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 Baishideng Publishing Group Inc
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Synergetic role of integrating the departments of cancer registry and clinical research at an academic comprehensive cancer center

McKenzie Bedra, Tammy Vyskocil, Jennifer Emel, Crystal Edwards, Cherif Boutros

McKenzie Bedra, Tammy Vyskocil, Jennifer Emel, Crystal Edwards, Cherif Boutros, Tate Cancer Center, University of Maryland Baltimore Washington Medical Center, Glen Burnie, MD 21061, United States

Cherif Boutros, Department of Surgery, University of Maryland School of Medicine, Baltimore, MD 21201, United States

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Correspondence to: Cherif Boutros, MD, MSc, FACS, Tate Cancer Center, University of Maryland Baltimore Washington Medical Center, 305 Hospital Drive Ste. 304, Glen Burnie, MD 21061, United States. cboutros@smail.umaryland.edu
Telephone: +1-410-5538146
Fax: +1-410-5538181

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Abstract

Integration of the cancer registry and clinical research departments can have a significant impact on the accreditation process of a Commission on Cancer (CoC) Program. Here in we demonstrate that the integration of both departments will benefit as there is increased knowledge, manpower and crossover in job responsibilities in our CoC-accredited Academic Comprehensive Cancer Center. In our model this integration has led to a more successful cooperative interaction among departments, which has in turn created an enhanced combined effect on overall output and productivity. More manpower for the cancer registry has led to increased caseloads, decreased time from date of first contact to abstraction, quality of data submissions, and timely follow-up of all patients from our reference date for accurate survival analysis along with completeness of data. In 2016, our Annual Facility report showed an additional 163 cases over prediction by the state of Maryland Cancer Registry and a 39% increase in case completeness. As proof of the synergetic effectiveness of our model within one year of its implementation, the cancer center was able to apply for, and was awarded membership from Alliance for Clinical Trials in Oncology, Central IRB, and in turn led to increased clinical trial accrual from 2.8% in 2014 compared to 13.2% currently. Our cancer registry in year one submitted over 150 more cases than predicted, improved quality outcome measures displayed by our Cancer Program Practice Profile reports and had more timely and complete data submissions to national and state registries. This synergetic integration has led to a better understanding, utilization and analysis of data by an integrated team with Clinical Research expertise.

Key words: Cancer registry; Clinical research; Commission on Cancer; Synergetic integration; American College of Surgeons

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Core tip: In the current era, the evolution of healthcare management has focused on limiting resources while increasing the value of healthcare delivery. As hospitals and health care organizations operate under tighter budgets year after year, the executive teams must prioritize and utilize the resources available in the optimal way to produce the best patient care with limited funding. Integrating the cancer registry and clinical research departments can have a significant impact on outcomes. Both departments benefit as there is increased knowledge, manpower and crossover in job responsibilities. This leads to increased caseloads, decreased time from date of first contact to abstraction, and quality and completeness of data.

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MAIN TEXT

Health organizations all over the world are required to set priorities and allocate resources within the constraint of limited funding^[1]. The Commission on Cancer (CoC) is a program of the American College of Surgeons (ACoS) that was established in 1922. CoC membership is composed of 110 individuals who are either surgeons representing the ACoS or who are representatives from one of the 56 national professional organizations or member organizations affiliated with the CoC^[2]. Patients who obtain care at a CoC-accredited cancer program receive many benefits and they are directly related to the quality of their cancer care. They have the opportunity to receive surgical treatment in innovative ways including equipment such as robotic, laparoscopic and other minimally invasive approaches to cancer treatment. Accredited programs participate in multidisciplinary cancer conferences for each specialty where all key physicians are present to decide the best patient-centered care for each individual. In addition to cancer treatment, CoC-accredited programs also offer a vast range of support services for patients who receive treatment at their facilities. Some examples of support services include social work, dietitians, genetics counselors, nurse navigators, nurse practitioners specializing in survivorship which includes life after cancer treatment is complete, clinical research opportunities and a cancer registry that collects data on cancer type, stage, treatment result, and offers lifelong patient follow-up. Being part of a CoC-accredited program raises the bar by ensuring all programs adhere to the

ACoS CoC program standards on an annual basis.

Clinical Research and Cancer Registry departments play an integral role in achieving the standards set forth by the CoC for accredited programs. There is currently one standard for clinical research. CoC Standard 1.9 states, "as appropriate to the cancer program category, the required percentages of patients are accrued to cancer-related clinical research studies each calendar year. The Clinical Research Coordinator documents and reports clinical research study enrollment information to the cancer committee annually^[3]". It is required that each accredited cancer program accrue the minimum percentage of patients based on the program's CoC designated category, and the number of reportable cancer cases on an annual basis. For the cancer registry there are two standards that outline the minimum percentage of follow-up of cancer patient's year around. CoC Standard 5.3 states, "for all eligible analytic cases, an 80% follow-up rate is maintained from the cancer registry reference date". CoC Standard 5.4 states, "a 90 percent follow-up rate is maintained for all eligible analytic cases diagnosed within the last five years or from the cancer registry reference date, whichever is shorter^[3]". These two standards are applicable to both departments as ensuring a high percentage of patients in the cancer registry are followed from the registries reference date forward in turn leads to accurate survival analysis and opportunities for retrospective research.

Each CoC-accredited program is required to report data to the National Cancer Data Base (NCDB) during the annual Call for Data which falls during the beginning of each calendar year. Reporting of data falls under two standards. CoC standard 5.5 states, "each year, complete data for all requested analytic cases are submitted to the NCBD in accordance with the annual Call for Data^[3]". CoC Standard 5.6 states, "annually, cases submitted to the NCDB that were diagnose on January 1, 2003, or later meet the established quality criteria and resubmission deadline specified in the annual Call for Data^[3]". Reporting of this data is mandatory for every CoC-accredited program regardless of the program category on an annual basis. By reporting based on the standards above, it helps measure performance of the program and its cancer care quality. The data is used to monitor treatment patterns and outcomes and to also enhance cancer control and clinical surveillance activities. Utilization of this data helps in the development of effective educational interventions and clinical research to improve cancer prevention, early detection, cancer care delivery and outcomes in health care settings^[3].

Synergetic integration of the cancer registry and clinical research departments can have a significant impact on outcomes of a CoC accredited Academic Comprehensive Cancer Program (ACAD). As the standards of the CoC continue to develop and set the bar higher for accredited programs, individual cancer programs need to meet or exceed these standards. In

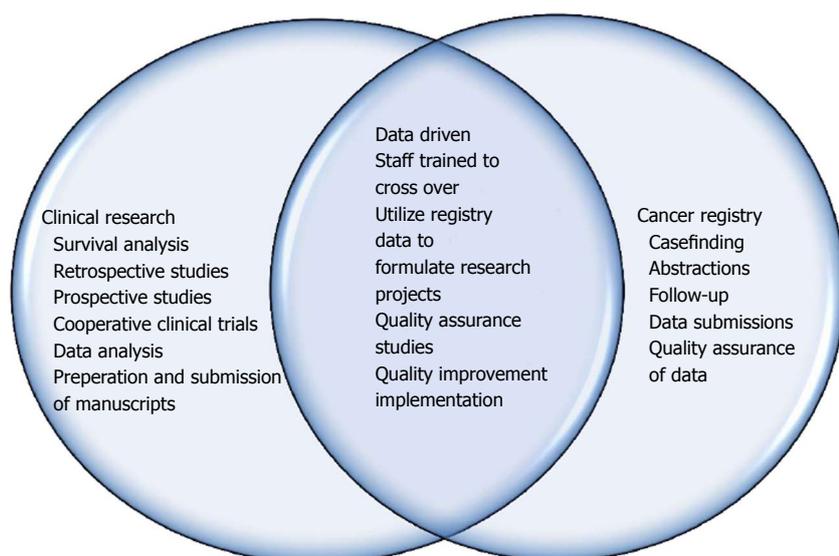


Figure 1 Roles and responsibilities of departments.

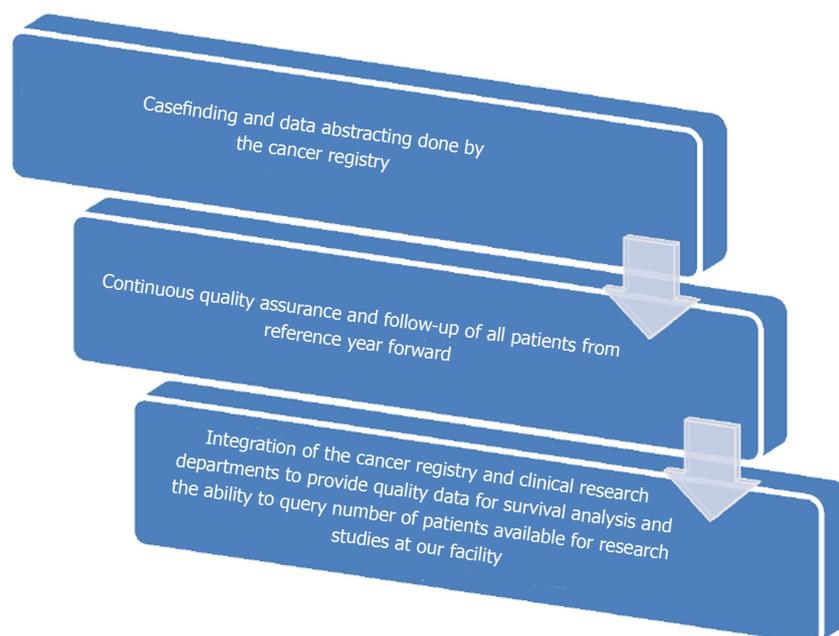


Figure 2 Synergistic integration effects on productivity and output.

the current state of healthcare, there is a major question about the priority setting and the dilemma of resource scarcity. This process should be evidenced based and encompass a wide range of challenges^[1]. Today, there is a significant increase in the workload which is needed to comply with CoC accreditation and deliver quality care to patients. As health organizations all over the world are required to set priorities and allocate resources within the constraint of limited funding, this has led to an increase in workload within the cancer registry and clinical research departments^[1]. These departments already have limited resources which has led us to the development of our model to still deliver quality care with the current scarce resources. This project

was started as a vision by our facility to combine two departments which have one common theme, data. The idea was put into place in August of 2015 as there was a need to utilize the vast amount of data available in the cancer registry for research. The two teams were merged and the data was utilized for both departments in many ways.

Results have shown that both departments have benefited as there is increased knowledge, manpower and crossover in job responsibilities. This integration has led to a more successful cooperative interaction among departments, which has in turn created an enhanced combined effect on overall output and productivity. More manpower for the Cancer Registry has led to

increased caseloads, decreased time from date of first contact to abstraction, quality of data submissions, and timely follow-up of all patients from our reference date for accurate survival analysis along with completeness of data. In 2016, our Annual Facility report showed an additional 163 cases over prediction by the state of Maryland Cancer Registry and a 39% increase in case completeness. Figure 1 below shows the roles and responsibilities of the two departments along with how the integration has led to a combined effort and crossover within the departments. Figure 2 below represents the synergistic integration and the flow of effects it has had on our success as an ACAD with less resources and more productivity.

Since becoming a part of Alliance for Clinical Trials in Oncology and Central IRB, our model has led to increased clinical trial accrual from 2.8% in 2014 compared to 13.2% currently. This synergetic integration has led to a better understanding, utilization and

analysis of data by an integrated team with Clinical Research expertise.

Based on our experience, we advocate for synergetic integration and implementation of our model in a CoC-accredited program. Our model will assure the ability to continuously meet standards of accreditation and add value to healthcare delivery while limiting cancer program resources.

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Antioxidants in experimental ischemia-reperfusion injury of the testis: Where are we heading towards?

George Vaos, Nick Zavras

George Vaos, Nick Zavras, Department of Paediatric Surgery, "ATTIKON" University General Hospital, National and Kapodistrian University of Athens, School of Medicine, 12462 Haidari, Athens, Greece

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Correspondence to: George Vaos, MD, PhD, FEBPS, Professor, Department of Paediatric Surgery, "ATTIKON" University General Hospital, National and Kapodistrian University of Athens, School of Medicine, 1 Rimini Street, 12462 Haidari, Athens, Greece. gvaos@med.uoa.gr
Telephone: +30-210-5831299
Fax: +30-210-5326411

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Abstract

Testicular torsion (TT) is a medical emergency that

primary affects newborns and young adolescents. It causes testicular injury due to the torsion of the spermatic cord and its components, initially in the venous blood flow and finally in the arterial blood flow. Prompt diagnosis and early surgical management are necessary in managing this urgent situation. The process of the pathophysiological events in ischemia-reperfusion is multifactorial and deals with the perception of the oxidative stress responsible for the consequences of ischemia/reperfusion (I/R) stress following TT. Duration and severity of torsion also play a significant role in the oxidative stress. A detrimental result of the defense system of the testes takes place resulting finally in testicular atrophy and impaired function. Antioxidant factors have been experimentally studied in an effort to front this state. They have been classified as endogenous or exogenous antioxidants. Endogenous antioxidants comprise a structure of enzymic enzymatic and non-enzymic enzymatic particles presented within cytoplasm and numerous other subunits in the cells. Exogenous antioxidants include a variety of natural and pharmaceutical agents that may prevent or ameliorate the harmful effects of I/R injury. In this study we review those factors and their ability to enhance the oxidative status of the testis. A feature insight into where we are heading is attempted.

