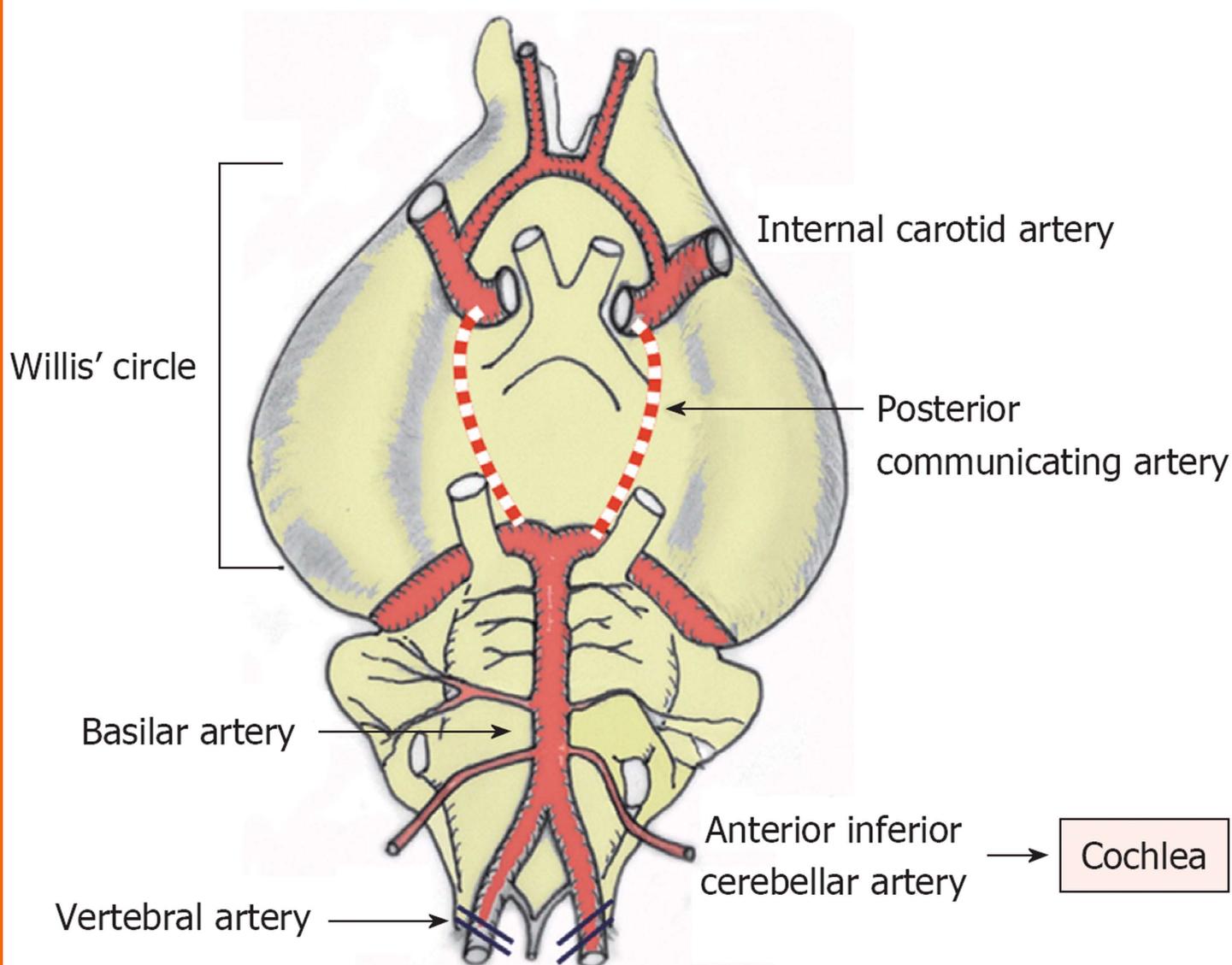


# World Journal of *Otorhinolaryngology*

World J Otorhinolaryngol 2013 February 28; 3(1): 1-25



## Editorial Board

2011-2015

The *World Journal of Otorhinolaryngology* Editorial Board consists of 159 members, representing a team of worldwide experts in otorhinolaryngology. They are from 29 countries, including Australia (1), Austria (2), Belgium (5), Brazil (2), China (9), Colombia (1), Czech Republic (1), Denmark (1), Egypt (6), Germany (8), Greece (8), Hungary (1), India (12), Iran (4), Israel (6), Italy (14), Japan (7), New Zealand (1), Nigeria (1), Norway (1), Poland (1), Singapore (2), South Korea (4), Spain (3), Sweden (1), Switzerland (1), Turkey (15), United Kingdom (4), and United States (37).

### EDITOR-IN-CHIEF

Tsutomu Nakashima, *Nagoya*  
Steven J Wang, *San Francisco*

### GUEST EDITORIAL BOARD MEMBERS

Mu-Kuan Chen, *Changhua*  
Sheng Hwa Chen, *Taipei*  
Tuan-Jen Fang, *Keelung*  
Chao-Cheng Huang, *Kaohsiung*  
Hsin-Ching Lin, *Kaohsiung*

### MEMBERS OF THE EDITORIAL BOARD



#### Australia

Anne Elizabeth Vertigan, *Newcastle*



#### Austria

Christoph Arnoldner, *Vienna*  
Dietmar Thurnher, *Vienna*



#### Belgium

Philippe Henri Dejonckere, *Brussels*  
Joris Joris Dirckx, *Antwerp*  
Amr Essam El-Shazly, *Liege*  
Philippe Rombaux, *Brussels*  
Robby Vanspauwen, *Antwerp*



#### Brazil

Maria Cristina Chammas, *São Paulo*  
Etiene de Andrade Munhoz, *Porto Alegre*



#### China

Anna Chishan Kam, *Hong Kong*  
Hua-Bin Li, *Guangzhou*  
Jian-Chun Liao, *Shanghai*  
Zheng Liu, *Wuhan*



#### Colombia

Luis M Ramirez Aristeguieta, *Medellin*



#### Czech Republic

Jan Vodicka, *Pardubice*



#### Denmark

Jesper Dammeyer, *Copenhagen*



#### Egypt

Tarek Abdelhameed Abulezz, *Sohag*  
Omar A El-Banhawy, *El-Dakahlia*  
Sherifa Ahmed Hamed, *Assiut*  
Emad Ahmed Magdy, *Alexandria*  
Badr Eldin Mostafa, *Cairo*  
Sameh Ibrahim Sersar, *Mansoura*



#### Germany

Andreas Bahmer, *Frankfurt am Main*  
Carsten Christof Boedeker, *Freiburg*

Raphael Richard Ciunan, *Gelsenkirchen*  
Jessica Freiherr, *Aachen*  
Haralampos Gouveris, *Mainz*  
Markus Hambek, *Frankfurt*  
Hamidreza Mojallal, *Hannover*  
Leif Erik Walther, *Sulzbach*



#### Greece

Anna Eleftheriadou, *Rethymnon*  
Tsakiropoulou Evangelia, *Thessaloniki*  
G Michael-Minas Fragkiadakis, *Heraklion*  
Vasiliki Vivian Iliadou, *Thessaloniki*  
Alexander Dimitrios Karatzanis, *Heraklion*  
George I Noussios, *Serres*  
Theodossis S Papavramidis, *Thessaloniki*  
Maria George Riga, *Alexandroupolis*



#### Hungary

László Robert Rovó, *Szeged*



#### India

Prakash Singh Bisen, *Jhansi*  
Muthuswamy Dhiwakar, *Coimbatore*  
Prahald Duggal, *Amritsar*  
Bulbul Gupta, *Delhi*  
Ajith Kumar U, *Mysore*  
Satish Nair, *Delhi Cantt*  
Vijaya Kumar Narne, *Mysore*  
Ravi Chandran Nayar, *Bangalore*  
Ashwani Sethi, *New Delhi*  
Ashok Kumar Sinha, *Kolkata*  
Alok Thakar, *New Delhi*  
Jagdeep S Thakur, *Shimla*

**Iran**

Fatemeh Hassannia, *Tehran*  
 Mohsen Naraghi, *Tehran*  
 Mehrdad Nooranipour, *Tehran*  
 Mohammad Sadeghi, *Tehran*

**Israel**

Itzhak Braverman, *Hadera*  
 Haim Gavriel, *Zerifin*  
 Menachem Gross, *Jerusalem*  
 Avi Hefetz Khafif, *Ramat-Hasharon*  
 Daniel M Kaplan Mha, *Omer*  
 Michael Vaiman, *Bat Yam*

**Italy**

Marco Berlucchi, *Brescia*  
 Giovanni Blandino, *Rome*  
 Francesco Bussu, *Rome*  
 Giuseppe Caruso, *Siena*  
 Alessandro De Stefano, *Taranto*  
 Alberto Deganello, *Florence*  
 Francesco Dispenza, *Palermo*  
 Alfio Ferlito, *Udine*  
 Alessandro Franchi, *Florence*  
 Paolo Gasparini, *Trieste*  
 Dario Gregori, *Padova*  
 Stavros D Hatzopoulos, *Ferrara*  
 Gino Marioni, *Padova*  
 Giacomo Pata, *Brescia*

**Japan**

Arata Horii, *Osaka*  
 Sho Kanzaki, *Tokyo*  
 Nejat Mahdieh, *Shizuoka*  
 Nobuhiko Oridate, *Sapporo*  
 Akihiro Shiotani, *Saitama*  
 Keiji Tabuchi, *Tsukuba*

**New Zealand**

Srdjan Vlajkovic, *Auckland*

**Nigeria**

Bolajoko O Olusanya, *Lagos*

**Norway**

Vinay Swarnalatha Nagaraj, *Trondheim*

**Poland**

W Wiktor Jedrzejczak, *Warsaw*

**Singapore**

Gopalakrishna Iyer, *Singapore*  
 De-Yun Wang, *Singapore*

**South Korea**

Yong Ju Jang, *Seoul*  
 Han Su Kim, *Seoul*  
 Sang Hag Lee, *Seoul*  
 Raekil Park, *Iksan*

**Spain**

Mario A Hermsen, *Oviedo*  
 Adolfo Toledano Muñoz, *Alcorcón*  
 Enrique Zapater-Latorre, *Valencia*

**Sweden**

Zhe Jin, *Uppsala*

**Switzerland**

Thomas Nicola Roth, *Zurich*

**Turkey**

Atilla Arslanoglu, *Ankara*  
 Murat Caloglu, *Edirne*  
 Ali Coskun, *Izmir*  
 Alper Nabi Erkan, *Adana*  
 Muhammed Fatih Evcimik, *Istanbul*  
 Mustafa Gul, *Kahramanmaras*  
 Mehmet Gunduz, *Ankara*  
 Samet Vasfi Kuvat, *Istanbul*  
 Nuray Bayar Muluk, *Ankara*

Nesrin Bozdogan Ozyilkan, *Adana*  
 Murat Songu, *Izmir*  
 Rauf Tahamiler, *Istanbul*  
 Murat Unal, *Mersin*  
 Sidika Deniz Micozkadioglu Yalim, *Adana*  
 Yavuz Selim Yildirim, *Istanbul*

**United Kingdom**

Ruth Epstein, *London*  
 Ahmed Eweiss, *Gloucester*  
 Jonathan Charles Hobson, *Manchester*  
 Petros V Vlastarakos, *Stevenage*

**United States**

Ahmed Kamel Abdel Aal, *Birmingham*  
 Thomas Jay Balkany, *Miami*  
 Samuel S Becker, *Sewell*  
 Annie W Chan, *Boston*  
 Rakesh Kumar Chandra, *Chicago*  
 Allen M Chen, *Sacramento*  
 Nipun Chhabra, *Cleveland*  
 Donald E Coling, *Buffalo*  
 Didier A Depireux, *College Park*  
 Dalian Ding, *New York*  
 Richard L Doty, *Philadelphia*  
 James Paul Dworkin, *Detroit*  
 Ivan H El-Sayed, *San Francisco*  
 Bharat Guthikonda, *Baton Rouge*  
 Patrick Kyongmin Ha, *Baltimore*  
 Jeffrey Allen Koempel, *Los Angeles*  
 Kevin W Lollar, *Columbia*  
 Lori Lombard, *Indiana*  
 Ron B Mitchell, *St Louis*  
 Larry Leonard Myers, *Dallas*  
 Kevin K Ohlemiller, *Saint Louis*  
 Fred A Pereira, *Houston*  
 Sonja J Pyott, *Wilmington*  
 Sophia Ran, *Springfield*  
 Claus-Peter Richter, *Chicago*  
 James M Ridgway, *Seattle*  
 Richard Allen Roberts, *Foley*  
 Peter S Roland, *Dallas*  
 Soya Sisy Sam, *Saginaw*  
 Chris A Sanford, *Pocatello*  
 Ashok R Shaha, *New York*  
 Abraham Shulman, *Brooklyn*  
 Jeffrey Howard Spiegel, *Boston*  
 Rohan R Walvekar, *New Orleans*  
 Gregory Thomas Wolf, *Ann Arbor*  
 Kathleen Yaremchuk, *Detroit*



# World Journal of Otorhinolaryngology

## Contents

Quarterly Volume 3 Number 1 February 28, 2013

- |                      |    |   |
|----------------------|----|---|
| <b>REVIEW</b>        | 1  | Experimental study of transient cochlear ischemia as a cause of sudden deafness<br><i>Gyo K</i>   |
| <b>BRIEF ARTICLE</b> | 16 | Elective regional lymphadenectomy for advanced auricular squamous cell carcinoma<br><i>Ryan WR, Heaton CM, Wang SJ</i>  |
| <b>CASE REPORT</b>   | 22 | Endolymphatic hydrops in Meniere's disease secondary to otitis media and visualized by gadolinium-enhanced magnetic resonance imaging<br><i>Zou J, Pyykkö I</i> |

**APPENDIX** I-V Instructions to authors

**ABOUT COVER** Gyo K. Experimental study of transient cochlear ischemia as a cause of sudden deafness.  
*World J Otorhinolaryngol* 2013; 3(1): 1-15  
<http://www.wjgnet.com/2218-6247/full/v3/i1/1.htm>

**AIM AND SCOPE** *World Journal of Otorhinolaryngology* (*World J Otorhinolaryngol*, *WJO*, online ISSN 2218-6247, DOI: 10.5319) is a peer-reviewed open access academic journal that aims to guide clinical practice and improve diagnostic and therapeutic skills of clinicians.

*WJO* covers topics concerning endoscopy, rhinology, pharyngology, laryngology, tracheo-esophagology, otology, tracheology, cancer, nasal symptomatology, congenital nasal diseases, inflammatory diseases of the external nose, rhinitis, allergic rhinitis, nasal polyps, nasal septal diseases, nasal bleeding, nasal or sinus foreign bodies, sinusitis, rhinogenic complications, diagnostic imaging, evidence-based medicine, epidemiology and nursing. Priority publication will be given to articles concerning diagnosis and treatment of otorhinolaryngologic diseases. The following aspects are covered: Clinical diagnosis, laboratory diagnosis, differential diagnosis, imaging tests, pathological diagnosis, molecular biological diagnosis, immunological diagnosis, genetic diagnosis, functional diagnostics, and physical diagnosis; and comprehensive therapy, drug therapy, surgical therapy, interventional treatment, minimally invasive therapy, and robot-assisted therapy.

We encourage authors to submit their manuscripts to *WJO*. We will give priority to manuscripts that are supported by major national and international foundations and those that are of great basic and clinical significance.

**INDEXING/ABSTRACTING** *World Journal of Otorhinolaryngology* is now indexed in Digital Object Identifier.

**FLYLEAF** I-II Editorial Board

**EDITORS FOR THIS ISSUE**

Responsible Assistant Editor: *Shuai Ma*  
 Responsible Electronic Editor: *Xiao-Mei Zheng*  
 Proofing Editor-in-Chief: *Lian-Sheng Ma*

Responsible Science Editor: *Su-Xin Gou*

**NAME OF JOURNAL**  
*World Journal of Otorhinolaryngology*

**ISSN**  
 ISSN 2218-6247 (online)

**LAUNCH DATE**  
 December 28, 2011

**FREQUENCY**  
 Quarterly

**EDITOR-IN-CHIEF**  
**Tsutomu Nakashima, MD, PhD, Professor**, Department of Otorhinolaryngology, Nagoya University Graduate School of Medicine, 65, Tsurumai-cho, Showa-ku, Nagoya 466-8550, Japan

**Steven J Wang, MD, FACS, Associate Professor** in Residence, Department of Otolaryngology-Head and Neck Surgery, University of California, San Francisco, 2233 Post St, 3rd Floor-Box 1225, San Francisco, CA 94115, United States

**EDITORIAL OFFICE**  
 Jin-Lei Wang, Director  
 Xiu-Xia Song, Vice Director  
*World Journal of Otorhinolaryngology*  
 Room 903, Building D, Ocean International Center,  
 No. 62 Dongsihuan Zhonglu, Chaoyang District,  
 Beijing 100025, China  
 Telephone: +86-10-85381891  
 Fax: +86-10-85381893  
 E-mail: [wjotorhinolaryngol@wjgnet.com](mailto:wjotorhinolaryngol@wjgnet.com)  
<http://www.wjgnet.com>

**PUBLISHER**  
 Baishideng Publishing Group Co., Limited  
 Flat C, 23/F, Lucky Plaza,  
 315-321 Lockhart Road, Wan Chai,  
 Hong Kong, China  
 Fax: +852-6555-7188  
 Telephone: +852-3177-9906  
 E-mail: [bpgoffice@wjgnet.com](mailto:bpgoffice@wjgnet.com)  
<http://www.wjgnet.com>

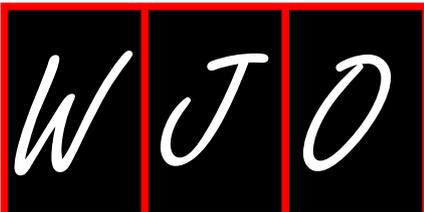
**PUBLICATION DATE**  
 February 28, 2013

**COPYRIGHT**  
 © 2013 Baishideng. Articles published by this Open Access journal are distributed under the terms of the Creative Commons Attribution Non-commercial License, which permits use, distribution, and reproduction in any medium, provided the original work is properly cited, the use is non commercial and is otherwise in compliance with the license.

**SPECIAL STATEMENT**  
 All articles published in this journal represent the viewpoints of the authors except where indicated otherwise.

**INSTRUCTIONS TO AUTHORS**  
 Full instructions are available online at [http://www.wjgnet.com/2218-6247/g\\_info\\_20100722180338.htm](http://www.wjgnet.com/2218-6247/g_info_20100722180338.htm).

