel" formats. Relevant parameters, such as titles, publication years, citation times, authors, countries/regions, institutions, journals, keywords, usage counts, WoS categories, etc., were extracted for further analysis.



### Data analysis and visualization

Descriptive statistics from Microsoft Excel (V2021) were used to present the publication trends and cumulative citations. VOSviewer (V. 1.6.20) and CiteSpace (V. 6.2. R6) software were applied to perform knowledge mapping analysis, including the author, institution, country/region, journal, and keywords. Keywords serve as concise summaries of a paper's core content and essence, and through keyword co-occurrence analysis, researchers can gain insights into the research hotspots within a scientific domain. In this study, VOSviewer was employed to construct a network visualization of keyword cooccurrences from the 691 documents. We merged synonyms such as "stereoelectroencephalography", "stereotactic EEG", "stereotactic electroencephalography", "stereoelectroencephalography" and other synonyms into "SEEG".

VOSviewer was employed to conduct coauthorship analyses of the author, institution country/region, and journal. VOSviewer also provided us with the publication and citation counts. The relatedness of the nodes in VOSviewer figures is determined by their number of coauthored publications. In addition, we applied VOSviewer to conduct a co-occurrence analysis for the keywords. Finally, CiteSpace was used to perform the citation burst analysis of keywords.

### RESULTS

In the present study, we identified 6453 records by the above formula in the WoSCC on 15 November 2023. A total of 2339 publications were published between 2019 and 2023, of which 1831 records were tagged "Articles". After further screening the document type and relevance, 691 original research publications were ultimately included. The number of articles written in English, German, and Russian are 689, 1, and 1, respectively. The study procedure is shown in Figure 1.

### Annual trend of publications

Our analysis revealed that the number of publications markedly increased from 2019 to 2021 (a peak level of 169 in 2021) and then decreased from 2022 to 2023 (Figure 2). The mean number of publications per year was 138.3, and the median was 143 (Figure 2). The cumulative number of citations of these articles increased from 1591 to 4246 during the last five years (Figure 2).

### The most productive and influential authors

The publication and citation numbers of the authors were identified via VOSviewer software. In total, 3161 authors participated in publishing the articles. The top 10 authors are listed in Table 1. Fabrice Bartolomei (62 publications) was far ahead of the others, emerging as the most prolific author. Other productive authors included Lagarde Stanislas (33 publications), Zhang Kai (25 publications), Carron Romain (24 publications), McGonigal Aileen (23 publications), Zhang Chao (23 publications), Wang Xiu (21 publications), etc. With 577 citations, Fabrice Bartolomei was also the most influential researcher in this field, followed by Lagarde Stanislas (387 citations) and McGonigal Aileen (324 citations).

### Most productive and influential scientific organizations

VOSviewer software was employed to identify the publication and citation numbers of the scientific organizations. A total of 901 institutions published their works in the last five years. Among the 691 publications, 529 involved multiple institutions, and 196 represented international collaborations. Capital Medical University published 85 articles on SEEG, ranking first, followed by Aix-Marseille University (77 articles), Timone Hospital (48 articles), Fudan University (24 articles), and Shanghai Jiao Tong University (23 articles), and the top 10 organizations are listed in Table 2. Aix-Marseille University had the most citations (n = 701), followed by Timone Hospital (n = 462) and Capital Medical University (n = 1000) 378).

### Distribution analysis of the country and regions

Country analysis was performed by VOSviewer. Our evaluation revealed that the articles belonged to 45 countries or regions. The United States of America has the highest number of publications (n = 270) on SEEG, far ahead of China (n = 270) on SEEG, for a states of 155) and France (n = 132), followed by Italy (n = 53) and Canada (n = 51). The rule of the citation rank was similar to that of the publication number. Figure 3 shows the citation relationships of the countries or regions that had at least 5 publications and Table 3 shows the top 10 countries in terms of the publications. Notably, numerous countries maintain strong connections with both the United States and France. Nevertheless, countries in yellow, including China, Spain, Austria, Belgium, Romania, and the Czech Republic, boomed in this field.

### Popular journals

We utilized VOSviewer to analyze the journals that published the articles. These articles were recorded by 156 journals, and 10 journals recorded more than 20 articles. Table 4 shows the top 10 journals in terms of the publications. With 45 documents, Epilepsia published the largest number of articles in this field, followed by Clinical Neurophysiology (39 documents) and the Journal of Neurosurgery (32 documents). In terms of the total number of citations, Epilepsia (n = 549), *Journal of Neurosurgery* (n = 243), and *Clinical Neurophysiology* (n = 224) also ranked in the top 3. However, yellow nodes in the citation analysis map (Figure 4), such as *Epilepsia*, *Neurology*, and *Cortex*, had higher average citations.

### Keywords analysis

The results are presented in Figure 5. Keywords with a frequency of 10 or higher were presented. As depicted, the



Table 1 Top 10 authors in stereoelectroencephalography research					
Rank	Author	Documents	Citations		
1	Fabrice Bartolomei	62	577		
2	Lagarde Stanislas	33	387		
3	Zhang Kai	25	181		
4	Carron Romain	24	301		
5	Mcgonigal Aileen	23	324		
6	Zhang Chao	23	156		
7	Wang Xiu	21	173		
8	Trebuchon Agnes	20	278		
9	Yu Tao	18	46		
10	Hu Wenhan	15	91		

# Table 2 Top 10 organizations in stereoelectroencephalography research

Rank	Organizations	Count	Citations	Country
1	Capital Medical University	85	378	China
2	Aix-Marseille University	77	701	France
3	Timone Hospital	48	462	France
4	Fudan University	24	100	China
5	Shanghai Jiao Tong University	23	83	China
6	University College London	22	179	United Kingdom
7	University of Pittsburgh	22	86	United States
8	Grande Ospedale Metropolitano Niguarda	21	291	Italy
9	McGill university	20	194	Canada
10	Cleveland clinic	18	186	United States