Key words: Testis; Torsion; Experimental; Ischemia-reperfusion injury; Antioxidants

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Core tip: Testicular torsion is an emergency condition, most commonly seen in newborns and adolescents, which can be considered as an ischemia-reperfusion injury. We provide an overview of the molecular pathogenesis of the disease, and the current evidence of antioxidants use in the experimental torsion-detorsion situation. Possible adaptation of the experimental factors in the clinical practice is discussed.

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INTRODUCTION

Testicular torsion (TT) is one of the most serious surgical emergencies, deriving from the twisting of the spermatic cord and its contents, and causing decreased blood flow to the affected testis and finally testicular atrophy^[1,2]. The testis is exclusively prone to ischemic insults due to anatomical reasons (terminal arteries without anastomoses) and the inflexible properties of the tunica albuginea which restricts satisfactory expansion of the testis^[3]. Although, TT can be detected at any age, it is usually seen during perinatal period and puberty^[4-6]. Two main types of TT exist: Extravaginal and intravaginal^[3]. Extravaginal TT is usually seen during perinatal period, and is ought to the absence of normal fixation between testicular coverings and tunica vaginalis resulting in abnormal motility of the testis within scrotum. Intravaginal TT is most commonly seen in adolescent boys and results from a long mesorchium which allows a greater mobility of the testis within the tunica albuginea^[4].

TT has an annual incidence of about 3.8 per 100000 males less than 18 years^[7], and in cases of bilateral torsion, there is evidence that may be inherited^[8]. If left untreated within 4 to 6 h, loss of spermatogenic cells will occur^[9] leading to harmful results such as infertility and subfertility^[10]. The degree of twisting of the spermatic cord may also play an important role. In animal studies, 720° torsion caused significant reduction blood flow when compared with a twisted spermatic cord of 360° or less^[11].

There are two kinds of injuries responsible for testicular necrosis after TT: The first is related to ischemia (I) injury during torsion, and the second to reperfusion (R) injury during detorsion^[12]. I/R injury can cause cell damage from generation of reactive oxygen species (ROS), proinflammatory cytokines and adhesion molecules, lipid peroxidation, apoptosis, anoxia and alteration in microvascular blood flow, which finally lead to testicular atrophy^[13]. Although the testicular environment is characterized by low oxygen tensions, testes are susceptible to oxidative stress due to the plethora of highly unsaturated fatty acids and the presence of ROS^[14].

Antioxidants represent the first line defense of the organism in order to prevent the harmful consequences of I/R injury occurring in the environment of the testicular cell^[15]. Antioxidants may be classified as endogenous and exogenous^[15]. Endogenous antioxidants include a variety of enzymatic molecules that are

presented within the cytoplasm. Common existing endogenous antioxidant enzymes include superoxide dismutase (SOD), catalase, and peroxidases^[15,16]. Exogenous antioxidants include natural derived components such herb productions^[17-25], vitamins^[26-31], selenium^[32], hormones^[33-36], hormones receptors^[37,38], vascular agents^[39-41], phosphodiesterase inhibitors^[42,43], anesthetic and non-steroid anti-inflammatory drugs^[44-47], mucolytic agents^[48], and hyperbaric oxygen^[49]. All have been used in an effort to prevent the consequences of the oxidative stress in I/R injury.

The aim of this review is to present the pathophysiological changes that take place during I/R injury and to summarize the current literature regarding the role of antioxidants in the prevention of experimental I/R injury. Possible translation from the experimental laboratory studies to clinical practice is discussed.

SEARCH

Literature search

We conducted a search focusing on TT and experimental I/R injury in PubMed publishing over the last five years, between 2012 and 2016. The following search terms were used: "testicular torsion", "experimental ischemia-reperfusion injury", "protective agents". A total number of 22 full papers were extracted.

Pathophysiologic alterations during I/R injury

The pathophysiological alterations during I/R injury are multifactorial and difficult to understand. A cascade of events take place during the course of ischemia and further perturbations of biomolecules in cells are seeing during the blood re-establishment after reperfusion. The basic mechanisms of I/R are described below.

Ischemia injury: The role of Ca²⁺: During ischemia a decrease of cell pH is observed due to accumulation of lactic acid, protons and NAD⁺. To balance these alterations, the cell forces out H⁺ *via* the Na⁺/H⁺ exchanger system^[50]. Thereafter, Na⁺ ions are swapped for Ca²⁺ by the plasmalemmal Na⁺/Ca²⁺ exchanger, which results in increase of Ca²⁺, exacerbated furthermore during reperfusion. These huge alterations in Ca²⁺ stimulate an array of systems, which finally contribute to cell death^[50-52]. For instance, Ca²⁺ entry into the mitochondria *via* a mitochondrial protein further increases the lethal concentration of Ca²⁺^[53-55]. In addition, the Ca²⁺ cytosolic elevation during I/R can trigger the Ca²⁺/calmodulin-dependent protein kinases, which further added to cell death and tissue dysfunction^[53]. Additionally, the activation of calpains, a family of cysteine proteases by Ca²⁺ elevation, further degrades a group of intracellular proteins, including cytoskeletal, endoplasmic reticulum, and mitochondrial proteins^[56]. Furthermore, Ca²⁺ forces the creation of calcium pyrophosphate structures and uric acid, a pair that binds to a protein complex called inflammasomes which in turn increase the production

of cytokines IL-1 β , and TNF, which lead to a cytokine cyclone that irritate further the I/R injury^[53].

Reperfusion injury: Studies have shown that during reperfusion, the returned oxygenated blood restores the ATP production but also results in production of ROS, which in turn may modify every biomolecule found in cells, producing further cell dysfunction (oxygen paradox)^[57,58]. Redox molecules derived from nitric oxide (NO), the so called reactive nitrogen species (RNS) interact with ROS and lead to the production of reactive nitric oxide species (RNOS), such as peroxynitrite, responsible for harmful damage of macromolecules, initiation of death of endothelial and parenchymal cells, stimulation and release of pro-inflammatory mediators by various cell groups, and induction of adhesion molecules supporting leukocyte/lymphocyte-endothelial cells interactions, and reduction of protective NO^[57-59].

Oxidative stress: The classic theory of oxidative stress was that it arises from an imbalance between pro-oxidants vs antioxidants intracellular compounds^[39]. Currently, it is believed that oxidative stress is involved in three mechanisms in I/R injury: (1) indirect, through non-radical oxidants such as hydrogen peroxide (H₂O₂); (2) modulator, *via* molecular bond, oxidative or nitrosative modification of principle regulatory proteins; and (3) direct damage by oxidant radicals of DNA, proteins, lipids and carbohydrates^[53,60].

Superoxide anion radical (O₂⁻) is the first product of ROS during I/R injury, and subsequently all the other reactive species are derived from interactions or dismutation with other reactive species^[39]. This is supported by experimental studies showing that I/R were considerably attenuated by treatment with SOD or SOD analogues^[53,61,62]. O₂⁻ oxidizes various biomolecules and inactivates enzymes such as NADH, creatine kinase, and calcineurin^[58]. Sources of O₂⁻ are xanthine oxidoreductase, NADPH oxidase, cytochrome P450, and uncoupled nitric oxide species (NOS)^[53].

Nitric oxide stress: Nitric oxide (NO⁻) is elicited during oxidation of arginine to citrulline, through nitrite or nitrate through the action of xanthine oxidoreductase, or by mitochondrial cytochrome c^[63,64]. NO⁻ plays a protective role in the vascular system by producing dilation of blood vessels, modulating platelets aggregation and adhesions, and inhibiting leukocyte-endothelial adhesive interactions and angiogenesis^[53]. Interactions of NO with O₂ or O₂⁻ forming N₂O₃ or peroxynitrite, are associated with overproduction of NO and O₂⁻ resulting in pathophysiological nitrosative and oxidative stress^[53].

In summary, the oxidative/nitric oxide stress may have negative impact on the cell function in I/R stress through three ways: (1) destruction of cellular macromolecules such as membrane lipids, proteins, and DNA; (2) production of possibly toxic peroxynitrite and other RNOS; and (3) side effects on distinct cellular

systems and functions^[53].

Current antioxidant treatment of I/R injury in experimental TT

Comparable to other tissue-zoos which live under aerobic conditions, spermatozoa produce ROS which is a physiological process activity^[65]. Moreover, spermatozoa contain an array of ROS scavengers such as SOD, catalase, and substances such as ascorbic acid, taurine, hypotaurine, albumin, and carnitine to balance any ROS high concentration. However, any increased concentration of toxic metabolic products over the ROS scavenging ability, may cause loss of sperm motility and viability^[66-68].

A substantial number of experimental studies by using different agents have studied experimental TT focusing on the effect of I/R injury on ipsilateral and contralateral testis, on treatment and prevention of this injury^[53]. However, conflicting results are raised due to different animal species, such as rats or pigs, model of I/R injury, age, and technique that has been performed to evaluate the I/R damage^[69]. Furthermore, several experimental studies proposed that the contralateral testis is not affected by unilateral torsion^[70-72]. Nevertheless, there is evidence that both testes are affected, and contralateral testis is not disturbed by initial removal of the torsed testis and pretreatment with antioxidants^[73-75].

There are two therapeutic opportunities to counteract oxidative stress. In the first, the superoxide radical and hydrogen peroxide are eliminated by using specific enzymes such as SOD, catalase, and glutathione peroxidase (GPX) either by administration of these enzymes or by increasing them *in vivo* actions. In the second, radical production is prevented by antioxidant scavenging systems^[66].

Some authors showed that apigenin may prevent lipid peroxidation and protect the antioxidant system^[76,77]. We also found a decrease in immunoreactivity of TNF and IL-10, suggesting a synergistic action of apigenin with endogenous IL-10. This antioxidant effect may be due to the H⁺ donation of the OH⁻ aromatic group^[6]. Among others, we demonstrated^[42] that intraperitoneal injection of erythropoietin and sildenafil protects against I/R injury.

Amlodipine is a calcium channel blocker with antioxidants properties, effectively decreasing experimental vascular ischemia-induced damage in the liver and other tissues^[78]. Dogan *et al.*^[79] examined the effect of amlodipine in a rat model of TT injury. They found a significant decrease of TNF and transforming growth factor-beta in the treatment group, decreases in free radicals and increases in antioxidants such as SOD and GSH.

Goji berry (GB) is a traditional Chinese plant product, from the Solanaceae family with antioxidant effects. In experimental studies, GB has been shown to reduce blood sugar and lipid levels, and exhibits male fertility-enhancing effects, immunomodulating,

antitumor, and anti-fatigue properties. GB is composed from six monosaccharides and influences its effects *via* ion exchange chromatography. In a rat experimental study of TT, administration of GB reduced I/R injury by the antioxidant effects of GB^[9].

Mannitol is usually administered before partial nephrectomy to reduce renal damage due to intravascular volume expansion and its free-radical scavenging^[80]. Kurt *et al*^[81] in a rat model of TT, demonstrated that the treatment with mannitol group had less seminiferous tubules disruptions when compared to the TT group without mannitol treatment.

Hesperidin, is another antioxidant compound belonging to flavones with significant antioxidant effects in many tissues^[82,83]. Hesperidin was given intraperitoneally by Celik *et al*^[12] in an experimental group of rats underwent TT and the sample was compared to control group. They found a reduced effect on histological examinations of the hesperidin group when compared to control, while MDA levels were increased, and SOD, catalase and GSH levels were decreased as compared to the control group, concluding that hesperidin has positive results in cases of TT.

Polyphenolic catechins are components of green tea and comprise (-)- epicatechin, (-)- epigallocatechin, (-)- epicatechin gallate, and (-)- epigallocatechin gallate (EGCG)^[84]. Sugiyama *et al*^[85] studied an experimental rat model by producing 4 hours' ischemia and giving orally a single dose of (-)- EGCG 1 h before reperfusion. Histologic examination 4 wk after reperfusion found that EGCG protected against testicular damage from I/R injury and inhibited a further decrease in the activity of SOD.

Dexketoprofen, is a racemic mixture from the arylpropionic acid family of NSAIDS. Yildirim *et al*^[86] studied the intraperitoneal effect of dexketoprofen in a rat model of I/R injury. Malondialdehyde (MDA) levels were investigated in tissue and serum of torsioned testicles in the dexketoprofen group and control group. They found a statistically lower serum MDA levels in the dexketoprofen group compared to control group, and decreased, but not statistically significant, pathological changes in the spermatogenic cells of the control group.