**ONLINE SUBMISSION**  
<http://www.wjgnet.com/esp/>



## Experimental study of transient cochlear ischemia as a cause of sudden deafness

Kiyofumi Gyo

Kiyofumi Gyo, Department of Otorhinolaryngology, Head and Neck Surgery, Ehime University, Shizukawa, Toon City, Ehime Prefecture 791-0295, Japan

Author contributions: Gyo K solely contributed to this paper. Correspondence to: Kiyofumi Gyo, MD, Professor, Department of Otorhinolaryngology, Head and Neck Surgery, Ehime University, Shizukawa, Toon City, Ehime Prefecture 791-0295, Japan. [kiyofumi@m.ehime-u.ac.jp](mailto:kiyofumi@m.ehime-u.ac.jp)

Telephone: +81-89-9605365 Fax: +81-89-9605368

Received: November 14, 2012 Revised: January 28, 2013

Accepted: February 5, 2013

Published online: February 28, 2013

### Abstract

The etiology of sudden deafness or idiopathic sudden sensorineural hearing loss (ISSHL) remains unclear. Over the past 15 years, we have investigated the mechanisms of ischemic-induced hearing loss using a gerbil model of transient cochlear ischemia. In the gerbil, cochlear ischemia can be induced by occluding the bilateral vertebral arteries simultaneously at the neck, because the posterior communicating arteries of the Circle of Willis close spontaneously around 1 mo after birth. When 15 min ischemia was loaded on this animal, permanent hearing loss of about 25 dB and the death of hair cells, especially inner hair cells were induced. These pathological changes were mainly due to lack of an energy source, glutamate excitotoxicity, and the production of free radicals, especially superoxide and nitrous oxide species. Ischemic damage could be prevented by various procedures, such as cooling the cochlea, intratympanic administration of insulin-like growth factor 1 or AM-111 (an anti-apoptotic agent), and systemic administration of prednisolone (steroid), edarabone (free radical scavenger), ginsenoside Rb1 (Kanpo), hematopoietic stem cells, glia-cell derived neurotrophic factor, and liposome-encapsulated hemoglobin (artificial red blood cells). We also found that the cochlea was protected by the ischemic tolerance, indi-

cating that minor cochlear ischemia alleviates or prevents inner ear damage in subsequent severe cochlear ischemia. As ISSHL usually occurs suddenly, with no preceding sign or symptom, we suggest that most ISSHL cases are caused by circulatory disturbance, probably at the stria vascularis.

© 2013 Baishideng. All rights reserved.

**Key words:** Sudden deafness; Occlusion of vertebral artery; Mongolian gerbil; Loss of inner hair cell; Ischemic tolerance; Cochlear hypothermia

Gyo K. Experimental study of transient cochlear ischemia as a cause of sudden deafness. *World J Otorhinolaryngol* 2013; 3(1): 1-15 Available from: URL: <http://www.wjgnet.com/2218-6247/full/v3/i1/1.htm> DOI: <http://dx.doi.org/10.5319/wjo.v3.i1.1>

### INTRODUCTION

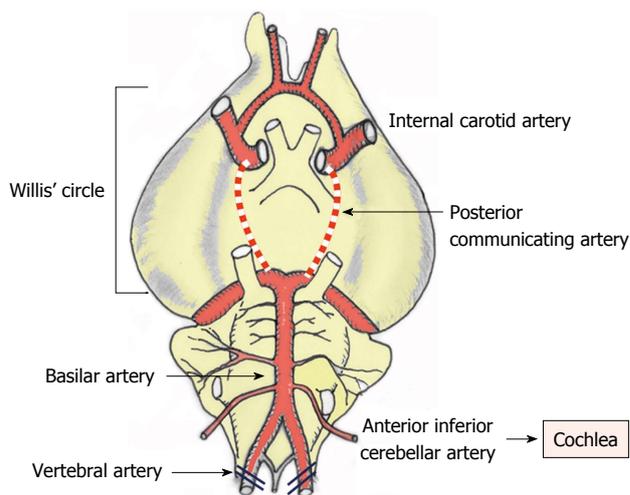
Sudden deafness, also called idiopathic sudden sensorineural hearing loss (ISSHL), is a disease of inner ear causing acute hearing loss of unknown etiology. It occurs in approximately 30 cases per 100 000 people a year in Japan, most frequently involving those 50-60 years of age. Presently, ISSHL is considered a symptom of various diseases, including circulatory disturbances, viral infection, endolymphatic hydrops/labyrinthine membrane rupture, and disruption of endolymphatic homeostasis triggered by stress hormones and other hormones as well. As such hearing loss usually occurs suddenly, with no preceding sign or symptom, many investigators have suggested that ISSHL is caused by acute interruption of cochlear blood flow, and steroids and vasodilator agents are often prescribed for the treatment of this disease. Recently, many scientific papers have been published supporting this vascular theory, including circulatory disturbances, as a cause of ISSHL. Suckfüll<sup>[1]</sup> reported that plasma fibrinogen was raised in patients with ISSHL, suggesting increased blood coagula-

tion. Fortunately, their hearing impairment improved following low-density lipoprotein apheresis. De Felice *et al*<sup>[2]</sup> reported a strong correlation between a non-functioning posterior communicating artery (PCA) of the Circle of Willis and the incidence of ISSHL. Because the inner ear is nourished solely by the labyrinthine artery, a branch of the basilar artery, a non-functioning PCA may increase the risk of disturbing the continuous blood supply to the cochlea. Large-scale statistical analyses have demonstrated that ISSHL is a strong risk factor for stroke<sup>[3]</sup> and cardiovascular disease<sup>[4]</sup>. Due to recent advances in gene analysis technology, various single nucleotide polymorphisms have been found to be closely associated with ISSHL incidence<sup>[5-8]</sup>. In Japan, Hato<sup>[9]</sup> showed that the *PRKCH* gene, an expression gene of protein kinase C, was associated with the incidence of ISSHL as well as stroke<sup>[10]</sup>. The allele ratio of G→A in the *RPKCH* gene is 2.0 in ISSHL and 1.7 in lacunar stroke. Using three-dimensional fluid-attenuated inversion recovery magnetic resonance imaging, Yoshida *et al*<sup>[11]</sup> reported high signal areas in the cochlea of 31 of 48 patients with ISSHL, suggesting a high concentration of protein or hemorrhage in the cochlea. They noted that hearing prognoses of such patients were poor compared to those without a high signal. These findings are all consistent with the vascular theory of the etiology of ISSHL.

Over the past 15 years, we have investigated the mechanism(s) of ischemia-induced hearing loss using a gerbil model of transient cochlear ischemia. Because this animal can live long after the induction of transient ischemia, experimental studies were undertaken to assess cochlear histopathology, the mechanism(s) of ischemic cochlear damage, and responses to various treatment modalities. We also found that the cochlea was protected by the mechanism known as ischemic tolerance. In this phenomenon, minor cochlear ischemia alleviates or prevents inner ear damage in subsequent severe cochlear ischemia. Here, we present our experimental data concerning transient cochlear ischemia, report the findings of ischemic tolerance in the cochlea, and demonstrate the therapeutic effects of various treatment modalities.

## ANIMAL MODEL OF TRANSIENT COCHLEAR ISCHEMIA

Because the nourishing artery of the cochlea comes from the basilar artery, transient cochlear ischemia is difficult to induce without damaging the brain and other neuronal tissues. Indeed, such experiments were previously considered not feasible in small animals because of technical difficulties. Using a technique called experimental hindbrain ischemia<sup>[12]</sup>, we succeeded in making a chronic animal model of transient cochlear ischemia using the Mongolian gerbil. In this animal, the posterior communicating arteries of the Circle of Willis characteristically close spontaneously around 1 mo after birth. As the cochleae receive their blood supply solely from the vertebral arteries in the adult, transient cochlear ischemia is readily induced by



**Figure 1 Brain arteries in the gerbil.** The posterior communicating arteries of the Circle of Willis close at around 1 mo after birth. Thus, cochlear ischemia can be induced by occluding the bilateral vertebral arteries at the neck in adult animals.

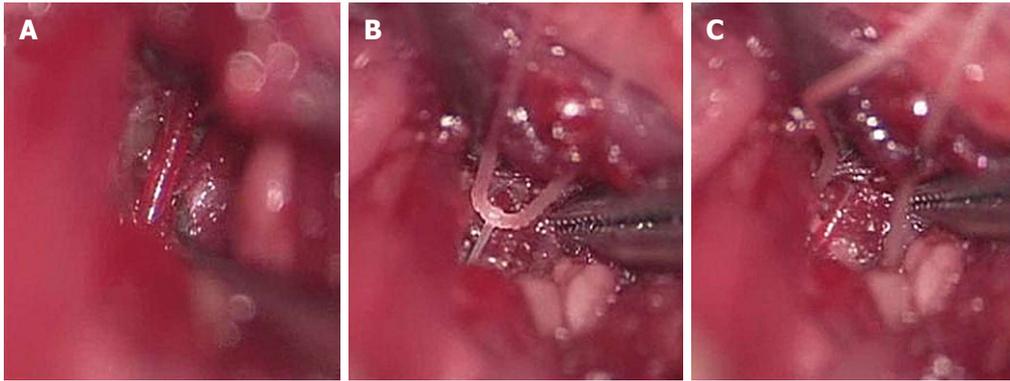
obstructing the bilateral vertebral arteries at the neck<sup>[13]</sup> (Figure 1).

Under general anesthesia, the vertebral arteries were exposed bilaterally and dissected free from the surrounding connective tissue through a ventral transverse incision of the neck. Silk threads (4-0) were loosely looped around each artery, and ischemia was induced in the bilateral cochleae by pulling the ligatures with weights of 5 g for 5 or 15 min. The threads were subsequently removed to allow reperfusion, which was confirmed by observation using an operating microscope (Figure 2). As cochlear damage was minor with 5 min ischemia<sup>[14]</sup>, we used 15 min ischemia in the following studies (Figure 3). The hearing of the animal was assessed by recording electrocochleogram, auditory brainstem responses (ABR), or distortion product otoacoustic emission, depending on the purpose of the study.

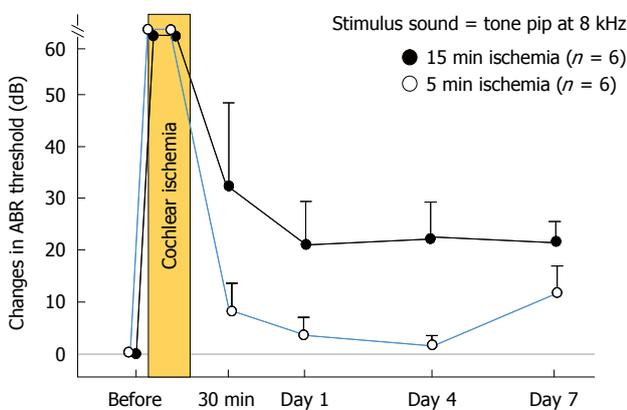
## ISCHEMIC DAMAGE IN THE COCHLEA

### Blood supply to three regions of the cochlea and their ischemic damages

Ischemic damage of the cochlea can be divided into three regions: the lateral, middle, and modiolar regions (Figure 4). The lateral region includes the stria vascularis and spiral ligament, while the middle region is composed of the hair cells and supporting cells in the organ of Corti. The modiolar region is located in the center of the cochlea, and includes the spiral ganglion. The three regions of the inner ear receive arterial blood supply from the labyrinthine artery, *via* the spiral modiolar artery. According to rabbit experiments using microspheres, 82% of cochlear blood flow distributes to the lateral region, 9% to the middle region, and 9% to the modiolar region. In rats, the distribution is 57%, 19%, and 24%, respectively<sup>[15]</sup>. These findings suggest that blood supply to the lateral region is the largest among the three regions, although the distribution ratio differs by animal species.

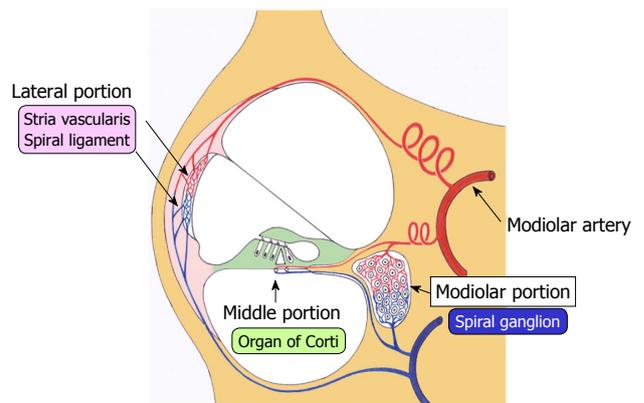


**Figure 2 Transient interruption of cochlear blood flow.** A: Exposure of the vertebral artery; B: Interruption of cochlear blood flow by pulling the silk thread looped around the artery; C: After inducing transient ischemia, the thread was released and removed to allow recirculation. Originated from<sup>[33]</sup>, with permission.

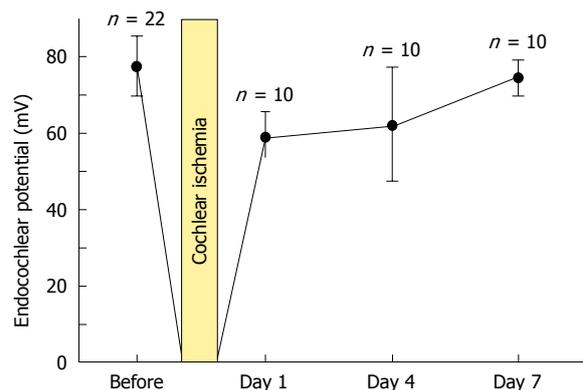


**Figure 3 Changes in auditory brainstem response threshold after transient cochlear ischemia.** Auditory brainstem response (ABR) threshold before vertebral artery occlusion was defined as 0 dB. With 5 min ischemia, the ABR threshold at 8 kHz recovered almost completely on days 1 and 4, but became slightly worse on day 7, likely due to delayed neural cell death. With 15 min ischemia, the ABR threshold was almost constant after day 1. It remained constant in the range of 20-30 dB. The vertical scale indicates grade of hearing loss, expressed as change in ABR threshold.

**Lateral region (stria vascularis and spiral ligament):** The main function of the stria vascularis is to constantly supply  $K^+$  into the scala media through an ion channel that consumes ATP as an energy source. According to the  $K^+$  recycling theory,  $K^+$  in the scala media is absorbed by hair cells through mechanical ion channels on the surface of stereocilia called tip links or side links. The channels open and close corresponding to the vibration of the basilar membrane. Once absorbed,  $K^+$  facilitates  $Ca^{2+}$  release from stores into the cytoplasm and causes depolarization of the hair cell. Following firing of the hair cells,  $K^+$  released to the outside is then absorbed by the surrounding support cells. It flows laterally, through a gap junction between the supporting cells in the direction of the stria vascularis, where it is again released to the scala media via ATP. Because the ion channel at the stria vascularis needs a large amount of ATP, interruption of the blood supply to this area impairs ATP production, resulting in failure of  $K^+$  transport into the scala media. In this way, transient ischemia causes an energy shortage at the stria



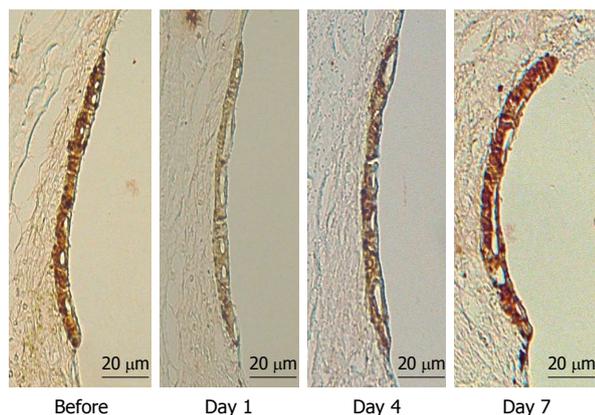
**Figure 4 Blood supply to the cochlea.** Blood supply to the cochlea via modiolar artery can be divided into three regions: the lateral, middle, and modiolar regions. Blood supply to the lateral region, consisting of the stria vascularis and spiral ligament, was the largest among the three regions, supplying more than 80% of total cochlear blood in the rabbit.



**Figure 5 Sequential changes in endocochlear potential after transient cochlear ischemia.** Each point indicates the mean voltage of the endocochlear potential. Vertical bars show one standard deviation. Originated from<sup>[16]</sup>, with permission.

vascularis and induces decreased endocochlear potential (EP).

As shown in Figure 5, the decrease in EP following 15 min ischemia was reversible. In normal conditions, the EP value was 80.0 mV ( $n = 22$ ). With ischemia, EP was markedly decreased, to around -20 mV. On the following



**Figure 6** Immunostaining of  $\text{Na}^+\text{-K}^+$  ATPase in the stria vascularis before and 1, 4, and 7 d after ischemic insult. Immunostaining of  $\text{Na}^+\text{-K}^+$  ATPase decreased markedly on day 1, and improved slightly on day 4. It recovered to preischemic levels on day 7.

day, it recovered to about 60 mV. It returned to normal by day 7. This indicates that disturbed function of the lateral region returns to normal by day 7<sup>[16]</sup>.

To investigate what happened in and around the stria vascularis, we performed histological staining with hematoxylin and eosin, which revealed no apparent change during the course of recovery. However, immunostaining of  $\text{Na}^+\text{-K}^+$  ATPase, a marker of the Na/K-pump, and of connexin 26, a marker of gap junctions, showed that the levels of these markers were reduced on days 1 and 4, but recovered to preischemic levels on day 7 (Figure 6). Transmission electron microscope (TEM) studies demonstrated that water retention was prominent in the interstitial layer of the scala tympani on day 1, which became milder on day 4, and almost disappeared on day 7<sup>[17]</sup> (Figure 7). These histological findings were consistent with the sequential changes in the EP value that recovered on day 7.

**Middle region (organ of Corti):** In the organ of Corti, ischemic damage was more severe in the inner hair cells (IHCs) than in the outer hair cells (OHCs): the cochlear pathology differed from that of other inner ear diseases, such as acoustic trauma and aminoglycoside ototoxicity, that cause severe damage mainly to the OHCs. Figure 8 shows a typical finding at the basal turn 7 d after ischemia, stained with rhodamine-phalloidin and Hoechst 33342. IHC-predominant damage was also seen by scanning electron microscopy as shown in Figure 9. Percentages of IHC and OHC losses at the three turns of the cochlea are summarized in Figure 10. Loss of IHC was extensive at the basal and second turns, but not at the apical turn. In contrast, no such difference, by turn, was observed in OHCs. This indicates that the underlying mechanisms of ischemic damage apparently differ somewhat between IHCs and OHCs.

TUNEL staining (Figure 11) and TEM studies showed that the loss of IHCs was due to apoptosis, triggered by ischemic insult. Sequential counting of IHCs showed that the rate of IHC loss increased gradually until day 3. Then it remained constant<sup>[18]</sup> (Figure 12).

**Modiolar region (spiral ganglion):** HE findings in the spiral ganglion are shown in Figure 13. Loss of the ganglion neurons started on day 4 and progressed until day 7. Figure 14 shows immunofluorescent findings for Bax, an apoptosis-promoting protein, in the spiral ganglion. It was expressed on day 1, but not on day 7. TEM observations indicated that nuclei of the spiral ganglion cells (SGCs) underwent condensation or segmentation, suggesting cell death by apoptosis (Figure 15). The number of SGCs after transient ischemia is shown in Figure 16. A cell decrease was prominent between day 4 and day 7, suggesting delayed cell death in the spiral ganglion<sup>[14,19]</sup>.