# Table 3 Top 10 countries in stereoelectroencephalography research

Rank	Country	Documents	Citations
1	United States	270	1778
2	China	155	658
3	France	132	1118
4	Italy	53	484
5	Canada	51	394
6	United Kingdom	48	411
7	Germany	29	224
8	Australia	25	153
9	Spain	22	117
10	Japan	18	119

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Table 4 Top 10 journals of the number of publications on stereoelectroencephalography studies					
Rank	Journal	Documents	Citations		
1	Epilepsia	45	549		
2	Clinical Neurophysiology	39	224		
3	Journal of Neurosurgery	32	243		
4	Frontiers in Neurology	27	93		
5	Epilepsy Research	25	117		
6	Epilepsy & Behavior	24	163		
7	Seizure	21	125		
8	Journal of Neural Engineering	21	81		
9	Epilepsy and Behavior Reports	21	31		
10	Epileptic Disorders	21	19		



Figure 1 Flow chart of the main steps of this study.

identified keywords are organized into 5 distinct clusters. The green cluster primarily encompasses keywords pertinent to the clinical applications of SEEG, and the red cluster focuses on encephalic regions and functions.

The related research on SEEG encompasses various aspects, such as its working principle, action site, and research object. By utilizing relevant keywords, ten distinct clusters were generated, providing a comprehensive overview of the field. Figure 6 depicts the temporal evolution of keywords *via* the utilization of CiteSpace software, revealing a dynamic research domain marked by evolving topics, including direct electrical stimulation, the epileptogenic zone, and medically refractory epilepsy. Notably, epilepsy was the primary topic of SEEG, other issues such as sleep and memory were also involved. By further analysis with CiteSpace, we obtained the keywords with the strongest citation bursts (Figure 7). Among them, deep learning and source localization have booming tendencies.

# DISCUSSION

Bibliometric analysis has emerged as an effective, objective, and reproducible tool for analyzing research output within a specific field[11]. In the present study, we analyzed 691 articles that investigated SEEG. Interestingly, the number of publications in this field remains high. This result highlights the great interest of global researchers in SEEG, underscores the value of SEEG, and highlights its importance across the board. It is therefore likely that the emphasis on SEEG will continue in the future.

In terms of author contributions, more than 3000 researchers have published related articles. Professor Fabrice Bartolomei, an expert on epilepsy from Timone Hospital in France, is the most productive and influential researcher in the field of SEEG. His first studies on epilepsy demonstrated the mechanism of seizure-related humming *via* SEEG techniques[12]. Many clinical investigations on epilepsy have been conducted since then. The most frequently cited study



Figure 2 Annual trends of global scientific publications from 2019 to 2023.



Figure 3 Citation analysis of the countries or regions. The threshold for each node presented in the map is set as 5 publications.

was published in 2019, indicating the determinants and prognosis of seizure onset patterns for focal epilepsies[13]. Recently, his team focused on investigating SEEG markers for epilepsy[14,15].

The United States, China, and France ranked in the top three countries in terms of the number of publications, which means that these three countries represent the highest level of SEEG research worldwide and make the greatest contribution to this field. The co-authorship map of countries revealed global cooperation in this field, with the United States and France exhibiting notably greater centrality. However, China, which ranks in the top three by publications, has relatively less cooperation with other countries. Therefore, China should enhance its international cooperation and carry out more comprehensive and profound research on SEEG.

The present study revealed that the most highly cited journal in this field was *Epilepsia*, with 45 documents and 549 citations, followed by *Clinical Neurophysiology*, the *Journal of Neurosurgery*, and *Frontiers in Neurology*. Therefore, we believe that these journals will continue publishing influential and advanced research in this field in the future. Experts and scholars who have a keen interest in the subject will discover a plethora of novel developments and cutting-edge research in these journals.

Keywords encapsulate the core of papers, revealing the hotspots of research. Keyword co-occurrence analysis pinpoints scientific hotspots. Visual network diagrams clarify evolving trends. Burst keywords track historical development and suggest future research directions. The analyses of keywords revealed that some keywords, such as "surgical technique", "effective connectivity" and "seizure onset patterns", have been researching hotspots in this field in the past. SEEG's accurate identification determines the surgical prognosis, and this characteristic has shifted the research trend toward 'surgical technique'. However, "deep learning" and "source localization" have been the most popular topics



Figure 4 Citation analysis of the journals. The threshold for each node presented in the map is set as 5 publications.



Figure 5 Keywords network. The lowest frequency in the articles of these nodes is 10.

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#### Yang WL et al. Bibliometric analysis on SEEG



Figure 6 Timeline visualization of keyword analysis. SEEG: Stereoelectroencephalography.

Keywords	Year	Strength	Begin	End	2019-2023
seizure onset patterns	2019	2.77	2019	2019	_
onset patterns	2019	2.77	2019	2019	_
effective connectivity	2020	2.39	2020	2020	-
surgical technique	2021	2.82	2021	2021	_
phase	2021	2.82	2021	2021	_
registration	2021	2.35	2021	2021	_
temporal lobe	2021	2.35	2021	2021	_
surgical outcome	2021	2.35	2021	2021	_
deep learning	2022	2.81	2022	2023	
source localization	2022	2.76	2022	2023	

# Top 10 keywords with the strongest citation bursts

### Figure 7 The 10 keywords with the strongest citation bursts in the past 5 years.

in recent years. Clinical research can focus on these aspects. Machine learning is playing an increasingly important role in medical image analysis. In contrast to these traditional decoding techniques, the equivalent or superior performance of deep learning methods has been demonstrated across various studies utilizing SEEG[16,17]. Ictal SEEG source localization is valid and useful for corroborating the epileptogenic zone[18]. Therefore, it is important to develop deep learning and source localization for SEEG, and future research on deep learning and source localization will involve more clinical applications.

Currently, our knowledge regarding epilepsy networks and human brain functional networks remains limited. However, SEEG plays an important role and has emerged as the preferred method for intracranial monitoring in epilepsy patients<sup>[4]</sup>. As the diagnostic and therapeutic approaches based on SEEG continue to evolve, they promise to significantly increase the quality of life for individuals living with drug-resistant epilepsy[19,20]. Based on these analyses, we predict that these studies on deep learning and source localization will continue to be hotspots in the field of SEEG.

