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## Polyomavirus-associated nephropathy

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### Abstract

Polyomaviruses BK and JC are ubiquitous viruses with high seroprevalence rates in general population. Following primary infection, polyomaviruses BK and JC persist latently in different sites, particularly in the reno-urinary tract. Reactivation from latency may occur in normal subjects with asymptomatic viruria, while it can be associated to nephropathy (PVAN) in kidney transplant recipients. PVAN may occur in 1%-10% of renal transplant patients with loss of the transplanted organ in 30% up to 80% of the cases. Etiology of PVAN is mainly attributable to BK virus, although approximately 5% of the cases may be due to JC. Pathogenesis of PVAN is still unknown, although viral replication and the lack of immune control play a major role. Immunosuppression represents the *condicio sine qua non* for the development of PVAN and the modulation of anti-rejection treatment represents the first line of intervention, given the lack of specific antiviral agents. At moment, an appropriate immunomodulation can only be accomplished by early identification of viral reactivation by evaluation of polyomavirus load on serum and/or urine specimens, particularly in the first year post-transplantation. Viro-immunological monitoring of specific cellular immune response could be useful to identify patients unable to recover cellular immunity posttransplantation, that are at higher risk of viral reactivation with development of PVAN. Herein, the main features of polyomaviruses BK and JC, biological properties, clinical characteristics, etiopathogenesis, monitoring and diag-

nosing of PVAN will be described and discussed, with an extended citation of related relevant literature data.

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**Key words:** Polyomavirus; Kidney transplantation; Immunosuppressive therapy; Virological monitoring; Cellular immune response

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### INTRODUCTION

Polyomavirus-associated nephropathy (PVAN) is one of the most common viral complications in renal transplant recipients and is an increasingly recognized cause of renal transplant dysfunction and graft loss. Since the first description of PVAN in 1995, an increasing prevalence rate from 1% to 10% has been evidenced<sup>[1]</sup>. The increase in prevalence data could be due to the introduction of new deeply immunosuppressive drugs and/or the relative decline in acute rejection rates. PVAN can lead to kidney graft loss in 10% up to 100% of the cases, determining the return in hemodialysis within 6 to 60 mo, thus significantly and markedly reducing the graft survival.

Viral replication is the single common feature of all patients at risk of nephropathy. Therefore, screening for viral replication is the most useful tool for the identification of patients at risk of developing nephropathy, thus allowing for earlier intervention, in particular a pre-emptive reduction of immunosuppression, with improvement of outcome. Beside virological monitoring, in recent years the role of virus-specific cellular immune response in the control of viral replication has prompted

the development and employment of methods for viro-immunological monitoring.

The complexity regarding diagnosis, monitoring and clinical management of PVAN evidences the need for a multidisciplinary approach, including nephrologists, pathologists, and clinical virologists.

## HUMAN POLYOMAVIRUSES AND NEPHROPATHY

The most characterized polyomaviruses infecting humans and involved in the pathogenesis of PVAN are BK virus (BKV) and JC virus (JCV), named after the initials of the patients in which they were first isolated in 1971<sup>[2,3]</sup>. In recent years, other polyomaviruses have been described in humans, including WU, KI, Merkel cell virus and others, however their clinical role is still undefined and no association to nephropathy has been evidenced. Moreover, also the non-human primate polyomavirus SV-40 has been detected in human specimens and is associated to nephropathy in these primates in the presence of immunocompromised conditions, such as infection with simian immunodeficiency virus (Table 1). SV-40 was accidentally introduced as a pathogen into the human population as a contaminant of early polio vaccines, both the inactivated one by Salk and the oral one by Sabin, between 1955 and 1964<sup>[4]</sup>. Apart for polio vaccines, strong serological and molecular evidence suggests that new SV-40 infections may be occurring in the human population, although the route of transmission remains unknown. Pathogenic role of SV-40 in humans is controversial and recent studies evidenced the risk of false positive results because of contamination by common laboratory plasmids containing SV-40 sequences<sup>[5-7]</sup>. Nevertheless, like other polyomaviruses, SV-40 displays renotropism and is believed to latently persist in the kidney after primary infection.

Polyomaviruses BK and JC are ubiquitous, with seroprevalence rates ranging from 70% to 90% in adult population. Primary infection usually occurs early in the childhood, at a median age of 5 years, and is characterized by low upper respiratory tract morbidity or is asymptomatic. Following primary infection, BKV and JCV remain latent in different sites, including the renourinary tract, as the epidemiologically most relevant latency site, B cells, brain, spleen, and probably other organs. Periodical reactivation may occur in both immunocompetent individuals (in 0% up to 62%) and immunocompromised patients<sup>[8]</sup> and is evidenced by asymptomatic viruria.

In contrast to BKV, that is found infrequently in the urine of healthy adults, JC viruria occurs universally, increasing with age. In a study on 400 healthy blood donors, Egli and colleagues evidenced that JC viruria was significantly more frequent and at a higher viral load in comparison to BK viruria (19% *vs* 7%,  $P < 0.0001$ )<sup>[9]</sup>. According to the Authors, these data indicate significant differences between BKV- and JCV-infected cells with respect to anatomic location and/or accessibility to T cells in mucosal sites.

**Table 1 Polyomaviruses detected in humans and involved in the pathogenesis of polyomavirus-associated nephropathy**

Virus	Host	Clinical diseases
BKV	Human	PVAN in renal transplantation Hemorrhagic cystitis in bone marrow transplantation
JCV	Human	Progressive multifocal leukoencephalopathy PVAN in renal transplantation
SV-40	Non-human primate	Unknown; PVAN in renal transplantation?

PVAN: Polyomavirus-associated nephropathy; BKV: BK virus; JCV: JC virus.

At the time of first description of BK in 1971, the pathogenic role of BKV remained elusive and it has been considered an orphan virus for many years afterwards. In 1978, Mackenzie and colleagues first described four features of nephropathy in renal transplantation: (1) the detection of urinary decoy cells; (2) the presence of viral inclusions in uroepithelial cells in graft biopsies; (3) the difficulties in the differential diagnosis with acute rejection; and (4) the role of immunosuppression in the development of these findings<sup>[10]</sup>.

In 1995, Purighalla and colleagues described the first case of PVAN and recognized it as a defined disease entity<sup>[11]</sup>. Subsequently, several reports with increasing prevalence rates from many transplant centres worldwide followed, evidencing a stepwise increase in incidence of PVAN from approximately 1% in 1995 to 5% or even more in 2001. Subsequently, studies from 2002 to 2004 reported PVAN with prevalence rates of 1% to 10% (mean 5.1%). The majority of the cases occur in the first year posttransplantation, although approximately 25% of cases are diagnosed later. Clinical impact is relevant, as loss of the renal graft ranges from 30% up to > 80% of cases<sup>[12-14]</sup>; in transplant centres where screening for polyomavirus replication in the urine and plasma is performed, the rate of graft loss is lower<sup>[15]</sup>.

Several studies evidenced that BK viremia is only rarely observed in non-kidney solid organ transplant recipients and biopsy-confirmed cases of nephropathy have been described in few case reports<sup>[16-22]</sup>, despite the similar or even higher level of immunosuppression, thus supporting the role of organ determinants in the pathogenesis of PVAN.

In hematopoietic stem cell transplant recipients, polyomavirus BK replication is commonly associated to hemorrhagic cystitis. Its incidence in this population ranges from 5.7% to 7.7%<sup>[23,24]</sup>. A case of polyomavirus-induced hemorrhagic cystitis in renal transplantation patient with polyomavirus nephropathy has been reported<sup>[25]</sup>.

Polyomavirus JC was first isolated in brain tissue from a patient with progressive multifocal leukoencephalopathy, a demyelinating disease caused by lytic replication of the virus in oligodendrocytes and observed in the setting of profound cellular immunosuppression, such as ac-

quired immunodeficiency-syndrome. JCV has been also associated to nephropathy in kidney transplant recipients.

In fact, the etiologic agent of PVAN is BKV in most of the cases, while JCV has been recognized as the etiologic agent in less than 3% of all reported cases, alone<sup>[26,27]</sup> or in association to BKV<sup>[28]</sup>. Nevertheless, it is likely that the role of JCV is more relevant than that reported. In a recent study<sup>[29]</sup>, a biopsy-proven PVAN was diagnosed in six kidney graft recipients with exclusive JCV viruria out of 75 patients (8%) with BKV and/or JCV viruria, thus accounting for an overall incidence during the study period of 0.9%.

A potential role for SV-40 in the etiology of PVAN has been also suggested. Butel and colleagues<sup>[30]</sup> found that SV-40 seropositivity in children increased with age and was significantly associated to kidney transplantation. A co-infection with BKV and SV-40 has been described in two of six renal transplant patients with PVAN<sup>[31]</sup>.

The pathogenesis of PVAN is still only incompletely understood, although it is now recognized that the interaction of multiple factors concurs to the development of PVAN, including patient, organ, and viral determinants.