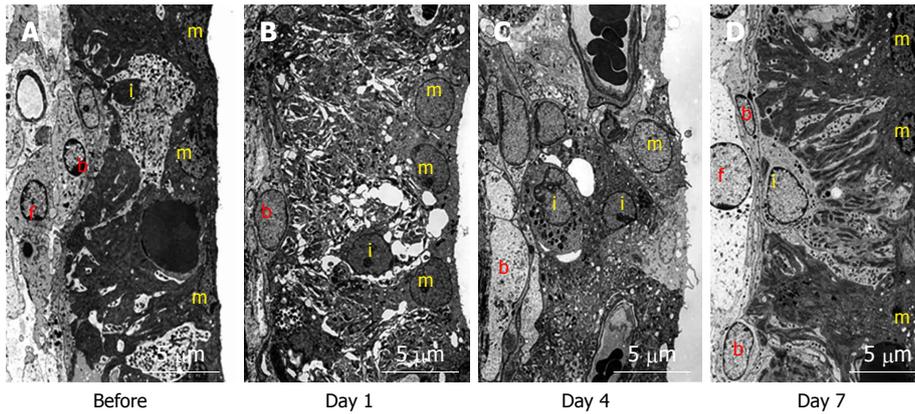
**Time course of ischemic damage in the three regions of the cochlea:** As shown in Figure 3, the ABR threshold at 8 kHz increased 20-30 dB on the next day after 15 min ischemia. The increase remained unchanged or changed a little thereafter. This indicated that hearing loss of an experimental animal became stable after 1 d of ischemia. Meanwhile, time course of ischemic damage was somewhat different among cochlear regions (Figure 17). In the lateral region, severe ischemic damage occurred immediately after the insult, which recovered gradually to a preischemic level within a week. In the middle region, loss of hair cells progressed slowly until day 3, then the decrease stopped. Ischemic damage was more severe in IHCs than in OHCs. Death of IHCs was due to activation of the apoptotic process; it was maximal 12 h after the insult. In the modiolar region, neuronal damage progressed more slowly and steadily. The number of SGCs decreased most prominently between days 4 and 7. Degeneration of the SGCs occurred initially from the ischemic insult, but later by secondary degeneration, corresponding to IHC death.

These findings suggest that when hearing loss is unchanged or slightly recovered after ischemic insult, the degenerating site gradually shifts to other regions.

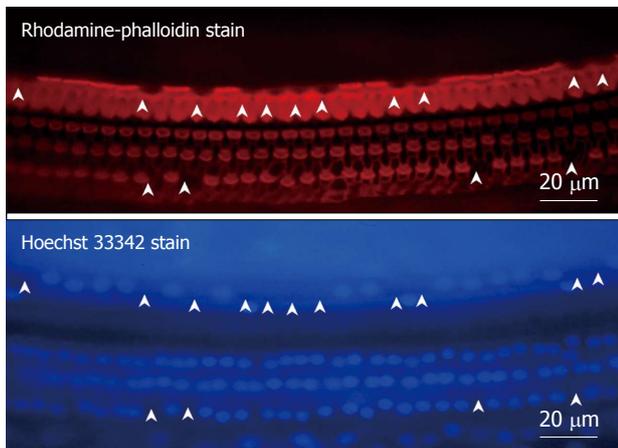
### **Mechanisms of ischemic cochlear damage**

Although the blood supply to the cochlea was stopped only for 15 min, the effects were much larger than expected. At least three mechanisms were thought to be related to the cochlear damage.

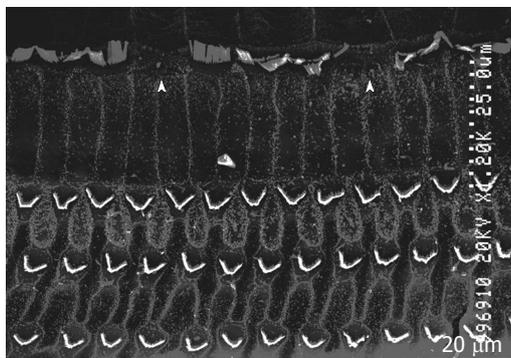
**Energy supply deletion:** The energy source of the cochlea is ATP, produced from glucose and oxygen *via* the process of glycolysis. Thus, transient cochlear ischemia causes depletion of the energy supply, which induces cochlear damage. According to Thalmann *et al*<sup>[20]</sup>, the ATP concentration decreases rapidly in the stria vascularis and the spiral ganglion, but the decrease is gradual in the organ of Corti. This is probably because glucose and oxygen dissolved in the endolymph are used slowly by hair cells and supporting cells of the organ of Corti. The time needed to decrease the ATP concentration from normal (16 mmol/kg) to a low level (below 2 mmol/kg) after death takes 3 min in the stria vascularis, 15 min in the spiral ganglion, and 60 min in the Corti organ.



**Figure 7** Transmission electron microscopy findings in the stria vascularis before and 1, 4, and 7 d after ischemic insult. A: Marginal cells on the medial aspect of the stria vascularis showed extensive branching processes with intermediate cells. The basal cells, located on the lateral aspect of the stria vascularis, connected to the intermediate cells and type 1 fibrocytes of the spiral ligament by means of gap junctions; B: Vacuoles were seen in marginal cells. The intercellular space increased and intermediate cells seemed to have shrunk; C: Vacuoles persisted in marginal cells. The intermediate cells were still shrunken, although the intercellular space was smaller than that on day 1; D: The intercellular space was no longer enlarged, although a few small vacuoles were found in marginal cells. The extensive branching processes appeared to have a normal shape. m: Marginal cell; i: Intermediate cell; b: Basal cell; f: Type 1 fibrocyte.

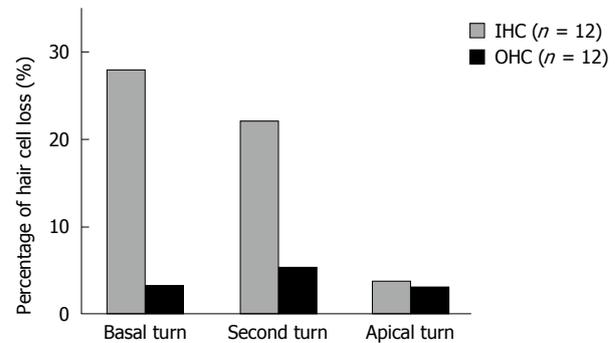


**Figure 8** Fluorescence microscopic findings of the organ of Corti 7 d after ischemic insult. The specimen was stained with rhodamine-phalloidin and Hoechst 33342. Three rows of outer hair cells (OHC) and a single row of inner hair cells (IHC) could be observed, and the cell loss was more severe in IHC than OHC. Arrowheads indicate loss of hair cells.



**Figure 9** Scanning electron microscopy findings of the organ of Corti 7 d after ischemic insult. Arrowheads indicate damaged inner hair cells.

**Free radicals:** Free radicals such as superoxide and nitrous oxide (NO) species are produced in the course of

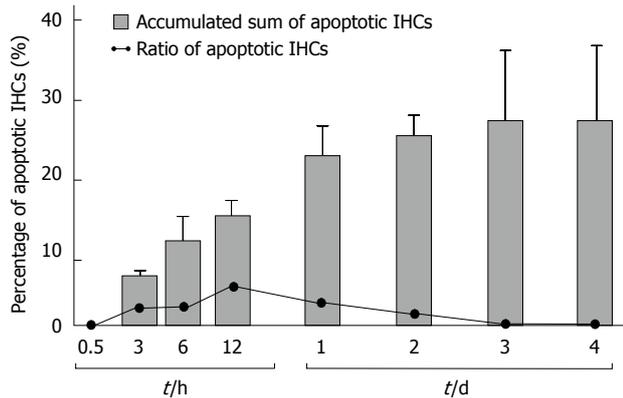


**Figure 10** Percentages of hair cell loss at three turns 7 d after ischemic insult. Loss of inner hair cells (IHC) was more severe at the basal and second turn than at the apical turn. No such difference by turn was recognized in the loss of outer hair cell (OHC).



**Figure 11** TUNEL staining of inner hair cells. TUNEL staining was positive in inner hair cells (IHC), suggesting cell death due to apoptosis. The arrowhead indicates TUNEL-positive IHC. Originated from [10], with permission.

ischemia/reperfusion processes. They induce destruction of cell membranes. As superoxide disappears so quickly after production, direct measurement of its concentration in the inner ear is not feasible. We investigated the production of superoxide and oxygen free radicals using



**Figure 12 Daily incidence of inner hair cell apoptosis and its cumulative sum after ischemic insult.** Incidence of inner hair cells (IHCs) apoptosis was maximal 12 h after ischemic insult. It did not occur after day 3. Vertical bars indicate one standard deviation. Originated from<sup>[18]</sup>, with permission.

edarabone, a free radical scavenger, originally developed as an anti-stroke agent. If free radicals are present, administration of edarabone alleviates their toxic effects dose-dependently. According to our previous study<sup>[21]</sup>, administration of edarabone 1 h after ischemia significantly prevented the increase in the ABR threshold (Figure 18); hair cell loss was also prevented (Figure 19). These findings suggest that free radicals were produced after ischemic insult, and administration of edarabone prevented the toxic effects on the cochlea. Edarabone inhibits activation of the lipoxygenase pathway in the arachidonic acid cascade, which in turn prevents overproduction of superoxide anions. In addition, it scavenges NO directly in a dose-dependent manner.

NO plays an important role regulating vasodilatation and protecting neuronal tissues (Figure 20). When physical stress such as transient ischemia occurs, NO is produced in excess, due to the enzymatic activity of inducible NO synthase (iNOS). On the other hand, large amounts of superoxide are produced in the process of reperfusion after transient arterial occlusion. When NO reacts with superoxide, harmful free radicals such as nitrite ( $\text{NO}_2^-$ ) and peroxynitrite ( $\text{NO}_3^-$ ) are produced. Peroxynitrite causes cell membrane disintegration through lipid peroxidation. Figure 21 shows  $\text{NO}_2^-$  and  $\text{NO}_3^-$  concentrations in the perilymph at the scala tympani of the basal turn. Concentrations increased markedly on days 1 and 4, and returned to normal levels on day 7. Immunostaining showed that iNOS expression was prominent at the stria vascularis, spiral ligament, organ of Corti, and the spiral ganglion. The iNOS expression decreased gradually after ischemic insult but was still evident on day 7 (Figures 22 and 23).

**Glutamate:** Glutamate is a neurotransmitter at the synapse between IHCs and the primary afferent auditory nerve. When sound comes into the inner ear,  $\text{K}^+$  enters and accumulates in IHCs, causing depolarization of the IHCs. Glutamate is released into the synaptic cleft in response to the firing of IHCs. After depolarization of IHCs, glutamate is absorbed by the surrounding support-

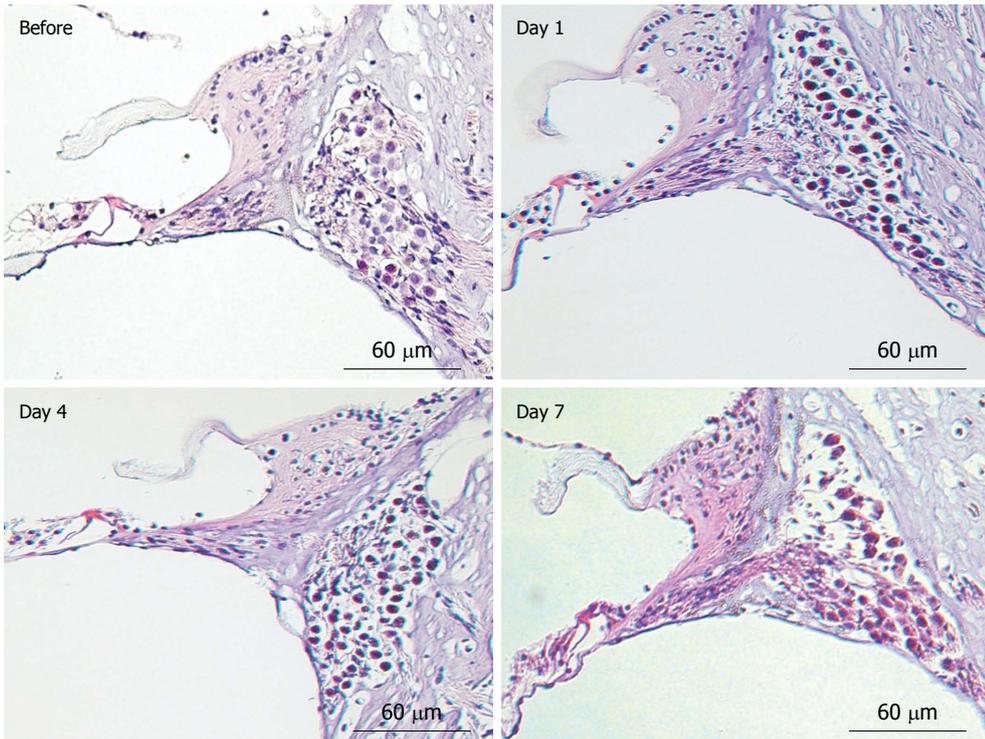
ing cells and IHCs, and is transformed into glutamine by enzymatic activity of the glutamate-aspartate transporter. Then glutamine is transferred to IHCs and encapsulated by vesicles, where it is transformed again into glutamate (Figure 24). In this way, glutamate is recycled around the synapses of IHCs<sup>[22,23]</sup>.

When transient cochlear ischemia is induced, the glutamate recycling system is disturbed because ATP is not produced. In that situation, glutamate in the synaptic cleft is not absorbed, but flows out to the extracellular space. Figure 25 shows sequential changes in the glutamate concentration in the scala tympani after ischemic insult. The increase in glutamate concentration was marked but soon subsided after reperfusion. Histological findings revealed vacuolar formation in the synaptic cleft of IHCs as a result of the transient cochlear ischemia<sup>[24]</sup> (Figure 26). Furthermore, administration of AMPA (glutamate agonist) caused vesicle formation around synapses of IHCs, resembling the histological findings for transient cochlear ischemia<sup>[25]</sup>. Vesicle formation was more prominent when higher concentrations of AMPA were administered (Figure 27). A glutamate antagonist, DNQX, prevented IHC damage induced by cochlear ischemia. Such ischemic damage was not seen in OHCs.

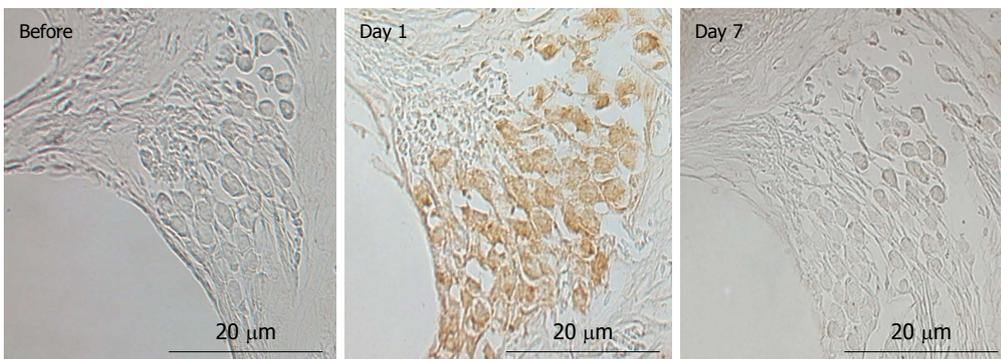
**Interactions of various mechanisms in ischemic cochlear damage:** When blood supply to the cochlea is stopped completely, all structures in the inner ear are destined to die as a result of energy depletion. If the ischemia is transient, superoxide is produced in excess following recovery of the blood supply. Furthermore, iNOS is induced at the site of the lesion and facilitates excessive production of NO. By reacting with superoxide, NO is transformed into  $\text{NO}_2^-$  or  $\text{NO}_3^-$ . These are strongly toxic and damage cell membranes. Ischemic insult also induces glutamate ototoxicity, which is considered a major cause of IHC death. Figure 28 shows the proposed interacting mechanisms of ischemic cochlear damage.

## ISCHEMIC TOLERANCE IN THE COCHLEA

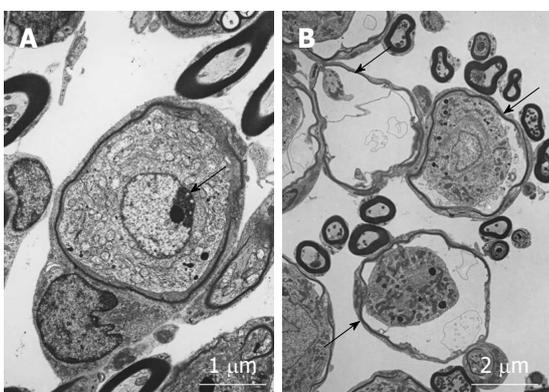
Ischemic tolerance is a preconditioning phenomenon that is activated by mild stressors, and allows survival when exposed to subsequent potentially lethal stressors. After first being reported in the brain<sup>[26]</sup>, this cytoprotection phenomenon has been found in other organs, such as the heart, liver, and spinal cord. It became widely recognized as a pertinent and important process in understanding how the brain protects itself against ischemia. At present, the underlying mechanism(s) of ischemic tolerance remain(s) unclear. According to Kirino<sup>[27]</sup>, there are two main mechanisms of ischemic tolerance. First, there is a cellular defense mechanism that arises by posttranslational modification of proteins or by expression of new proteins *via* a signal transduction system. These cascades of events may strengthen the influence of survival factors or may inhibit apoptosis. Second, there is a cellular stress response and the synthesis of stress proteins, lead-



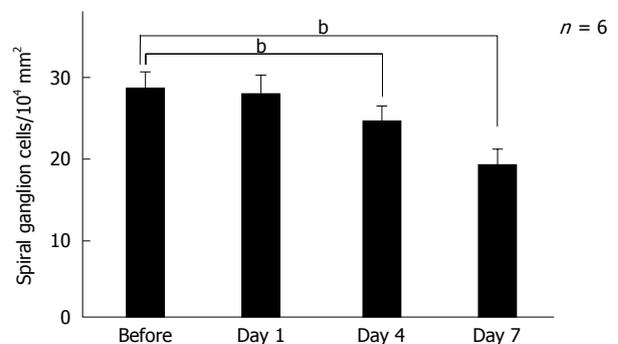
**Figure 13** Histological findings of the organ of Corti and the spiral ganglion before and 1, 4, and 7 d after ischemic insult (hematoxylin and eosin staining). Originated from [19], with permission.



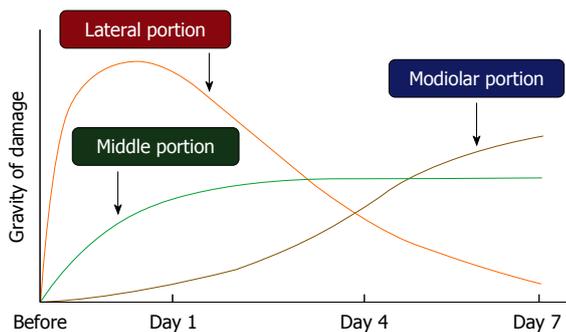
**Figure 14** Immunostaining of Bax at the spiral ganglion before and after ischemic insult. Bax was expressed on day 1, but disappeared by day 7. Originated from [19], with permission.