### Limitations

This study has certain limitations. First, the exclusive reliance on data from the WoSCC database presents a challenge, as



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it inevitably leads to concerns regarding the comprehensiveness of the analyzed data. Nevertheless, the WoSCC database encompasses a vast repository of high-quality academic journals, conference papers, and patents from across the globe. It is the articles of superior quality, rather than those of subpar quality, that catalyze the advancement of scientific research. Second, research results generally reach their peak of citations one to two years after publication or release, which can lead to a lag in the evaluation results of bibliometric analysis and may not reflect the latest research results and trends over time.

# CONCLUSION

In this study, 691 articles published in the last 5 years were analyzed via bibliometrics to clarify the current status and future trends of SEEG research. The number of publications markedly increased from 2019 to 2023. Fabrice Bartolomei is the most prolific and influential author. The Capital Medical University of China ranks first in terms of publication number. Additionally, the United States has the highest number of publications and citations. Epilepsia, Clinical Neurophysiology, Journal of Neurosurgery, and Frontiers in Neurology are the most popular journals in the field of SEEG. Epilepsy is still the primary clinical application of SEEG, while deep learning and source localization have strongly increased recently. Overall, our study provides a useful reference for further research on SEEG.

# FOOTNOTES

Author contributions: Yang WL, Jiang WC and Zhou R conceived and designed the study; Yang WL and Zhou R participated in data processing and analysis; Yang WL, Jiang WC, Zhou R, Zhang XJ drafted the manuscript; Yang WL and Jiang WC contributed to data analysis and interpretation; Zhang XJ supervised the review of the study. All authors seriously revised and approved the final manuscript.

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SCIENTOMETRICS

# Mapping the current trends and hotspots of transcranial magnetic stimulation-based addiction treatment from 2001-2023: A bibliometric analysis

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# Abstract

### BACKGROUND

The prevalence of addiction makes it a significant public health issue. Recently, transcranial magnetic stimulation (TMS) has garnered significant attention as a promising treatment for addiction.

# AIM

To analyze development trends and research hotspots in TMS-based addiction treatment using a bibliometric approach.



### **METHODS**

Articles on TMS-based addiction treatment from 2001 to 2023 were sourced from the Science Citation Index Expanded in the Web of Science Core Collection. CiteSpace software, VOSviewer, the "bibliometrix" R software package, and the bibliometric online analysis platform were used to analyze the current publication trends and hotspots.

### RESULTS

Total 190 articles on TMS-based addiction treatment were identified, with clinical studies being the most prevalent. The United States led in both publication volume and international collaborations. Medical University of South Carolina and Zangen A were the most productive institution and author, respectively. Neurobiology, alcohol use disorder, and repetitive TMS were the most recent research hotspots.

### CONCLUSION

Future research should focus on the neurobiological mechanisms underlying TMS-based addiction treatment. This study offers comprehensive insights and recommendations for advancing research on TMS-based addiction treatment.

**Key Words:** Transcranial magnetic stimulation; Addiction; Bibliometric analysis; Transcranial magnetic stimulation-based addiction treatment; Alcohol use disorder

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**Core Tip:** This study presents the inaugural bibliometric analysis of research on addiction treatment using transcranial magnetic stimulation (TMS). From 2001 to 2023, the United States led in both output volume and international collaborations. Medical University of South Carolina and Zangen A were the most productive institution and author, respectively. Neurobiology, alcohol use disorder, and repetitive TMS were the most recent research hotspots.

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# INTRODUCTION

Addiction is a periodic or chronic toxic state that arises from the continuous use of certain substances. Despite understanding the serious harm of addictive substances, individuals cannot control their use and continue to seek out these substances<sup>[1]</sup>. According to the 2018 National Survey on Drug Use and Health in America<sup>[2]</sup>, approximately 20.3 million individuals aged 12 or older were reported to suffer from substance use disorders. Despite the availability of therapeutic interventions, relapse rates are high, and addiction is inadequately addressed<sup>[3]</sup>. Consequently, improved treatment strategies can benefit individuals with addiction globally. Transcranial magnetic stimulation (TMS) is a non-invasive, relatively simple treatment with fewer side effects, making it a significant alternative for addiction<sup>[4,5]</sup>.

TMS stimulates brain activity using a fast and time-varying magnetic field[6]. It can target the prefrontal cortex (PFC) and activate underlying cortical regions[7]. Recently, many studies have found that TMS can be used to effectively treat different types of addiction, such as addiction to nicotine[8,9], alcohol[10], cocaine[11], heroin[12,13], and metham-phetamine[14]. TMS is used to treat addiction due to dorsolateral PFC dysfunction in these disorders. Normal function of the dorsolateral PFC is essential for top-down inhibitory control mechanisms and reward mechanisms[15]. Nevertheless, the neurobiological mechanisms of TMS in the treatment of addiction have not been fully elucidated, and the optimal stimulation parameters remain undetermined. Although the high worldwide prevalence of addiction has led to a growing interest in TMS-based treatment of addiction among researchers, few articles have summarized current advances in this field and predicted future research hotspots.

Bibliometric analysis is an in-depth econometric examination of publications within a particular field over a specified timeframe. It includes parameters like article count, author count, country or region, journal, reference, and keyword. It presents a detailed overview of the chosen research area, enabling researchers to gain an in-depth and comprehensive understanding of the latest research trends and potential future hotspots[16-20]. However, there is currently a lack of bibliometric articles analyzing publication characteristics, research trends, and hotspots related to TMS-based treatment of addiction. This bibliometric study analyzed research trends and key areas in TMS-based addiction treatment from 2001 to 2023. In this study, we provided a panoramic overview of the field and identified future research trends and potential hotspots.