In all the cases, the *condicio sine qua non* for the development of PVAN is the presence of intense immunosuppression. Nephropathy has been associated particularly, but not exclusively, to triple immunosuppressive therapy, including tacrolimus, mycophenolate mofetil, and steroids. It has been noted that the emergence of PVAN coincided with the diffuse use of tacrolimus and mycophenolate mofetil, although there is no proved causal relationship, due to the different mechanisms of action of these drugs<sup>[1,32]</sup>. It seems that the overall level of immunosuppression rather than a specific agent is involved in the pathogenesis of PVAN, although we cannot exclude a drug-specific mechanism. The importance of immunosuppression is also underlined by the fact that the main line of intervention is by modulating immunosuppression, in particular reducing, switching or discontinuing a specific immunosuppressive agent.

The preferential manifestation in renal allograft as compared to the native kidney of other solid-organ transplant recipients, suggests the role of other factors.

Among patient-related determinants, the following determinants have been identified as possibly contributing to PVAN: age > 50 years, male gender, white ethnicity, pre-transplantation BKV seronegativity in children, interferon (IFN)- $\gamma$  producing specific T-cells, presence of comorbidities such as diabetes mellitus and cytomegalovirus coinfection.

Among organ determinants, the following factors have been considered as associated to viral replication and PVAN: HLA mismatching, previous episodes of acute rejection (treated with anti-lymphocyte preparations and intravenous steroid boluses), viral load in the renourinary tract, presence of renal injury (including calcineurin-inhibitor toxicity, in fact the reduction of this class of drug represents the very first line of intervention).

Among viral determinants, BKV genotypes (mutations

**Table 2** Determinants in the development of polyomavirus-associated nephropathy

Determinants	
Patient-related	Age > 50 yr
	Male gender
	Comorbidities (diabetes mellitus)
Organ-related	Negative serostatus before transplantation
	Degree of HLA mismatching
	Prior rejection episodes
	Renal injury
Viral-related	Latent infection load
	NCCR rearrangements
	Genotype
Immunity-related	Viral fitness
	Intense triple immunosuppression (calcineurin-inhibitor, antiproliferative agent, steroid)
	Rejection and anti-rejection treatment (anti-lymphocyte preparations, iv steroid boluses)
	Positive serostatus of donor
	Low number of BKV-specific T-cells

NCCR: Noncoding control region; BKV: BK virus.

in domain of *VP1* gene) and rearrangements in NCCR with presumably increased viral fitness.

Determinants involved in determining the risk of PVAN are summarized in Table 2.

## CLINICAL AND HISTOLOGIC FEATURES

PVAN is typically diagnosed within the first year post-transplantation, although approximately 25% of the cases are seen later (range, 1.3-45.1 mo). Clinical presentation may be inconspicuous and lacks of useful features. Varying degrees of renal dysfunction may be seen, although in early stages even normal serum creatinine levels may be detected. PVAN may consist in interstitial nephritis and/or ureteric stenosis with ureteric obstruction, hydronephrosis, and sometimes associated urinary tract infections. Progressive renal failure is reported in approximately 30%-60% of the cases<sup>[33]</sup>.

The stereotypical evolution of PVAN has been thoroughly characterized and is as follows<sup>[34]</sup>. Most of the cases are preceded by an asymptomatic phase of persistent and significant viruria, as demonstrated by the evidence of a urine viral load > 10<sup>5</sup> copies/mL by polymerase chain reaction or by urine cytology (urinary decoy cells for at least 2 mo). Sustained BK viruria is typically followed within few weeks by viremia. A significant and sustained viremia (identified as > 5000 copies/mL plasma for 3 consecutive weeks) identifies patients with uncontrolled viral replication potentially leading to parenchymal injury. Progression of PVAN leads to eventual deterioration of the kidney graft function. Usually, appearance of viruria and viremia precedes the increase in serum creatinine by weeks or months. Options for clinical interventions vary in relation to the stage of PVAN course. In patients with viremia and viruria, a patient's tailored reduction of immunosuppression before a significant renal injury has



occurred leads to the resolution of the infection in up to 90% of the cases with long term preservation of renal function and a low risk of acute rejection (10%-15%). No intervention is required in the sole presence of viruria. In the presence of viruria and viremia, increased serum creatinine level and renal injury at graft biopsy, the intervention by reducing immunosuppression is mandatory. Late diagnosis and intervention, once that graft dysfunction is evident, decreases significantly the likelihood of viral clearance and is associated with higher probability of graft loss (30% *vs* < 10%). In patients with end-stage PVAN, intervention is usually late and ineffectual; the end-stage PVAN is clinically and histologically similar to end-stage renal disease with progressive obliteration of the renal tubules and decrease in viremia and viruria.

PVAN displays a (multi)focal distribution in the kidney. Histologic diagnosis of PVAN is based on the detection of typical basophilic nuclear viral inclusion in epithelial cells (renal tubular and/or Bowman's capsular lining urothelium). The virus is identified by immunohistochemical staining for the so-called SV40 Large T antigen, which cross-reacts with polyomaviruses BKV, JCV, and SV40. Histologic patterns of PVAN are based on the identification and extend of inflammatory infiltrates and fibrosis in association with viral infection<sup>[34]</sup>. The following patterns have been described: pattern A with viral cytopathic changes within a normal renal parenchyma, scant or no tubular atrophy, interstitial fibrosis and inflammation; pattern B with combination of viral cytopathic changes and focal/multifocal areas of tubular atrophy/interstitial fibrosis/inflammation (< 25% for pattern B1; 25%-50% for pattern B2; > 50% for pattern B3); pattern C (end-stage PVAN) with scarce viral cytopathic changes within a diffusely scarred renal tissue and extensive tubular atrophy/interstitial fibrosis/inflammation.

## DIAGNOSIS

The definitive diagnosis of PVAN is made by histopathologic evaluation, however this presents some drawbacks, including limited sensitivity due to focal involvement, thus accounting for sampling errors; varying presentations with cytopathic-inflammatory and/or fibrotic/scarring patterns; difficult differential diagnosis from acute rejection, that may coexist with a opposite impact on intervention strategies. As the main line of intervention is by reducing immunosuppression, early diagnosis is fundamental for a pre-emptive treatment, before the instauration of renal injury. Considering the main pathogenic factors of PVAN, i.e., viral replication and failure of immune surveillance, early diagnosis may be accomplished by virological and viro-immunological monitoring, consisting in monitoring of viral replication and evaluation of virus-specific immune response, respectively.

## VIROLOGICAL MONITORING

Viral replication is the single common feature of all renal

transplant recipients at risk of PVAN. Therefore, screening for viral replication allows for the identification of patients at risk of developing PVAN, thus permitting earlier intervention consisting in a pre-emptive reduction of immunosuppression<sup>[35]</sup>, with improvement of the outcome. Screening for viral replication presents a high predictive value (100%), as in the absence of viral replication, PVAN is excluded<sup>[36]</sup>. Moreover, virological monitoring is the most important tool for evaluating the response to the treatment in patients with confirmed PVAN. Different screening assays are available and include: (1) urine cytology, i.e., the detection of decoy cells that are present in 40% to 60% of transplant recipients, although it represents a good screening test with a 100% negative predictive value, positive predictive value is very low (approximately 20%)<sup>[33]</sup>; (2) quantification of urinary BKV-DNA, with a load 100-fold higher than plasma viral load that is found in 30% to 40% of transplant recipients, with a positive predictive value of approximately 40%<sup>[33]</sup>; and (3) quantification of plasma BKV-DNA, with a viral load higher than 10<sup>4</sup> copies/mL recommended for a presumed diagnosis of PVAN<sup>[32,37,38]</sup>; quantification of urinary VP1 mRNA that is likely to mirror active viral replication.

Different screening methods present some drawbacks, for example urine cytology and urinary VP1 mRNA measurement are susceptible to preanalytic hazards due to the type and duration of processing, viruria may differ depending on the type of specimen (supernatant, cell pellet, resuspended urine), micturition intervals and fluctuations of urine content, inhibition of polymerase chain reaction in urine (e.g., depending on urea concentration) for viruria.

As regards VP1 mRNA, this assay was first proposed as a tool for noninvasive diagnosis of PVAN adopting a cut-off of  $6.5 \times 10^5$  copies/nanogram of total RNA<sup>[39]</sup> and has been recently described as a complementary assay to investigate viral replication on the basis of the results of a study having found that the mean copy number in patients without PVAN was significantly lower than that in biopsy-proven nephropathy<sup>[40]</sup>, and the assay has been validated in an independent cohort of renal transplant patients<sup>[41]</sup>. However, the use of VP1 mRNA measurement has been criticized as it is dependent on the purity of the RNA preparation and contamination by the encoding viral genomic VP1 DNA contaminating the VP1 cDNA preparation has been indicated as being potential responsible for falsely high results<sup>[42]</sup>. We have recently evaluated the role of urine VP1 mRNA quantification in a large cohort of kidney transplant recipients and found that, by analyzing the operating characteristics, VP1 messenger study was not superior to viremia and was otherwise limited by the technical complexity in comparison to DNA detection<sup>[43]</sup>.