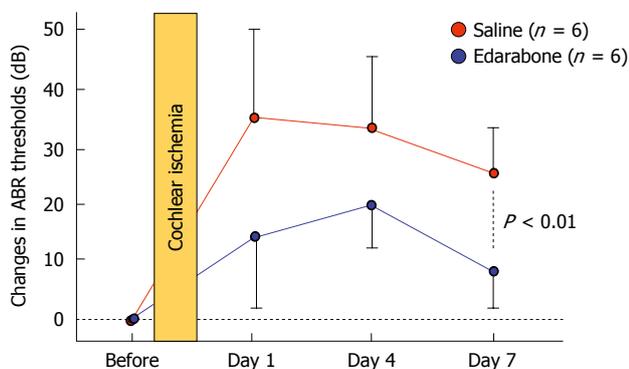
**Figure 15** Transmission electron microscopy findings of spiral ganglion cells 1 d after ischemic insult. A: Nucleus of the spiral ganglion cell underwent chromatin condensation (arrow) and segmentation, suggesting cell death due to an apoptotic process; B: The spiral ganglion cells appeared shrunken (arrows).



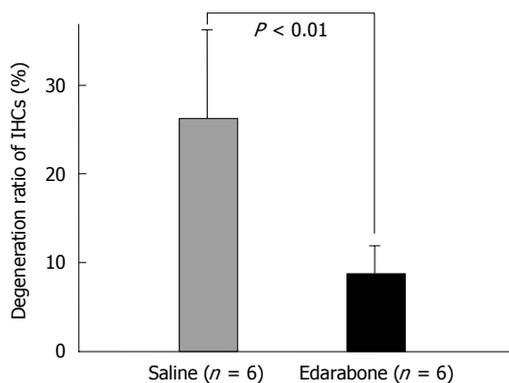
**Figure 16** Sequential changes in the number of spiral ganglion neurons after ischemic insult. The number of spiral ganglion cells decreased gradually after ischemic insult. It did not change on day 1, but decreased on days 4 and 7. Each point indicates the mean number of the spiral ganglion neurons per 10<sup>4</sup> mm<sup>2</sup> before and 1, 4, and 7 d after ischemia. Vertical bars indicate one standard deviation. <sup>b</sup>P < 0.01 vs the control group.



**Figure 17 Sequential progression of ischemic damage in three regions of the cochlea.** In the lateral region, including the stria vascularis and spiral ligament, ischemic damage occurred immediately after the insult, which recovered gradually to be almost normal on day 7. In the middle region, loss of inner hair cells progressed for the first 2-3 d; thereafter, no further deterioration occurred. In the modiolar region, damage to the spiral ganglion cell was minor for a few days, but became prominent thereafter. The vertical scale indicates grade of damage.



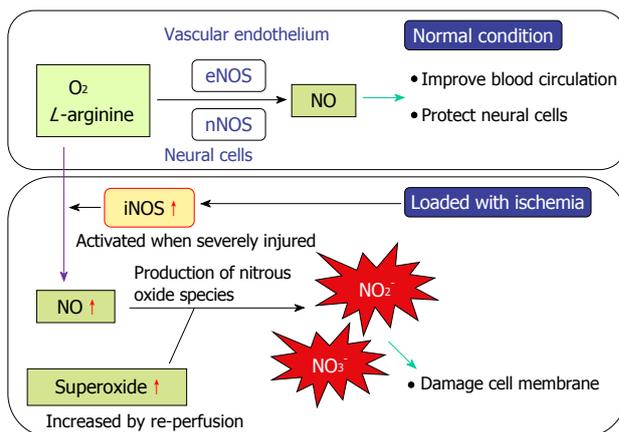
**Figure 18 Edaravone ameliorates elevation of auditory brainstem responses threshold after ischemic insult.** Originated from<sup>[21]</sup>, with permission.



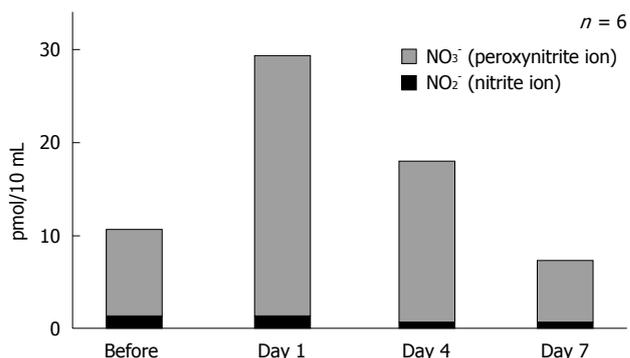
**Figure 19 Edaravone prevents inner hair cell loss after ischemic insult.** IHCs: Inner hair cells. Originated from<sup>[21]</sup>, with permission.

ing to an increased capacity for health maintenance inside the cell. These proteins work as cellular chaperones by unfolding misfolded cellular proteins.

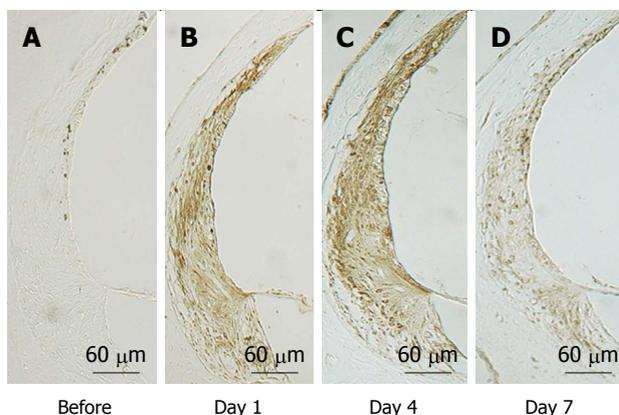
Presently, the greatest drawback of the vascular theory as an etiology of ISSHL is that it does not explain why recurrence and bilateral incidence of ISSHL are very rare. This issue may be resolved by studying ischemic tolerance in the cochlea. Using an animal model of transient



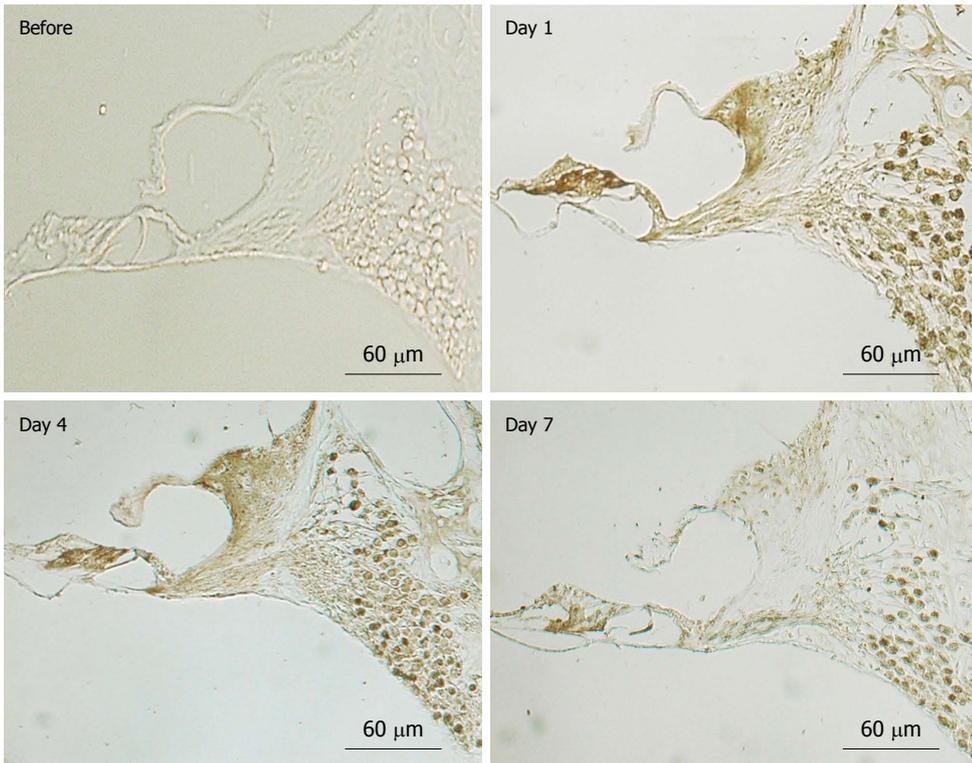
**Figure 20 Mechanisms of nitrous oxide and free radical production.** In a normal environment, nitrous oxide (NO) not only improves blood circulation by dilating blood vessels, but also protects neural cells against ischemic damage. Production of NO is regulated by enzyme activities of endothelial NO synthase (eNOS) and neuronal NO synthase (nNOS). When transient ischemia occurs, production of NO is facilitated by the expression of inducible NO synthase (iNOS). As superoxide species increase in response to reperfusion, the excessive amounts of NO react with superoxide, resulting in the production of nitrite (NO<sub>2</sub><sup>-</sup>) and peroxynitrite (NO<sub>3</sub><sup>-</sup>). Free radicals are strong toxins that cause destruction of the cell membrane, resulting in cell death.



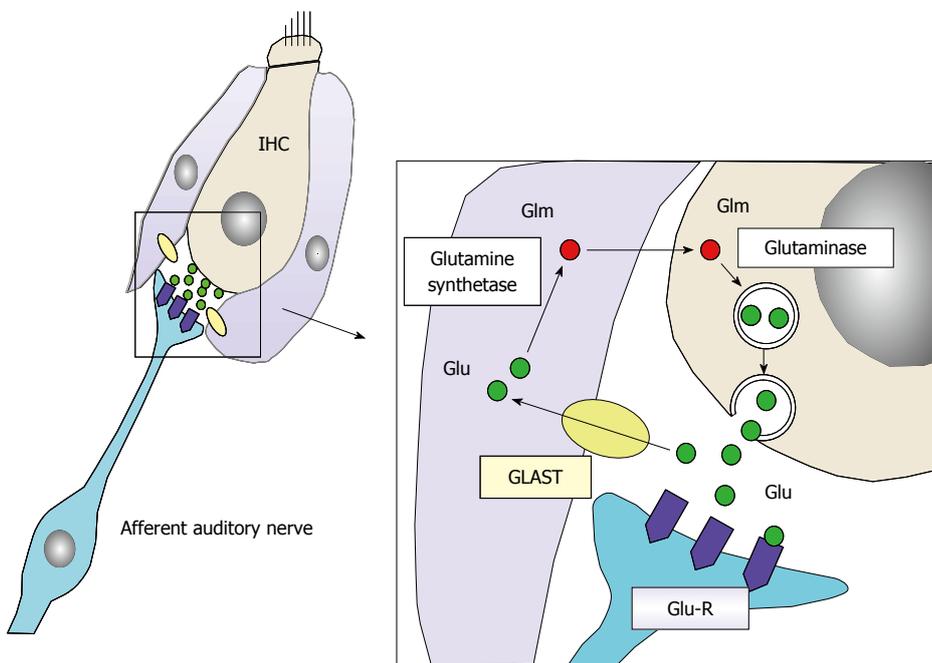
**Figure 21 Concentration of nitrite and peroxynitrite in the scala tympani after ischemic insult.** The levels of nitrogen oxides (NO<sub>2</sub><sup>-</sup>), especially peroxynitrite (NO<sub>3</sub><sup>-</sup>), increased significantly on day 1 after ischemia, and then decreased gradually thereafter. Originated from<sup>[17]</sup>, with permission.



**Figure 22 Immunostaining of the lateral wall of the cochlea for inducible nitrous oxide synthase before (A) and 1 (B), 4 (C), and 7 d (D) after ischemia.** The stria vascularis and the spiral ligament showed marked immunostaining of inducible nitrous oxide synthase on days 1 and 4, which decreased by day 7.



**Figure 23** Immunostaining of the organ of Corti and the spiral ganglion for inducible nitrous oxide synthase before and 1, 4, and 7 d after ischemia. Immunostaining for inducible nitrous oxide synthase was observed in the inner hair cells, spiral ganglion cells, and spiral limbus on days 1 and 4. It was obviously decreased on day 7, but the synaptic area underneath the outer hair cells and the spiral ganglion cells was still positively stained. Originated from<sup>[17]</sup>, with permission.



**Figure 24** Schematic drawing of glutamate recycle system as a neurotransmitter between inner hair cells and the primary auditory neuron. Glutamate is an excitatory neurotransmitter between inner hair cells (IHCs) and primary auditory neurons. It is released into the synaptic cleft in response to depolarization of IHCs. After stimulating a primary auditory neuron by binding to a glutamate receptor (Glu-R), it is absorbed by the surrounding supporting cells (inner phalangeal cell and inner pillar cells) by means of glutamate-aspartate transporter (GLAST). It is transformed into glutamine, and then transported to IHCs and stored in a vesicle until the next depolarization of the IHC. In this way, the glutamate is recycled.

cochlear ischemia, we investigated whether ischemic tolerance existed in the cochlea<sup>[28]</sup>. The animals were divided

into two groups: the single ischemia group and the double ischemia group. In the single ischemia group, animals

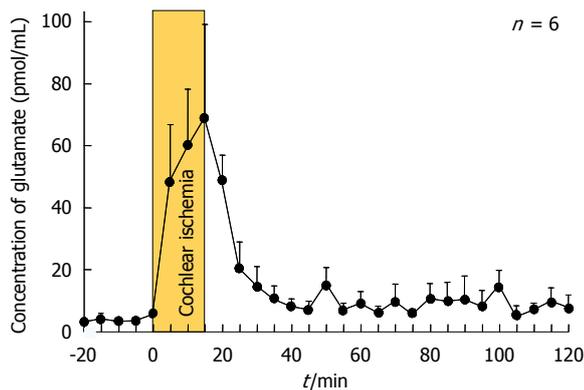


Figure 25 Glutamate concentration in the scala tympani after transient cochlear ischemia. Originated from<sup>[30]</sup>, with permission.



Figure 26 Transmission electron microscopy findings for inner hair cells after exposure to ischemia. Many vesicles formed at the synaptic cleft (arrows). Originated from<sup>[30]</sup>, with permission.

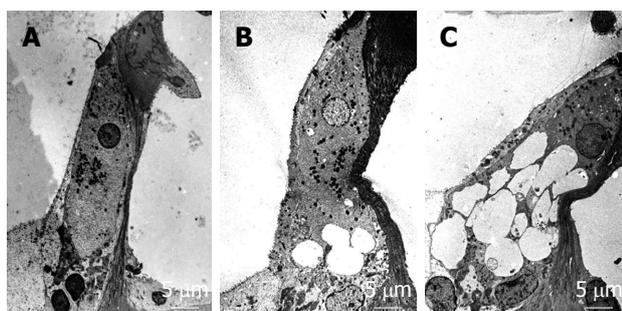


Figure 27 Dendritic terminals in synaptic contact with inner hair cells at the basal turn following administration of (A) artificial perilymph, (B) 50 mmol/L  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionate, or (C) 200 mmol/L  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionate. Swelling of the dendritic terminals was not observed after injection of artificial perilymph. Although swelling of the dendritic terminals was observed at both concentrations of  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionate (AMPA), the extent of swelling was more pronounced with 200  $\mu$ mol/L than with 50  $\mu$ mol/L AMPA. Originated from<sup>[25]</sup>, with permission.

were subjected to 15 min ischemia. In the double ischemia group, animals were subjected to 2 min ischemia 2 d before 15 min ischemia. Figure 29 shows the sequential changes in ABR thresholds in the two groups. There was no change in ABR threshold on day 1 in the single ischemia group. On day 3, 15 min ischemia was induced in both groups. As shown in this figure, hearing loss on days

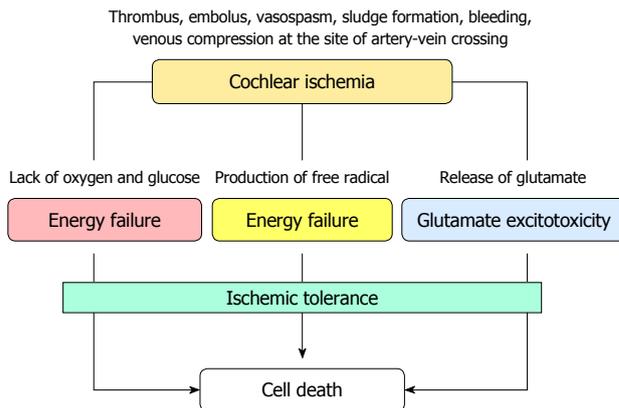


Figure 28 Suggested mechanism of cell death after transient cochlear ischemia. Cell death is considered to result from energy failure, production of free radical species, and glutamate excitotoxicity. Cell death is prevented, to some extent, by the mechanism of ischemic tolerance.

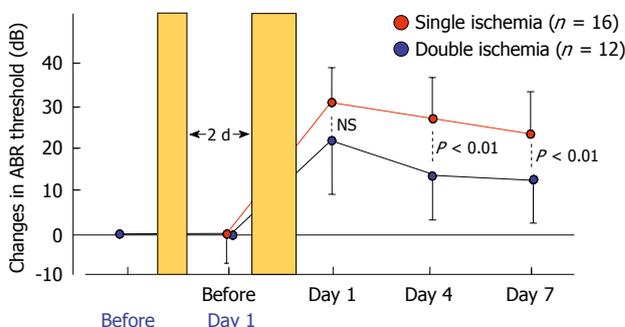


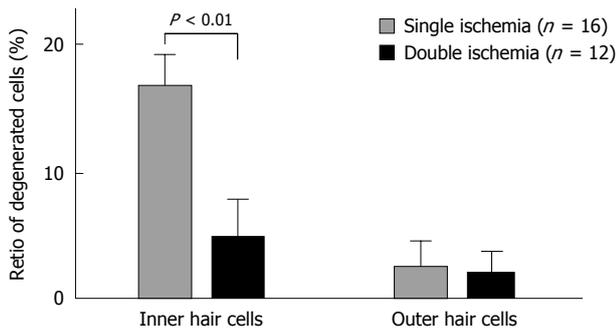
Figure 29 Auditory brainstem responses threshold shifts after single and double cochlear ischemia. Temporal profiles of the shift in the mean auditory brainstem responses (ABR) threshold in the single ischemia group ( $n = 16$ ) and the double ischemia group ( $n = 12$ ). All values are presented as mean  $\pm$  SD. Pre-conditioning sublethal ischemia for 2 min significantly suppressed the elevation of the ABR threshold with subsequent lethal ischemia for 15 min. Originated from<sup>[28]</sup>, with permission.

4 and 7 was more severe in the single ischemia group than in the double ischemia group ( $P < 0.05$ ). Figure 30 summarizes the ratios of degenerated IHCs in the single and double ischemia groups. IHC loss was more severe in the single ischemia group, whereas fewer cells died in the double ischemia group. These findings suggest that ischemic preconditioning ameliorated ischemia-induced hearing impairment and loss of IHCs.

These results suggest that the rare recurrence of ISSHL might be due to ischemic tolerance in the cochlea. Unlike the immune system generally, this phenomenon does not work for long; the effect persists at most 7 d in brain ischemia. To assess whether this effect could be extended for longer periods, repeated minor ischemia would be necessary. If long-persisting ischemic tolerance can be induced by repeated minor ischemia, this phenomenon might be useful as a method for protecting the cochlea from ischemic damage.