### MATERIALS AND METHODS

### Sources of data and search methodologies

The study utilizes data from publications dated January 1, 2001, to December 31, 2023, sourced from the Web of Science Core Collection (WoSCC) on January 20, 2024. To prevent bias in database updates, we conducted data extraction and downloads within a single day. We conducted a literature search using the strategy: TS = [(Transcranial Magnetic Stimulation) OR (Theta burst stimulation) OR (TMS) OR (TBS)] AND [TS = (addiction) OR (addictive behavior) OR (substance use disorder) OR (substance-related disorders) OR (habituation) OR (dependence) OR (dependency) OR (craving) OR (alcoholism)] AND [DT = (Article)] AND [LA = (English)]. The selection was limited to the Science Citation Index-Expanded (SCI-E). The exclusion criteria included: (1) Studies unrelated to TMS-based addiction treatment; (2) Non-scientific articles, including proceedings, book chapters, reviews, corrections, editorials, letters, news items, meeting abstracts, and retracted publications; (3) Publications not in English; and (4) Duplicate publications. To ensure the accuracy of the bibliometric analysis, researchers Yang HR and Li ZY verified all publications obtained through the search strategy, examining their titles, abstracts, and publication years. Then, raw data were downloaded from WoSCC as text files containing full records. Figure 1 provides a schematic representation of the detailed screening workflow.

### **Bibliometric analysis**

We used the Web of Science to characterize all publications on TMS-based treatment of addiction, analyze the search results, and extract histograms depicting publication trends. Data from WoSCC were converted to TXT format and imported into VOSviewer 1.6.19 and CiteSpace V6.1R6 for analysis. Furthermore, data were analyzed using the "bibliometrix" R software package and the bibliometric online analysis platform.

The annual publication count was obtained from WoSCC, and the publication counts for the top 10 countries/regions and the top 10 most cited journals were sourced from the bibliometric online analysis platform. A word cloud of the top 100 high-frequency keywords was created using the "bibliometrix" R package. Furthermore, the analysis of collaborations between countries/regions was conducted using VOSviewer software, a tool that facilitates the construction of visual bibliometric maps[21]. CiteSpace, a widely recognized bibliometric visualization tool[22], was used to analyze various data, help understand the recent status of research related to TMS-based treatment of addiction, and predict potential hotspots in this field. The data encompassed institutional and author collaborations, reference co-citation analysis, and keywords exhibiting significant citation bursts.

### RESULTS

### Quantity and trend analysis of published papers

One hundred-ninety articles meeting our inclusion criteria were retrieved from the SCI-E of WoSCC. The total number of articles published per year is displayed at the top of the bar (Figure 2A). Research on TMS-based treatment of addiction was categorized into two periods based on the number of publications. From 2001 to 2014, publications gradually increased, whereas from 2015 to 2023, there was a notable surge in publication numbers. The number of publications in 2022 exceeded that in 2001 by more than 14 times, indicating a significant rise in global interest in research on TMS-based treatment of addiction. Additionally, we assessed the number of clinical research and basic research papers and observed that the majority of the publications were in the realm of clinical research (Figure 2B). Using Microsoft Excel 2021, we formulated a growth trend model represented by the equation:  $F(x) = 0.0547x^2 - 218.9x + 219163$  ( $R^2 = 0.8513$ ). This model predicted the publication of approximately 43 articles in 2030 (Supplementary Figure 1).

Using the bibliometric online analysis platform, we identified the leading country/region researching TMS-based addiction treatment from 2001 to 2023 and quantified the publication counts across various countries/regions. Figure 2C illustrates a bar chart showing the top 10 countries with the most published papers from 2001 to 2023. Throughout this period, the United States has maintained its prominent position in studying TMS-based treatment of addiction, with China overtaking the United States in annual publications from 2020 to 2022.

We analyzed the overall citation count for articles published from each country/region and presented the top 10 countries/regions (Figure 2D). The United States and Canada ranked first and second with 1923 and 1718 total citations for all relevant papers published, respectively. By calculating the average number of citations per article (total number of citations/total number of articles) for each country/region, we determined the top 10 countries/regions based on this metric (Table 1). Switzerland, Canada, and Israel ranked as the top three countries with the highest average citations, recording 280.0, 156.2, and 80.1 citations, respectively.

#### Analysis of collaborating countries/regions and institutions

From 2001 to 2023, 190 articles on TMS-based treatment of addiction were published in 27 countries and regions. The VOSviewer software was applied to explore the collaborative status among these countries or regions. Figure 3A illustrates international academic collaborations in TMS-based addiction treatment research, with circles representing countries/regions and lines indicating collaborative links. Circle size represents the number of articles published by each country/region, while line thickness reflects the collaboration intensity between them. The findings indicated that the United States was the leading nation in international collaboration. The United States collaborated most frequently with Italy, followed by China.

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# Table 1 The top 10 countries with the highest total citations for research on transcranial magnetic stimulation-based addiction treatment, published between 2001 and 2023 (ranked by average citations)

Rank	Country	Number of publications	Total number of citations	Average number of citations
1	Switzerland	1	280	280.0
2	Canada	10	1718	156.2
3	Israel	8	641	80.1
4	Germany	5	341	68.2
5	India	4	234	58.5
6	England	6	335	55.8
7	United States	43	1923	44.7
8	Belgium	10	388	38.8
9	Italy	29	638	22.0
10	China	40	539	13.5



Figure 1 Flowchart describing the inclusion and exclusion criteria used in the present study on transcranial magnetic stimulation-based treatment of addiction. DT: Document type; TBS: Theta-burst stimulation; TMS: Transcranial magnetic stimulation; TS: Topic search.

Data in the TXT format was entered into the CiteSpace software to understand the collaboration status among academic institutions. In total, 229 research institutions participated in studying TMS-based treatment of addiction. Figure 3B visualizes the top 10 most productive institutions, with each concentric circle's size indicating the number of published articles and the thickness of connecting lines representing the extent of inter-institutional collaboration. There were 10 productive institutions with more than 8 publications. The Medical University of South Carolina in the United States published the highest number of articles (n = 20). Five of the top ten most productive institutions were based in the United States, highlighting the country's significant academic influence in this research area.