Tyrphostin AG 556 is a tyrosine kinase inhibitor and belongs to the tyrphostin group which has been assessed in animal models of spinal cord and coronary I/R injury^[87,88]. Karaguzel *et al*^[89] investigated the effect of Tyrphostin AG 556 by giving it intraperitoneally and measured the following biochemical parameters: MDA, ischemia modified albumin, signal peptide-CUB (complement C1r/C1s, Uegf, and Bmp1), epidermal growth factor like domain-containing protein1, oxidative stress index, total oxidant status, and total antioxidant status. They concluded that tyrphostin AG 556 has a protective effect on I/R injury

The protective effect of udenafil citrate, piracetam and dexmedetomidine in different doses was evaluated by Tuglu *et al*^[90] and found that all these agents have antioxidant effects on I/R injury.

Grape seed proanthocyanidin extract has been reported to display better antioxidant activity than other antioxidants such as vitamin C, vitamin E, and gallic acid^[91]. Bayatli *et al*^[92] examined the protective effect of grape seed proanthocyanidin after TT performed for 2 h and administered it daily for a week prior to torsion/detorsion. They reported that grape seed proanthocyanidine prohibited the rise of MDA, apoptosis and endothelial nitric oxide synthase expression and enhanced testicular morphology.

Carnosine, is a dipeptide found in high amounts in mammalian tissues^[93]. Abbasoğlu *et al*^[94] demonstrated that carnosine treatment has a protective effect on pro-oxidant and antioxidant status in rat testes with I/R injury.

Ozone has been studied as a potential therapeutic agent for the treatment of various physio-pathologic conditions expressing high levels of ROS^[95,96]. Ekici *et al*^[97] assessed the potential effects of ozone in testicular function and morphology in a rat experimental study, in a mixture of ozone/oxygen and compared the results with those of melatonin. They found similar results in the amelioration of I/R injury between melatonin and ozone, but in different pathways.

Ethyl pyruvate, a ROS scavenger, has been found to ameliorate in different conditions such as sepsis, acute pancreatitis, burn, radiation injury and hemorrhagic shock^[98,99]. Turkmen *et al*^[100] reported that ethyl pyruvate has a positive effect on torsion-detorsion associated I/R injury in an experimental rat model.

Carvedilol is a third generation vasodilator agent which has been used in the treatment of hypertension, congestive heart failure and ischemic heart disease^[101,102]. Parlaktas *et al*^[103] investigated the antioxidant effects of carvedilol against I/R injury, and found a decrease in MDA and protein carbonyl and an increase in the level of antioxidant enzymes SOD, and GPX, but not histopathological changes against the control group. They concluded, that carvedilol may have a potential therapeutic value and improve fertility in the clinical practice in patients with TT.

Jiang *et al*^[104] investigated the effect of intraperitoneally injected hydrogen rich saline solution on the protection against testicular damage induced by I/R injury in rats. They found a significant decrease of MDA and a significant improvement of SOD activity in the group of rats which received hydrogen rich saline solution. Therefore, hydrogen rich saline solution may have a protective and therapeutic action against testicular damage.

Inhaled hydrogen gas has been shown to produce a therapeutic activity in a middle cerebral artery occlusion in a rat model and reduce infarct volumes of brain, liver, and myocardium^[105,106]. Lee *et al*^[107] studied the possible therapeutic properties of inhaled 2% hydrogen in pubertal rat model underwent testicular I/R injury. The results of histopathological and biochemical studies suggested that inhalation of hydrogen gas has anti-apoptotic and anti-oxidant properties in cases of TT.

Alpha-lipoic acid is an eight-carbon endogenous cofactor which works against oxygen radicals^[108]. It is established that α -lipoic acid catches hydroxyl and nitric oxide radicals, peroxy nitrite anions and hydrogen peroxide. Moreover, α -lipoic acid may act indirectly by enhancing the level of other natural antioxidants such as glutathione, ascorbic acid and tocopherol^[31,109-111]. Ozbal *et al.*^[108] investigated the role of α -lipoic acid in testicular I/R injury in rats and concluded that it is a potential beneficial agent in preserving testicular function.

Genistein is an isoflavone extracted by soy^[112] which displays anti-oxidant and anti-inflammatory properties^[113]. Furthermore, genistein promotes steroidogenesis by restriction progesterone synthesis and decreases secretion of cortisol and corticosterone in mature female pigs^[114]. In addition, it has a protective role against gamma irradiation-induced testicular dysfunction^[115]. Recently, Al-Maghrebi *et al.*^[116] reported that genistein protects the extracellular matrix of the testis which is responsible for the structural integrity of the testicular components, and prevents spermatogenesis's suppression, mitigating oxidative stress and apoptosis in experimental testicular I/R injury.

Nuclear factor kappa B plays a crucial role in immune response, cellular proliferation, inflammatory, and apoptosis^[117]. Pyrrolidine dithiocarbamate (PDTC) is a stable low-molecular thiol compound which acts by neutralizing ROS^[118]. Kemahli *et al.*^[118] studied the antioxidant effect of PDTC in a TT model and found that administration of PDTC exaggerates the antioxidant system by lowering MDA levels, increasing SOD activity and improving Johnson scores of biopsy specimen.

Urocortin, is a 40-amino acid peptide found in different organs, such as digestive tract, cardiovascular and reproductive system^[119]. For instance, urocortin has been shown that protect cardiovascular system against I/R injury^[120]. Sumii *et al.*^[121] investigated the role of urocortin in testicular apoptosis in an experimental I/R rat model and found a cytoprotective role in germ cells through the activation of anti-apoptotic proteins.

Melatonin is an endogenous compound secreted by the pineal gland and influences reproduction *via* its activity on the hypothalamus^[122]. Kurcer *et al.*^[123] reported that melatonin protects testicular tissue against oxidation and alleviates histopathologic changes after experimental testicular I/R injury. Metformin belongs to the biguanide family and has the capacity to reduce ROS^[124]. Asghari *et al.*^[125] investigated a combined use of melatonin and metformin in a rat model and found that may protect the testes from I/R injury by restoring SOD activity, and MDA and myeloperoxidase levels.

Very recently Erol *et al.*^[126] investigated the effect of a antioxidant factors combination, constituting either by L-carnitine, fructose, citric acid, ascorbic acid, cyanocobalamin, selenium, coenzyme Q10, zinc and folic acid or fructose, cellulose microcrystalline, pygeum shell, L-arginine, L-carnitine, zinc, vitamin E, folic acid, vitamin B6, sodium selenite, and hydroxypropyl methyl cellulose. They found that combined antioxidants were

more effective than one protective antioxidant by reducing apoptosis and preventing I/R injury.

Antioxidants and I/R injury in clinical practice

The large body of experimental studies demonstrated undoubtedly that oxidative stress is a dominant factor in the creation of testis impairment after I/R injury. Furthermore, all these antioxidative compounds have been sought to be clearly capable to protect testicular function from oxidative stress. However the relationship between experimental results and clinical practice has not come together until now. A feature mandatory pursuit is to advance understanding of the basic mechanism of oxidative stress in the male reproductive tract and to develop optimizing antioxidant factors in order to treat the pathological consequences from imbalance in the oxidation state of testicular tissue. These mandatory demands are beyond laboratory ways that outline the present approach to counterbalance the deleterious effects of TT.

CONCLUSION

Currently, a large number of studies investigate the role of I/R injury in experimental animal models and many antioxidants and free radical scavengers have been studied to indicate their possible application in human beings. However, the molecular mechanism by which these agents may control the harmful effect of TT has to be clarified. Moreover, experimentally checked drugs or compounds still anticipate clinical utilization. Additional experimental and future clinical studies have to be performed to further assess the effects on antioxidant therapy.

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Role of metabolic stress for enhancing muscle adaptations: Practical applications

Marcelo Conrado de Freitas, Jose Gerosa-Neto, Nelo Eidy Zanchi, Fabio Santos Lira, Fabrício Eduardo Rossi

Marcelo Conrado de Freitas, Jose Gerosa-Neto, Fabio Santos Lira, Fabrício Eduardo Rossi, Exercise and Immunometabolism Research Group, Department of Physical Education, São Paulo State University (UNESP), Presidente Prudente, SP 19060-900, Brazil

Nelo Eidy Zanchi, Laboratory of Cellular and Molecular Biology of Skeletal Muscle (LABCEMME), Department of Physical Education, Federal University of Maranhão (UFMA), São Luís, MA 65085-580, Brazil

Author contributions: de Freitas MC, Gerosa-Neto J, Zanchi NE and Rossi FE carried out the study design, field work, and drafted the manuscript; all authors read and approved the final manuscript.

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Correspondence to: Dr. Fabio Santos Lira, Exercise and Immunometabolism Research Group, Department of Physical Education, São Paulo State University (UNESP), Roberto Simonsen Street, n° 305 - Educacional center, Presidente Prudente, SP 19060-900, Brazil. fabiolira@fct.unesp.br
Telephone: +55-18-32295826
Fax: +55-18-32295388

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Abstract

Metabolic stress is a physiological process that occurs during exercise in response to low energy that leads to metabolite accumulation [lactate, phosphate inorganic (Pi) and ions of hydrogen (H⁺)] in muscle cells. Traditional exercise protocol (*i.e.*, Resistance training) has an important impact on the increase of metabolite accumulation, which influences hormonal release, hypoxia, reactive oxygen species (ROS) production and cell swelling. Changes in acute exercise routines, such as intensity, volume and rest between sets, are determinants for the magnitude of metabolic stress, furthermore, different types of training, such as low-intensity resistance training plus blood flow restriction and high intensity interval training, could be used to maximize metabolic stress during exercise. Thus, the objective of this review is to describe practical applications that induce metabolic stress and the potential effects of metabolic stress to increase systemic hormonal release, hypoxia, ROS production, cell swelling and muscle adaptations.

Key words: Metabolic stress; Muscle mass; Exercise

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Core tip: This review aimed to describe practical applications for inducing metabolic stress and the potential effects on the increase of systemic hormonal release, hypoxia, reactive oxygen species production, and cell swelling. These effects are responsible for enhancing muscle adaptations through changes in exercise routines (intensity, volume, rest between sets) and training modes (resistance training, low-intensity resistance training plus blood flow restriction, and high intensity interval training).

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INTRODUCTION

It has been reported that chronic exercise can promote changes in many organs because of cellular adaptations. Skeletal muscle is extremely adjustable in response to contractile activity^[1,2], therefore, repeated muscle contractions during exercise can lead to numerous metabolic modifications^[3,4]. Overtime, these adaptive responses have shown beneficial effects on health, body composition and performance^[5-7].

During acute exercise, the energy used by skeletal muscle contractions are essential in transforming organelles, enzymatic activity, intracellular signaling and transcriptional responses^[8-10]. Metabolic stress is a physiological process that occurs during exercise in response to low energy which leads to metabolite accumulation [lactate, phosphate inorganic (Pi) and ions of hydrogen (H⁺)] in muscle cells^[11,12]. Researchers have suggested that metabolic stress has an important impact on hormonal release, hypoxia, cell swelling and production of reactive oxygen species (ROS)^[13-15]. All of these components can initiate anabolic signaling for muscle growth and adaptations on energy metabolism^[16].

In situations with elevated ATP hydrolysis and glycolytic flux in muscle cells, there is a great accumulation of adenosine monophosphate (AMP) and metabolites^[12,17,18]. Furthermore, the reduction of intracellular oxygen levels can also lead to hypoxia^[19]. All these metabolic parameters are a powerful stimulus to activate AMP-activated protein kinase (AMPK) and hypoxia-inducible factor (HIF-1 α) pathway, the main regulators of mitochondrial biogenesis and angiogenesis^[20,21].

Moreover, metabolite accumulation and hypoxia that is produced during exercise may increase ROS production through mitochondrial electron transport chain^[22,23]. It is well established that ROS production by endurance exercise has positive effects on mitochondrial adaptations because it stimulates peroxisome proliferator-activated receptor gamma coactivator (PGC-1 α) and p38 mitogen-activated protein kinase (p38-MAPK) pathways^[24]. Scientific evidence shows that suppression of ROS production through the use of the antioxidants impairs some adaptive responses to endurance exercise^[25,26], and these results suggest that ROS production has positive effects on mitochondrial adaptations.

Nevertheless, besides stimulating mitochondrial biogenesis and angiogenesis, the metabolic stress also has positive effects on muscle hypertrophy. Resistance training (RT) has great impact on increasing metabolite accumulation, which influences hormonal

release, hypoxia, ROS production and cell swelling. All these processes can mediate anabolic signaling that stimulates muscle protein synthesis and activation of satellite cells^[13-15].

In this context, changes in acute exercise routines (intensity, volume and rest between sets) are the main factors in determining the magnitude of metabolic stress^[27-29]. Furthermore, blood flow restriction training has been considered a tool to maximize metabolic stress^[30,31]. Studies have reported great effects of this training method on aerobic adaptations and muscle hypertrophy^[32,33].

Therefore, the purpose of this paper is to describe practical applications that cause metabolic stress. In addition, we will discuss the potential effects of metabolic stress on the increase of systemic hormonal release, hypoxia, ROS production, and cell swelling for enhancing muscle adaptations.

RESISTANCE TRAINING

Skeletal muscle hypertrophy depends on positive muscle protein balance (protein synthesis exceeds breakdown)^[34]. Thus, RT is excellent for the stimulation of anabolic signaling and the promotion of muscle hypertrophy^[35]. Metabolic stress is one of the primary mechanisms that makes RT increase muscle mass, mainly due to the rise of anabolic hormonal release, hypoxia, ROS production and cell swelling^[13]. However, studies have shown that the magnitude of metabolic stress depends on the changes of acute RT program variables^[14,15].