## TREATMENT OF ISCHEMIC HEARING LOSS

We have investigated various treatment modalities using



**Figure 30 Loss of inner and outer hair cells after single and double cochlear ischemia.** Pretreatment with sublethal cochlear ischemia reduced inner hair cell (IHC) damage 7 d after lethal cochlear ischemia. In the double ischemia group ( $n = 12$ ), the proportion of defects in IHCs was significantly lower than that in the single ischemia group ( $n = 16$ ). On the other hand, there was no statistically significant difference in the amount of outer hair cell loss between the two groups.

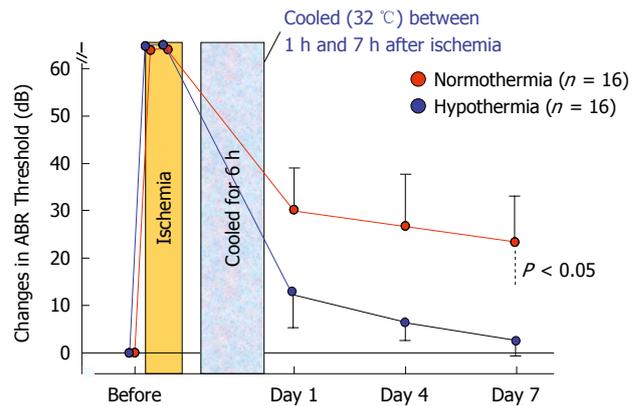
the animal model, including hypothermia and topical or general administration of test agents. Therapeutic hypothermia is already used as a medical treatment for ischemic brain injuries. Topical administration of test agents by placing them on the round window membrane is an effective way to deliver a medicine into the inner ear. As the amount of agent absorbed from the middle ear is limited, the incidence of possible side effects may also be minimized. We have investigated two agents, insulin-like growth factor 1 (IGF-1) and AM-111, using this method. Systemic administration was performed by administering the various test agents intravenously or intraperitoneally to investigate their protective effects in ischemic damage. Agents tested were prednisolone (steroid hormone), edarabone (antioxidant), prosaposin-derived synthetic peptide (saposin), ginsenoside Rb1 (gRb1) (Kanpo), liposome-encapsulated hemoglobin (LEH), glial cell-derived neurotrophic factor (GDNF), and hematopoietic and neural stem cells.

### Hypothermia

The effects of post-ischemic mild hypothermia on ischemic cochlear damage were investigated by changing the timing and duration of cooling<sup>[29,30]</sup>. Animals were subjected to mild hypothermia (32 °C) following transient cochlear ischemia. They were divided into six groups, based on the start and end of hypothermia after reperfusion ( $n = 16$  for each group). As shown in Figure 31, post-ischemic mild hypothermia effectively alleviated hearing impairment and hair cell loss when it was applied 1-7 h after reperfusion<sup>[31]</sup>. The protective effects were more prominent with earlier and longer application of hypothermia. Mild hypothermia 6-9 h after reperfusion did not prevent ischemic damage to the cochlea.

### Intratympanic administration

**IGF-1:** We tested the protective effects of recombinant human IGF-1, applied locally with a gelatin-hydrogel, against ischemic cochlear damage in gerbils. IGF-1 or distilled water (control) immersed in gelatin-hydrogel was



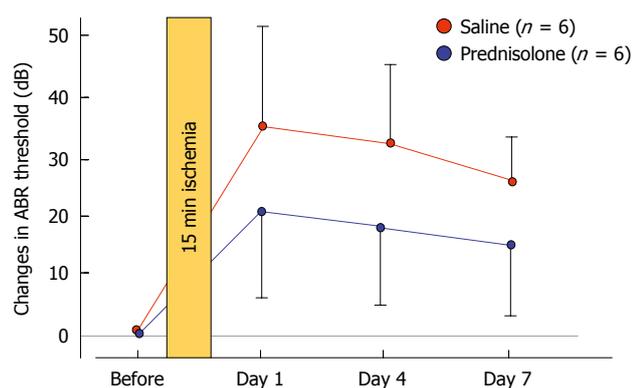
**Figure 31 Sequential changes in auditory brainstem responses thresholds after ischemic insult in normothermic and mildly hypothermic animals.** Mild hypothermia (32 °C) from 1 to 7 h after ischemic insult prevented elevations in auditory brainstem responses threshold. The differences between the two groups were statistically significant ( $P < 0.05$ ).

applied to the round window membrane through the otic bulla 30 min after ischemic insult ( $n = 6$  for each group). Local administration of IGF-1 significantly reduced the elevation of ABR threshold at 8 kHz on days 1, 4, and 7 after ischemic insult. A histological study also showed that the survival rate of IHCs 7 d after ischemia increased after administration of IGF-1 with the hydrogel. As the gelatin hydrogel dissolved slowly in the body, IGF-1 was released continuously and transported into the inner ear. These findings suggest that local application of IGF-1, in gelatin hydrogel, may prevent ischemic damage to the cochlea<sup>[32]</sup>.

**AM-111 (anti-apoptotic agent):** AM-111, a cell-permeable peptide inhibitor of c-Jun N-terminal kinase, was investigated for its protective effects against ischemic damage of the cochlea. After induction of transient cochlear ischemia, 10  $\mu$ L AM-111 at a concentration of 1, 10, or 100  $\mu$ mol/L in a hyaluronic acid gel formulation was applied to the round window 30 min after the insult ( $n = 6$  for each group). Treatment effects were evaluated by ABR and histology of the inner ear. In controls, transient cochlear ischemia caused a  $25.0 \pm 5.0$  dB increase in the ABR threshold at 8 kHz, and a decrease of  $13.3\% \pm 2.3\%$  in IHCs at the basal turn on day 7. Ischemic damage was mild at 2 and 4 kHz. When the animals were treated with AM-111 at 100  $\mu$ mol/L, cochlear damage was significantly reduced: the increase in ABR threshold was  $3.3 \pm 2.4$  dB at 8 kHz, and the IHC loss was  $3.1\% \pm 0.6\%$  at the basal turn on day 7. The effects of AM-111 were concentration-dependent: 100  $\mu$ mol/L was more effective than 1  $\mu$ mol/L or 10  $\mu$ mol/L. Direct application of AM-111 in a gel formulation to the round window effectively prevented acute hearing loss due to transient cochlear ischemia<sup>[33]</sup>.

### Systemic administration

**Prednisolone (steroid):** The effects of prednisolone on ischemia-induced cochlear damage were investigated. After



**Figure 32** Effects of prednisolone on the shift of auditory brainstem responses threshold after transient cochlear ischemia. Hearing was assessed before and 1, 4, and 7 d after ischemic injury. An increase in auditory brainstem responses threshold that was observed on day 7 was lower in the prednisolone-treated group than in the control group, although the difference was not statistically significant. Originated from<sup>[34]</sup>, with permission.

inducing 15 min ischemia, animals were treated by intraperitoneal injection of prednisolone (1 mg/kg) or physiological saline (control) ( $n = 6$  for each group). Sequential changes in hearing were evaluated by recording ABR before and 1, 4, and 7 d after treatment. The increase in ABR threshold on day 7 was  $24.2 \pm 8.6$  dB in control animals but  $14.2 \pm 9.2$  dB in prednisolone-treated animals (Figure 32). Histological staining for hair cells using rhodamine-phalloidin and Hoechst 33342 showed that the percentage of IHC loss at the basal turn was  $26.5\% \pm 11.4\%$  in the control and  $5.3\% \pm 3.0\%$  in the prednisolone-treated group. These results indicate that prednisolone protects against inner ear damage caused by ischemic insult, even when administered after the insult<sup>[34]</sup>.

**Edarabone (antioxidant agent):** Edaravone, a free radical scavenger, has potent protective effects on ischemic damage. Edaravone (1 mg/kg, *iv*) or saline was administered 1 h after ischemia ( $n = 6$  for each group). In animals treated with saline, the ABR threshold shift was  $24.1 \pm 4.2$  dB and there was a  $26.5\% \pm 11.4\%$  decrease in the number of IHCs. In contrast, in animals treated with edaravone, the threshold shift was  $7.5 \pm 4.2$  dB and only  $8.8\% \pm 3.5\%$  of IHCs were lost. These results suggest that edaravone protects against ischemic damage of the inner ear following transient ischemia<sup>[21]</sup>.

**Prosaposin-derived synthetic peptide:** A peptide resembling the neurotrophic region of prosaposin (18-mer peptide, PS-pep) was synthesized artificially and administered subcutaneously four times: immediately and 1, 2 and 3 d after induction of transient cochlear ischemia ( $n = 6$  for each group). On day 7, the ABR threshold shift was  $33.3 \pm 16.3$  dB in animals treated with saline, while it was  $12.5 \pm 8.2$  dB in animals treated with 2.0 mg/kg PS-pep. This alleviation was not seen in animals treated with 0.2 mg/kg PS-pep or saline. Histological examinations conducted on day 7 showed that higher doses of PS-pep significantly alleviated IHC loss, whereas a low dose did

not. In addition, an increase in the anti-apoptotic factor bcl-2 was also noted in the IHCs of animals treated with higher doses of PS-pep. These findings suggest that PS-pep prevents hearing loss and cochlear damage due to transient cochlear ischemia by activating an anti-apoptotic pathway<sup>[35]</sup>.

**gRb1 (Kanpo):** gRb1 is a Kanpo medicine that has protective effects on ischemic brain damage, in addition to other various effects such as regeneration of blood vessels, activation of plasmins, and release of corticosteroids. Using this agent, on day 7 after ischemia, the percentage of SGCs decreased to 67.5% from the preischemic baseline in the basal turn in the control group, whereas it was 90.2% in the gRb1-treated group. Immunohistochemical staining showed TUNEL-positive reactions in the SGCs, with fragmented nuclei. We also investigated the protective effects of gRb1 against ischemic injury in the cochlea. On day 7, the ABR threshold shift in the gRb1-treated group was  $14.2 \pm 3.8$  dB and that in the control group was  $22.5 \pm 2.9$  dB. Furthermore, loss of IHCs in the gRb1-treated group was  $8.6\% \pm 2.6\%$  and that in the control group was  $26.5\% \pm 11.4\%$ . These differences were statistically significant. These findings indicate that gRb1 prevents hearing loss caused by ischemic insult<sup>[19]</sup>.

**LEH:** LEH was originally developed as an artificial red blood cell (RBC). The experimental animals were randomly assigned to receive 2 mL/kg of low-affinity LEH (l-LEH,  $P_{50} = 40$  mmHg), high-affinity LEH (h-LEH,  $P_{50} = 10$  mmHg), homologous RBCs, or saline 30 min before transient cochlear ischemia ( $n = 6$  for each group). Sequential changes in hearing were assessed by recording ABR at 8, 16, and 32 kHz 1, 4, and 7 d after ischemic insult, and then the animals were sacrificed for histological studies. The ABR study showed that h-LEH was more protective than l-LEH in suppressing hearing loss, in contrast to RBCs or saline treatment. In the morphological study, loss of IHCs was most effectively protected against by h-LEH. These findings suggest that pretreatment with h-LEH is significantly more protective than l-LEH in mitigating hearing loss and underlying pathological damage, in contrast to transfusion or saline infusion 7 d after transient cochlear ischemia<sup>[36]</sup>.

**GDNF:** GDNF promotes the survival and differentiation of dopaminergic neurons, and is able to prevent apoptosis of motor neurons induced by axotomy. We assessed the utility of an adenoviral vector expressing GDNF (Ad-GDNF) in ischemia-reperfusion injury of the gerbil cochlea. The vector was injected through the round window 4 d before ischemic insult. The distribution of a reporter transgene was confirmed throughout the cochlea, from the basal to the apical turn, and Western blot analysis indicated significant upregulation of GDNF protein 11 d following virus inoculation. Hearing ability was assessed by sequentially recording electrocochleogram, and the degree of hair cell loss was evaluated in specimens stained

with rhodamine-phalloidin and Hoechst 33342. On day 7 after ischemia, the shift in compound action potentials threshold in electrocochleogram and IHC loss were markedly suppressed in the Ad-GDNF group, compared to the control group. These results suggest that adenovirus-mediated overexpression of GDNF may be useful for protection against hair cell damage, which otherwise eventually occurs after transient ischemia in the cochlea<sup>[37]</sup>.

**Hematopoietic stem cells:** Transplantation of hematopoietic stem cells (HSCs) is considered a potential approach for promoting the repair of damaged organs. We investigated the influence of HSCs on progressive hair cell degeneration after transient cochlear ischemia. After induction of ischemia, animals were treated with an intramuscular injection of HSCs. This procedure prevented degeneration of IHCs and ameliorated hearing impairment. In addition, the protein level of GDNF in the organ of Corti was upregulated after cochlear ischemia and treatment with HSCs augmented this upregulation of GDNF. Furthermore, HSCs injected into the cochlea remained in the perilymphatic space, although they did not transdifferentiate into cochlear cell types or fuse with injured hair cells after ischemia. This suggests that HSCs have therapeutic potential, possibly through paracrine effects. Based on these findings, we concluded that intramuscular injection of HSCs may be a potential new therapeutic strategy for hearing loss<sup>[38]</sup>.

**Neural stem cells:** Neural stem cells are multipotent progenitor cells that show self-renewal activity. We assessed the use of neural stem cells for ameliorating ischemia-reperfusion injury in the gerbil cochlea. Neural stem cells were injected into the inner ear through the round window 1 d after ischemic insult. Immunostaining for nestin showed that the distribution of neural stem cells was concentrated within the organ of Corti. Seven days after ischemia, the injury-induced shift in ABR and progressive IHC damage were markedly reduced on the neural stem cell-transplanted side. These results suggest that the transplantation of neural stem cells is therapeutically useful for preventing the damage to hair cells that occurs after transient ischemia of the cochlea<sup>[39]</sup>.

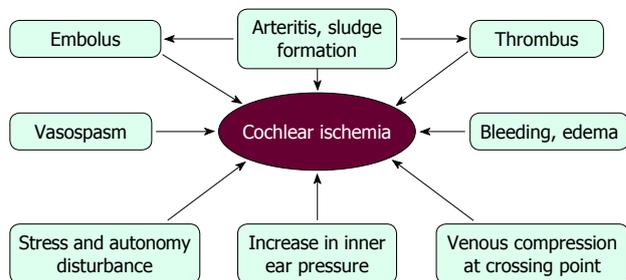
## CAUSES OF ISSHL

Presently, vascular theory as an etiology of ISSHL remains speculative, because cochlear pathology is difficult to assess in live humans. Figure 33 illustrates the possible causes of ischemic damage in the cochlea. Many risk factors, such as hyperlipoproteinemia, hyperglycemia, obesity, smoking, and stress, have been correlated with the incidence of ISSHL. However, the real cause(s) of this disease remain(s) unknown, primarily because of technical difficulties. As 30%-40% of ISSHL patients heal spontaneously, permanent occlusion of the major nourishing artery would not seem to be a major cause of ISSHL. Instead, we believe that ISSHL is caused by

**Table 1 Clinical features of idiopathic sudden sensorineural hearing loss and those of branch retinal vein occlusion**

	ISSHL	Branch retinal vein occlusion
Annual incidence in Japan	35 000	30 000-50 000
Age preponderance (yr)	50-60	60
Sex difference	No	No
Incidence	Sudden	Sudden
Background disease	Unknown	Arteriosclerosis, diabetes mellitus
Involved site	Stria vascularis?	Retinal vein
Bilateral incidence	Rare	2%-4%
Recurrence	Rare	Rare
Spontaneous healing	30%-50%	30%-50%
Effects of steroid	Effective	Effective

ISSHL: Idiopathic sudden sensorineural hearing loss.



**Figure 33 Possible mechanisms of cochlear ischemia that might result in idiopathic sudden sensorineural hearing loss.**

transient or local ischemia, caused by microcirculatory disturbances in the stria vascularis.

Arterial-venous compression is a known cause of branch retinal vein occlusion, which is the second most common cause of blindness in America. ISSHL has similar clinical characteristics to BRVC, as shown in Table 1. If arterial-venous compression is a cause of ISSHL, the capillary network in the stria vascularis would be the most likely site of the lesion. As ATP production occurs mainly in the lateral region, even a minor circulatory disturbance could cause ischemic damage in the cochlea.

## CONCLUSION

Using adult gerbils, we induced transient cochlear ischemia without a craniotomy. Ischemic insult caused mild hearing loss and sporadic loss of hair cells, especially IHCs. Mechanisms of induced cochlear damage included depletion of the energy supply, production of free radicals, and glutamate ototoxicity. We found that the cochlea shows ischemic tolerance, which may explain why recurrence is rare in ISSHL. We also reported the results of various treatment modalities, such as hypothermia and the topical and general administration of test agents.

## REFERENCES

- 1 Suckfüll M. Fibrinogen and LDL apheresis in treatment of sudden hearing loss: a randomised multicentre trial.