Figure 2 Analysis of the quantity and research trends on transcranial magnetic stimulation-based treatment of addiction from 2001 to 2023. A: The annual number of papers on transcranial magnetic stimulation (TMS)-based treatment of addiction published from 2001 to 2023 was obtained from Web of Science data; B: The proportion of basic and clinical research articles on TMS-based treatment of addiction published from 2001 to 2023; C: Analysis of publication numbers and growth trends for the leading 10 countries/regions in TMS-based addiction treatment, sourced from a bibliometric online platform; D: A bar graph illustrating the total citations from 190 retrieved articles across all countries. This figure displays the top 10 countries ranked by total citation count. Each bar corresponds to a country, with its length directly proportional to the total citation count.

#### Analysis of co-authorship networks and core author distribution

By analyzing the characteristics of author collaboration networks in a specific field, we can identify the core authors and the extent of collaboration among them[23]. Here, 411 authors were involved in 190 identified articles related to TMS-based treatment of addiction from 2001 to 2023. Figure 4 depicts author collaboration. We identified the top 10 authors based on their publication count. Node and font sizes are directly proportional to publication counts, while line thickness indicates the strength of author collaboration. The visualization map provides intuitive information about the collaboration between different authors, helping researchers identify potential partners. Zangen A, affiliated with the Medical University of South Carolina, authored the highest number of articles (n = 12). The author collaboration network map showed strong collaboration between these prolific authors and other authors.

#### Journal analysis

The online analysis platform of bibliometrics was used to analyze the influence of journals. The top 10 most cited journals are shown in Table 2, with articles published by *Biological psychiatry* being the most cited (769 citations), followed by articles published by *Drug and alcohol dependence* (675 citations), *European neuropsychopharmacology* (378 citations), *Frontiers in psychiatry* (288 citations), *Brain stimulation* (237 citations), *Neuropsychopharmacology* (218 citations), *Frontiers in neuroscience* (143 citations), *Addiction biology* (98 citations), *Addiction* (69 citations), and *Alcoholism-clinical and experimental research* (56 citations). The publishers of these journals were mainly from the United States (4 of 10). European Neuropsychopharmacology articles had the highest average citations per article, with 126 citations.

#### Examination of co-citation patterns and network clustering

Literature co-citation identifies articles frequently cited together by multiple authors. Each node symbolizes a reference, with connecting lines indicating that these articles were co-cited by the same article among the 190 articles (Figure 5A). There is a positive correlation between node size and citation frequency. Thicker connecting lines between nodes imply a higher co-citation intensity. Red nodes signify documents frequently cited in recent years, whereas purple nodes represent those cited in earlier years. Citations serve as a key measure of an article's influence within a specific research field. Table 3 indicates the top 10 most cited references from 190 articles. In 2017, a review published in *Nature Reviews Neuroscience* by Diana *et al*[24] Ranked first with 32 citations, the paper reviews TMS mechanisms and proposes that repetitive TMS (rTMS) is pioneering new approaches in addiction treatment. The second-ranked and third-ranked publications were published in *European Neuropsychopharmacology* and *Neuroscience and Biobehavioral Reviews*. They were cited 28 times and 24 times, respectively. The first study indicated the potential therapeutic role of rTMS-mediated PFC stimulation in reducing cocaine use[11], while the second offered guidelines for best practices in researching transcranial electrical and magnetic treatments for substance use disorders[25].

The reference citation burst refers to a rapid increase in citation frequency, which usually reflects the emergence or transformation of a research field. In addition, the higher burst strength of the reference shows greater significance in the field. CiteSpace was used to generate the top 25 references exhibiting the strongest citation bursts (Figure 5B). The blue line indicates the time frame from 2001 to 2023, and the red line indicates the period over which the burst references were maintained. Among the burst references in recent years, the most recent and most cited study was a review, published by *Nature reviews neuroscience* in 2017. The burst began in 2019 and continued until the end of 2023. In this review, the authors described the fundamentals of TMS and its putative mechanisms of action and discussed the pros and cons of TMS. They argued that neural plasticity and connectivity changes may underlie some of the long-term effects of TMS[24].

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## Table 2 The top 10 most cited journals in the field of transcranial magnetic stimulation-based treatment of addiction, published from 2001 to 2023 (sorted by total number of citations)

Rank	Journal title	Frequency	Total citations	Average citation per paper	Impact factor (2023)	Country	JCR
1	Biological Psychiatry	7	769	109.86	9.6	United States	Q1
2	Drug and Alcohol Dependence	14	675	48.21	3.9	Switzerland	Q1
3	European Neuropsychopharmacology	3	378	126.00	6.1	United States	Q1
4	Frontiers in Psychiatry	5	288	57.60	3.2	Netherlands	Q2
5	Brain Stimulation	20	237	11.85	7.6	Switzerland	Q1
6	Neuropsychopharmacology	6	218	36.33	6.6	United States	Q1
7	Frontiers in Neuroscience	4	143	35.75	3.2	England	Q2
8	Addiction Biology	6	98	16.33	3.1	Switzerland	Q2
9	Addiction	6	69	11.50	5.2	England	Q1
10	Alcoholism-Clinical and Experimental Research	3	57	19.00	3.0	United States	Q2

### Table 3 The top 10 highly-cited references among 190 retrieved articles on transcranial magnetic stimulation-based treatment of addiction, published from 2001 to 2023 (sorted by citation frequency)