According to the Consensus Recommendations of a panel of international experts, screening for polyomavirus replication should be made by urine cytology or urine DNA load evaluation; recommended screening intervals are as follows: every 3 mo up to 2 years posttransplantation

**Table 3** Algorithm for the virological monitoring of polyomavirus BK replication in renal transplantation<sup>[49]</sup>

Assay	Notes	Timing - intervention
Screening Urine cytology (decoy cells) or urine DNA load	Positive screening test (possible PVAN)	Every 3 mo up to 2 yr post-transplantation or in case of allograft dysfunction or when renal biopsy is performed
Adjunctive quantitative tests (threshold) Urine DNA load > 10 <sup>7</sup> copies/mL or plasma DNA load > 10 <sup>4</sup> copies/mL	Presumptive PVAN	Pre-emptive reduction of immunosuppression
Allograft biopsy	Definitive diagnosis of PVAN	
Monitoring of response to treatment Urine DNA load decreasing or plasma DNA load decreasing Serum creatinine		Every 2-4 wk
	Negative monitoring test (resolved PVAN)	

PVAN: Polyomavirus-associated nephropathy.

or when allograft dysfunction occurs or when allograft biopsy is performed. In the presence of a positive screening tests (possible PVAN), adjunctive quantitative assays with identification of cut-off levels are recommended, including a urine DNA load > 10<sup>7</sup> copies/mL or plasma DNA load > 10<sup>4</sup> copies/mL. In the presence of a positive adjunctive test above the threshold (presumptive PVAN), allograft biopsy is recommended in order to make a definitive diagnosis of PVAN and prompt intervention. Response to the treatment is monitored every 2-4 wk by evidencing a reduction of urine and plasma DNA load until resolution of PVAN.

The performance characteristics of tests for screening of polyomavirus replication have been evaluated in recent studies. Based on a study by Viscount and colleagues<sup>[44]</sup> on a cohort of 114 kidney transplant recipients with four cases of confirmed PVAN, BKV-PCR may prove superior to urine cytology, particularly in terms of sensitivity and positive predictive value. A plasma DNA load > 1.6 × 10<sup>4</sup> copies/mL evidenced an improved specificity to 96% (*vs* 91% for plasma load of 10<sup>4</sup> copies/mL, 92% for urine load > 2 × 10<sup>7</sup> copies/mL, and 84% for urine cytology) without reducing sensitivity (100% for all the molecular assays, as all the PVAN cases presented this viral load, *vs* 25% for urine cytology), however positive predictive value was only 50% (*vs* 29% for plasma load of 10<sup>4</sup> copies/mL, 312% for urine load > 2 × 10<sup>7</sup> copies/mL, and 5% for urine cytology). Nevertheless, the important clinical value of a negative polymerase chain reaction test is well established. In a similar study performed at the Renal Transplant Centre of Turin, Italy, on 229 patients with three cases of confirmed PVAN (accounting for an overall prevalence of 1.3%), a viremia level > 1.6 × 10<sup>4</sup> copies/mL was found in four cases, three of which with PVAN. The following operating characteristics for the diagnosis of PVAN were achieved: sensitivity 100%, specificity 99.6%, positive predictive value 75%, and negative predictive value 100%; of course, the low number of PVAN cases represents a limitation of this study<sup>[15]</sup>.

Virological monitoring for PVAN at the Renal Transplant Centre of Turin, Italy, includes screening of viruria and viremia twice monthly in the first 3 mo posttransplan-

tation, thereafter every 3 mo during the first 2 years and then yearly until the 5th year. Due to the possibility of self-limiting (transient) replication, positive screening assays are confirmed within 2-4 wk.

Renal biopsy is performed in case of suspected rejection, PVAN or declining renal function; in fact, also considering the potential for sampling errors due to focal involvement, renal biopsy is necessary to exclude other pathologic processes, such as acute rejection that may coexist<sup>[45]</sup>. At our centre, considering that in early stages of PVAN viral inclusions may be absent, as well as inflammation may be scarce<sup>[46]</sup>, beside histopathologic evaluation, also quantification of polyomavirus DNA on renal graft biopsies and/or ureteric specimens is performed. Polyomavirus-DNA quantitation could be useful in the presence of little evidence of viral cytopathy<sup>[46]</sup>. In a study on quantitation of polyomaviruses BK and JC in human kidneys, the highest tissue viral loads (e.g., > 10<sup>3</sup> copies/cell) were found in active PVAN, while it was significantly lower in pre-PVAN biopsies and in specimens from patients with asymptomatic viruria<sup>[47]</sup>. Similar results were obtained by our group in a study on 109 renal transplant patients with two cases of histologically confirmed PVAN, with tissue BKV load > 10<sup>4</sup> copies/cell in both PVAN cases and < 10<sup>2</sup> copies/cell in patients with asymptomatic viruria or pre-PVAN<sup>†</sup><sup>[48]</sup>. Overall, these studies evidenced that viral load are significantly higher in active PVAN and underline the low sensitivity of tissue polymerase chain reaction in early stages of infection, possibly reflecting the low sensitivity due to focal involvement, as already described for histopathology.

Recommended algorithm for virological monitoring of polyomavirus BK replication in renal transplant recipients<sup>[49]</sup> is summarized in Table 3.

## VIRO-IMMUNOLOGICAL MONITORING

Among determinants potentially involved in the pathogenesis of PVAN, there are immunity-related risk factors. These include immunosuppressive therapy, use of steroids, HLA-mismatching, rejection and anti-rejection treatment, and factors related to polyomavirus-specific

immune response<sup>[50]</sup>. Both humoral and cellular response may be associated to BKV replication and PVAN.

As regards humoral response to BKV and PVAN risk, it has been evidenced that BKV-seropositive recipients are not protected from viral replication and PVAN<sup>[12]</sup>. For example, in a study on 78 renal transplant patients, PVAN occurred in four of the 59 (76% of the whole study population) seropositive individuals and in one of the 19 (24%) seronegative patients<sup>[12]</sup>. On the other hand, BKV-seronegative recipients are at higher risk of viral replication and nephropathy<sup>[51-53]</sup>. For example, BK viruria was significantly more frequent in seronegative recipients in comparison to seropositive patients (58% *vs* 21% in a study population of 24 and 56 pediatric kidney transplant patients, respectively;  $P < 0.005$ )<sup>[51]</sup>. Nevertheless, although specific antibodies may accelerate the clearance of primary infection, they seem to play only a minimal role in the containment of PVAN. In fact, although a significant increase in immunoglobulins G titer is seen in patients with decreasing viremia values and after the resolution of PVAN<sup>[52-54]</sup>, individuals with elevated immunoglobulins G levels can still develop PVAN, thus suggesting a role of defective cellular immune response in the onset of nephropathy<sup>[55]</sup>.

Until recently, studies on immune response to BKV have been limited, due to the little pathologic potential in immunocompetent individuals. It has been evidence that BK-seropositive healthy subjects present CD4+ and CD8+ cells specific for BKV Large T antigen and the capsid protein VP1; in particular, CD4+ T cells with cytotoxic potential seem to play a role in maintaining memory responses to BKV and contribute to the immune control of viral replication<sup>[56]</sup>.

In renal transplant patients, it has been evidenced that control of BKV replication and PVAN is correlated with the development or reconstitution of BKV-specific cellular immune response; whereas the lack of a protective immunity may favour the occurrence of active infection and progression to PVAN<sup>[54,57]</sup>. The fundamental role of T-cell immune response in the control of BKV replication was first observed by the indirect evidence of increased incidence of viral reactivation and development of disease in relation to the degree of immune compromise<sup>[8,32,49,58]</sup>.

Evaluation of BKV-specific cellular immune response could be accomplished by lymphocyte stimulation with inactivated cultured virus or specific epitopes/overlapping peptide pools derived from VP1 and Large T antigens (i.e., virus-specific stimulation step) and detection of cellular immunity by labelled major histocompatibility complex class I tetramers, by intracellular staining and flow cytometry analysis, or by the enzyme-linked immunosorbent spot (ELISPOT) assay for IFN- $\gamma$ .

By using one of these assays, several studies have evidenced that kidney transplant recipients with with BK viremia or nephropathy failed to mount or expand a virus-specific cellular immune response, in comparison to seropositive healthy individuals or renal transplant patients with no evidence of infection (negativity of

viruria and viremia) or with evidence of infection in the presence of good renal function (evidence of viruria, but no increase in serum creatinine)<sup>[54]</sup>. In particular, in patients with PVAN, no IFN- $\gamma$ -secreting cell was detectable; whereas, in patients with PVAN, after reduction of immunosuppression, an increase in the number of IFN- $\gamma$ -secreting cells to levels similar to those of healthy subjects was evidenced, in concomitance with a reduction of viremia and viruria. In seronegative healthy individuals no cellular immunity is detectable<sup>[54]</sup>.

A defined association between viremia dynamics and BKV-specific cellular immunity has been evidenced. In a study on renal transplant recipients, cellular response to both Large T antigen and VP1 resulted significantly lower in patients with increasing or persistent viral load ( $n = 22$  patients) in comparison to those with decreasing (at least 2 log<sub>10</sub> copies/mL) viral load or past PVAN ( $n = 20$  patients)<sup>[57]</sup>. An example of the course of BK viral load and virus-specific cellular immune response in a kidney transplant recipient with polyomavirus reactivation treated with pre-emptive reduction of maintenance immunosuppression has been reported by Comoli and colleagues<sup>[55]</sup>: the emergence of BKV-specific T-cells coincides with the reduction of viral load; the concomitant reduction of serum creatinine indicates stabilization of graft function. This last finding seems to argue against the hypothesis that mounting of cellular immunity is a major determinant of tissue damage, as previously proposed for hemorrhagic cystitis in bone marrow transplantation<sup>[54,57,59]</sup>. Nevertheless, an inflammatory reaction mediated by different effectors may contribute to graft damage in case of prolonged viral cytopathic damage<sup>[60,61]</sup>. Overall, these observations represent the basis by which it seems reasonable to manage therapeutic modulation by complementing quantification of viral load (virological monitoring) with measurement of specific cellular immunity (viro-immunological monitoring).