Scientific evidence shows that load, number of repetitions, and rest between intervals are important factors to induce metabolite accumulation. Gonzalez *et al.*^[29] found that acute RT with moderate repetitions combined with short rest intervals (70% 1RM, 10-12 repetitions and one minute rest interval) shows an increase in blood lactate, serum concentration of lactate dehydrogenase, growth hormone (GH) and cortisol when compared to higher loads, low repetitions combined with longer rest intervals (90% 1RM, 3-5 repetitions and three minute rest intervals). Concerning these findings, duration of rest intervals may reflect directly on the magnitude of metabolic stress. In a review study, researchers demonstrated that short interval sets (less than one minute) are essential in increasing blood lactate and GH production, mainly because of insufficient recovery of phosphocreatine and H⁺ accumulation^[36].

Additionally, Nishimura *et al.*^[37] demonstrated higher effects of muscle hypertrophy when RT is performed during hypoxia, possibly because of the strong influence of hormonal release, the recruitment of fast-twitch muscle fibers, ROS production and cell swelling^[38]. During RT, muscle contractions compress blood vessels in active muscles, and this occlusion can lead to a reduction of oxygen levels and, consequently, resulting

in a hypoxic environment^[39]. Intramuscular hypoxia during exercise can increase the necessity of anaerobic lactic metabolism by activation of HIF-1 α that regulates the expression of glycolytic enzymes^[40]. Thus, exercise that produces high levels of lactate can be associated with hypoxia. One study showed that performing hypertrophy-type RT (70% 1RM, 10 repetitions and 90 s rest intervals) induces higher production of lactate and reduction in pH than performing a strength-type RT (85% 1RM, 4-6 repetitions with five minute rest intervals)^[41]. In this context, it can be hypothesized that RT can generate hypoxia when performed at moderate/high repetitions combined with short rest intervals, possibly due to a high demand on anaerobic metabolism.

Furthermore, another study found that knee extension RT at low intensity (50% 1RM) generates a significant decrease in muscle oxygenation when compared to high-intensity (80% 1RM) exercise performed with one-second rest between repetitions^[42]. These findings suggest, keeping continuous tension on muscles without relaxation can be essential to reducing oxygen levels and maximizing the levels of hypoxia in the skeletal muscle.

Research suggests that ROS production also has important implications on muscle hypertrophy^[43,44]. In addition, studies have shown that utilization of antioxidants can modify protein signaling after a RT session and impairs muscle mass gains^[45,46]. Muscle contractions during exercise produces ROS at low physiological levels and plays an important role in cell signaling to promote beneficial adaptations^[47]. Researchers have found that the production of ROS has an influence in stimulating anabolic signaling, because ROS can act with a signaling molecule to activate the mammalian target of rapamycin (mTOR) through IGF-1 and MAPK pathways^[48,49].

Although it is becoming clear that ROS has a profound impact on muscle hypertrophy, the limits of these adaptations are not clear. Hornberger *et al.*^[50] observed that selenium-deficient transgenic mice (animals with decreased protein expression of antioxidant enzymes containing selenium) exhibited an increased muscle hypertrophy when stimulated by synergist ablation (a muscle overload model), compared to other animals. In this study, rapamycin treatment (a pharmacological inhibitor of mTOR) completely abolished the hypertrophy effect, thus proving that mTOR is necessary for hypertrophy. It is interesting to note that, contrary to this study (where muscle antioxidant defense was decreased and muscle hypertrophy was optimized), other studies evaluating the impact of antioxidants in humans (through vitamin E and C supplementation) were shown to impair muscle hypertrophy response and cell signaling leading to muscle hypertrophy^[45,46]. Several studies have observed that RT increases hypoxia, metabolite accumulation and ROS production, which seems to be strictly related^[22,23,51,52]. In this context, we

can hypothesize that RT with moderate/high repetitions and short rest intervals can be a stimulus to produce ROS.

Another potent anabolic signaling event produced by RT is cell swelling. Studies have demonstrated that cell swelling mediated by hydration can lead to an increase in protein synthesis and a decrease in proteolysis mainly through the activation of MAPK pathway^[53-55]. During intense muscle contractions, veins are obstructed but the arterial system keeps the delivery of blood active^[13]. This process can increase intracellular swelling, which leads to an increased pressure against the cytoskeleton. Thus, the cell perceives a threat and initiates an anabolic signaling response to promote reinforcement of its ultrastructure^[56]. Studies indicate that cell swelling occurs during metabolite accumulation (lactate, H⁺ and Pi) which leads to additional intracellular fluid^[57,58]. Therefore, it seems reasonable to conclude that RT during hypertrophy causes high metabolite accumulation and can promote more cell swelling than strength RT.

Finally, another aspect that we should consider, especially among well-trained subjects, is RT with moderate/high repetitions until failure. Recent studies show that, when RT is executed with low load (30%-50% 1RM and 25-35 repetitions) until failure, hypertrophy is similar when compared to high load (70%-90% 1RM and 8-12 repetitions)^[59-61]. Although no studies have confirmed this hypothesis, we believe that muscular failure can exert additional metabolic stress and then induce anabolic signaling. These findings suggest that the greater time under tension with moderate/high repetitions without relaxation combined with short rest interval and muscular failure can generate a strong hypertrophic response similar to RT with high loads. However, caution should be taken, because restricting rest periods would cause a reduction in the volume performed during a RT session, thus affecting hypertrophy process negatively^[62].

This effect can be caused by high metabolic stress, leading to anabolic signaling through hypoxia, hormonal release, ROS production and cell swelling (Figure 1).

LOW-INTENSITY RESISTANCE TRAINING PLUS BLOOD FLOW RESTRICTION

During the last decade, blood flow restriction training (BFRT), also known as KAATSU or occlusion^[63], combined with low-intensity strength training (20%-30% 1RM), has been shown to increase strength and muscle size among trained/untrained athletes^[64-66] injured^[67] and the elderly^[68]. This training model requires the use of cuffs that are placed at the proximal ends of the upper arms or thighs reducing blood flow from the muscle (approximately 100-200 mmHg). Thus, the external pressure applied maintains arterial inflow while blocking venous outflow of blood^[69], resulting in an ischemic/hypoxic environment that enhances the training effect^[70].

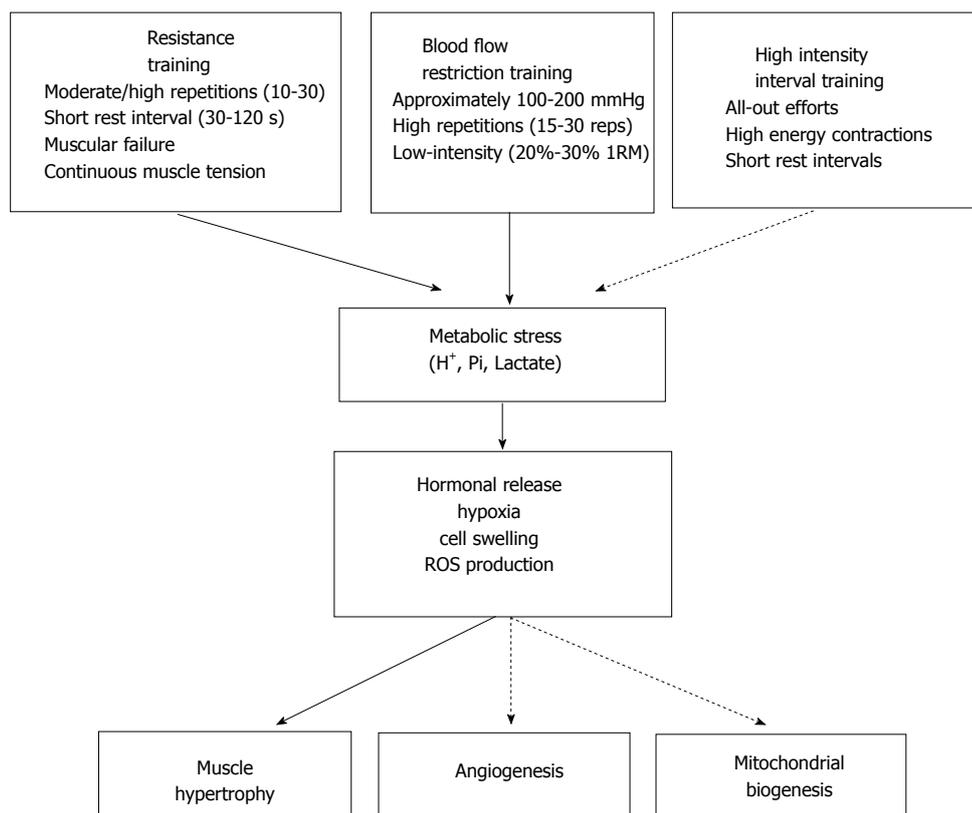


Figure 1 Role of metabolic stress induced by different kinds of training (resistance, blood flow restriction and high intensity interval training) for enhancing muscle adaptations. ROS: Reactive oxygen species.

Several studies have compared low-intensity strength training with BFRT and high-intensity without BFRT and demonstrated a significant increase in muscle cross-section area in both exercise protocols^[64,69,71,72]. However, RT performed with moderate/high intensities seems to lead to similar degrees of muscle hypertrophy when combined with BFRT. It is not clear if the maximal degree of muscle hypertrophy can be optimized by increasing external loads or if the ceiling for maximal hypertrophy is achieved with low-moderate loads^[73].

Cumming *et al.*^[74] performed a study with nine healthy volunteers performing five sets of unilateral knee extension at 30% of 1RM until failure combined with BFRT and the same workout without BFRT. Analysis of muscle biopsies revealed a rapid translocation of heat-shock proteins (HSP27 and α B-crystallin) from cytosol to cytoskeletal structures, both of which have been identified as important HSPs for repair and stabilization of stressed and damaged proteins^[75]. This indicates that cytoskeletal proteins are stressed during BFRT even without myofibrillar disruptions. Thus, muscle hypertrophy induced by BFRT seems to be mediated by metabolic stress and mechanical tension, and sarcolemmal-bound mechanosensors (*i.e.*, integrins) stimulate intracellular anabolic and catabolic pathways, which convert mechanical energy into chemical signals, promoting protein synthesis instead of degradation^[76].

Suga *et al.*^[77] investigated metabolic stress (intramuscular phosphocreatine (PCr), Pi, Diprotonated phosphate- H_2PO_4 and Intramuscular pH) in subjects that performed four unilateral plantar flexion (two min of 30 repetitions/min) using three different intensities (20%, 30% and 40% 1RM) with two resistance exercises (20% 1 RM and 65% 1RM) without BFRT. They concluded that 30% of 1RM induced a similar intramuscular metabolites and pH response than high-intensity RT without BFRT. In addition, Suga *et al.*^[31] also showed that multiple low-intensity BFRT sets increase fast-twitch fiber recruitment that could assist the slow twitch fiber to keep the strength during training, however, the authors did not observe statistical significance between multiple sets of high intensity exercise without BFRT. Therefore, these results suggest that multiple-set exercise are more effective than single-set RT.

Previous studies have shown that metabolic stress induced by low-intensity plus BFRT increases GH secretion and muscle hypertrophy^[64,65,78], furthermore, this could stimulate metabolic stress markers, such as IL-6^[79,80]. The recovery process is initiated by IL-6 by modulating muscle regulatory genes (*i.e.*, MyoD)^[81-83] and activating muscle satellite cells^[80], and therefore may play a role in regulating muscle growth/hypertrophy^[80].

An acute increase in anabolic hormones (*e.g.*, testosterone, GH) has been found during short rest periods (30 to 60 s)^[84], however, regarding cytokine production,

a recent study compared 30 s vs 90 s of rest after four sets of squat and four sets of bench press with 70% of 1RM until failure without BFRT in healthy adults and observed higher IL-6 levels when 90 s rest was used^[85]. In addition, Phillips *et al.*^[86] reported greater post-exercise IL-6 concentrations with 65% of 1RM compared to 85% of 1RM with two minutes of recovery. Thus, short rest period induce an acute increase in anabolic hormones, however, it seems that longer recovery intervals combined with higher loads contribute to an increase in IL-6 concentration during RT.

Therefore, changes in variables, such as recovery intervals, volume, intensity, and repetition speed, could be used to optimize the specific adaptation during low-intensity RT plus BFRT.

HIGH-INTENSITY INTERVAL TRAINING

Studies have investigated the benefits of metabolic stress on skeletal muscle remodeling, angiogenesis, mitochondrial biogenesis, performance, and high-intensity interval training (HIIT) has shown to be a promising training routine. This exercise/training routine is based on high-intensity exercise sets with passive or low-intensity intervals between them. Endurance training adaptations have been found with HIIT^[87,88].

The HIIT configuration allows intervals of effort and pause, and the various forms of stimuli can cause adaptations, such as: (1) mechanical stretching and muscle tension; (2) increase of ROS; (3) increase of intramuscular calcium concentrations and (4) changes of energy "status" in the cell.