Rank	Title	First author	Journal	Year	Cited frequency	DOI
1	Rehabilitating the addicted brain with transcranial magnetic stimulation	Diana M	Nature Reviews Neuroscience	2017	32	10.1038/nrn.2017.113
2	Transcranial magnetic stimulation of dorsolateral prefrontal cortex reduces cocaine use: A pilot study	Terraneo A	European Neuropsychophar- macology	2016	28	10.1016/j.euroneuro.2015.11.011
3	Transcranial electrical and magnetic stimulation (tES and TMS) for addiction medicine: A consensus paper on the present state of the science and the road ahead	Ekhtiari H	Neuroscience and Biobeha- vioral Reviews	2019	24	10.1016/j.neubiorev.2019.06.007
4	Smoking cessation induced by deep repetitive transcranial magnetic stimulation of the prefrontal and insular cortices: A prospective, randomized controlled trial	Dinur- Klein L	Biological Psychiatry	2014	19	10.1016/j.biopsych.2014.05.020
5	High frequency repetitive transcranial magnetic stimulation of the left dorsolateral prefrontal cortex for methamphetamine use disorders: A randomised clinical trial	Su H	Drug and Alcohol Dependence	2017	19	10.1016/j.drugalcdep.2017.01.037
6	10-Hz Repetitive Transcranial Magnetic Stimulation of the Left Dorsolateral Prefrontal Cortex Reduces Heroin Cue Craving in Long- Term Addicts	Shen Y	Biological Psychiatry	2016	18	10.1016/j.biopsych.2016.02.006
7	Effects of repetitive transcranial magnetic stimulation (rTMS) on craving and substance consumption in patients with substance dependence: A systematic review and meta- analysis	Zhang JJQ	Addiction	2019	17	10.1111/add.14753
8	Repetitive transcranial magnetic stimulation of the dorsolateral prefrontal cortex reduces nicotine cue craving	Li XB	Biological Psychiatry	2013	17	10.1016/j.biopsych.2013.01.003
9	Transcranial magnetic stimulation in the treatment of substance addiction	Gorelick DA	Annals of the New York Academy of Sciences	2014	16	10.1111/nyas.12479
10	Efficacy of repetitive transcranial magnetic stimulation in alcohol dependence: A sham- controlled study	Mishra BR	Addiction	2010	15	10.1111/j.1360-0443.2009. 02777.x



Figure 3 Map of national/regional and institutional cooperation networks in studying transcranial magnetic stimulation-based treatment of addiction. A: Collaborations among 27 countries/regions in studying transcranial magnetic stimulation (TMS)-based treatment of addiction; B: Collaborative network map illustrating institutions engaged in researching TMS-based addiction treatments. The circles represent different countries/regions and institutions. Circle size denotes the number of published articles, while link thickness reflects the level of collaboration.

CiteSpace was used to conduct a co-citation cluster mapping of the references in the 190 articles, based on their keywords. The co-citation cluster analysis showed the most popular terms in studying TMS-based treatment of addiction (Figure 6A), by hierarchical cluster labels was as follows: (1) Dopamine (DA) hypothesis; (2) Gambling disorder; (3) Low frequency; (4) Cigarette craving; (5) DA release; (6) Alcohol-dependent patient; (7) Relapse; (8) Nicotine dependence; (9) Caudate nucleus; and (10) Considering motor excitability. A summary of these clusters is presented in Table 4. The silhouette value of > 0.5 indicated that the clustering results were credible.

#### Keyword-based analysis of research trends and burst detection

Figure 6B shows a timeline view to clearly depict the changes in research hotspots related to TMS-based treatment of addiction from 2001 to 2023. Each circle denotes a significant cited paper within a particular cluster. The size of the cited tree ring on the timeline represents the citation frequency. Large nodes were frequently cited or were explosively cited in a specific period. The co-cited literature clustering with the keyword "gambling disorder" occurred from around 2010 to 2018. Recent hotspots are "dopamine hypothesis" and "nicotine dependence", which emerged in 2013 and have continued ever since.

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Table 4 A summary of 10 clusters of references in publications about transcranial magnetic stimulation-based treatment of addiction						
Cluster ID	Term	Size	Silhouette <sup>1</sup>			
0	Dopamine hypothesis	99	0.882			
1	Gambling disorder	77	0.795			
2	Low frequency	56	0.91			
3	Cigarette craving	45	0.926			
4	Dopamine release	41	1			
5	Alcohol-dependent patient	39	0.908			
6	Relapse	31	1			
7	Nicotine dependence	22	0.972			
8	Caudate nucleus	22	1			
9	Considering motor excitability	22	0.985			

<sup>1</sup>The Silhouette value of > 0.5 indicates that the clustering results are reliable.



Figure 4 Collaborative network of authors in the field of transcranial magnetic stimulation-based treatment of addiction. This figure displays the top 10 authors with more than five publications. Circles symbolize authors, with links denoting collaborative relationships. There is a positive correlation between font size and the number of published papers.

The word cloud (Figure 7A) represents the top 100 high-frequency keywords in studying TMS-based treatment of addiction. There is a positive correlation between font size and usage frequency. After excluding the keywords with minor practical significance, the following keywords with high frequency were included: (1) Dorsolateral PFC; (2) Addiction, rtms; (3) Drug-addiction; and (4) PFC. Keyword burst detection is a method for swiftly identifying research hotspots. Figure 7B shows the top 15 strongest keywords for the 2001-2023 keyword bursts. The green line represents the period from 2001 to 2023, while the red line indicates the duration associated with the burst keyword among the confirmed keyword bursts, the most recent keywords were "rtms" (4.85), "neurobiology" (2.48), "alcohol use disorder (AUD)" (2.8), and "repetitive transcranial magnetic stimulation" (2.54).