Prolonged and deep immunosuppression is considered as the most important determinant in the development of PVAN. In particular, although viral replication and PVAN has been associated to the overall level of immunosuppression rather than a specific drug, triple therapy including tacrolimus, mycophenolate mofetil and prednisone<sup>[62-64]</sup> seems to be associated with a higher risk than cyclosporine. The mechanism of action of anti-rejection drugs interferes with the T cell activity. Calcineurin inhibitors (i.e., cyclosporine and tacrolimus) interfere with T cell activation (signal-1); whereas mammalian target of rapamycin (mTOR) inhibitors (i.e., sirolimus and everolimus) and anti-proliferative agents (i.e., azathioprine and mycophenolate mofetil) interfere with T cell proliferation downstream of the interleukin-2-receptor activation (signal-3)<sup>[35,63,65]</sup>.

Tacrolimus trough levels  $> 8$  ng/mL, and higher doses of tacrolimus or mycophenolate mofetil, have been associated to polyomavirus replication and the development of PVAN<sup>[66]</sup>. Conversely, the reduction of tacrolimus trough levels to 6 ng/mL and of the daily dose of myco-

**Table 4 Recommended treatment of polyomavirus-associated nephropathy by reduction or switching of immunosuppression<sup>[49]</sup>**

Switching	Decreasing
Tacrolimus → Cyclosporine A (trough levels 100-150 ng/mL)	Tacrolimus (trough levels < 6 ng/mL)
Mycophenolate mofetil → Azathioprine (dose ≤ 100 mg/d)	Cyclosporine A (trough levels 100-150 ng/mL)
Tacrolimus → sirolimus (trough levels < 6 ng/mL)	Mycophenolate mofetil dose ≤ 1 g/d
Mycophenolate mofetil → sirolimus (trough levels < 6 ng/mL)	Cyclosporine A (trough levels 100-150 ng/mL)
Mycophenolate mofetil → leflunomide	

phenolate mofetil to ≤ 1 g, determined an improvement or stabilization of PVAN in most of the cases<sup>[67]</sup>. According to current consensus recommendations<sup>[49]</sup>, tacrolimus trough levels should be targeted to 6 ng/mL, cyclosporine A to 100-150 ng/mL, and mycophenolate mofetil should be reduced to a daily dose ≤ 1 g or discontinued.

As no effective antiviral therapy is available, the milestone of treatment is represented by the pre-emptive reduction of immunosuppression on the basis of virological monitoring, although no protocol has been defined.

Based on the knowledge of the mechanisms of action, it can be hypothesized that the frequency of BKV-specific IFN-γ producing T cells is impacted by the immunosuppressive treatment. A recent study, using IFN-γ ELISPOT assays, investigated immunosuppressive drug levels and BKV Large T antigen-specific T cell responses in kidney transplant recipients *in vivo* and in healthy donors after titrating immunosuppression *in vitro*<sup>[68]</sup>. Based on their results, in kidney transplant patients *in vivo* ( $n = 16$ ), responses resulted inversely correlated with tacrolimus trough levels ( $P < 0.002$ ), but not with mycophenolate mofetil, prednisone or the overall immunosuppressive dosing. *In vitro* tacrolimus concentrations > 6 ng/mL resulted in inhibition of BKV-specific T cell responses more than 50%, while inhibition was less than 30% with tacrolimus concentration < 3 ng/mL. Cyclosporine A resulted in > 50% inhibition of BKV-specific cellular responses at concentrations of 1920 ng/mL and less than 30% at concentrations below 960 ng/mL (corresponding to clinical  $C_0$  trough levels of 200 and 100 ng/mL, respectively). No inhibition of BKV-specific T cell responses was observed for mycophenolate mofetil levels up to 8 μg/mL, leflunomide 50 g/mL, or sirolimus concentrations of 64 ng/mL. Overall, the results obtained by Egli and colleagues<sup>[68]</sup> suggested that calcineurin-inhibitor concentrations are crucial for the development and/or recovery of BKV-specific T cell responses. Therefore, reduction of calcineurin inhibitors should be considered as the first line of intervention in the presence of a presumptive diagnosis of PVAN, whereas switching to mTOR inhibitors may represent an alternative or the second line of intervention. These data should be confirmed in clinical trials.

## TREATMENT

There is no approved and defined treatment for PVAN. The main line of intervention is by reducing immunosuppression. Antiviral agents have been used, but no

defined treatment is recommended and results are controversial. More recently, the use of the immunomodulant agent leflunomide, together with the reduction of immunosuppression has been proposed.

As the majority of cases of PVAN have been associated to triple immunosuppressive therapy including combinations of calcineurin inhibitors (tacrolimus, cyclosporine A), antiproliferative agents (mycophenolate mofetil, azathioprine) and corticosteroids, most of recommended strategies includes decreasing, switching or stopping the ongoing treatment.

### Immunosuppressive reduction

The recommended treatment of PVAN by modification of maintenance immunosuppression is summarized in Table 4<sup>[49]</sup>. Discontinuation of mycophenolate mofetil or azathioprine and reduction of immunosuppression by 25%-50% were commonly used strategies<sup>[69]</sup>. Graft failure after immunosuppression reduction alone can be observed in approximately 8% of the patients<sup>[69]</sup>. Following reduction of immunosuppression, biopsy-proven acute rejection has been observed in approximately 25% of patients<sup>[49]</sup>. These episodes of rejection may be treated by steroid without recurrence of PVAN<sup>[12,63]</sup>.

Acute rejection and PVAN may coexist. In cases of concurrent biopsy-confirmed acute rejection and PVAN, a two-step approach of anti-rejection treatment followed by the reduction of immunosuppressive treatment has been adopted by several studies, that obtained the stabilization or improvement of allograft function<sup>[12,31,70,71]</sup>.

The response to the immunosuppression reduction should be monitored by viruria and viremia evaluation every 2-4 wk<sup>[49]</sup>. Some studies evidenced clearance of viruria and viremia in most of the patients, with clearance rates ranging from 7% to 80% for viruria and from 40% to 96% for viremia. However, based on creatininemia evaluation, renal function did not always improve with the reduction of immunosuppression<sup>[72-74]</sup>.

### Immunosuppression reduction with antivirals

Antiviral agents, in particular cidofovir, have been investigated in addition to the reduction of immunosuppression for the management of PVAN. However, results evidenced a scarce, if any, significant effect in clearing viruria or viremia<sup>[75-78]</sup>. Other studies reported clearance of viremia in 50% to 100% of the cases<sup>[75,79-82]</sup>. The effect of cidofovir on renal function was variable, with some studies evidencing stabilization of creatinine<sup>[79-81]</sup> and others



showed no effect on renal function<sup>[75,77]</sup>. The pronounced nephrotoxicity limits the use of cidofovir particularly in renal transplantation and an adequate hydration is required. Vidarabine is used in the treatment of BKV-associated cystitis in bone marrow transplant recipients; its efficacy in nephritis is unknown<sup>[33]</sup>.

### Immunosuppression reduction with leflunomide

More recently, the use of the immunomodulant agent leflunomide has been proposed for the treatment of patients with PVAN, in addition to the reduction of immunosuppression. Leflunomide is an immunosuppressive agent, however its metabolite A77 1726 exhibits antiviral activity *in vitro*. Among the few studies that have evaluated the role of leflunomide in treating PVAN, three reported results of viral clearance with treatment<sup>[83-85]</sup> with significant decreases in viremia and viruria with leflunomide alone or leflunomide plus cidofovir. Moreover, treatment with leflunomide stabilized or improved renal function in most of the cases.

### Other interventions and retransplantation

Among drugs having evidenced a polyomavirus-inhibitory activity *in vitro*, beside cidofovir and leflunomide, there are certain quinolone antibiotics. Based on the results of a recent study, a 1-mo fluoroquinolone course after transplantation is associated with significantly lower rates of BK viremia at 1 year in comparison to patients with no fluoroquinolone, therefore suggesting the usefulness of these antibiotics at preventing viremia in kidney graft recipients<sup>[86]</sup>. However, these results should be further confirmed by other studies, given the lack of clinical trials.

Amantadine has been used in the treatment of PVAN with poor effect<sup>[33]</sup>.  $\gamma$  globulin has been used in order to augment the immune response, however the real efficacy is unknown<sup>[33]</sup>.

Consideration of retransplantation in the context of PVAN has become an increasingly relevant issue, with some unsolved questions regarding timing of retransplantation, i.e., preemptive *vs* after progression to renal failure. There is only limited experience about this in patients who have lost a previous graft due to PVAN. Retransplantation has been reported in a total of 21 cases<sup>[87-90]</sup>, four of which were performed pre-emptively. All preemptive cases were performed with concomitant graft nephrectomy because of the risk of possible reinfection. Nevertheless, Cooper and colleagues<sup>[90]</sup> reported for the first time a successful preemptive retransplantation for PVAN in a patient without simultaneous graft nephrectomy. This cases evidenced that retransplantation could be pursued without nephrectomy for patients with PVAN provided the absence of viral replication and an active surveillance protocol. The need for close monitoring of viral replication both in the immediate posttransplantation setting (to minimize the risk of development of PVAN) and in the context of graft failure (to indicate proper management and retransplant option) remains critical.