Two HIIT routines that are commonly used are: four sets of 30 s at 100%^[89] and four sets of four minutes^[89] at 90%-95% of the maximum power (Pmax), velocity (Vmax) or maximum heart rate (HR max). Wahl *et al.*^[90] compared the acute responses of these two routine with another routine done continuously (two hours at 55% Pmax) in triathlon athletes and found that the most intense stimulus (four sets of 30 s at 100%) generated higher metabolic acidosis (pH) and higher concentrations of anabolic hormones (testosterone and GH) after the session. Supporting these results, Wahl *et al.*^[91] compared the use of buffer solution (sodium bicarbonate) and placebo with HIIT (four sets of 30 s at 100%), and showed a significant decrease in pH in the placebo group with increases in GH compared to the buffer group. The elevation of these hormones mean hypertrophic adaptations and also important stimuli expression of oxidative enzymes and erythropoiesis, promoting improvements in aerobic performance. This can be explained by the direct stimulation of bone marrow by testosterone, supporting the synthesis of erythropoietin in kidney cells^[92].

Mitochondrial biogenesis is another adaptation of great importance in this process and one of the most studied. A key molecule for this adaptation is PGC-1 α , a coactivator of several transcription factors

related to metabolic and mitochondrial adaptations^[93]. Burgomaster *et al.*^[87] found that six weeks of HIIT (three times per week, four to six sets of 30 at 100%) and continuous training (five times per week, 40 to 60 min at 55% VO_{2max}) showed significant improvements in mitochondrial functions with optimization lipid oxidation, increased activity of oxidative enzymes (citrate synthase and 3-hydroxyacyl CoA dehydrogenase) and contents of PGC-1 α . The important finding of this study was the difference in the duration of training sessions, ranging from approximately 1.5 h to 4.5 h per week for HIIT and continuous training, respectively.

Due to the importance of PGC-1 α , the expression and activation of proteins that stimulate it has great relevance. Two proteins, which are unquestionably stimulated by metabolic stress, are p38MAPK and AMPK^[94-96]. Gibala *et al.*^[97] showed a significant increase in phosphorylation of AMPK and p38MAPK after acute sessions of HIIT (four sets of 30 s at 100%), and despite a great increase in mRNA of PGC-1 α , its protein content did not change. Additionally, Little *et al.*^[98], using the same protocol of exercises, showed significantly higher values of p38MAPK after exercise, as well as an increase of 750% of mRNA PGC-1 α and 66% of protein already in the nucleus of muscle cells, confirming the potential of these training routine.

Mitochondrial biogenesis and angiogenesis are essential for aerobic adaptations and improvement of performance. Considering the efficiency of HIIT (short training repetitions and metabolic stress), with BFRT seems to be beneficial to increase vascular adaptations. Consequently, Taylor *et al.*^[32] compared acute HIIT (four sets of 30 s at 100%), with HIIT + BFR (cuff in the thigh, two minutes, 130 mmHg). The results of these biopsies (vastus lateralis) showed a significant increase in p38MAPK after HIIT and HIIT+BFRT, with no differences between them. After three hours of exercise, a significant increase in mRNA PGC-1 α was observed, vascular endothelial growth factor (VEGF) and its receptor (VEGFR-2), however mRNA of HIF-1 α only increased in HIIT + BFRT. These results indicate that HIIT by itself is capable of stimulating angiogenesis, but the fact that only HIIT + BFRT increased HIF-1 α cannot be overlooked, because it is a key factor for hypoxia and metabolic stress. Low PO₂ increases concentrations, favoring translocation to the nucleus and subsequent activation of VEGF in the human skeletal muscle^[99].

CONCLUSION

Changes in acute exercise routine variables, such as intensity, volume, recovery interval and type of training are determinants that influence the magnitude of metabolic stress. Despite, traditional training protocol, such as RT, increase metabolite accumulation and influence hormonal release, hypoxia, ROS production and cell swelling. In this review, we discussed that low-intensity RT plus BFRT and HIIT are alternative exercise

routines that increase metabolic stress and muscle adaptation among different populations. However, the difference between exercise protocols used in literature and different levels of physical fitness should be considered when interpreting the results.

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Targeted temperature management in neurological intensive care unit

Sombat Muengtawepongsa, Winchana Srivilaithon

Sombat Muengtawepongsa, Division of Neurology, Department of Medicine, Faculty of Medicine, Thammasat University, Pathum Thani 12120, Thailand

Winchana Srivilaithon, Department of Emergency Medicine, Thammasat University Hospital, Pathum Thani 12120, Thailand

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Correspondence to: Sombat Muengtawepongsa, MD, Division of Neurology, Department of Medicine, Faculty of Medicine, Thammasat University, Rangsit Campus, Patholyothin Rd., Pathum Thani 12120, Thailand. musombat@tu.ac.th
Telephone: +66-29-269794
Fax: +66-29-269793

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Abstract

Targeted temperature management (TTM) shows the

most promising neuroprotective therapy against hypoxic/ischemic encephalopathy (HIE). In addition, TTM is also useful for treatment of elevated intracranial pressure (ICP). HIE and elevated ICP are common catastrophic conditions in patients admitted in Neurologic intensive care unit (ICU). The most common cause of HIE is cardiac arrest. Randomized control trials demonstrate clinical benefits of TTM in patients with post-cardiac arrest. Although clinical benefit of ICP control by TTM in some specific critical condition, for an example in traumatic brain injury, is still controversial, efficacy of ICP control by TTM is confirmed by both *in vivo* and *in vitro* studies. Several methods of TTM have been reported in the literature. TTM can apply to various clinical conditions associated with hypoxic/ischemic brain injury and elevated ICP in Neurologic ICU.

Key words: Targeted temperature management; Neuroprotective therapy; Ischemic/hypoxic encephalopathy; Intracranial pressure; Surface cooling; Endovascular cooling

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Core tip: Two main purposes of targeted temperature management (TTM) in patients admitted in neurological intensive care unit are neuroprotective therapy and intracranial pressure (ICP) control. TTM is the most potent neuroprotective treatment due to its numerous methods of protection against ischemic/hypoxic injury. TTM provides capable ICP reductive action. Two most popular methods using in clinical practice and clinical trials are invasive endovascular technique and non-invasive surface cooling. Fast induction, smooth maintenance and slow rewarming are the important steps to achieve ideal TTM.

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INTRODUCTION

Clinical benefit of therapeutic hypothermia in patients with post-cardiac arrest syndrome (PCAS) has been demonstrated by two randomized control trials since 2002^[1,2]. However, the term "therapeutic hypothermia" has been replaced with "targeted temperature management (TTM)" since 2011 after the meeting of five major professional physician societies^[3]. TTM defines as a type of treatment that reduces a subject's core temperature until a specific target with the purpose in salvage or alleviate the tissue injury from deficiency of blood perfusion^[4]. TTM is recognized as a only established neuroprotective therapy for hypoxic/ischemic brain injury, particularly in patients after cardiac arrest^[5]. The clinical practice guidelines state that TTM should apply as a major treatment for patients following successful resuscitation from cardiac arrest^[6-10].

Elevated intracranial pressure (ICP) is one of the common conditions found in patients admitted in neurologic intensive care unit (ICU)^[11]. Many clinical and animal trials demonstrate that TTM effectively lowers ICP^[12]. However, the application of TTM as ICP control in each particular disease, for examples in primary intracerebral hemorrhage (ICH), subarachnoid hemorrhage (SAH), traumatic brain injury (TBI) and cerebral infarct, needs to be proved by large randomized controlled trial^[13].

HYPOXIC/ISCHEMIC CASCADE

Hypoxic/ischemic brain damage is associated with the abruptness of cerebral blood flow (CBF)^[14]. Cessation of brain circulation leads to compound neurologic damages, the so-called hypoxic/ischemic cascade^[15]. After the deficiency of oxygen and circulation supplying occur, adenosine triphosphate (ATP) manufacturing malfunction develops^[16]. Neurons and glials change from aerobic to anaerobic process, resulting in accumulation of lactic acids^[17]. Cells become depolarized due to the sodium-potassium ATPase pumps failure, letting ions, particularly calcium (Ca^{2+}), to invade themselves^[18]. Elevated intracellular Ca^{2+} stimulates the release of the well-known excitatory amino acid neurotransmitter such as glutamate^[19]. Glutamate permits further Ca^{2+} influx the cells by activate the opening of Calcium-permeable NMDA receptors and AMPA receptors^[20]. After excessive calcium Ca^{2+} influx, the production of deleterious substances including various free radicals, reactive oxygen species, phospholipases, ATPases, and endonucleases, the so-called excitotoxicity materials, initiates^[21]. Membrane and mitochondria break down and lead to development of necrotic cells and apoptosis. Glutamate and other harmful materials are then released

from these necrotic cells into the environment^[22]. These materials cause further damage to adjacent cells. This continuous injury, the so-called reperfusion damage, usually starts when the cerebral tissue gets reperfused^[23]. Inflammatory scavengers get accumulated to eat up the debris tissue and then generate many cytokines^[24]. These toxic materials disrupt the blood-brain barrier (BBB). Destroyed BBB conducts to leakage of huge protein molecules particularly albumins into the environment causing brain edema^[25]. Brain edema produces pressure effect of and further harm to adjacent brain tissue^[26]. The hypoxic/ischemic cascade are shown in Figure 1.

ICP

The theory of ICP, the so-called Monro-Kellie doctrine, was first postulated by Alexander Monro in 1783 before George Kellie published the article support Monro's idea in 1824^[27,28]. This theory states that since the skull is a permanent volume and the brain is enclosed by rigid meninges, therefore, alterations in the volume of the intracranial components will affect ICP^[29]. The intracranial components include blood, cerebrospinal fluid (CSF), and brain tissue, all of which are relatively constant. An enlargement in one component or development of a mass lesion will elevate ICP and require a diminishing in another component in order to preserve the permanent intracranial volume^[30]. An expanding lesion can initially shift CSF and blood out of the cranium without much change in ICP. However, this capacity to compensate for changes in volume has limitation. If the lesion continues expansion, ICP will get elevated^[31]. Elevated ICP leads to cerebral herniation^[32]. Moreover, increased ICP harms CBF by depressed cerebral perfusion pressure (CPP), where CPP is calculated by subtraction of ICP from mean arterial pressure^[33].

MECHANISMS OF TTM

The multiple sites of actions are thought to be the protective effects of TTM on ischemic cascade^[34]. These multiple sites of actions include prevention of BBB disruption, reduction of oxygen derivative free radical release, reduction of excitotoxic neurotransmitter production, anti-inflammatory action and delayed apoptosis^[35]. The major neuroprotective effect of TTM in patients after cardiac arrest with restored of systemic circulation (ROSC) is apparently the protective effect on reperfusion damage^[36]. Numerous effects resulted from reperfusion damage, including oxygen free radical production, excitotoxic neurotransmitter release, and calcium influx, are all diminished by TTM^[5,6,34]. Moreover, TTM also reduces cerebral metabolic rate, protects mitochondrial break down and prevents cell membrane leakage^[37,38]. The neurons and glials are finally prevented to turn apoptosis^[38]. Protection of BBB damage is an important action of TTM^[39]. Diminution of BBB disruption helps to