Top 25 references with the strongest citation bursts

References	Strength	Begin	End	2001 - 2023
Amiaz R, 2009, ADDICTION, V104, P653, DOI 10.1111/j.1360-0443.2008.02448.x, DOI	7.35	2010	2014	
Mishra BR, 2010, ADDICTION, V105, P49, DOI 10.1111/j.1360-0443.2009.02777.x, DOI	7.88	2011	2015	
Feil J, 2010, NEUROSCI BIOBEHAV R, V34, P559, DOI 10.1016/j.neubiorev.2009.11.006, DOI	5.08	2013	2015	
Rose JE, 2011, BIOL PSYCHIAT, V70, P794, DOI 10.1016/j.biopsych.2011.05.031, DOI	3.38	2013	2015	
Li XB, 2013, BIOL PSYCHIAT, V73, P714, DOI 10.1016/j.biopsych.2013.01.003, DOI	7.52	2014	2018	
Bellamoli E, 2014, BEHAV NEUROL, V2014, P0, DOI 10.1155/2014/815215, DOI	5.03	2014	2018	
Jansen JM, 2013, NEUROSCI BIOBEHAV R, V37, P2472, DOI 10.1016/j.neubiorev.2013.07.009, DOI	4.84	2014	2018	
Dinur-Klein L, 2014, BIOL PSYCHIAT, V76, P742, DOI 10.1016/j.biopsych.2014.05.020, DOI	6.72	2015	2019	
Herremans SC, 2012, DRUG ALCOHOL DEPEN, V120, P209, DOI 10.1016/j.drugalcdep.2011.07.021, DOI	3.53	2015	2016	
Höppner J, 2011, WORLD J BIOL PSYCHIA, V12, P57, DOI 10.3109/15622975.2011.598383, DOI	3.53	2015	2016	
Terraneo A, 2016, EUR NEUROPSYCHOPHARM, V26, P37, DOI 10.1016/j.euroneuro.2015.11.011, DOI	6.8	2016	2019	
Gorelick DA, 2014, ANN NY ACAD SCI, V1327, P79, DOI 10.1111/nyas.12479, DOI	6.66	2016	2019	
Hanlon CA, 2015, BRAIN RES, V1628, P199, DOI 10.1016/j.brainres.2015.02.053, DOI	5.06	2017	2019	
Shen Y, 2016, BIOL PSYCHIAT, V80, PE13, DOI 10.1016/j.biopsych.2016.02.006, DOI	5	2017	2021	
Bolloni C, 2016, FRONT PSYCHIATRY, V7, P0, DOI 10.3389/fpsyt.2016.00133, DOI	4.1	2018	2021	
Lefaucheur JP, 2014, CLIN NEUROPHYSIOL, V125, P2150, DOI 10.1016/j.clinph.2014.05.021, DOI	3.48	2018	2019	
Diana M, 2017, NAT REV NEUROSCI, V18, P685, DOI 10.1038/nrn.2017.113, DOI	8.27	2019	2023	
Rapinesi C, 2016, NEUROSCI LETT, V629, P43, DOI 10.1016/j.neulet.2016.06.049, DOI	4.31	2019	2021	
Martinez D, 2018, FRONT PSYCHIATRY, V9, P0, DOI 10.3389/fpsyt.2018.00080, DOI	3.52	2019	2021	
Zack M, 2016, BRAIN STIMUL, V9, P867, DOI 10.1016/j.brs.2016.06.003, DOI	3.28	2019	2020	
Zhang JJQ, 2019, ADDICTION, V114, P2137, DOI 10.1111/add.14753, DOI	5.36	2020	2023	
Su H, 2017, DRUG ALCOHOL DEPEN, V175, P84, DOI 10.1016/j.drugalcdep.2017.01.037, DOI	5.11	2020	2023	
Koob GF, 2016, LANCET PSYCHIAT, V3, P760, DOI 10.1016/S2215-0366(16)00104-8, DOI	4.8	2020	2021	
Liu QM, 2017, AM J ADDICTION, V26, P776, DOI 10.1111/ajad.12638, DOI	3.39	2020	2023	

Figure 5 Reference co-citation network analysis of publications on transcranial magnetic stimulation for addiction treatment, spanning

2001 to 2023. A: CiteSpace co-citation map of 6607 references related to transcranial magnetic stimulation (TMS)-based treatment of addiction. Each node symbolizes a reference. Node size correlates positively with citation frequency, while links between nodes indicate shared references within the same article. Nodes with a deeper red hue indicate papers with high citation frequency in recent years, whereas nodes with a deeper purple hue signify references cited more frequently in earlier years. The top 10 most cited publications are listed with their first author and year of publication; B: The 25 most influential references with significant citation bursts related to TMS-based addiction treatment, spanning publications from 2001 to 2023. The blue bars indicate the period in which the reference has been published, and the red bars represent bursts of citation frequency.

#### DISCUSSION

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This study provides the first comprehensive overview of articles published in the field of TMS-based treatment of addiction from 2001 to 2023. Our analysis indicates a significant rise in the number of articles published in this field over the past nine years. The United States, the Medical University of South Carolina, and researcher Zangen A were identified as the leading contributors in this area through bibliometric and visual analysis. Keyword burst detection indicated that neurobiology, AUD, and rtms are current research hotspots. This bibliometric analysis can help researchers interested in



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Figure 6 Analysis of the clustered network of publications in on transcranial magnetic stimulation for addiction treatment, covering the period from 2001 to 2023. A: A CiteSpace visual analysis of the co-cited literature clustering network. This figure displays the ten largest citation clusters; B: Timeline visualization of co-cited references concerning transcranial magnetic stimulation-based addiction treatment. Clusters are depicted by horizontal lines, with smaller label numbers indicating larger clusters. Node size represents co-citation frequency, while links denote co-citation relationships. Node and line colors indicate the citing years.

TMS-based treatment of addiction understand the latest research trends and hotspots.

From 2001 to 2023, the United States and China were major contributors to research on TMS-based treatment of addiction. The United States consistently leads in publication volume, citation count, and international collaboration. Half of the top ten research organizations and researchers with the most publications were based in the United States. Four of the ten most active academic journals in the field were from the United States, with the most cited journal coming from the United States. All of these findings show the absolute academic influence and dominance of the United States in this field. China started publishing research papers in this field in 2016 and exceeded the United States in annual publication numbers by 2020. In 2023, China ranked second in the number of published papers, following the United States, but was fifth in total citations, significantly trailing the United States, which held the first position. This suggests that the academic impact of China in this area still requires enhancement, particularly in producing high-quality publications.

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Top 15 keywords with the strongest citation bursts

		-		
Keywords	Strength	Begin	End	2001 - 2023
motor cortex	2.21	2001	2016	
human motor cortex	3.36	2007	2017	
dopamine release	3.32	2009	2016	
validation	2.81	2015	2018	
alcohol dependence	2.18	2015	2018	
deep brain stimulation	2.3	2016	2018	
drug addiction	2.13	2016	2019	
anterior cingulate cortex	2.07	2016	2018	
synaptic plasticity	2.66	2017	2018	
nicotine dependence	2.49	2017	2018	
inhibitory control	2.11	2018	2020	
rtms	4.85	2020	2023	
neurobiology	2.48	2020	2023	
alcohol use disorder	2.8	2021	2023	
repetitive transcranial magnetic stimulation	2.54	2021	2023	

Figure 7 Analysis of keywords and burst detection of publications on transcranial magnetic stimulation-based treatment of addiction, published from 2001 to 2023. A: Word cloud illustrating the 100 most frequent keywords from research on transcranial magnetic stimulation-based addiction treatment; B: The 15 keywords with the most significant citation bursts, arranged by the year they began. Keywords marked in red bars indicate a sudden increase in using frequency during the corresponding period, and the blue color represents a relatively unpopular period.