## CONCLUSION

In the past decade, PVAN has emerged as one of the most relevant viral diseases occurring in renal transplantation. Its increasing incidence has underlined the role played by immunosuppression in its pathogenesis. The major determining factors are now recognized as the occurrence of uncontrolled viral replication and the failure of immune surveillance. Therefore, since a preemptive reduction of immunosuppression represents the milestone for the treatment of PVAN, virological and viro-immunological monitoring are necessary and should be performed according to current recommendations. At moment, the reduction of immunosuppression represents the first line of intervention, however clinical controlled trials are required to identify the best therapeutic strategies. A multidisciplinary approach is fundamental to optimize the clinical management of renal transplant recipients and, despite of the relevance of consensus recommendations, these cannot substitute for clinical judgement and individualized care taking into account the different points of view.

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## Where are we at with short bowel syndrome and small bowel transplant?

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### Abstract

Intestinal failure can be defined as the critical reduction of functional gut mass below the minimal amount necessary for adequate digestion and absorption to satisfy body nutrient and fluid requirements in adults or children. Short bowel syndrome (SBS) is characterized by a state of malabsorption following extensive resection of the small bowel. SBS may occur after resection of more than 50% and is certain after resection of more than 70% of the small intestine, or if less than 100 cm of small bowel is left. Several treatment modalities other than total parenteral nutrition, including hormones (recombinant human growth hormone, glucagon-like peptide-2) and tailoring surgeries (Bianchi procedure, serial transverse enteroplasty), had been proposed, however these were either experimental or inefficient. Small bowel transplant is a rather new approach for SBS. The once feared field of solid organ transplantation is nowadays becoming more and more popular, even in developing countries. This is partially secondary to the developments in immunosuppressive strategy. In this regard, alemtuzumab deserves special attention. There are more complex surgeries, such as multivisceral transplantation, for multi-organ involvement including small bowel. This latter technique is relatively new when compared to small bowel transplant, and is performed in certain centers worldwide. In this review,

an attempt is made to give an insight into small bowel syndrome, small bowel transplantation, and related issues.

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**Key words:** Short bowel syndrome; Small bowel transplantation; Nutrition; Immunosuppression

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### INTRODUCTION

Intestinal failure (IF) can be defined as the critical reduction of functional gut mass below the minimal amount necessary for adequate digestion and absorption to satisfy body nutrient and fluid requirements in adults or children. IF itself is a general term used in combination with short bowel syndrome (SBS)<sup>[1]</sup>. SBS is characterized by a state of malabsorption following extensive resection of the small bowel<sup>[2,3]</sup>. There is no exact current data regarding the incidence and prevalence of SBS. Data derived from patients receiving home parenteral nutrition (PN) indicate an incidence of severe SBS of 1-2 cases per 100 000 people per year<sup>[4]</sup>.

Several conditions requiring intestinal resection lead to SBS in adults. In a reported series of 210 cases, these conditions included: 52 postoperative (25%), 51 irradiation/cancer (24%), 46 mesenteric vascular disease (22%), 34 Crohn's disease (16%), and 27 other benign causes (13%)<sup>[5]</sup>.



Causes of IF in children include: SBS, congenital diseases of enterocyte development, and severe motility disorders (total or subtotal aganglionosis or chronic intestinal pseudo-obstruction syndrome) as shown in Table 1<sup>[6]</sup>.

SBS may occur after resection of more than 50% and is certain after resection of more than 70% of the small intestine, or if less than 100 cm of small bowel is left. It is particularly severe after resection of the ileocecal region or if the colon has been additionally removed. Function is not dependent on length alone, since 150 cm of diseased bowel might function worse than 75 cm of healthy intestine. For this reason, some definitions of SBS and IF have been based on measurements of the functional capacity of the remaining bowel. A 48-h nutritional balance study in patients dependent on home total PN (TPN) compared with patients who were not, demonstrated that IF could be predicted by an absorption rate below 1.4 kg/d of wet weight and 84% of the calculated basal metabolic rate (1171 kilocalories/d of energy). It is important to note that nutritional balance studies are very difficult to perform accurately in practice, as they require the analysis of food portions and accurate stool collections<sup>[7-10]</sup>.

After the insult on the gastrointestinal system, intestines show an adaptation process. This intestinal adaptation process in SBS has three phases. The acute phase starts directly after resection and generally lasts less than 4 wk. This serves for the patient's stabilization. The second phase is the adaptation phase, which lasts 1-2 years and represents maximal stimulation of intestinal adaptation achieved by gradually increasing intestinal nutrient exposure. The last phase is the maintenance phase, which requires permanent individualized dietetic treatment<sup>[11]</sup>.

## SURGICAL THERAPY FOR SBS

A small number of patients will acquire intestinal autonomy (i.e., PN weaning) very slowly because of major motility disorders or a small bowel without an ileocecal valve. In such patients, different surgical approaches have been proposed for increasing nutrient and fluid absorption by either slowing intestinal transit or increasing surface area.

Although surgical procedures aimed at slowing intestinal transit have been attempted and extensively reviewed, the clinical results are conflicting. Such procedures include intestinal valves, reversed intestinal segments, colon interposition, and electrical retrograde small bowel stimulation<sup>[12]</sup>.

For selected patients with dilated bowel segments, longitudinal intestinal lengthening and tailoring (Bianchi procedure) was first proposed in 1980. The Bianchi procedure has the advantage of tapering the dilated segment and using the divided intestine to increase total small bowel length. Anatomic criteria have been suggested for patient selection for this procedure: (1) intestinal diameter > 3 cm; (2) length of residual small bowel > 40 cm; and (3) length of dilated bowel > 20 cm. This procedure allows improvement in more than 50% of patients in terms of

**Table 1 Causes of intestinal failure in children**

Atresia	Necrotizing enterocolitis
Midgut volvulus	Arterial thrombosis
Abdominal wall defects	Venous Thrombosis
Gastrochisis	Intussusception
Ompalocele	Inflammatory bowel disease
Hirschsprung's disease	Post traumatic resection

intestinal transit, stool frequency, intestinal absorption rate, weight gain, and PN weaning<sup>[13]</sup>.

The Bianchi procedure does not create any additional surface area for absorption, but has been demonstrated to increase the function of the remnant small bowel. Specific improvements have been shown in fat absorption, carbohydrate absorption, and the slowing of transit time through the intestine in children at 4 centers. Outcome is influenced by age and clinical status, especially liver status, of the patient at the time of surgery. It is yet not recommended to perform the Bianchi procedure in patients with severe liver disease or cirrhosis. However, this procedure may be successfully achieved after isolated liver transplantation for SBS<sup>[14]</sup>.

A new procedure called serial transverse enteroplasty (STEP) was introduced in 2003 for infants and children with SBS. Experience with this procedure still remains too limited to make any confident recommendation<sup>[15,16]</sup>.

A study comparing the outcomes of Bianchi type longitudinal lengthening to STEP lengthening stated that surgical lengthening with both Bianchi and STEP procedures results in an improvement in enteral nutrition, reverses complications of TPN, and avoids intestinal transplantation (ITx) in the majority, with few surgical complications. ITx can salvage most patients who later develop life-threatening complications or fail to wean TPN.

Surgical lengthening may therefore be useful in selected patients without complications of portal hypertension as a bridge to ITx, primarily in the youngest and jaundiced infants who are below 8 or 10 kg in body weight and unlikely to find an appropriate organ donor. Patients with advanced liver disease are poor candidates for lengthening and should instead be referred for ITx<sup>[17]</sup>.

## HORMONAL THERAPY FOR SBS

Recombinant human growth hormone (rhGH) was used in adult patients with SBS in both open and randomized clinical trials<sup>[18]</sup>. Scolapio *et al.*<sup>[19]</sup> did not show benefits from the use of rhGH in short bowel adult patients, whereas Seguy *et al.*<sup>[20]</sup> recently showed a significant improvement of the absorption rates, with a decrease in PN requirements of adult patients with SBS. In another study, growth hormone administration (0.5 IU/kg per day or 0.024 mg/kg per day) alone for 8 wk had no effect on the absorptive capacity of energy, protein, or fluid in 10 patients<sup>[21]</sup>. The use of rhGH treatment in adults remains controversial, whereas the incidence rate of secondary ef-



fects is high. To date, few studies have been reported in children with SBS<sup>[22-24]</sup>. An open-label clinical trial was performed in infants who received 0.3 IU/kg per day rhGH for a 10 d period of treatment<sup>[22]</sup>. A significant weight gain during treatment was reported, whereas no information was given about PN weaning. An open-label trial involving 8 PN-dependent children with neonatal SBS receiving > 50% of their protein energy requirements from PN was also performed<sup>[23]</sup>. They received 0.6 IU/kg per day rhGH for 3 mo. All were weaned from PN during the treatment period. However, only 2 children remained free of PN 1 year later. More recently, PN-dependent children with neonatal SBS received 0.14 mg/kg per day and glutamine for 3 mo<sup>[24]</sup>. Preliminary results suggested a beneficial effect of rhGH by decreasing the need for PN, but with mild effects on body composition and gut mucosa. More prolonged, and perhaps earlier, use of rhGH in SBS infants or children might be helpful for future management.