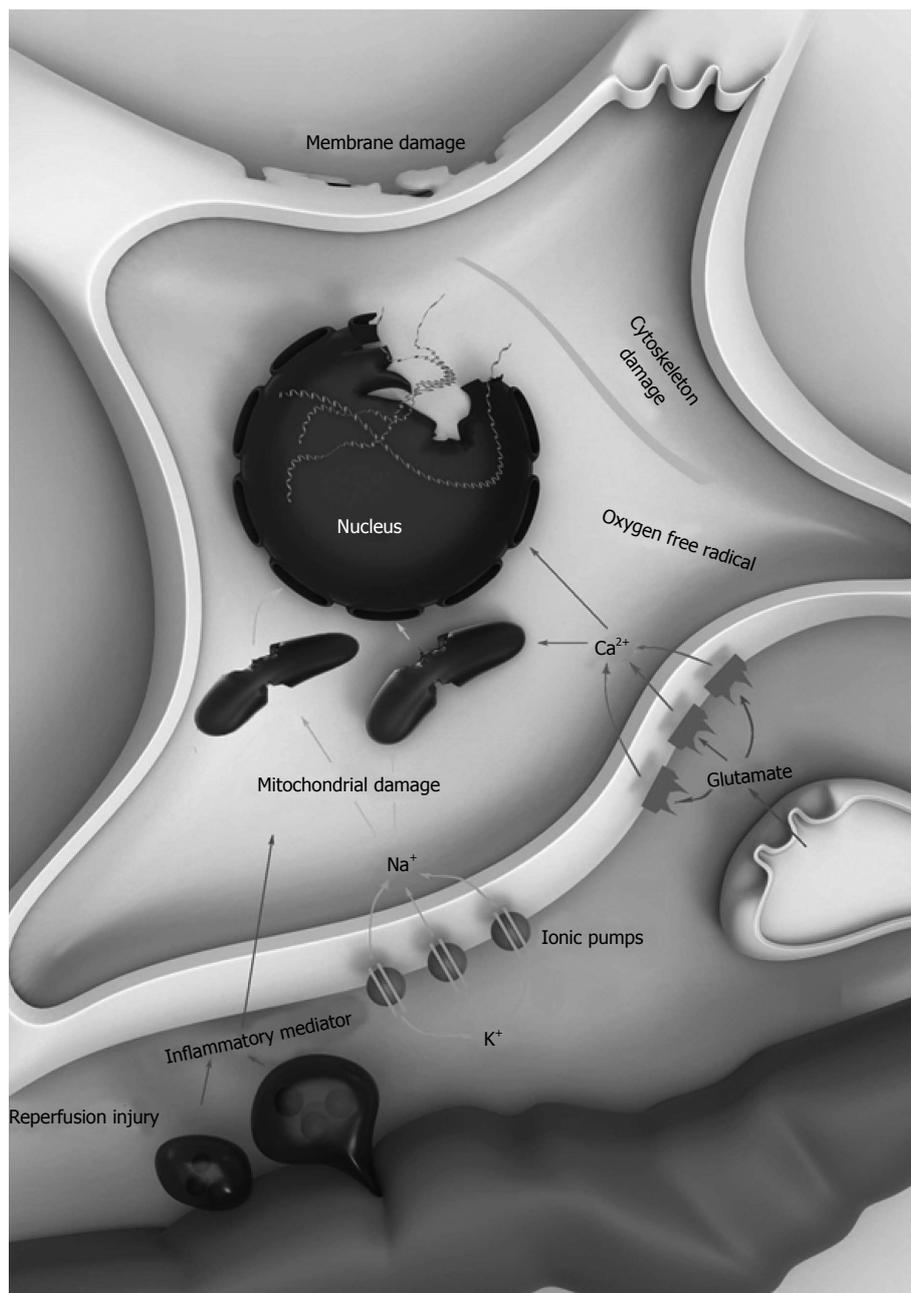


Figure 1 Hypoxic/ischemic cascade^[44] (modified from ref. [44], use with permission).

reduce brain edema then lower ICP^[38]. Effectiveness of ICP reduction by TTM in various brain disorders has been demonstrated in many clinical and experimental studies^[12,40-43]. However, absolute profit of ICP control by TTM in diverse clinical features needs to be confirmed with large scale RCTs^[3,13].

THE IDEAL TTM

The course of TTM is divided into three phases^[44]. The beginning of TTM is known as induction phase. The main idea of induction phase is to lower the current core temperature to the target as fast as possible^[45,46]. Subsequently, that target temperature is smoothly maintained for certain duration (usually for 24 h), the

so-called maintenance or sustainment phase^[45,46]. The last part, the so-called rewarming phase, the core temperature is slowly raised to the ordinary point with actively control rate, usually at 0.2-0.5 °C/h^[45,46]. Most of the important complications, particularly infection, usually happen during this last phase when the temperature is passively rewarmed with too rapid and out-of-control rate^[4]. The ideal temperature curve of a patient with cardiac arrest treated with TTM is showed in Figure 2.

METHODS TO ACHIEVE IDEAL TTM

Many methods of TTM have been reported in the literature. Some methods are no longer utilized in

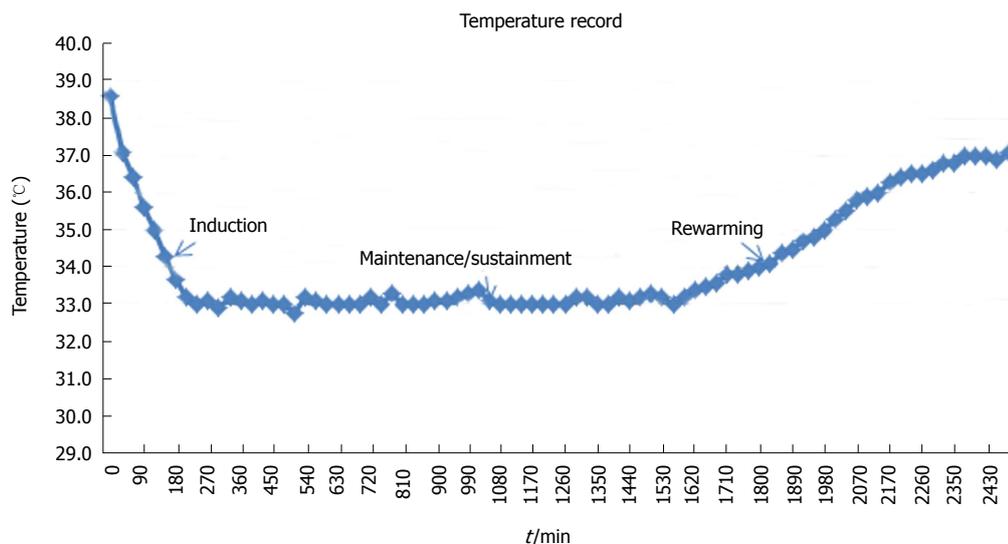


Figure 2 Temperature record of a patient with post-cardiac arrest with targeted temperature management.

clinical practice any more due to their unfeasibility or their ineffectiveness. The method with antipyretic drugs alone are, of course, not sufficient to achieve ideal TTM^[47]. Under lack of electricity source circumstance, intravenous cold crystalloid solution may be helpful for initiation of TTM during pre-hospital period^[48,49]. However, large volume is needed for induction phase. It is still not possible to achieve ideal TTM with intravenous cool fluid alone. Cooling helmets or hoods is effective to achieve selective cerebral TTM in infants however it seems to be ineffective in adults^[6,50]. The two most accepted methods in clinical practice and major clinical trials are non-invasive surface technique and invasive endovascular technique^[51,52].

Invasive endovascular methods

The hallmark of invasive endovascular techniques is central venous catheter with extracorporeal cooling machine^[4]. The central venous catheter can be stucked *via* femoral, jugular or subclavian vein. Of course, the auto-feedback temperature regulated system is integrated with the machine. Two commercial brands are obtainable in universal market: CoolGard 3000[®] and Celcius Control System[®]. The advantage of endovascular system is effective performance including rapid temperature reduction to the target, smoothly sustainment of target temperature and rewarm with actively controlled rate^[53,54]. Application of non-pharmacologic shivering control with skin counter-warming is much convenient and more effective during endovascular cooling^[55]. Without sedative effect from pharmacologic shivering control, intubation for airway protection can be avoided under skin counter-warming^[56]. That's why endovascular method is the recommend technique in several studies of TTM in subjects with acute ischemic stroke^[57-59]. However, catheter-related complications and limitation of central venous access are disadvantage

issues for endovascular method^[51,60].

Non-invasive surface methods

Compression of ice packs to neck, axilla and groin is the simplest way for surface cooling. Two landmark randomized-controlled-trials (RCT) for TTM in patients with PCAS demonstrated effectiveness of this ice packs application^[1,2]. However, disadvantage of this technique is awkward, required strenuous staff effort, unreliable temperature control and high risk for complications^[61]. The auto-feedback temperature regulated machine provides reliable temperature management and is favorable to perform in clinical practice^[47]. The machine comes with circulatory cold water blankets/pads or cold air-flow blankets. Several trademarks of machine are commercially distributed in the worldwide market, including ArcticSun[®], CritiCool[®] and Blanketrol[®]. The effective automatic cooling system with temperature feedback of the machine helps rapidly lower the temperature to the target and supports slowly rewarm back to the normal baseline temperature. Core temperature monitoring straight connected to the machine is the key for auto-feedback temperature regulated system. The temperature of water within the blankets or pads is automatically regulated by the machine upon target temperature setting and feedback data from core temperature measurement^[52]. The surface method with cold water pads is showed in Figure 3.

EMCOOL[®] pads consist of graphite elements, the high heat conductivity, for cooling media which apply right to the superficial skin. This pads have to get frozen up in ordinary freezer to become 9 °C before application however do not require power supply while using^[62]. Consequently, this system is extremely practical in pre-hospital situation for TTM induction^[63].

The novel esophageal cooling device, the most recent non-invasive method, shows preliminary benefit



Figure 3 A patient is undergoing targeted temperature management with cold water pads.

of its use in PCAS patients^[64]. The United States Food and Drug Administration has already approved this device^[65].

SHIVERING AND COMMON PHYSIOLOGIC RESPONSE

Peripheral vasoconstriction is the initial physiologic response when temperature begins to go down^[66]. When temperature declines to the certain point, shivering usually occurs^[4]. Occurrence of shivering may represent intact physiologic response and indicate good neurologic outcomes^[67]. Wonderful shivering control is a key of success to achieve ideal TTM and should be included in the treatment protocol^[55,68]. Shivering is usually monitored with the Bedside Shivering Assessment Score during TTM (Table 1)^[69]. Elevated peripheral vascular resistance during induction phase of TTM is usually transient and takes no effect to systemic blood pressure^[70]. Sinus bradycardia with heart rate less than 50 beats per minute occurs in almost 50% of patients with PCAS during maintenance phase^[71]. Nevertheless, this bradycardia should also indicate an intact physiologic response, does not require any treatment due to no hemodynamic effect and may predict good prognosis^[72]. Platelets dysfunction and coagulation defect are hematologic abnormalities associated with hypothermia found in non-human experimental models^[4,66]. However, abnormal bleeding associated with TTM is infrequently found in real world clinical practice^[3,73]. Hypothermia also obliges kidneys to excrete water leading to volume reduction^[74]. Serum potassium becomes lower during maintenance phase due to intracellular shift and renal loss however it is expected to be elevated once temperature goes up in rewarming period^[4]. Serum amylase becomes elevated when temperature declines, nonetheless, this high serum amylase does not cause pathologic pancreatitis at all^[75]. Although elevated blood sugar due to lower insulin level usually occurs during maintenance phase, supplementary insulin may worsen the pre-existing

hypokalemia^[76]. Infection, particularly pneumonia and sepsis, is a well-known adverse event in patients treated with TTM, however it is usually not associated with unfavorable outcomes^[47,77].

APPLICATION OF TTM IN VARIOUS CLINICAL ENTITIES

TTM in PCAS

Combination of complex pathophysiologic process after resuscitated from cardiac arrest, known as PCAS, attribute to multiple organs damage^[78]. Global ischemic cascade occurs in the brain due to generalized and severe ischemia during cardiac arrest along with reperfusion process after return of spontaneous circulation (ROSC) leading to hypoxic/ischemic brain injury^[79-81]. This global brain damage is responsible for a major cause of mortality in patients with PCAS pertaining to 68% of out-of hospital cardiac arrest (OHCA) and 23% of in-hospital cardiac arrest (IHCA)^[82]. TTM is a well-known neuroprotective therapy for ischemic/hypoxic brain injury^[83-85].

Two landmark (RCT show that induced mild hypothermia can reduce mortality rate and improve neurologic outcome in adult patients who remained comatose after resuscitated from out-of hospital cardiac arrest and had ventricular fibrillation (VF) or ventricular tachycardia (VT) as initial cardiac rhythm^[1,2]. The benefit from these two RCTs is excellent with number-needed-to-treat (NNT) 7 for avoidance of mortality and NNT 6 for favorable neurological/clinical outcomes^[86]. The summary of the two landmark RCTs is revealed in Table 2. Base on the results from these two RCTs, International Liaison Committee on Resuscitation and American Heart Association declared, in 2003 and 2010 respectively, that unconscious adults who become ROSC following OHCA with VT/VF or shockable rhythm should be treated with TTM under target temperature between 32 °C and 34 °C for 12 to 24 h^[6,7].

The appropriate target temperature for TTM in

Table 1 Bedside Shivering Assessment Score^[69]

0	No shivering
1	Mild: Shivering confines to cervical and/or thorax only
2	Moderate: Shivering extends to whole movement of upper limbs
3	Severe: Shivering spreads to overall movement of trunk, upper limbs and lower limbs

adult patients with PCAS then becomes an important dilemma. TTM Trial is a landmark RCT for comparing benefit of TTM in adult patients after OHCA with any initial rhythm at 33 °C vs 36 °C^[87]. In November 2013, TTM Trial concludes the same benefit of neurologic outcomes and survival at six months in adult patients with OHCA treated with TTM at 33 °C vs which of 36 °C^[88]. Furthermore, at six months after discharge from the hospital, survivals in 33 °C and 36 °C group have similarly good quality of life and same level of cognitive function^[89].

Clinical profit of TTM in patients with PCAS from other etiologies except OHCA with shockable rhythm remains not well-established^[90]. Some small clinical trials report evidence of marginal outcomes benefit in OHCA subgroup with asystole/pulseless electrical activity, the so-called non-shockable rhythm, and also in IHCA subgroup^[6,7,90]. For patients after OHCA with non-shockable rhythm, few observational studies show no difference in neurologic outcomes with TTM but possible reduction of mortality at six months^[2,91,92]. A recent observational study included more than 90% of adult patients with non-shockable rhythm show improvement of neurologic outcomes and better survival to hospital discharge with TTM^[73]. For patients with IHCA, few observational studies show marginal benefit of TTM in both neurologic outcomes and survival^[73,93]. The most update recommendation declared by International Liaison Committee on Resuscitation, American Heart Association and European Resuscitation Council similarly state in 2015 that unconscious adult patients with ROSC after either OHCA or IHCA with either shockable or non-shockable rhythm should be treated with TTM at 32 °C to 36 °C for at least 24 h^[8-10]. From the recent meta-analysis, TTM confers to better neurological outcomes than no temperature management in adult patients with PCAS, however, TTM in specific subgroup including initial non-shockable rhythm, IHCA and non-cardiac causes of arrest does not have sufficient data to make any conclusion^[94]. The inclusion and exclusion criteria for TTM in adult patients with PCAS at Thammasat University Hospital are showed in Table 3.