With the process of globalization, there has been a significant trend toward increased international collaboration. This has led to the production of high-quality publications on public health issues. The United States 's closest collaboration is with Italy, followed by China. Research institutions with the most publications predominantly originated from the United States, China, and Canada. In the face of the global burden of addiction, research in this area requires more collaboration among countries and institutions.

The sharp rise in publications over the past nine years indicates heightened interest in TMS-based addiction treatment. Recently, several clinical studies have found that rTMS can reduce craving levels[26] and improve cognitive functions, such as attention, memory, and decision-making, in substance-addicted patients[27], suggesting that TMS is effective in treating addiction. Preclinical and clinical studies suggested that rTMS induces adaptation in specific subcortical neural circuits of the frontal regions of the brain, thereby leading to substantial behavioral changes[27-29]. Basic experiments are more conducive to exploring the neural mechanisms underlying TMS-based treatment of addiction[30-32]. However, they are limited by the absence of TMS devices suitable for small animals.

Zangen A was the leading author in TMS-based addiction treatment research, with George MS and Hanlon CA each contributing 11 articles. Zangen A from Ben Gurion University (Israel) studies electrophysiological and behavioral alterations induced by deep rTMS in depression, addiction, and attention deficit hyperactivity disorder[5,10,33]. Hanlon CA and George MS are affiliated with the Medical University of South Carolina, United States. Using functional and structural brain imaging techniques, along with TMS, they investigated cortico-striatal connectivity in substance-dependent populations[5]. Recent research employed functional magnetic resonance imaging to examine the impact of 10 rTMS sessions on cortical activity and neural networks in smokers seeking treatment. They demonstrated that diminished drive-reward and executive control functional connectivity correlate with the smoking cessation effects of rTMS[15].

Using a timeline view of changes in research fields, we found that the latest research trends in the field of TMS-based treatment of addiction included the DA hypothesis and nicotine dependence, as shown by the red nodes in Figure 6B.

The DA hypothesis cluster started to appear in 2013 and remained a hot research topic until recent years. Dopaminergic activity is reduced in rodent models and in people with addiction. Brain stimulation has been shown to "boost" DA signaling in human brain, thereby providing a path for restoring neural homeostasis[34]. In addition, rTMS of frontal brain regions selectively stimulates DA release from the hippocampus[35], positioning DA as a key candidate neurotransmitter directly and selectively modulated by rTMS. Moreover, high-frequency rTMS also increases DA levels in the nucleus accumbens, anterior cingulate cortex, and PFC[24]. The nicotine dependence cluster was closely linked to the DA hypothesis cluster (Figure 6B), indicating that research on TMS-based treatment of patients with tobacco addiction has received much attention in recent years [15,36,37].

Keyword bursts are considered indicators of important research hotspots or emerging trends. The top 15 most cited keyword bursts are listed in Figure 7B. The time period of the listed keyword bursts was scattered but completely covered the 2001-2023 period, indicating that major research interests have evolved over time. Among the four most recent keywords, the outburst of "rtms", also "repetitive transcranial magnetic stimulation", began in 2020 and ranked first, with an intensity of 4.85. Studies related to this keyword focused on the safety and efficacy of rTMS, a new neuroelectrophysiological technique developed based on TMS for treating addiction[4]. Second, the burst of "neurobiology" originated from studies targeting the neurobiological mechanisms associated with addiction. They showed adaptive changes in neuronal circuits involved in reward and fear processing due to chronic substance use and abuse[38]. In addition to acute effects, TMS can induce long-term plasticity, which alleviates addiction. The frequency and pattern of the TMS pulse sequence determine whether the long-term plasticity effects of TMS are facilitatory or suppressive[39-42]. Most clinical trials on rTMS employ relatively strong intensities (approximately 100%-120% of the motor threshold); however, relatively weak rTMS intensities, which do not induce pyramidal cell action potentials, can also modulate cortical excitability. This modulatory effect may be due to the altered synaptic strengths between interneurons and pyramidal cells[43]. The third keyword burst was "AUD". As the most prevalent form of addiction, AUD brings a serious burden to individuals and society[44]. The neural mechanism of AUD has always been a hot spot in treating addiction[45], and investigating the neurobiological mechanism of TMS-based treatment of AUD[10]. To sum up, these keywords suggest that the neurobiological mechanisms of TMS-based treatment of addiction, such as AUD, nicotine dependence, and drug addiction, will continue to be research hotspots in the future. This finding can help better understand the disease-specific pathophysiology of addiction and select optimal cortical and network-level targets for TMS.

Our study has limitations. First, only data retrieved from WoSCC contained the complete information needed for cocitation analysis by CiteSpace. Consequently, data were exclusively sourced from the WoSCC SCI-E database, omitting records from other significant search engines such as PubMed, EMBASE, and Ovid. This choice might have resulted in an incomplete literature sample on TMS-based treatment of addiction published from 2001 to 2023. Secondly, we chose papers published in English, as it is the dominant language in contemporary academic journals. Consequently, articles published in languages other than English were excluded. Furthermore, despite the increasing number of articles related to TMS-based treatment of addiction, the total number of articles remains relatively small. Hence, our analysis results may be somewhat biased due to reliance on existing literature. Future studies should overcome these limitations.

#### CONCLUSION

This study presents the inaugural bibliometric and visual analysis of publications on TMS-based addiction treatment. The number of papers in this field has rapidly increased since 2015, with the United States and China being the primary contributors. The neurobiological mechanisms of TMS-based treatment of addiction are expected to remain a prominent research direction in the future. The current study offers valuable insights to explore the therapeutic parameters and neurobiological mechanisms involved in TMS-based treatment of addiction.

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#### FOOTNOTES

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