Glucagon-like peptide-2 (GLP-2), a 33 amino acid peptide-encoded carboxy-terminal to the sequence of GLP-1 in the proglucagon gene, is produced by L cells in the ileum in response to luminal nutrients<sup>[25]</sup>. The effect of GLP-2 on gastrointestinal function was assessed in patients without a terminal ileum or colon who had functional SBS with severe malabsorption and no postprandial secretion of GLP-2<sup>[26]</sup>. Balance studies were performed before and after treatment with GLP-2; 400 µg subcutaneously twice a day for 35 d. Treatment with GLP-2 improved the intestinal absorption of energy and increased body weight. Thus, GLP-2 improves intestinal absorption and nutritional status in short-bowel patients with impaired postprandial GLP-2 secretion in whom the terminal ileum and the colon have been resected, based on the hypothesis that distal small bowel and caecal resection would decrease GLP-2 levels and reduce adaptation<sup>[27]</sup>. GLP-2 might be the most logical medical approach for early management of short bowel patients, especially those with ileal resection. Genetically engineered GLP-2 analogs should be commercially available in the near future for clinical use.

## ENTERAL NUTRITION IN SBS

Enteral nutrition is the most significant single factor in promoting intestinal adaptation, and may play a part in reducing the frequency of IF-associated liver disease. Detailed evidence on the management of SBS has recently been published<sup>[28]</sup>. Breast milk may be the best choice in the first few months, because of the presence of trophic factors such as epidermal growth factor. Amino acid based formulas may be beneficial in weaning children from PN, perhaps due to a smaller antigenic load<sup>[29]</sup>.

Continuous nasogastric (NG) feeding initially, followed by overnight NG feeding and bolus feeding during the day, is recommended in order to utilize existing small bowel function and encourage oral feeding. Maintaining a urinary sodium/potassium ratio of at least 2:1 with an absolute urinary sodium concentration of over

10-20 mmol/L is important in children with ongoing fluid and electrolyte losses<sup>[30]</sup>.

Currently, insufficient evidence exists in the literature to support the routine use of pectin, glutamine, growth hormone, insulin like growth factor 1, or *Saccharomyces boulardii* as trophic factors in the process of adaptation<sup>[31]</sup>.

## PN IN SBS

The North American Home Parenteral and Enteral Nutrition patient registry indicates a 4-year survival on a home PN of 80% for SBS patients and 70% for motility disorders<sup>[32]</sup>. The quality of life (QOL) of home PN patients of all ages is reported to not be significantly different from the scores in a reference population of healthy children and adolescents<sup>[33,34]</sup>. The main complications commonly associated with long term use of PN are: (1) Central venous catheter (CVC) related infections; (2) Thrombosis of the vessels leading to impaired venous access; and (3) IF-associated liver disease.

Episodes of line infection can cause a greater than 30% rise in bilirubin level, and cholestasis may develop in 90% of infants after first line infection<sup>[35,36]</sup>. The reduction in the overall incidence of CVC infection is crucial to sustained good health. Failure to prevent CVC infection greatly contributes to progression of liver disease. Involvement of a multidisciplinary nutritional care team and early discharge on home PN has been shown to reduce the incidence of CVC infection<sup>[37,38]</sup>.

The repeated episodes of line infections with multiple surgical procedures to remove and replace new catheters may predispose to thrombosis of the major vessels, leading to impaired venous access (defined as the loss of two vascular sites in the neck to thrombosis)<sup>[39,40]</sup>. Despite meticulous care and aggressive strategies to prevent line infections, some children may develop end stage loss of venous access and need referral for ITx<sup>[41]</sup>.

Pulmonary thromboembolism is another potentially fatal complication of long term venous access, occurring in 39% of children<sup>[42]</sup>. In asymptomatic children, yearly echocardiography and ventilation-perfusion scanning are recommended, unless there is clinical suspicion or the child is exhibiting symptoms suggestive of pulmonary embolism.

TPN failure was defined by Medicare as any one of the following: (1) impending or overt liver failure (jaundice, elevated liver enzymes, cirrhosis, portal hypertension); (2) thrombosis of central veins (at least two); (3) frequent central-line sepsis (more than two per year, fungemia, shock, acute respiratory distress syndrome); and (4) frequent severe dehydration. Prospective analyses of home TPN patients have shown that an ultra-short bowel of less than 20-30 cm is associated with a high risk of liver failure and poor survival in children and adults. Similarly, infants with total intestinal agangliosis or microvillus inclusion disease have low life expectancy. Transplantation in this situation has been termed "pre-emptive", and is being increasingly applied in the major

centers. “Preemptive” indications are (1) the high risk of death attributable to the underlying disease resulting from desmoid tumors associated with familial adenomatous polyposis; (2) congenital mucosal disorders such as microvillous inclusion disease; and (3) ultra-SBS with residual small intestine < 10 cm in infants and < 20 cm in adults<sup>[43]</sup>.

## SMALL BOWEL TRANSPLANTATION

The successful emergence of small bowel transplantation as a curative alternative has provided many patients with bowel failure to have an improved QOL, better nutrition, and a reduction in PN-associated complications. Since the initial small bowel transplants first performed in the 1980s<sup>[44,45]</sup>, there have been technical improvements, novel immunosuppressive agents, better understanding of immune and gastrointestinal physiology, and increased clinical program experience. All of these factors have contributed to a remarkable improvement in bowel transplant, 1-year graft, and patient survival (estimated 80% and 80%, respectively), compared with only several years ago<sup>[46-48]</sup>.

The spectrum of underlying diseases causing SBS in patients who have been transplanted is extensive and variable between pediatric and adult populations (Table 2). Generally, nonmalignant conditions are the norm for recipients, although occasional tumors such as desmoids<sup>[49]</sup> have been successfully treated with ITx.

Contraindications to small bowel transplantation include non-resectable or disseminated malignancy, unreconstructable vascular anatomy, diseases that are likely to recur after transplantation, profound disabilities that will not be corrected by transplantation, a loss of vascular access sufficient to allow transplantation, or an inability or unwillingness to comply with the post-transplant management plan (Table 3)<sup>[50]</sup>.

Transplantation of the intestine can be performed as an isolated graft or in combination with other abdominal organs, since patients with IF often experience other complex abdominal pathologies that require organ replacement. As a result, there have been several variants of intestinal transplants, all derivatives of the “cluster” concept originally proposed by Starzl *et al*<sup>[51]</sup>.

Isolated ITx is transplantation of the small intestine with or without the large intestine, and is more commonly performed in adults, whereas combined liver-intestinal transplant (LITx), performed *en bloc* or separately, is more commonly performed in children. The latter scenario occurs when there is concomitant liver failure (typically PN induced). With ITx, the entire jejunum and ileum is transplanted in the majority of cases and, when taken from a living donor and in cases in which reduction of the size of the graft is required, a 200-cm segment<sup>[52]</sup> is usually transplanted. In this regard, it is important to match size because of the need for closure of the abdomen. There is maintenance of as much native bowel as possible, particularly with recent data suggesting that increased

**Table 2** Indications for bowel transplantation in children and adults

Children	Adults
Gastroschisis	Ischemia
Volvulus	Crohn's disease
Necrotizing enterocolitis	Trauma
Pseudoobstruction	Volvulus
Intestinal atresia	Motility disorders
Aganglionosis/Hirschsprung	Desmoids
Retransplant	Retransplant
Microvillous inclusion	Miscellaneous
Malabsorption	Gardner's syndrome
Tumors	

**Table 3** Contraindications to small bowel transplant

Absolute contraindications
Neurological disabilities
Life threatening disease unrelated to the digestive system
Non-resectable malignancy
Relative contraindications
Severe immunological deficiencies
Multi-system autoimmune diseases
Inadequate vascular anatomy to warrant long term patency
Prematurity with lung disease

residual or allograft bowel provides some protection from PN-associated injury. This is particularly relevant because there may be some supplementation of transplanted patients with PN for a period of time. When ITx is performed *en bloc*, the duodenum with a segment (or the entire pancreas) (Omaha technique) may be included to avoid the need for biliary reconstruction. Upper gastrointestinal continuity is maintained through the native stomach and pancreaticoduodenal complex, which are retained. In LITx, intestinal transplant is combined with the liver. These organs are transplanted *en bloc* or separately. When the liver and intestine are transplanted separately, the two organs can be transplanted at the same session or sequentially from the same or a different donor. The great majority of the donors for these two forms of ITx are from cadaveric donors, although living donors are also an option<sup>[53]</sup>.

Multivisceral transplantation (MVTx) is the removal and replacement of both native foregut and midgut<sup>[54]</sup>, in which the native abdominal viscera are resected and the composite graft, which includes the stomach, pancreaticoduodenal complex, and small intestine, are transplanted *en bloc* and form the new gastrointestinal tract. The liver, kidneys, and large intestine of the donor may or may not be included depending on the clinical scenario. Removal of the native organs is facilitated by early dearterialization, achieved by mass clamping of the celiac and superior mesenteric arteries. This can be achieved through a cephalad approach after division of the esophagus or proximal stomach, or a caudal approach between the inferior surface of the pancreas and left renal vein. Since 2000, the use of MVTx is increasing, and despite the fact

that the donors for MVTx are exclusively cadaveric, the 1-year graft and patient survival is at least as good as the other forms of ITx. As of mid-2005, an isolated intestinal graft has been performed in 44% of cases, an intestine transplant in combination with the liver in 38%, and a multivisceral transplant in 18%<sup>[55]</sup>.