- Lancet* 2002; **360**: 1811-1817 [PMID: 12480357 DOI: 10.1016/S0140-6736(02)11768-5]
- 2 **De Felice C**, De Capua B, Tassi R, Mencattini G, Passàli D. Non-functioning posterior communicating arteries of circle of Willis in idiopathic sudden hearing loss. *Lancet* 2000; **356**: 1237-1238 [PMID: 11072945]
  - 3 **Lin HC**, Chao PZ, Lee HC. Sudden sensorineural hearing loss increases the risk of stroke: a 5-year follow-up study. *Stroke* 2008; **39**: 2744-2748 [PMID: 18583554 DOI: 10.1161/STROKEAHA.108.519090]
  - 4 **Ballesteros F**, Alobid I, Tassies D, Reverter JC, Scharf RE, Guilemany JM, Bernal-Sprekelsen M. Is there an overlap between sudden neurosensory hearing loss and cardiovascular risk factors? *Audiol Neurootol* 2009; **14**: 139-145 [PMID: 19005247 DOI: 10.1159/000171475]
  - 5 **Görür K**, Tuncer U, Eskandari G, Ozcan C, Unal M, Ozsahinoglu C. The role of factor V Leiden and prothrombin G20210A mutations in sudden sensorineural hearing loss. *Otol Neurotol* 2005; **26**: 599-601 [PMID: 16015153 DOI: 10.1097/01.mao.0000178120.46290.6c]
  - 6 **Capaccio P**, Ottaviani F, Cuccarini V, Ambrosetti U, Fagnani E, Bottero A, Cenzuales S, Cesana BM, Pignataro L. Sudden hearing loss and MTHFR 677C>T/1298A>C gene polymorphisms. *Genet Med* 2005; **7**: 206-208 [PMID: 15775757 DOI: 10.1097/01.GIM.0000157817.92509.45]
  - 7 **Rudack C**, Langer C, Stoll W, Rust S, Walter M. Vascular risk factors in sudden hearing loss. *Thromb Haemost* 2006; **95**: 454-461 [PMID: 16525573 DOI: 10.1160/TH05-08-0554]
  - 8 **Capaccio P**, Cuccarini V, Ottaviani F, Fracchiolla NS, Bossi A, Pignataro L. Prothrombotic gene mutations in patients with sudden sensorineural hearing loss and cardiovascular thrombotic disease. *Ann Otol Rhinol Laryngol* 2009; **118**: 205-210 [PMID: 19374152]
  - 9 **Hato N**. SNP and sudden deafness. In: Gyo K, editor. Clinical features and mechanics of ischemia-induced hearing loss. Matsuyama: Sagawa Press, 2007: 113-114 (in Japanese)
  - 10 **Kubo M**, Hata J, Ninomiya T, Matsuda K, Yonemoto K, Nakano T, Matsushita T, Yamazaki K, Ohnishi Y, Saito S, Kitazono T, Ibayashi S, Sueishi K, Iida M, Nakamura Y, Kiyohara Y. A nonsynonymous SNP in PRKCH (protein kinase C eta) increases the risk of cerebral infarction. *Nat Genet* 2007; **39**: 212-217 [PMID: 17206144 DOI: 10.1038/ng1945]
  - 11 **Yoshida T**, Sugiura M, Naganawa S, Teranishi M, Nakata S, Nakashima T. Three-dimensional fluid-attenuated inversion recovery magnetic resonance imaging findings and prognosis in sudden sensorineural hearing loss. *Laryngoscope* 2008; **118**: 1433-1437 [PMID: 18475208 DOI: 10.1097/MLG.0b013e318172ef85]
  - 12 **Hata R**, Matsumoto M, Hatakeyama T, Ohtsuki T, Handa N, Niinobe M, Mikoshiba K, Sakaki S, Nishimura T, Yanagihara T. Differential vulnerability in the hindbrain neurons and local cerebral blood flow during bilateral vertebral occlusion in gerbils. *Neuroscience* 1993; **56**: 423-439 [PMID: 8247270 DOI: 10.1016/0306-4522(93)90343-E]
  - 13 **Hakuba N**, Koga K, Shudou M, Watanabe F, Mitani A, Gyo K. Hearing loss and glutamate efflux in the perilymph following transient hindbrain ischemia in gerbils. *J Comp Neurol* 2000; **418**: 217-226 [PMID: 10701445]
  - 14 **Koga K**, Hakuba N, Watanabe F, Shudou M, Nakagawa T, Gyo K. Transient cochlear ischemia causes delayed cell death in the organ of Corti: an experimental study in gerbils. *J Comp Neurol* 2003; **456**: 105-111 [PMID: 12509868 DOI: 10.1002/cne.10479]
  - 15 **Nakashima T**, Naganawa S, Sone M, Tominaga M, Hayashi H, Yamamoto H, Liu X, Nuttall AL. Disorders of cochlear blood flow. *Brain Res Brain Res Rev* 2003; **43**: 17-28 [PMID: 14499459 DOI: 10.1016/S0165-0173(03)00189-9]
  - 16 **Morizane I**, Hakuba N, Shimizu Y, Shinomori Y, Fujita K, Yoshida T, Shudou M, Gyo K. Transient cochlear ischemia and its effects on the stria vascularis. *Neuroreport* 2005; **16**: 799-802 [PMID: 15891573 DOI: 10.1097/00001756-200505310-00004]
  - 17 **Morizane I**, Hakuba N, Hyodo J, Shimizu Y, Fujita K, Yoshida T, Gyo K. Ischemic damage increases nitric oxide production via inducible nitric oxide synthase in the cochlea. *Neurosci Lett* 2005; **391**: 62-67 [PMID: 16154689 DOI: 10.1016/j.neulet.2005.08.038]
  - 18 **Taniguchi M**, Hakuba N, Koga K, Watanabe F, Hyodo J, Gyo K. Apoptotic hair cell death after transient cochlear ischemia in gerbils. *Neuroreport* 2002; **13**: 2459-2462 [PMID: 12499849 DOI: 10.1097/00001756-200212200-00017]
  - 19 **Fujita K**, Hakuba N, Hata R, Morizane I, Yoshida T, Shudou M, Sakanaka M, Gyo K. Ginsenoside Rb1 protects against damage to the spiral ganglion cells after cochlear ischemia. *Neurosci Lett* 2007; **415**: 113-117 [PMID: 17296266 DOI: 10.1016/j.neulet.2007.01.005]
  - 20 **Thalmann R**, Kusakari J, Miyoshi T. Dysfunctions of energy releasing and consuming processes of the cochlea. *Laryngoscope* 1973; **83**: 1690-1712 [PMID: 4758766]
  - 21 **Maetani T**, Hakuba N, Taniguchi M, Hyodo J, Shimizu Y, Gyo K. Free radical scavenger protects against inner hair cell loss after cochlear ischemia. *Neuroreport* 2003; **14**: 1881-1884 [PMID: 14534440 DOI: 10.1097/00001756-200310060-00025]
  - 22 **Hakuba N**, Gyo K, Yanagihara N, Mitani A, Kataoka K. Efflux of glutamate into the perilymph of the cochlea following transient ischemia in the gerbil. *Neurosci Lett* 1997; **230**: 69-71 [PMID: 9259466 DOI: 10.1016/S0304-3940(97)00462-X]
  - 23 **Hakuba N**, Koga K, Gyo K, Usami SI, Tanaka K. Exacerbation of noise-induced hearing loss in mice lacking the glutamate transporter GLAST. *J Neurosci* 2000; **20**: 8750-8753 [PMID: 11102482]
  - 24 **Hakuba N**, Matsubara A, Hyodo J, Taniguchi M, Maetani T, Shimizu Y, Tsujiuchi Y, Shudou M, Gyo K. AMPA/kainate-type glutamate receptor antagonist reduces progressive inner hair cell loss after transient cochlear ischemia. *Brain Res* 2003; **979**: 194-202 [PMID: 12850586 DOI: 10.1016/S0006-8993(03)02919-6]
  - 25 **Hyodo J**, Hakuba N, Hato N, Takeda S, Okada M, Omotehara Y, Gyo K. Glutamate agonist causes irreversible degeneration of inner hair cells. *Neuroreport* 2009; **20**: 1255-1259 [PMID: 19625985 DOI: 10.1097/WNR.0b013e32833017ce]
  - 26 **Kitagawa K**, Matsumoto M, Tagaya M, Hata R, Ueda H, Niinobe M, Handa N, Fukunaga R, Kimura K, Mikoshiba K. 'Ischemic tolerance' phenomenon found in the brain. *Brain Res* 1990; **528**: 21-24 [PMID: 2245337 DOI: 10.1016/0006-8993(90)90189-I]
  - 27 **Kirino T**. Ischemic tolerance. *J Cereb Blood Flow Metab* 2002; **22**: 1283-1296 [PMID: 12439285]
  - 28 **Takeda S**, Hata R, Cao F, Yoshida T, Hakuba N, Hato N, Gyo K. Ischemic tolerance in the cochlea. *Neurosci Lett* 2009; **462**: 263-266 [PMID: 19596048 DOI: 10.1016/j.neulet.2009.07.019]
  - 29 **Watanabe F**, Koga K, Hakuba N, Gyo K. Hypothermia prevents hearing loss and progressive hair cell loss after transient cochlear ischemia in gerbils. *Neuroscience* 2001; **102**: 639-645 [PMID: 11226700 DOI: 10.1016/S0306-4522(00)00510-8]
  - 30 **Hyodo J**, Hakuba N, Koga K, Watanabe F, Shudou M, Taniguchi M, Gyo K. Hypothermia reduces glutamate efflux in perilymph following transient cochlear ischemia. *Neuroreport* 2001; **12**: 1983-1987 [PMID: 11435934]
  - 31 **Takeda S**, Hakuba N, Yoshida T, Fujita K, Hato N, Hata R, Hyodo J, Gyo K. Postischemic mild hypothermia alleviates hearing loss because of transient ischemia. *Neuroreport* 2008; **19**: 1325-1328 [PMID: 18695517 DOI: 10.1097/WNR.0b013e32830b5f73]
  - 32 **Fujiwara T**, Hato N, Nakagawa T, Tabata Y, Yoshida T, Komobuchi H, Takeda S, Hyodo J, Hakuba N, Gyo K. Insulin-like growth factor 1 treatment via hydrogels rescues cochlear hair cells from ischemic injury. *Neuroreport* 2008; **19**: 1585-1588 [PMID: 18845939 DOI: 10.1097/WNR.0b013e328311ca4b]
  - 33 **Omotehara Y**, Hakuba N, Hato N, Okada M, Gyo K. Protec-

- tion against ischemic cochlear damage by intratympanic administration of AM-111. *Otol Neurotol* 2011; **32**: 1422-1427 [PMID: 22089955 DOI: 10.1097/MAO.0b013e3182355658]
- 34 **Maetani T**, Hyodo J, Takeda S, Hakuba N, Kiyofumi G. Prednisolone prevents transient ischemia-induced cochlear damage in gerbils. *Acta Otolaryngol Suppl* 2009; 24-27 [PMID: 19848235]
- 35 **Terashita T**, Saito S, Miyawaki K, Hyodo M, Kobayashi N, Shimokawa T, Saito K, Matsuda S, Gyo K. Localization of pro-saposin in rat cochlea. *Neurosci Res* 2007; **57**: 372-378 [PMID: 17156877 DOI: 10.1016/j.neures.2006.11.006]
- 36 **Okada M**, Kawaguchi AT, Hakuba N, Takeda S, Hyodo J, Imai K, Hato N, Gyo K. Liposome-encapsulated hemoglobin alleviates hearing loss after transient cochlear ischemia and reperfusion in the gerbil. *Artif Organs* 2012; **36**: 178-184 [PMID: 21955137 DOI: 10.1111/j.1525-1594.2011.01306.x.]
- 37 **Hakuba N**, Watabe K, Hyodo J, Ohashi T, Eto Y, Taniguchi M, Yang L, Tanaka J, Hata R, Gyo K. Adenovirus-mediated overexpression of a gene prevents hearing loss and progressive inner hair cell loss after transient cochlear ischemia in gerbils. *Gene Ther* 2003; **10**: 426-433 [PMID: 12601397 DOI: 10.1038/sj.gt.3301917]
- 38 **Yoshida T**, Hakuba N, Morizane I, Fujita K, Cao F, Zhu P, Uchida N, Kameda K, Sakanaka M, Gyo K, Hata R. Hematopoietic stem cells prevent hair cell death after transient cochlear ischemia through paracrine effects. *Neuroscience* 2007; **145**: 923-930 [PMID: 17320298 DOI: 10.1016/j.neuroscience.2006.12.067]
- 39 **Hakuba N**, Hata R, Morizane I, Feng G, Shimizu Y, Fujita K, Yoshida T, Sakanaka M, Gyo K. Neural stem cells suppress the hearing threshold shift caused by cochlear ischemia. *Neuroreport* 2005; **16**: 1545-1549 [PMID: 16148742]

P- Reviewer Ciuman R S- Editor Wen LL  
L- Editor A E- Editor Zheng XM



## Elective regional lymphadenectomy for advanced auricular squamous cell carcinoma

William R Ryan, Chase M Heaton, Steven J Wang

William R Ryan, Chase M Heaton, Steven J Wang, Division of Head and Neck Surgery, Department of Otolaryngology, University of California, San Francisco, CA 94115, United States  
Author contributions: All authors were involved in data gathering, data synthesis, and manuscript preparation.

Correspondence to: Chase M Heaton, MD, Division of Head and Neck Surgery, Department of Otolaryngology, University of California, San Francisco, CA 94115, United States. [cheaton@ohns.ucsf.edu](mailto:cheaton@ohns.ucsf.edu)

Telephone: +1-650-3876807 Fax: +1-415-8857171

Received: May 11, 2012 Revised: November 14, 2012

Accepted: December 1, 2012

Published online: February 28, 2013

### Abstract

**AIM:** To investigate the rate of occult lymph node disease in elective parotidectomy and neck dissection specimens in patients with advanced auricular cutaneous squamous cell carcinoma (cSCC).

**METHODS:** At a single institution, from 2000 to 2010, 17 patients with advanced auricular cSCC were considered high risk for occult regional parotid and/or neck nodal metastases and, thus, underwent an auriculectomy and elective regional lymphadenectomy (parotidectomy and/or neck dissection). Indications for elective regional lymphadenectomy were large tumor size, locally invasive tumors, post-surgical and post-radiation recurrence, and being an immunosuppressed patient. We determined the presence of microscopic disease in the regional (parotid and neck dissection) pathology specimens.

**RESULTS:** There were 17 advanced auricular cSCC patients analyzed for this study. Fifteen (88%) patients were men. The average age was 69 (range: 33 to 86). Ten (59%) patients presented with post-surgical recurrence. Five (29%) patients presented with post-radiation recurrence. Four (24%) patients presented

with both post-surgical and post-radiation recurrence. Four (24%) patients were immunosuppressed (2 (12%) were liver transplant patients, 2 (12%) were chronic lymphocytic leukemia patients, and 1 (6%) was both). The subsite distribution of cSCC included helix (3, 18%), antihelix (2, 12%), conchal bowl (7, 41%), tragus (2, 12%), and postauricular sulcus (3, 18%). Four (24%) patients presented with multifocal auricular cSCC. No patients had bilateral disease. All patients were confirmed to have cSCC on final pathology. The tumors were well (5, 29%), moderately (10, 59%), and poorly (2, 12%) differentiated SCC. The average size of the cSCC tumor was 2.9 cm (range: 1.7 to 7 cm). Twelve (70%) tumors were greater than 2 cm. Six (35%) patients underwent partial auriculectomy. Eleven (65%) patients underwent total auriculectomy. Eight (47%) patients underwent elective parotidectomy and elective neck dissections; 3 (18%) underwent only elective parotidectomy; 3 (18%) underwent only an elective neck dissection; 2 (12%) underwent an elective parotidectomy and therapeutic neck dissection; and 1 (6%) underwent a therapeutic parotidectomy and an elective neck dissection. None of the elective parotidectomy or neck dissection specimens were found to contain any malignant disease. All therapeutic parotidectomy and neck dissection specimens contained metastatic SCC. Fourteen (82%) underwent parotidectomy. Of these, 10 (71%) underwent superficial parotidectomy whereas 4 (29%) underwent total parotidectomy. Fourteen (82%) underwent neck dissections [levels II/Va (1, 7%), levels II/III/Va (2, 14%), levels I/II/III/Va (2, 14%), and complete levels I-V (9, 64%)]. Three (18%) underwent concurrent temporal bone resections for tumor extension from the auricle. The average follow-up for our patients was 44 mo (range: 4 to 123 mo). At the time of the review, 6 (35%) patients were alive and 11 (65%) had passed away.

**CONCLUSION:** This study suggests that, in patients with advanced auricular cutaneous SCC, elective regional lymphadenectomy is not necessary. However,

further prospective studies are necessary to assess the necessity.

© 2013 Baishideng. All rights reserved.

**Key words:** Advanced auricular squamous cell carcinoma; Elective lymphadenectomy; Elective parotidectomy; Elective neck dissection; Occult regional metastases

Ryan WR, Heaton CM, Wang SJ. Elective regional lymphadenectomy for advanced auricular squamous cell carcinoma. *World J Otorhinolaryngol* 2013; 3(1): 16-21 Available from: URL: <http://www.wjgnet.com/2218-6247/full/v3/i1/16.htm> DOI: <http://dx.doi.org/10.5319/wjo.v3.i1.16>

## INTRODUCTION

Advanced auricular cutaneous squamous cell carcinoma (cSCC) has conventionally been treated with partial or total auricectomy accompanied by a regional lymphadenectomy, which often includes a parotidectomy and/or a neck lymph node dissection for clinically apparent or occult lymph node disease. However, this treatment paradigm is controversial and without solid data<sup>[1]</sup>. Auricular cSCC is generally defined as advanced when it has one or more of the following features: large tumor size, increased depth, locally invasive tumors (cartilage and beyond), multifocal disease, clinically apparent lymph node metastases, post-surgical recurrence, post-radiation recurrence, and when the patient is immunosuppressed<sup>[2-5]</sup>. In patients with auricular cSCC, the practice of performing therapeutic lymphadenectomy follows the logical reasoning of excising known disease whereas the practice of performing elective lymphadenectomy is done out of perceived increased risk of occult nodal disease and a known higher mortality rate associated with advanced auricular cSCC<sup>[2,6,7]</sup>.

The skin of the auricle has lymph drainage that flows to the pre-auricular/parotid, infra-auricular/neck, and post-auricular nodal basins<sup>[8,9]</sup>. Several studies claim a higher rate of metastasis with auricular cSCC compared to other sites on the head and neck<sup>[10-14]</sup>. Studies show that, for external auditory canal and auricular cSCC, the range of involvement in the parotid or neck lymph nodes is 7.9% to 17.5%<sup>[5,7,14,15]</sup>. The rate of regional metastases for other sun exposed skin sites (including the head and neck) ranges from 2% to 5%<sup>[6]</sup>. The 5 years mortality rate directly attributable to regional metastases from cSCC can be as high as 56.6% to 66.7% even after combined surgical and radiation therapy<sup>[6,7]</sup>.

With advanced auricular cSCC, elective lymphadenectomy is performed in these cases in an effort to maximize therapy, increase the chance of cure, and reduce the chance of locoregional recurrence. However, the incidence of occult parotid and neck lymph node malignant disease is currently unknown. Thus, we developed a study of patients with advanced auricular cSCC and clinically negative parotid and neck lymph nodes to determine the

rate of occult microscopic lymph node disease in the elective parotidectomy and neck dissection.

## MATERIALS AND METHODS

The Committee on Human Research at University of California, San Francisco approved this study. All patients gave informed consent for the operation they underwent.

We performed a retrospective chart review at our single institution from a database of all non-melanoma skin cancer patients treated surgically from 1997 to 2010. We found 17 patients with auricular cSCC and no suspicious regional (parotid or neck) lymphadenopathy on physical exam or imaging [by computed tomography (CT) or magnetic resonance imaging (MRI)] who underwent auricectomy (partial or total) and elective regional lymphadenectomy (superficial or total parotidectomy and/or neck dissection to any extent) from 2000 to 2010. We included only patients with auricular cSCC confined to or originating from the helix, antihelix, conchal bowl, tragus, antitragus, postauricular sulcus, or lobule and those extending from these areas to the external auditory canal or temporal bone. We excluded patients who had isolated external auditory canal or temporal bone cSCC without auricular involvement or cSCC from other head and neck subsites.

Indications for elective regional lymphadenectomy retrospectively appeared to be large tumor size, locally invasive tumors (into cartilage, the external auditory canal, parotid, or the temporal bone), multifocal auricular disease (multiple lesions involving nonadjacent auricular subunits), recurrence after previous surgery, recurrence after previous radiation, and being immunosuppressed (including transplant or chronic lymphocytic leukemia patients). Additionally, we found elective neck dissections performed for suspicious parotid lymphadenopathy and elective parotidectomy performed for suspicious neck lymphadenopathy.

For each patient, we determined the following factors: gender, age, prior surgical or radiation treatment, immunosuppression, the preoperative radiology performed (CT or MRI) to determine lymph node disease, the extent of a surgical resection they underwent (partial vs total auricectomy, superficial vs total parotidectomy, extent of neck dissections (levels 1, 2, 3, 4, and/or 5), temporal bone resection, and other procedures performed), the final surgical pathology results for the auricle tumor excision, the parotidectomy, and the neck lymphadenectomy, the size of the primary auricular tumor, the grade of the malignancy, if they subsequently underwent post-operative radiation treatment, and the length of follow-up from the surgical treatment, and their mortality/survival.