Therapeutic Hypothermia after Paediatric Cardiac Arrest (THAPCA) Trial is a landmark study for TTM in all aspects of pediatric patients with PCAS^[95]. The results from THAPCA trial demonstrated that TTM with target temperature of 33 °C in pediatric patients with PCAS due to either OHCA or IHCA did not show any outcomes benefit as compared with which of target temperature of 36.8 °C^[96,97].

Table 2 Summary of the landmark randomized control trials for targeted temperature management in post-cardiac arrest syndrome

	Australian trial	European trial
Sample size	n = 77	n = 275
TTM vs untreated	43 TTM vs 34 untreated	137 TTM vs 138 untreated
Initial rhythm	VI/VF	VI/VF
Method of TTM	Surface with ice packs	Surface with cooling blankets/pads and ice packs
Place of initiation	Emergency department	Prehospital setting
Target temperature	33 °C	32 °C-34 °C
Duration of TTM	12 h	24 h
Time of Follow up	30 d	6 mo
Outcomes	NNT of 7 to avoid death	NNT of 6 to improve neurological outcomes

TTM: Targeted temperature management; VF: Ventricular fibrillation; VT: Ventricular tachycardia; NNT: Number-needed-to-treat.

TTM in ischemic stroke

In non-human experimental models with on focal brain ischemia, TTM demonstrates a very capable neuroprotective outcomes^[98]. However, application of TTM in patients with ischemic stroke still has a lot of limitations^[99]. Invasive endovascular method is preferred to apply in patients with acute ischemic stroke due to its feasibility and safety as reported by most clinical studies^[56,100]. Under endovascular method, shivering control is convenient with non-pharmacologic skin counter-warming technique^[55]. For this reason, pharmacologic anti-shivering technique which usually associated with sedative effect can be avoided^[54,100]. Endovascular method is apparently not associated with bleeding complications even in post-thrombolytic condition^[100]. Unfortunately, the RCT of TTM with endovascular method at 33 °C following intravenous recombinant plasminogen activator (rtPA) in patients with ischemic stroke (ICTus 2 Trial) is early stopped due to the approval of interventional thrombectomy and lack of funding^[101]. The sample size of ICTus 2 Trial is too small to make any conclusion on efficacy or clinical outcomes of the treatment^[101].

With its reperfusion protective action, TTM should be useful to decrease symptomatic intracerebral hemorrhage (sICH) after intravenous rtPA as well as after endovascular treatment^[102,103]. The landmark RCT of TTM as neuroprotective treatment with target temperature at 34 °C to 35 °C in patients with acute ischemic stroke (EuroHYP-1) is still ongoing^[104]. At this moment, routine application of TTM in patients with acute ischemic stroke is not recommended^[105].

Fever control with TTM technique, to keep target temperature less than 37.5 °C, is helpful for patients with acute ischemic stroke^[106]. Reduction of ICP with TTM in malignant brain infarct is demonstrated in both experimental and clinical studies^[40,42,107]. TTM is helpful

Table 3 Inclusion and exclusion criteria for targeted temperature management after cardiac arrest at Thammasat University Hospital

Inclusion criteria
Witnessed arrest
Any initial rhythm, However initial rhythm VF or pulseless VT is the first priority
Time to ACLS was less than 15 min and total of ACLS time less than 60 min
GCS of 8 or below
SBP of > 90 with or without vasopressors
Less than 8 h have elapsed since ROSC
Exclusion criteria
Pregnancy
Known functional dependence
Down time of > 30 min
ACLS preformed for > 60 min
Known terminal illness
Comatose state prior to cardiac arrest
Prolonged hypotension (<i>i.e.</i> , MAP < 60 for > 30 min)
Evidence of hypoxemia for > 15 min following ROSC
Known coagulopathy that cannot be reversed

VF: Ventricular fibrillation; VT: Ventricular tachycardia; ROSC: Restored of systemic circulation.

for ICP reduction in patients with large middle cerebral artery (MCA) infarct^[40]. However, routine application of TTM as ICP reduction in any type of malignant brain infarct is controversial due to insufficient support clinical data of its benefit^[3,106].

TTM in TBI

Pertaining to experimental animal models for TBI, TTM provides excellent mechanism of action in both Neuroprotection and ICP reduction^[108-110]. Two clinical trials in patients with severe TBI from China demonstrated good effect of TTM on ICP control with favorable outcomes after six months to one year^[111,112]. Unfortunately, the following meta-analysis, which includes small to medium scale RCTs before 2003, did not demonstrate any benefit to apply TTM as neuroprotective therapy in patients with TBI^[113-115]. Finally, two landmark RCTs of TTM as neuroprotective therapy in either adults or children with TBI fail to demonstrate any beneficial outcomes^[70,116,117]. Elevated ICP in patients with TBI is common and associated with poor outcomes^[118,119]. The previous TTM trials begin rewarming when the peak of elevated ICP occurs at around 48 h after onset of TBI leading to clinical deterioration^[120]. This rebound elevated ICP found during rewarming phase is assumed to be one of the key reasons of failure in previous landmark RCT^[121]. Specific group of elevated ICP in patients with TBI may get clinical profit from ICP reduction with TTM^[12]. Clinical trial of TTM according to high ICP in patients with TBI was proposed^[122]. Unfortunately, large scale RCT of TTM in specific TBI patients with high ICP more than 20 mmHg (Eurotherm3235 Trial) does not demonstrate any clinical benefit^[123]. Recent meta-analysis of TTM vs normothermia in adult patients with

TBI does not demonstrate any clinical benefit of TTM but reveal risk of developing pneumonia and cardiovascular complications associated with TTM^[124]. Large scale RCT of TTM in particular aspects of patients with TBI is still ongoing^[125]. At this moment, ordinary application of TTM in patients with TBI without clinical study is not recommended^[126].

Fever controls in neurological ICU with TTM machine

Fever is commonly found in patients admitted in Neurological ICU, increases risk of complications, and is usually associated with unfavorable clinical outcomes^[127,128]. For example, in patients with ischemic stroke, chance to develop poor outcomes increases 2.2 times in each one degree exceeding 37 °C when compared with patients who have normal temperature^[129]. Most common cause of fever in Neurological ICU is infection^[130]. Similar method of TTM can be applied for fever control in Neurological ICU^[131,132]. The commonly use techniques such as surface and endovascular are convenient and save to employ for fever control^[131,132]. Fever control in patients with septic shock with external TTM machine reduces early mortality^[133]. However, overall benefit of antipyretic therapy with external TTM in patients with sepsis is still not approved^[134,135]. Fever control in patients with acute ischemic stroke is recommended per standard guidelines^[105].

TTM in other clinical entities

TTM can apply as organ protective therapy from ischemic effect during cardiovascular surgery with circulatory arrest^[136,137]. The landmark RCT of TTM for the period of operation in patients with benign grade SAH from ruptured intracranial aneurysm (World Federation of Neurological Surgeons scale between one and three) did not show any clinical benefit with more frequent associated infection^[138]. TTM can reduce perilesional edema with favorable outcomes in animal models with intracerebral hemorrhage (ICH)^[139]. The TTM after intracerebral hemorrhage (TTM-ICH) trial is ongoing^[140]. At this moment, routine use of TTM in patients with ICH is not recommended^[141]. The prospective protocol-selected trial demonstrated potential clinical benefit of local TTM in patients with neurologically complete spinal cord injury^[142]. Experts recommend that TTM can be the option for ICP control in patients with fulminant hepatic encephalopathy particularly while waiting for liver transplantation^[143].

Application of TTM in donors demonstrates organ protective effect on kidney in recipients^[144]. This RCT is the first ever for clinical trial which demonstrates organ defensive action of TTM from hypoxic/ischemic cascade outside the brain. The process of TTM at 34 °C-35 °C in kidney donors in this study is convenient and the cost of treatment is economic^[145]. TTM in kidney donors can be its second class I recommendation per standard guidelines following post-cardiac arrest in the near future.

CONCLUSION

Two main purposes of TTM in patients admitted in Neurological ICU are neuroprotective therapy and ICP control. TTM is the most potent neuroprotective treatment due to its numerous effects against ischemic/hypoxic injury. TTM provides reliable ICP reductive action. Two most popular methods of TTM using in clinical practice and clinical trials are invasive endovascular technique and non-invasive surface cooling. Fast induction, smooth maintenance and slow rewarming are the important steps to achieve ideal TTM. The strongest clinical benefit of TTM is the excellent outcomes with neuroprotective effect in patients with PCAS. TTM has been recommended as the essential treatment for OHCA with shockable rhythm for more than 10 years. Even with marginal benefit, TTM is still recommended for non-shockable rhythm and IHCA subgroup. TTM may give benefit in patients with acute ischemic stroke however its role needs to be proved with large scale RCT. TTM should be clinically useful for ICP reduction in patients with malignant MCA infarct. Routine use of TTM in patients with TBI as neuroprotective therapy or ICP control is still not recommended due to lacking of any benefit from many RCTs. TTM machine can be applied as fever control in patients with various conditions in Neurological ICU. Fever control should help to improve clinical outcomes in patients admitted in Neurological ICU.

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Basic Study

Nutech functional score: A novel scoring system to assess spinal cord injury patients

Geeta Shroff, Jitendra Kumar Barthakur

Geeta Shroff, Nutech Mediworld, New Delhi 110016, India

Jitendra Kumar Barthakur, Department of Statistics, Nutech Mediworld, New Delhi 110016, India

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Correspondence to: Geeta Shroff, MBBS, Founder, Medical Director, Nutech Mediworld, H-8, Green Park Extension, New Delhi 110016, India. geetashroff@hotmail.com
Telephone: +91-11-26565548
Fax: +91-11-46067841

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Abstract

AIM

To develop a new scoring system, nutech functional scores (NFS) for assessing the patients with spinal cord injury (SCI).

METHODS

The conventional scale, American Spinal Injury Association's (ASIA) impairment scale is a measure which precisely describes the severity of the SCI. However, it has various limitations which lead to incomplete assessment of SCI patients. We have developed a 63 point scoring system, *i.e.*, NFS for patients suffering with SCI. A list of symptoms either common or rare that were found to be associated with SCI was recorded for each patient. On the basis of these lists, we have developed NFS.

RESULTS

These lists served as a base to prepare NFS, a 63 point positional (each symptom is sub-graded and get points based on position) and directional (moves in direction BAD → GOOD) scoring system. For non-progressive diseases, 1, 2, 3, 4, 5 denote worst, bad, moderate, good and best (normal), respectively. NFS for SCI has been divided into different groups based on the affected part of the body being assessed, *i.e.*, motor assessment (shoulders, elbow, wrist, fingers-grasp, fingers-release, hip, knee, ankle and toe), sensory assessment, autonomic assessment, bed sore assessment and general assessment. As probability based studies required a range of (-1, 1) or at least the range of (0, 1) to be useful for real world analysis, the grades were converted to respective numeric values.

CONCLUSION

NFS can be considered as a unique tool to assess the

improvement in patients with SCI as it overcomes the limitations of ASIA impairment scale.

Key words: Spinal cord injury; American Spinal Injury Association's Impairment Scale; Nutech functional score; Comparison of assessment; Positional scoring system

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Core tip: Spinal cord injury (SCI) is a devastating disease which impacts the patient physically, psychologically and financially. American Spinal Injury Association's (ASIA) Impairment Scale is a universally accepted scale to assess the SCI, but this scale does not cover all parameters of SCI. The present study focuses on the development of a new scoring system called nutech functional score for patients with SCI and compare it with internationally used scoring system ASIA.

Shroff G, Barthakur JK. Nutech functional score: A novel scoring system to assess spinal cord injury patients. *World J Methodol* 2017; 7(2): 68-72 Available from: URL: <http://www.wjgnet.com/2222-0682/full/v7/i2/68.htm> DOI: <http://dx.doi.org/10.5662/wjm.v7.i2.68>

INTRODUCTION

Spinal cord injury (SCI) is a neurological injury that affects conduction of sensory and motor signals across the site(s) of lesion(s) and the autonomous nervous system leading to long-lasting degeneration of locomotor and sensory neurons below the point of injury^[1]. Spinal cord is generally injured during work or recreation related mishaps, motor vehicle accidents as well as violence^[2]. According to factsheet of the World Health organization (November 2013), around 250000 and 500000 persons are estimated to suffer from SCI each year^[3]. Though survival over SCI has improved, yet it is of paramount importance to focus on the issue of assessment of SCI patients as perfectly as possible^[2].