The decision to use one form of ITx *vs* another is typically determined by the individual patient's particular needs (type of underlying disorder, surgical history of the patient, type, and size of the donor). The emergence of promising data suggesting improved survival data and long-term sequelae, as well as possible immunologic advantage for MVTx, is allowing the clinical team more options as it determines which form of transplantation should be recommended.

## OUTCOMES OF LIVING DONOR ITx

The technical aspects of living donor intestinal transplantation (LDIT) were standardized by Gruessner *et al*<sup>[56]</sup> in 1997. The donor operation consists of harvesting 200 cm (150 cm for pediatric recipients) of distal ileum, preserving at least 20 cm of terminal ileum and ileocecal valve. The vascular pedicle of the graft is formed by the distal branches of the superior mesenteric artery and vein, and is anastomosed to the infrarenal aorta and cava of the recipient. LDIT has several potential advantages, such as elimination of waiting time, the elective nature of the procedure, better human leukocyte antigen (HLA) matching, and a short cold ischemia time. LDIT tends to be performed with well HLA-matched grafts. The significance of HLA matching in ITx is still to be determined. In fact, experienced programs have obtained good outcomes and low rates of rejection with poorly-matched deceased ITx<sup>[57,58]</sup>. A significant risk of antibody-mediated graft injury in settings of positive cross-match has been demonstrated<sup>[59]</sup>.

In normal physiologic conditions, a significant amount of the energy produced in the enterocytes is used to maintain the integrity of the mucosa. Obviously, during period of ischemia, decreased energy production will affect the mucosal resistance, leading to an increased chance for bacterial translocation and septic complications in the post-transplant period<sup>[60,61]</sup>. The direct correlation between the duration of ischemia and the degree of mucosal injury is well known<sup>[62]</sup>. As shown in animal models, the process of mucosal damage starts even before organ harvesting, during the brain-dead state<sup>[63]</sup>. Irreversible damage has been seen after 5 h of cold ischemia and the rate of bacterial translocation increases significantly after 9 h<sup>[60]</sup>. A significant reduction of ischemia time has been achieved in the settings of LDIT.

## NON-HEART BEATING DONOR INTESTINAL TRANSPLANT

Intestinal mucosa is sensitive to ischemic injury. When the intestinal graft is harvested from non-heart beating

donors (NHBDs), the infectious-related mortality was higher and the absorptive function lower. Histological examination confirmed a higher grade of ischemic injury in the NHBD grafts that correlated with the clinical data. An experimental study suggested that non-heart-beating donation may not be indicated for small bowel transplantation<sup>[64]</sup>.

## IMMUNOSUPPRESSION IN ITx

Many therapies and combinations of immunosuppression (IS) have been used for ITx, but what remain undefined are the optimal IS regimens to achieve the required goals while preserving graft function and not predisposing the recipient to increased infections or malignancy.

Tacrolimus is a drug that allowed the development of a consistently successful intestinal transplant series and, to date, is the maintenance IS drug of choice<sup>[65]</sup>. One of the most significant changes to occur with ITx is the near ubiquitous use of induction IS therapy, with an estimated 90% of cases now using this as part of the overall regimen. The most common induction IS agent is anti-IL2-receptor antibody therapy followed by anti-lymphocyte globulin and Campath-1<sup>[66,67]</sup>. Their use has been associated with a reduction in the incidence and severity of rejection episodes, and an improvement of survival results, which have allowed maintenance with lower levels of tacrolimus. This latter issue has become important because there is now increasing evidence of calcineurin-inhibitor toxicities in patients receiving non-renal transplants<sup>[68]</sup>. Conversion to non-calcineurin-inhibitor drugs (such as rapamycin), use of steroid-sparing protocols, and a determination as to which IS therapy best maintains graft acceptance still need explanation.

## COMPLICATIONS OF ITx

Besides general complications seen in small bowel surgeries (like anastomotic leaks), several common complications are worth mentioning in small bowel transplantation.

### Acute cellular rejection

The diagnosis of intestinal acute cellular rejection (ACR) requires close correlation of clinical, endoscopic, and pathologic findings. The clinical symptoms of intestinal ACR include fever, nausea, vomiting, increased stomal output, abdominal pain, and distension. In severe cases, acute rejection may manifest as septic shock, with metabolic acidosis, hypotension, and adult respiratory distress syndrome, which likely results from loss of mucosal integrity and bacterial translocation across the intestinal wall.

The endoscopic appearances of intestinal ACR range from edema and hyperemia in mild cases, to granularity, loss of the fine mucosal vascular pattern, diminished peristalsis, and mucosal ulceration in more severe cases. The final diagnosis depends on histologic analysis of

**Table 4** Histological characteristics of acute rejection of intestinal graft

Mild	> 6 apoptotic bodies/10 crypts, no mucosal ulceration, mild epithelial injury
Moderate	Diffuse crypt epithelial injury, focal confluent apoptosis, intimal arteritis
Severe	Mucosal ulceration, transmural arteritis

endoscopy-guided mucosal biopsy specimens. A grading system was used to retrospectively evaluate 3268 small bowel allograft biopsies from 52 adult patients who underwent ITx between 1990 and 1999 at the Thomas E Starzl Transplant Institute, University of Pittsburgh Medical Center (Table 4).

The results demonstrated that a grade indicating a more severe rejection episode was associated with a greater probability of an unfavorable outcome. Significantly increased levels of eosinophils with coexistent activated lymphocytes and crypt apoptosis suggest acute rejection. Peyer's patches are commonly sampled in mucosal biopsies, especially from the ileum. Although localized Peyer's patches without significant lymphoid activation do not indicate acute rejection, Peyer's patches with lymphoid activation (characterized by lymphoid cells with open chromatin, diffuse infiltration into the surrounding mucosa, or mixtures with eosinophils and neutrophils) are frequently associated with acute rejection.

The significance of lymphocytic cryptitis (increased numbers of lymphocytes in the crypt epithelium) is unclear. Although cryptitis is present in some cases of acute rejection, it is also observed in biopsy tissues without ACR. Because the distribution of acute rejection may be patchy, multiple biopsies (three to five) are often required. Biopsies from either the ileum or the jejunum are sufficient for histologic evaluation in most cases, although sampling from both the ileum and the jejunum may be required in some cases with ambiguous diagnoses. Most of the histologically diagnosed mild-acute rejection episodes are treated with increased IS. Various pathologic conditions must be differentiated from acute rejection, the most common of which include: nonspecific enteritis, cytomegalovirus infection, Epstein-Barr virus (EBV) infection, and post-transplant lymphoproliferative disorder (PTLD)<sup>[69]</sup>.

### Graft vs host disease

Graft vs host disease (GVHD) has the highest occurrence after small intestine transplantation (5.6%)<sup>[70]</sup>, followed by liver transplantation (1%-2%)<sup>[71,72]</sup>, with the mortality rate of solid organ transplant-associated acute GVHD ranging from 30% to more than 75%<sup>[73-75]</sup>. The amount of lymphoid tissue in the small bowel is much higher compared with other solid organ transplants, and this may explain the fact that the rate of GVHD in the recipients of small bowel transplants is increased (5.6%)<sup>[70]</sup>. Therapy consists mainly of increasing IS, support of hematopoiesis with cytokines, and discontinuation of antibiotics or

any drugs that might be myelosuppressive. However, it is difficult to determine whether this is effective, as mortality normally exceeds more than 75%. Approximately 86 cases have been reported in the literature since 1987, and among them only 18 patients survived<sup>[74]</sup>. In 13 of the survivors, IS had been increased, while in 5 other cases, IS had been withdrawn. It could be argued that reducing IS and allowing the patient's immune system to have the opportunity to reject the engrafting donor lymphocytes, as well as helping the patient to respond to infections, could be an effective method of treatment<sup>[75-77]</sup>. Any treatment is more likely to work if it is begun before the onset of severe pancytopenia.

### PTLD

The vast majority of PTLDs are EBV driven and arise either as a consequence of the reactivation of latent infection or, more commonly, infection of the host by latent virus from donor B cells<sup>[78]</sup>. The particularly high incidence of PTLD reported after ITx is a consequence of the high levels of IS traditionally used to prevent GVHD and rejection, along with the fact that a large load of donor lymphocytes is transplanted with the graft<sup>[79]</sup>. The gastrointestinal tract is frequently affected and it is important to distinguish between PTLD and other causes of graft infiltration, including rejection. *In situ* hybridization of tumor tissue for EBV RNA is a quick and sensitive way of confirming the diagnosis. It is crucial to distinguish PTLD from rejection because many patients will respond to a reduction in IS alone. If this fails, second-line treatment includes antivirals, chemotherapy, or interferon- $\alpha$ .

Experimental treatment using adoptive immunotherapy with donor leukocytes or specific anti-EBV specific cytotoxic T lymphocytes may be effective in aggressive cases.

## CONCLUSION

Despite advances in medicine and surgery, SBS still remains a burden on the healthcare system and the economy. Along with novel medical therapies, various surgical techniques had been developed to overcome the consequences of a short bowel. Some of these approaches are still experimental, and the rest have limited success.