## RESULTS

Table 1 displays the characteristics and treatment experience in the 17 advanced auricular cSCC patients analyzed for this study.

**Table 1 Characteristics and treatment experience of the 17 advanced auricular cutaneous squamous cell carcinoma patients**

Pt.	Age (yr)	Sex	Tumor size (cm)	Sub-site	Prior Rx	Auriculus type	Parotid type	Neck diss. extent	Parotid, neck diss. path	Post-op XRT	F/u (mo)
1	71	M	3.0	AH	S, XRT	Total	Elective Superficial	-	Neg,	-	28
2	86	M	2.5	AH	-	Partial	-	Elective (II / III / Va)	-	-	61 (D)
3	69	M	4.1	P	S, XRT	Total	Elective Superficial	Elective (complete)	Neg,	-	80 (D)
4	69	M	1.6	C	S	Total	Elective Superficial	Elective (I / II / III)	Neg,	-	43 (L)
5	56	M	1.3	C	S	Total	Elective Superficial	Elective (complete)	Neg,	Yes	48 (L)
6	68	M	7.0	P	-	Total	Elective Total	Elective (complete)	Neg,	Yes	70 (D)
7	78	M	6.0	T	S, XRT	Total	Elective Total	Elective (complete)	Neg,	-	10 (D)
8	33	F	1.9	C	-	Total	Elective Total	Therapeutic (complete)	Neg,	-	61 (D)
9	70	M	1.7	H	S	Partial	Therapeutic Total	Elective (complete)	Pos,	Yes	96 (L)
10	71	M	1.7	C	-	Partial	Elective Superficial	Therapeutic (complete)	Neg,	Yes	123 (L)
11	86	M	2.0	P	S, XRT	Total	Elective Superficial	-	Neg,	-	53 (D)
12	69	F	2.5	T	-	Partial	Elective Superficial	Elective (I / II / III)	Neg,	Yes	5 (D)
13	61	M	3.0	H	S	Partial	Elective Superficial	Elective (complete)	Neg,	Yes	LTF
14	65	F	2.4	C	XRT	Total	-	Elective (complete)	-	-	10 (D)
15	78	M	4.2	C	S	Total	Elective Superficial	Elective (II / III / Va)	Neg,	Yes	5 (L)
16	84	M	2.0	H	-	Total	-	Elective (II / Va)	-	-	11 (D)
17	65	M	3.0	C	-	Total	Elective Superficial	-	Neg,	Yes	4 (L)

M: Male; F: Female; AH: Antihelix; P: Posterior sulcus; C: Concha bowl; T: Tragus; H: Helix; Rx: Treatment; S: Surgery; XRT: Radiation treatment; D: Deceased; L: Live; LTF: Lost to follow-up.

Fifteen (88%) patients were men. The average age was 69 years (range: 33 to 86 years). Ten (59%) patients presented with post-surgical recurrence. Five (29%) patients presented with post-radiation recurrence. Four (24%) patients presented with both post-surgical and post-radiation recurrence. Four (24%) patients were immunosuppressed 2 (12%) were liver transplant patients, 2 (12%) were chronic lymphocytic leukemia patients, and 1 (6%) was both). Six (35%) patients had preoperative CT; 6 (35%) had preoperative MRI; and 5 (29%) had no preoperative imaging. The subsite distribution of cSCC included helix (3, 18%), antihelix (2, 12%), conchal bowl (7, 41%), tragus (2, 12%), and postauricular sulcus (3, 18%). Four (24%) patients presented with multifocal auricular cSCC. No patients had bilateral disease.

All patients were confirmed to have cSCC on final pathology. The tumors were well (5, 29%), moderately (10, 59%), and poorly (2, 12%) differentiated SCC. The average size of the cSCC tumor was 2.9 cm (range: 1.7 to 7 cm). Twelve (70%) tumors were greater than 2 cm. Six (35%) patients underwent partial auricectomy. Eleven (65%) patients underwent total auricectomy.

Eight (47%) patients underwent elective parotidectomy and elective neck dissections; 3 (18%) underwent only elective parotidectomy; 3 (18%) underwent only an elective neck dissection; 2 (12%) underwent an elective parotidectomy and therapeutic neck dissection; and 1 (6%) underwent a therapeutic parotidectomy and an elective neck dissection. Six surgeons including Ryan WR, Wang SJ performed the operations (along with 3 different temporal bone surgeons).

None of the elective parotidectomy or neck dissection specimens were found to contain any malignant disease. All therapeutic parotidectomy and neck dissection specimens contained metastatic SCC.

Fourteen (82%) underwent parotidectomy. Of these, 10 (71%) underwent superficial parotidectomy whereas 4 (29%) underwent total parotidectomy. Fourteen (82%) underwent neck dissections [levels II / Va (1, 7%), levels II / III / Va (2, 14%), levels I / II / III / Va (2, 14%), and complete levels I - V (9, 64%)]. Three (18%) underwent concurrent temporal bone resections for tumor extension from the auricle. One (6%) patients underwent a concurrent condyle resection and infratemporal lymph node dis-

section (for therapeutic purposes).

Eight (47%) underwent post-operative radiation.

The average follow-up for our patients was 44 mo (4 to 123 mo). At the time of the review, 6 (35%) patients were alive and 11 (65%) had passed away.

## DISCUSSION

This study shows a 10-year experience by 6 different surgeons at one institution of 17 patients with advanced auricular cSCC all of whom had negative elective lymphadenectomy specimens on final surgical pathologic analysis. This absolute result calls into the question the need for elective parotidectomy and neck dissection in the cases of advanced auricular cSCC.

This study was partly inspired by and corroborates Osborne *et al*<sup>[16]</sup> in their study of advanced auricular cSCC and elective parotidectomy. Osborne *et al*<sup>[16]</sup> found that, in 19 patients, none of the elective parotidectomy specimens performed for advanced auricular cSCC had any positive final surgical pathology. We found the same results with elective parotidectomy and, uniquely, the same results for the elective neck dissections.

Elective lymphadenectomy is performed for any cancer of the head and neck when the predicted risk of occult nodal disease reaches a certain threshold of 15% to 20%. An elective lymphadenectomy is performed to avoid unsalvageable neck disease and improve survival accepting the fact that some unnecessary surgery will be performed. However, our data showing no nodal metastases in 12 patients who underwent elective neck dissection suggests that elective regional lymphadenectomy for auricular cSCC may not necessarily be beneficial.

Limiting the use of elective parotidectomy and neck dissection could reduce the cost, time, and potential morbidity associated with these operations. The total time associated with the parotidectomy and neck dissection includes operating time, hospital stay, and recovery at home. Both procedures require drain placement and a hospitalization of one to several days. Parotidectomy surgery carries the risks of temporary or permanent facial nerve injury (with possible corneal keratitis, facial droop, asymmetric smile, and oral incompetence), hematoma, seroma, salivoma, cellulitis, abscess, skin flap loss, gustatory sweating (Frey's Syndrome), unwanted indentation in the face, unwanted incision, and perincisional, great auricular, and auriculotemporal nerve injury-associated numbness. Neck dissection, depending on the extent performed, carries the addition risks of unwanted neck sensory dysfunction, neck soft tissue defect if the sternocleidomastoid muscle is removed, chylous fistula/leak, and motor nerve injury to the spinal accessory, marginal mandibular, hypoglossal, vagus, superior laryngeal, phrenic, sympathetic, and brachial plexus nerves. In addition, conceivably, a total auriculectomy could be performed under local anesthesia preventing the need for a general anesthetic and intubation all together in select cases.

The data on cSCC in this study correlates with the

body of evidence showing the lack of benefit for elective lymphadenectomy for higher stage malignant melanoma. Several studies show with different thicknesses of malignant melanoma in different parts of the body that elective lymphadenectomy (including parotidectomy and neck dissections in some studies) brought no measurable increase in locoregional control, disease-specific survival, or overall survival<sup>[17-19]</sup>. Thus, possibly a similar management protocol could be relevant to advanced cases of cSCC with regards to the use of sentinel lymph node biopsy for assessing the need for elective lymphadenectomy<sup>[20]</sup>.

This study is by no means a complete denouncement on the use of elective lymphadenectomy for advanced auricular or head and neck cSCC. There is the risk of leaving occult disease in a patient when a regional lymph node bed is left untreated. Subclinical neck malignancy lymphadenopathy rates in head and neck cSCC are reported as being as high as 35% in 2 studies<sup>[21,22]</sup>. Two other studies show rates being lower: at 16%<sup>[23,24]</sup>. Freedlander showed that, in auricular cSCC, 85% of the metastases to the parotid or neck occurred within 1 year of initial auricular excision<sup>[14]</sup>. Nonetheless, Byers *et al*<sup>[3]</sup> found no difference in survival between elective and therapeutic neck dissection but did not report the numbers of patients in each category.

A more thorough analysis of the primary tumor final pathology may be the deciding factor for the need for elective lymphadenectomy in auricular cSCC and possibly cSCC for other head and neck sites. In a recent study, Clark *et al*<sup>[5]</sup> showed that tumors with a depth of invasion > 8 mm had a 56.2% risk of metastatic spread and those with a depth of invasion between 2 and 8 mm and with evidence of cartilage destruction, lymphovascular invasion or a non-cohesive invasive front have a 24.2% risk of metastatic spread. In a meta-analysis, Rowe *et al*<sup>[6]</sup> showed an increased likelihood of regional metastases with tumor size over 2 cm, depth of invasion over 4 mm, poorly-differentiated grade, perineural invasion, and local recurrence. Given the higher percentages (being over 15%-20%) in auricular cSCC with these features, we agree that elective regional lymphadenectomy is tempting. However, the retrospective nature of these studies calls into question the time relationship between the development of the primary cancer and regional metastases.

The withholding of elective lymphadenectomy for cSCC does not remove the need for close observation. In a patient with poor prognostic risk factors, more frequent follow-up with careful evaluation of the regional lymph node basins is certainly important if an observational strategy is to be implemented. Ultrasound in particular is a promising and accurate method for surveillance of the parotid and neck in these patients which may be used in conjunction or possibly in lieu of the cross-sectional imaging of CT and MRI<sup>[25,26]</sup>.

Our study has limitations. This is a retrospective series at only one institution involving multiple surgeons with different treatment philosophies over a 10-year period with a small sample size. With heterogenous operations being performed and no standard surgical treatment

regimen (superficial or total parotidectomy and different extents of neck dissections being performed), occult lymph nodes could have been missed. The sample size is small reducing its generalizability but does reflect the rare character of this particular clinical scenario. There is no control group to compare regional recurrence or survival rates. However, the use of a comparison untreated group is beyond the scope of the goal of determining the rate of regional nodal basin occult disease.

A prospective randomized controlled trial of advanced cSCC with concern for occult regional metastases would be ideal but difficult to carry out given the multiple subsites of the head and neck and the rare presentation of the disease in this setting. Nevertheless, further studies are necessary to further clarify the extent of occult lymph node involvement in advanced auricular cSCC and other sites in the head and neck and a process by which to appropriately risk stratify patients into undergoing elective lymphadenectomy.

This small sample suggests that, in patients with advanced auricular cSCC, elective regional lymphadenectomy may not be necessary. Larger multi-institutional prospective studies are necessary to assess the necessity of elective regional lymphadenectomy for advanced auricular squamous cell carcinoma.

## ACKNOWLEDGMENTS

We would like to thank the database managers who helped create the head and neck non-melanoma skin cancer database at our institution.

## COMMENTS

### Background

Advanced auricular cutaneous squamous cell carcinoma (cSCC) has conventionally been treated with partial or total auriculectomy accompanied by a regional lymphadenectomy, which often includes a parotidectomy and/or a neck lymph node dissection for clinically apparent or occult lymph node disease. With advanced auricular cSCC, elective lymphadenectomy is performed in these cases in an effort to maximize therapy, increase the chance of cure, and reduce the chance of locoregional recurrence. However, the incidence of occult parotid and neck lymph node malignant disease is currently unknown. Thus, authors developed a study of patients with advanced auricular cSCC and clinically negative parotid and neck lymph nodes to determine the rate of occult microscopic lymph node disease in the elective parotidectomy and neck dissection.

### Research frontiers

Several studies claim a higher rate of metastasis with auricular cSCC compared to other sites on the head and neck. Studies show that, for external auditory canal and auricular cSCC, the range of involvement in the parotid or neck lymph nodes is 7.9% to 17.5%. The 5 years mortality rate directly attributable to regional metastases from cSCC can be as high as 56.6% to 66.7% even after combined surgical and radiation therapy. Current research is attempting to further delineate what surgical management is needed in this disease process.

### Innovations and breakthroughs

Very little research has been done in evaluating the incidence of occult nodal disease in advanced auricular cutaneous SCC. Historically, regional lymphadenectomy has been performed electively in these cases. This study concludes that regional lymphadenectomy may not be necessary and hopes to spawn further larger prospective trials.

### Applications

This small sample suggests that, in patients with advanced auricular cSCC, elective regional lymphadenectomy may not be necessary. Larger multi-institutional

prospective studies are necessary to assess the necessity of elective regional lymphadenectomy for advanced auricular squamous cell carcinoma.

### Terminology

Advanced auricular SCC is defined as advanced when it has one or more of the following features: large tumor size, increased depth, locally invasive tumors (cartilage and beyond), multifocal disease, clinically apparent lymph node metastases, post-surgical recurrence, post-radiation recurrence, and when the patient is immunosuppressed.

### Peer review

This retrospective study focuses on 17 patients with auricular squamous cell carcinoma. Authors determined the rate of occult lymph node disease in elective parotidectomy and neck dissection specimens. Manuscript is well written.

## REFERENCES

- 1 **Motley R**, Kersey P, Lawrence C. Multiprofessional guidelines for the management of the patient with primary cutaneous squamous cell carcinoma. *Br J Plast Surg* 2003; **56**: 85-91 [PMID: 12791348 DOI: 10.1016/S0007-1226(03)00028-6]
- 2 **Afzelius LE**, Gunnarsson M, Nordgren H. Guidelines for prophylactic radical lymph node dissection in cases of carcinoma of the external ear. *Head Neck Surg* 1980; **2**: 361-365 [PMID: 7364589 DOI: 10.1002/hed.2890020504]
- 3 **Byers R**, Kesler K, Redmon B, Medina J, Schwarz B. Squamous carcinoma of the external ear. *Am J Surg* 1983; **146**: 447-450 [PMID: 6625089 DOI: 10.1016/0002-9610(83)90028-3]
- 4 **Fredricks S**. External ear malignancy. *Br J Plast Surg* 1956; **9**: 136-160 [PMID: 13342393 DOI: 10.1016/S0007-1226(56)80025-8]
- 5 **Clark RR**, Soutar DS, Hunter KD. A retrospective analysis of histological prognostic factors for the development of lymph node metastases from auricular squamous cell carcinoma. *Histopathology* 2010; **57**: 138-146 [PMID: 20653785 DOI: 10.1111/j.1365-2559.2010.03593.x]
- 6 **Rowe DE**, Carroll RJ, Day CL. Prognostic factors for local recurrence, metastasis, and survival rates in squamous cell carcinoma of the skin, ear, and lip. Implications for treatment modality selection. *J Am Acad Dermatol* 1992; **26**: 976-990 [PMID: 1607418 DOI: 10.1016/0190-9622(92)70144-5]
- 7 **Weinstock MA**. Epidemiologic investigation of nonmelanoma skin cancer mortality: the Rhode Island Follow-Back Study. *J Invest Dermatol* 1994; **102**: 6S-9S [PMID: 8006441 DOI: 10.1111/1523-1747.ep12385735]
- 8 **Sappey Ph**. Etudes sur l'appareil mucipare et sur le systeme lymphatique despoisons. 5th ed. Paris: Adrien Delahaye, 1880
- 9 **Rouviere H**. Anatomie des Lymphatiques de l'Homme. Paris: Libraires de l'Academie de Medecine, 1932
- 10 **Pless J**. Carcinoma of the external ear. *Scand J Plast Reconstr Surg* 1976; **10**: 147-151 [PMID: 1019587 DOI: 10.3109/02844317609105202]
- 11 **Chen KT**, Dehner LP. Primary tumors of the external and middle ear. I. Introduction and clinicopathologic study of squamous cell carcinoma. *Arch Otolaryngol* 1978; **104**: 247-252 [PMID: 646716 DOI: 10.1001/archotol.1978.00790050013003]
- 12 **Blake GB**, Wilson JS. Malignant tumours of the ear and their treatment. I. Tumours of the auricle. *Br J Plast Surg* 1974; **27**: 67-76 [PMID: 4817150 DOI: 10.1016/0007-1226(74)90065-4]
- 13 **Freedlander E**, Chung FF. Squamous cell carcinoma of the pinna. *Br J Plast Surg* 1983; **36**: 171-175 [PMID: 6831095 DOI: 10.1016/0007-1226(83)90085-1]
- 14 **Lee D**, Nash M, Har-El G. Regional spread of auricular and periauricular cutaneous malignancies. *Laryngoscope* 1996; **106**: 998-1001 [PMID: 8699916 DOI: 10.1097/00005537-199608000-00016]
- 15 **Conley J**, Schuller DE. Malignancies of the ear. *Laryngoscope* 1976; **86**: 1147-1163 [PMID: 950857 DOI: 10.1288/00005537-197608000-00007]
- 16 **Osborne RF**, Shaw T, Zandifar H, Kraus D. Elective parotidectomy in the management of advanced auricular malignancies. *Laryngoscope* 2008; **118**: 2139-2145 [PMID: 19029866]

- DOI: 10.1097/MLG.0b013e318182c30b]
- 17 **Balch CM.** The role of elective lymph node dissection in melanoma: rationale, results, and controversies. *J Clin Oncol* 1988; **6**: 163-172 [PMID: 3275746]
  - 18 **Myers JN.** Value of neck dissection in the treatment of patients with intermediate-thickness cutaneous malignant melanoma of the head and neck. *Arch Otolaryngol Head Neck Surg* 1999; **125**: 110-115 [PMID: 9932599]
  - 19 **Balch CM, Soong S, Ross MI, Urist MM, Karakousis CP, Temple WJ, Mihm MC, Barnhill RL, Jewell WR, Wanebo HJ, Harrison R.** Long-term results of a multi-institutional randomized trial comparing prognostic factors and surgical results for intermediate thickness melanomas (1.0 to 4.0 mm). Intergroup Melanoma Surgical Trial. *Ann Surg Oncol* 2000; **7**: 87-97 [PMID: 10761786 DOI: 10.1007/s10434-000-0087-9]
  - 20 **Morton DL, Cochran AJ, Thompson JF, Elashoff R, Essner R, Glass EC, Mozzillo N, Nieweg OE, Roses DF, Hoekstra HJ, Karakousis CP, Reintgen DS, Coventry BJ, Wang HJ.** Sentinel node biopsy for early-stage melanoma: accuracy and morbidity in MSLT-I, an international multicenter trial. *Ann Surg* 2005; **242**: 302-311; discussion 311-313 [PMID: 16135917]
  - 21 **Veness MJ, Porceddu S, Palme CE, Morgan GJ.** Cutaneous head and neck squamous cell carcinoma metastatic to parotid and cervical lymph nodes. *Head Neck* 2007; **29**: 621-631 [PMID: 17230560 DOI: 10.1002/hed.20576]
  - 22 **O'Brien CJ, McNeil EB, McMahon JD, Pathak I, Lauer CS, Jackson MA.** Significance of clinical stage, extent of surgery, and pathologic findings in metastatic cutaneous squamous carcinoma of the parotid gland. *Head Neck* 2002; **24**: 417-422 [PMID: 12001070 DOI: 10.1002/hed.10063]
  - 23 **Audet N, Palme CE, Gullane PJ, Gilbert RW, Brown DH, Irish J, Neligan P.** Cutaneous metastatic squamous cell carcinoma to the parotid gland: analysis and outcome. *Head Neck* 2004; **26**: 727-732 [PMID: 15287040 DOI: 10.1002/hed.20048]
  - 24 **Dona E, Veness MJ, Cakir B, Morgan GJ.** Metastatic cutaneous squamous cell carcinoma to the parotid: the role of surgery and adjuvant radiotherapy to achieve best outcome. *ANZ J Surg* 2003; **73**: 692-696 [PMID: 12956783 DOI: 10.1046/j.1445-2197.2003.02737.x]
  - 25 **van den Brekel MW, Stel HV, Castelijns JA, Croll GJ, Snow GB.** Lymph node staging in patients with clinically negative neck examinations by ultrasound and ultrasound-guided aspiration cytology. *Am J Surg* 1991; **162**: 362-366 [PMID: 1951890 DOI: 10.1016/0002-9610(91)90149-8]
  - 26 **Vassallo P, Wernecke K, Roos N, Peters PE.** Differentiation of benign from malignant superficial lymphadenopathy: the role of high-resolution US. *Radiology* 1992; **183**: 215-220 [PMID: 1549675]

**P- Reviewers** Thakur JS, Deganello A **S- Editor** Wen LL  
**L- Editor** A **E- Editor** Zheng XM



## Endolymphatic hydrops in Meniere's disease secondary to otitis media and visualized by gadolinium-enhanced magnetic resonance imaging

Jing Zou, Ilmari Pyykkö

Jing Zou, Ilmari Pyykkö, Hearing and Balance Research Unit, Field of Oto-laryngology, School of Medicine, University of Tampere, 33520 Tampere, Finland

**Author contributions:** Zou J reviewed the case history, analyzed the magnetic resonance imaging and wrote the paper; Pyykkö I performed the clinic observation and magnetic resonance imaging.