There are many international standards available for examination of the neurological damage. "American Spinal Injury Association's (ASIA) Impairment Scale" is a universally accepted scale which consolidates "scores" and assesses the extent of injury, and the overall condition in its own way. These conventional scoring scales examine sensory and motor levels on right and left sides, sensory scores using pin prick and light touch, motor scores for upper and lower limb, etc. They precisely determine neurological levels, the extent of incomplete injury and achieve more consistent and reliable data^[1,4-6].

The ASIA impairment scale is a categorical scale which classifies the extent of SCI injury as motor complete and motor incomplete using grades A, B, C, D and E. "A" refers to complete injury where no function,

neither sensory nor motor, has been preserved in the sacral segments S4-S5. "B" is assigned to SCI patients where no motor function is preserved below the neurological level and sacral segments S4-S5, whereas, sensory function is preserved. The SCI patients who are diagnosed with motor incomplete condition, *i.e.*, motor function is preserved below the neurological level, and more than half of key muscle functions below the single neurological level of injury have a muscle grade < 3, are assigned with grade "C". "D" refers to the motor incomplete condition where motor function is preserved below the neurological level, and at least half or more of key muscle functions below the neurological level have a muscle grade > 3. "E" refers to the normal condition of the patient, where sensory as well as motor functions are normal^[1]. There is a direction of BAD → GOOD from A to E, where → stands for "to" or "moves towards". The routes are distinctly two and they are A → B → E and A → C → D → E^[7]. These routes only allow counting of ASIA impairment scale which are neither numeric nor ordinal. They disconnect the ability of the sensory symptoms from the motility of the motor symptoms, as both run along two different routes. This confines the analysis with clinical research to count and rank in two streams of the scores. It was refined/improved on various basis from 1989 to 2013 which led to addition of some more parameters, such as T3 sensory examination, motor examination, testing position, wrist extension, hip flexors, ankle dorsiflexors, long toe extension, anorectal examination, etc^[4,5,8]. However, there are many parameters such as bed sore assessment, improvement assessment, breathing pattern, etc. that are important to assess in case of SCI patients but are not covered under ASIA impairment scale yet.

The present study focuses on the development of a new scoring system called nutech functional scores (NFS) for patients with SCI and compare it with the internationally used scoring system ASIA. All the important parameters that are missed out in ASIA scale have been included in NFS that makes it more valuable in assessing the complications and improvement after treatment in patients with SCI.

MATERIALS AND METHODS

The symptoms, either common or rare, with which the patients were evaluated, were recorded in the diagnostic history. We started preparing a list of all the possible symptoms from the diagnostic history of the patients. These lists are revised time and again to maintain accuracy and precision and are used to assess patients with SCI.

Each symptom had five ordinal grades in BAD → GOOD direction. We assessed the SCI patients simultaneously with ASIA impairment scale and our new scoring system. The study was approved by Institutional Review Board of Nutech Mediworld.

Table 1 Conversion table from categorical grades to numeric range for nutech functional score

No. of scores	Numeric (Y _n)	Categorical scores (Y _c)				
		1	2	3	4	5
5	Score (Y _n)	0.122	0.310	0.500	0.690	0.890
	Range (Y _n)	0-0.241	0.241-0.379	0.379-0.621	0.621-0.759	0.759-1.00
3	Score (Y _n)	0.167	0.5	0.833	-	-
	Range (Y _n)	0-0.333	0.333-0.667	0.667-1.00	-	-

RESULTS

We developed a 63 point grading system which consisted of five grades in number for each parameter. For non-progressive diseases, 1, 2, 3, 4, 5 denote worst, bad, moderate, good and best (normal), respectively. The symptoms that are found not to be associated with SCI are scored as not afflicted in SCI (NA). Supplementary table presents the parameters assessed with NFS along with their grades. NFS for SCI has been divided into different groups based on the affected part of the body being assessed, *i.e.*, motor assessment (shoulders, elbow, wrist, fingers-grasp, fingers-release, hip, knee, ankle and toe), sensory assessment, autonomic assessment, bed sore assessment and general assessment.

The hypothetical spread of five symptoms ranging in (0.5, 5.5) were treated as equidistant to each other and were continuous. As probability based studies required a range of (-1, 1) or at least the range of (0, 1) to be useful for real world analysis, the grades were converted to respective numeric values. The "0.5" and "5.5" in the range of (0.5, 5.5) was treated as "0" and "1" of the (0, 1) in numeric scale, respectively. The configuration used to convert the range (0.5, 5.5) to the range (0, 1) demonstrated the internal consistency of the two methods of grading. It is now universal and usable for one symptom. An equation has been derived using the polynomial smoothing and graphical methods for converting categorical scores into numeric scores. The equation is as follows:

$$Y_n = 0.096 \times (Y_c + 0.5) - 0.166$$

where, Y_n = numeric score and Y_c = categorical score.

Table 1 explains the layout of the conversions. Depending upon the symptoms of parameters assessed by NFS, the five/three categorical grades in the range (0.5-5.5) can be converted to five/three numeric grades in the range (0, 1), respectively.

DISCUSSION

The spinal cord is the major conduit through which motor and sensory information travels between the

brain and body. It can get injured which leads to SCI affecting the smooth functioning of the body^[1]. Though, last decade reveals various reports emphasizing the medical management of SCI, still, there is no effective treatment to completely cure SCI. The pathophysiology, either primary injury phase or secondary, involved in SCI is essential to determine the type of possible therapeutic application that can be used after SCI.

Preceding clinical management, it is essential to determine the extent of injury. There are various scales to determine the cord segments affected by SCI^[1]. ASIA impairment scale is such a tool where its grades relate directly to a case and form categorical distributions of frequencies.

Though, many revisions have taken place in ASIA impairment scale scoring system, few limitations have been observed during assessment of SCI which restrict/limit its use. It doesn't specify the classification score for SCI patients who have patchy motor and sensory functions intact, irrespective of the level. It does not specify if motor or sensory function is non-contiguous or on one side of the body. It gives the classification of function affected below the level of injury, but doesn't describe the gross condition of the patients, such as if breathing is affected; if the patient can sit without support or even maintain the sitting posture. A study by Gündoğdu *et al*^[9] reported that the ASIA impairment scale to assess the recovery in SCI patient, it can lead to incorrect diagnosis as it may show the worsening of the condition despite of the neurological improvement of the patient. Thus, we may retrieve at an incorrect conclusion when AIS grade is considered alone without observing any motor or sensory changes during recovery^[9]. Determination of motor levels and differentiation between AIS B and AIS C/D is one of the most difficult classification tasks in AIS scoring system^[10].

The major addition made in NFS is the improvement assessment parameter. It documents even the slightest improvement by using parameters which redefined the motor and sensory functions, thereby overcoming one of the important existing limitations of ASIA impairment scale.

In our previous study, we reported several signs of improvement in our study patients who did not show any improvement when assessed with ASIA scale. Their score remained "A", both before and after the therapy. But, these patients showed improvement in sensation of fullness of bowel and bladder and control over bowel and bladder^[11]. Thus, ASIA scale lacks in assessing these parameters. Other parameters such as bed sore number and size, breathing and swallowing pattern, deformity, sweating below the level of injury, spasticity, deformity, sitting balance, standing balance, flaccidity, bulk/limb atrophy, walking distance and other general assessments including requirement of gait with calipers, calipers for standing and mobility aid, *etc.* are also not assessed by ASIA, but are included in our

Table 2 A hypothetical example showing nutech functional scores of a spinal cord injury patient before and after therapy

Parameters	NFS score before therapy	NFS score after therapy
Motor assessment (shoulder)		
Flexion	1	4
Extension	1	3
Adduction	2	5
Abduction	NA	NA
Internal rotation	2	5
External rotation	1	5
Motor assessment (elbow)		
Flexion	NA	NA
Extension	NA	NA
Supination	2	5
Pronation	2	5
Motor assessment (wrist)		
Flexion	1	3
Extension	1	4
Radial deviation	2	5
Ulnar deviation	NA	NA
Motor assessment (fingers - grasp)		
Use full palmar grasp	NA	NA
Use radial-digital grasp	NA	NA
Use standard pincer grasp	2	5
Use spherical grasp	3	5
Use intrinsic-plus grasp	3	5
Use power grasp on tool	2	5
Motor assessment (fingers - release)		
Release object freely	1	5
Release 1-inch object in container	1	5
Stack 1-inch blocks	1	5
Release tiny objects	1	3
Throw small ball at least 3 feet	1	4
Motor assessment (hip)		
Flexion	NA	NA
Extension	NA	NA
Adduction	1	3
Abduction	1	5
Internal rotation	1	5
External rotation	2	5
Motor assessment (knee)		
Flexion	1	3
Extension	1	3
Motor assessment (ankle)		
Plantar flexion	NA	NA
Dorsi flexion	NA	NA
Inversion	NA	NA
Eversion	NA	NA
Motor assessment (toe)		
Flexion	1	4
Extension	1	5
Sensory assessment		
Superficial sensation	2	5
Deep sensation	2	5
Autonomic assessment		
Bladder sensation	NA	NA
Bladder control	1	4
Bowel sensation	1	5
Bowel control	2	5
Blood pressure assessment	3	5
Bed sore assessment		
Bed sore number	1	5
Bed sore size	1	5
General assessment		
Breathing	NA	NA
Sweating	NA	NA
Swallowing	2	5
Gait with calipers	NA	NA

Calipers	1	5
Spasticity	1	5
Clonus	NE	NE
Deformity	1	5
Contracture	NA	NA
Flaccidity	3	5
Bulk/limb atrophy	1	4
Sitting balance	2	5
Standing balance	NA	NA
Walking aid	1	5
Walking distance	NA	NA
Total	67	197

NFS: Nutech functional scores; NA: Not afflicting; NE: Not existing.

newly developed NFS scoring system. This has led to a complete assessment of the patient's condition which is lacking in ASIA impairment scale.

All the parameters in NFS scoring system are graded on a scale of 1 to 5 in the range of 0.5 to 5.5, *i.e.*, NFS is ordinal, which provides complete information regarding the condition of the patients before and after the therapy. It is important to note that NFS does not include "0" as a grade. Analytical work based on "count" stays unaffected.

Let's take a hypothetical example to explain how the affected parameters are graded with NFS and ASIA. Table 2 presents a detailed manner of grading a SCI patient with NFS. Addition of scores for each parameter gives us the total score. The total NFS score of the patient before therapy is 67 and increases to 197 after the therapy. This shows a remarkable improvement in the patient after undergoing therapy. When assessed with ASIA, the grade of the patient before therapy is "A" (complete) and moves to "B" (Sensory incomplete) after the therapy. ASIA score "B" is defined as "sensory but not motor function is preserved below the neurological level and includes the sacral segments S4-S5, AND no motor function is preserved more than three levels below the motor level on either side of the body". Thus, it means that patients had no improvement in motor function following the therapy which is contrary to the assessment with NFS. With NFS, we have observed improvement in motor functions of shoulder, elbow, wrist, finger-grasp, finger-release, wrist, ankle, hip and toe (Table 2). In NFS, scores can be added or subtracted; therefore even slightest improvement/deterioration in the patient's condition can be assessed.

At our facility, we have evaluated the effectiveness of NFS in assessing the patients treated with human embryonic stem cell (hESC) therapy. Thus, NFS can be considered as a unique tool to assess the improvement in patients with SCI receiving the hESC therapy. However, the universal use of the NFS will help in determining its usability in assessing the improvement in patients being treated with other therapies.

In conclusion, the NFS scoring system for SCI in numeric form is an adequate instrument to examine and score the patients with SCI. The ASIA impairment scale is based on categorical descriptions which are not

comparable with a numeric based system.

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COMMENTS

Background

Spinal cord injury (SCI) is a neurological injury that affects conduction of sensory and motor signals across the site(s) of lesion(s) and the autonomous nervous system leading to long-lasting degeneration of locomotor and sensory neurons below the point of injury. There are many international standards available for examination of the neurological damage.

Research frontiers

In the current study, the authors have introduced a new scoring system called nutech functional score (NFS) for assessment of patients with SCI and compare it with the internationally used scoring system American Spinal Injury Association's (ASIA) Impairment Scale. All the important parameters that are missed out in ASIA scale has been included in NFS that makes it more valuable in assessing the complications and improvement after treatment in patients with SCI.

Innovations and breakthroughs

The authors have developed a 63 point scoring system, *i.e.*, NFS for patients suffering with SCI. A list of symptoms either common or rare that were found to be associated with SCI was recorded for each patient. This list is the basis to develop NFS.

Applications

NFS for SCI patients is a 63 point, positional (each symptom is sub-graded and get points based on position) and directional (moves in direction BAD → GOOD) scoring system that can be used to assess patients with SCI and compare it with the internationally used scoring system ASIA. All the important parameters that are missed out in ASIA scale have been included in NFS.

Terminology

NFS is a 63 point, positional (each symptom is sub-graded and get points based on position) and directional (moves in direction BAD → GOOD) scoring system.

Peer-review

The manuscript proposes a new scoring system, for assessing the patients with SCI. It is well written.

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