This limited success lead to the invention of ITx, which further branched into living donor ITx and MVTx. With the help of novel immunosuppressive regimens, the outcomes of ITx improved. The once feared field of solid organ transplantation is nowadays becoming more and more popular, even in developing countries, in the form of living donor transplantation.

In developing countries the cost of maintenance therapies for SBS and transferring patients for further treatment to developed countries far exceeds the cost of ITx performed on site. Thus ITx must be encouraged and take its place in abdominal organ transplantation departments worldwide.



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## Events Calendar 2012

January 29 - 31, 2012

2nd Joint AIDPIT and EPITA

Winter Symposium & 31st AIDPIT

Workshop

Innsbruck, Austria

February 1 - 5, 2012

2012 BMT Tandem Meetings

American Society for Blood and

Marrow Transplantation

Manchester Grand Hyatt,

San Diego, CA, United States

February 22 - 24, 2012

British Transplantation Society 15th

Annual Congress

Glasgow, Scotland

February 23 - 25, 2012

2012 Canadian Society of

Transplantation Annual Scientific

Conference

Fairmont Château Frontenac,

Québec, Canada

March 8 - 10, 2012

3rd International Conference on

Transplantomics and Biomarkers in

Organ Transplantation

La Jolla/San Diego,

CA, United States

April 18 - 21, 2012

The International Society for Heart

and Lung Transplantation (ISHLT),

32nd Annual Meeting and Scientific

Sessions

Prague, Czech Republic

April 25 - 27, 2012

United Network for Organ

Sharing's 20th Annual Transplant

Management Forum

Wyndham Rio Mar Beach Resort,

Puerto Rico

June 2 - 6, 2012

2012 American Transplant Congress

John B. Hynes Convention Center,

Boston, MA, United States

July 15 - 19, 2011

24th International Congress of the

Transplantation Society

Berlin, Germany

September 13 - 15, 2012

ELITA - LICAGE LIVER MEETING

and 4th ELITA Split-Liver Course

Ghent, Belgium

September 29 - 30, 2012

Advances in nephrology, dialysis,

Kidney Transplantation

Odessa, Ukraine

October 5 - 7, 2012

V Congress of Transplantologists

Kharkiv, Ukraine

October 5 - 7, 2012

2012 European Organ Donation

Congress, 24th ETCO-EDC

Dubrovnik, Croatia

October 12 - 14, 2012

ESOT and AST Joint Meeting -

Transformational therapies and

diagnostics in transplantation

Nice, France

November 2 - 4, 2012

5th ELPAT Invitational Working

Groups Meeting

Sicily, Italy

## GENERAL INFORMATION

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**Acknowledgments**

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## Format

## Journals

English journal article (list all authors and include the PMID where applicable)

- 1 **Jung EM**, Clevert DA, Schreyer AG, Schmitt S, Rennert J, Kubale R, Feuerbach S, Jung F. Evaluation of quantitative contrast harmonic imaging to assess malignancy of liver tumors: A prospective controlled two-center study. *World J Gastroenterol* 2007; **13**: 6356-6364 [PMID: 18081224 DOI: 10.3748/wjg.13.6356]

Chinese journal article (list all authors and include the PMID where applicable)

- 2 **Lin GZ**, Wang XZ, Wang P, Lin J, Yang FD. Immunologic effect of Jianpi Yishen decoction in treatment of Pixu-diarhoea. *Shijie Huaren Xiaohua Zazhi* 1999; **7**: 285-287

In press

- 3 **Tian D**, Araki H, Stahl E, Bergelson J, Kreitman M. Signature of balancing selection in Arabidopsis. *Proc Natl Acad Sci USA* 2006; In press

Organization as author

- 4 **Diabetes Prevention Program Research Group**. Hypertension, insulin, and proinsulin in participants with impaired glucose tolerance. *Hypertension* 2002; **40**: 679-686 [PMID: 12411462 PMID: 2516377 DOI: 10.1161/01.HYP.0000035706.28494.09]

Both personal authors and an organization as author

- 5 **Vallancien G**, Emberton M, Harving N, van Moorselaar RJ; Alf-One Study Group. Sexual dysfunction in 1, 274 European men suffering from lower urinary tract symptoms. *J Urol* 2003; **169**: 2257-2261 [PMID: 12771764 DOI: 10.1097/01.ju.0000067940.76090.73]

No author given

- 6 21st century heart solution may have a sting in the tail. *BMJ* 2002; **325**: 184 [PMID: 12142303 DOI: 10.1136/bmj.325.7357.184]

Volume with supplement

- 7 **Geraud G**, Spierings EL, Keywood C. Tolerability and safety of frovatriptan with short- and long-term use for treatment of migraine and in comparison with sumatriptan. *Headache* 2002; **42** Suppl 2: S93-99 [PMID: 12028325 DOI: 10.1046/j.1526-4610.42.s2.7.x]

Issue with no volume

- 8 **Banit DM**, Kaufer H, Hartford JM. Intraoperative frozen section analysis in revision total joint arthroplasty. *Clin Orthop Relat Res* 2002; **(401)**: 230-238 [PMID: 12151900 DOI: 10.1097/00003086-200208000-00026]

No volume or issue

- 9 Outreach: Bringing HIV-positive individuals into care. *HRSA Careaction* 2002; 1-6 [PMID: 12154804]

## Books

Personal author(s)

- 10 **Sherlock S**, Dooley J. Diseases of the liver and biliary system. 9th ed. Oxford: Blackwell Sci Pub, 1993: 258-296

Chapter in a book (list all authors)

- 11 **Lam SK**. Academic investigator's perspectives of medical treatment for peptic ulcer. In: Swabb EA, Azabo S. Ulcer disease: investigation and basis for therapy. New York: Marcel Dekker, 1991: 431-450

Author(s) and editor(s)

- 12 **Breedlove GK**, Schorfheide AM. Adolescent pregnancy. 2nd ed. Wiczorek RR, editor. White Plains (NY): March of Dimes Education Services, 2001: 20-34

Conference proceedings

- 13 **Harnden P**, Joffe JK, Jones WG, editors. Germ cell tumours V. Proceedings of the 5th Germ cell tumours Conference; 2001 Sep 13-15; Leeds, UK. New York: Springer, 2002: 30-56

Conference paper

- 14 **Christensen S**, Oppacher F. An analysis of Koza's computational effort statistic for genetic programming. In: Foster JA, Lutton E, Miller J, Ryan C, Tettamanzi AG, editors. Genetic programming. EuroGP 2002: Proceedings of the 5th European Conference on Genetic Programming; 2002 Apr 3-5; Kinsdale, Ireland. Berlin: Springer, 2002: 182-191

Electronic journal (list all authors)

- 15 Morse SS. Factors in the emergence of infectious diseases. *Emerg Infect Dis* serial online, 1995-01-03, cited 1996-06-05; 1(1): 24 screens. Available from: URL: <http://www.cdc.gov/ncidod/eid/index.htm>

Patent (list all authors)

- 16 **Pagedas AC**, inventor; Ancel Surgical R&D Inc., assignee. Flexible endoscopic grasping and cutting device and positioning tool assembly. United States patent US 20020103498. 2002 Aug 1

## Statistical data

Write as mean  $\pm$  SD or mean  $\pm$  SE.

## Statistical expression

Express *t* test as *t* (in italics), *F* test as *F* (in italics), chi square test as  $\chi^2$  (in Greek), related coefficient as *r* (in italics), degree of freedom as *v* (in Greek), sample number as *n* (in italics), and probability as *P* (in italics).

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Use SI units. For example: body mass, *m* (B) = 78 kg; blood pressure, *p* (B) = 16.2/12.3 kPa; incubation time, *t* (incubation) = 96 h; blood glucose concentration, *c* (glucose) 6.4  $\pm$  2.1 mmol/L; blood CEA mass concentration, *p* (CEA) = 8.6 24.5  $\mu$ g/L; CO<sub>2</sub> volume fraction, 50 mL/L CO<sub>2</sub>, not 5% CO<sub>2</sub>; likewise for 40 g/L formaldehyde, not 10% formalin; and mass fraction, 8 ng/g, etc. Arabic numerals such as 23, 243, 641 should be read 23 243 641.



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### Abbreviations

Standard abbreviations should be defined in the abstract and on first mention in the text. In general, terms should not be abbreviated unless they are used repeatedly and the abbreviation is helpful to the reader. Permissible abbreviations are listed in Units, Symbols and Abbreviations: A Guide for Biological and Medical Editors and Authors (Ed. Baron DN, 1988) published by The Royal Society of Medicine, London. Certain commonly used abbreviations, such as DNA, RNA, HIV, LD50, PCR, HBV, ECG, WBC, RBC, CT, ESR, CSF, IgG, ELISA, PBS, ATP, EDTA, mAb, can be used directly without further explanation.

### Italics

Quantities: *t* time or temperature, *c* concentration, *A* area, *l* length, *m* mass, *V* volume.

Genotypes: *gyrA*, *arg 1*, *c myc*, *c fos*, etc.

Restriction enzymes: *EcoRI*, *HindI*, *BamHI*, *Kho I*, *Kpn I*, etc.

Biology: *H. pylori*, *E. coli*, etc.

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