**Supported by** The European Community 7th Framework Programme on Research, NanoValid (Contract: 263147)

**Correspondence to:** Jing Zou, MD, PhD, Associate Professor, Head of Hearing and Balance Research Unit, Field of Otolaryngology, School of Medicine, University of Tampere, Medisiinarinkatu 3, Room C2165a, 33520 Tampere, Finland. [jing.zou@uta.fi](mailto:jing.zou@uta.fi)

Telephone: +358-4-1901307 Fax: +358-3-3641482

Received: November 8, 2012 Revised: January 16, 2013

Accepted: February 2, 2013

Published online: February 28, 2013

turn. In general, the Gd-DOTA uptake in the vestibule was weak, and signs of vestibular endolymphatic hydrops were obvious. The N8 on the diseased side was also significantly enhanced. To conclude, endolymphatic hydrops in MD may be induced by otitis media. Cochlear endolymphatic hydrops in MD secondary to otitis media may not follow the classical pattern.

© 2013 Baishideng. All rights reserved.

**Key words:** Endolymphatic hydrops; Otitis media; Meniere's disease; Magnetic resonance imaging; Sensorineural hearing loss

Zou J, Pyykkö I. Endolymphatic hydrops in Meniere's disease secondary to otitis media and visualized by gadolinium-enhanced magnetic resonance imaging. *World J Otorhinolaryngol* 2013; 3(1): 22-25 Available from: URL: <http://www.wjgnet.com/2218-6247/full/v3/i1/22.htm> DOI: <http://dx.doi.org/10.5319/wjo.v3.i1.22>

### Abstract

Aimed to test the hypothesis that endolymphatic hydrops in Meniere's disease (MD) may be secondary to otitis media, history of a patient who developed MD as a complication of otitis media was reviewed. The inner ear was imaged using a 3.0 Tesla MR system post-intravenous injection of gadolinium-tetraazacyclododecane-tetraacetic acid (Gd-DOTA) in a standard single dosage (0.1 mmol/kg). Both T2-spc-rst-tra-iso (T2-weighted) and heavily T2-weighted 3-dimensional fluid-attenuated inversion recovery magnetic resonance imaging [hT(2)W-3D-FLAIR] sequences were applied. As a result, in the T2-weighted images, the perilymph and endolymph, cerebrospinal fluid surrounding the eighth nerve (N8), and middle ear granulation tissue showed intense signals. In the hT(2)W-3D-FLAIR images, evident enhancement by Gd-DOTA was observed in the middle ear cavity and the perilymphatic compartments of the cochlea. Cochlear endolymphatic hydrops was implicated by the enlarged scala media in the basal

### INTRODUCTION

Endolymphatic hydrops is the typical pathological finding in Meniere's disease (MD) and has been observed post mortem<sup>[1]</sup>. Gadolinium-enhanced magnetic resonance imaging (MRI) can definitively diagnose MD and assess endolymphatic hydrops<sup>[2]</sup>. The etiology of MD is unknown, but immune reactions, viral infections, inflammation, and vascular insufficiency are suspected to contribute to its progression. In some cases, MD may be secondary to chronic otitis media<sup>[3]</sup>. We speculate that MD cases that are caused by inflammation might have the same mechanisms as immune-mediated inflammation in experimental animals, particularly in the middle ear stimulation that causes endolymphatic hydrops. In guinea pigs, stimulation by keyhole limpet hemocyanin through the middle ear caused endolymphatic hydrops as a result of increased

permeability in the blood-inner ear barrier<sup>[4]</sup>. However, endolymphatic hydrops in MD that is suspected to be secondary to otitis media has not been observed *in vivo*. The present article describes a patient who developed MD as a complication of otitis media and in whom endolymphatic hydrops was visualized *via* gadolinium-enhanced MRI.

## CASE REPORT

In 2008, a 45-year-old man in the outpatient otolaryngology department presented with vertigo, tinnitus, and hearing loss in the right ear. The patient had diabetes, obstructive sleep apnea, unilateral hydronephrosis, and hypertension. In 2000, the patient awoke with vertigo that was provoked by positional changes. In a detailed examination, spontaneous left-beating nystagmus was noted. After performing Epley's maneuver, the vertigo was resolved. The patient did not complain of hearing loss or tinnitus. In 2007, the patient experienced rotary vertigo and vomiting without any noticeable hearing loss after strenuous carpentry work. In a clinical evaluation, the patient showed spontaneous left-beating nystagmus and was diagnosed with right-sided vestibular neuritis. The vertigo faded slowly over the course of several weeks. At the beginning of 2008, the patient experienced tinnitus and hearing loss in the right ear. A closer evaluation detected glue ear on the diseased side. An audiogram showed conductive high frequency hearing loss of 40 dB (Figure 1A). After paracentesis, a tympanostomy tube was inserted and the patient was followed more closely. The hearing loss prevailed (Figure 1B and C), and the spiral CT showed a fluid-filled cellular system in the middle ear. A mastoidectomy was performed, but the middle ear problems did not subside, and the middle ear ventilation did not improve. In an endoscopy of the Eustachian tube, the posterior cushion and the torus tubarius was swollen in a cherry-like manner. The vertigo symptoms worsened, and the patient experienced several weekly vertigo attacks. In February 2011, an inner ear MRI was performed using a 3 Tesla MR System with a 32-channel head coil and an additional ear coil (Siemens Trio-Tim, Erlangen, Germany) with 4 h post-intravenous injections of gadolinium-tetraazacyclododecane-tetraacetic acid (Gd-DOTA). The vertigo attacks continued at the same level of severity. In September 2011, laser Eustachian tuboplasty and exploratory tympanostomy with installment of tympanostomy tube were performed. After the surgery, the patient was nearly asymptomatic except for one mild vertigo attack. Subjectively, the patient's hearing also improved (Figure 1D). At present (June 2012), the patient has no vertigo symptoms.

The MRI measurement was performed on April 5, 2011. Gd-DOTA (500 mmol/L, Guerbet, France) was injected intravenously in a standard single dosage (0.1 mmol/kg). After 4 h, the patient was evaluated with both t2-spc-rst-tra-iso (T2-weighted) and heavily T2-weighted 3-dimensional fluid-attenuated inversion recovery MRI [hT(2)W-3D-FLAIR] sequences. The t2-spc-rst-tra-iso parameters were as follows: SL 0.5, echo time (TE) 132 ms, repetition time (TR) 1610 ms, field of view (FOV) 199 × 199, 380

px 384 s, W 754, C 320, and NEX 2. The hT(2)W-3D-FLAIR images were acquired using the following parameters<sup>[5]</sup>: SL 0.8, TE 538 ms, TR 10 700 ms, TI 2350, FOV 150 × 180, 270 px 320 s, W 214, C 74, NEX 2. The images were displayed using syngo Fastview software (Siemens Germany) combined with the CS3 program.

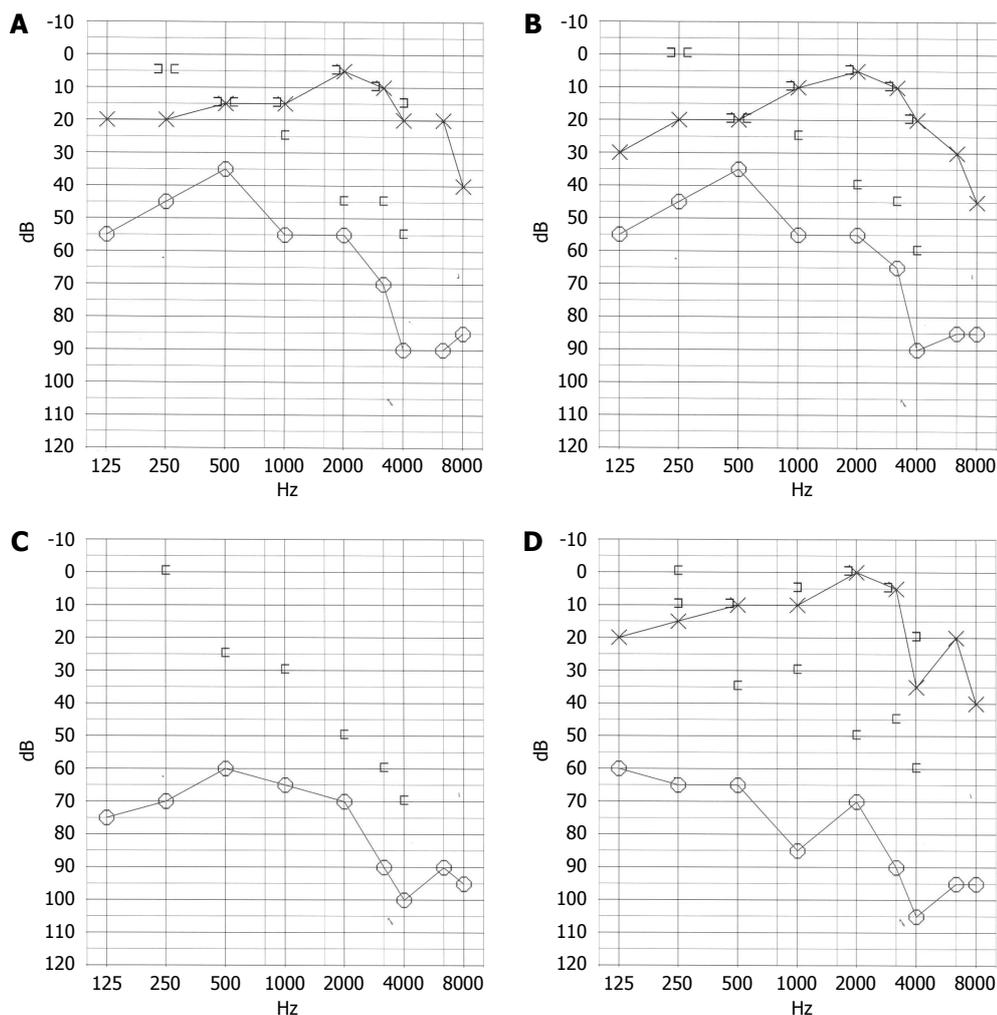
In the T2-weighted images, the perilymph and endolymph of the inner ear, cerebrospinal fluid (CSF) surrounding the eighth nerve (N8), and middle ear granulation tissue showed intense signals (Figure 2A-E). There were slightly signal intensity differences between the left [224.5 arbitrary unit (AU)] and right cochlear basal turn (216.5 AU) and the left (239.3 AU) and right vestibulum (236.5 AU). In the hT(2)W-3D-FLAIR images, evident enhancement by Gd-DOTA was observed in the middle ear cavity and the perilymphatic compartments of the cochlea. Cochlear endolymphatic hydrops was implicated by the enlarged scala media in the basal turn (Figure 2B). In general, the Gd-DOTA uptake in the vestibule was weak, and signs of vestibular endolymphatic hydrops were obvious (Figure 2B). The N8 on the diseased side was also significantly enhanced (Figure 2F).

## DISCUSSION

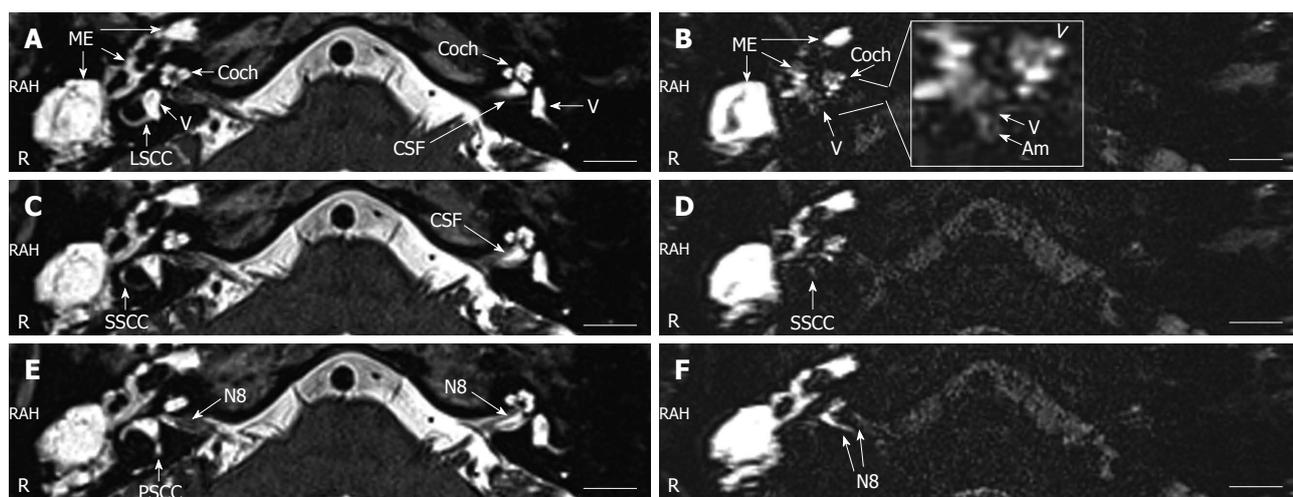
This is the first case to show endolymphatic hydrops *in vivo* in a patient with MD secondary to otitis media. In the literature, vestibular pathology secondary to otitis media has been shown by objective measurement of the vestibular function<sup>[6,7]</sup>. A potential pathway for inflammatory cytokines and even pathogens to enter the vestibular system through the annular ligament across the stapedio-vestibular joint has been hypothesized by Zou *et al.*<sup>[8]</sup> in an *in vivo* MRI study. In the present case, the severity of endolymphatic hydrops was greater in the vestibulum than in the cochlea, which supports the Zou *et al.*<sup>[8]</sup> hypothesis.

One ultrastructural study has shown that the middle ear side of the footplate of the stapes had histopathological changes in patients with otitis media, although the vestibular side remained essentially unchanged<sup>[9]</sup>. Although involvement of the stapes in otitis media is likely common, the possibility that infection agents or products of inflammation may cross the porous annular ligament into the vestibule must be considered.

Cochlear endolymphatic hydrops located at the basal turn suggested that the round window membrane may also be involved in the passage of pathogens or inflammatory agents into the cochlea. Papp *et al.*<sup>[10]</sup> have reported that chronic suppurative otitis media induced sensorineural hearing loss related to high frequencies. Sensorineural hearing loss at 4 kHz gradually increased according to the duration of the chronic suppurative otitis media and was greater than that of speech frequencies. This result was explained by the closer location of the hair cells that are responsible for high frequency hearing at the base of the cochlea and the round window. According to the present case study and a previous animal study, we further hypothesize that cochlear endolymphatic hydrops in MD



**Figure 1 Audiogram showing dynamic change in the hearing thresholds.** A: Hearing thresholds at the glue ear diagnosis (8 years post-Benign paroxysmal positional vertigo); B: Hearing thresholds one year after the tympanostomy tube insertion; C: Hearing recovery 2 years after the tympanostomy tube insertion; D: Hearing thresholds at the magnetic resonance imaging study.



**Figure 2 Magnetic resonance imaging of the inner ear acquired with a 3 Tesla machine.** In the T2-weighted images (A, C, E), intense signals in the perilymph and endolymph of the inner ear and cerebrospinal fluid (CSF) surrounding the eighth nerve (N8) and the middle ear cavity (ME) were demonstrated. In the heavily T2-weighted 3-dimensional fluid-attenuated inversion recovery magnetic resonance images, evident enhancement by gadolinium-tetraazacyclododecane-tetraacetic acid (Gd-DOTA) was detected in the middle ear cavity and the perilymphatic compartments of the cochlea. Suspected endolymphatic hydrops was indicated by the enlarged scala media at the basal turn [arrowhead in the enlarged window of (B)]. In general, the Gd-DOTA uptake in the vestibule was weak, and endolymphatic hydrops became obvious in the vestibulum (V) and ampulla of the semicircular canal (Am) [enlarged window of (B)]. Gd-DOTA uptake in the perilymph of superficial semicircular canal was detected in the diseased ear (D). No uptake of Gd-DOTA was demonstrated neither in the lateral semicircular canal nor in the posterior semicircular canal. The N8 (in F) on the diseased side showed significant enhancement. LSCC: Lateral semicircular canal; PSCC: Posterior semicircular canal; SSCC: Superior semicircular canal.

secondary to otitis media may not follow the classical pattern and spread from the apex to the basal turn and vestibulum<sup>[4]</sup>.

The observed enhancement of the N8 indicates a local injury of the blood-brain barrier. It has been reported that activated neurotogenic T-cells alter the blood-nerve barrier when entering into the peripheral nerves, which provides circulating demyelinating antibodies access to the endoneurium<sup>[11]</sup>. Similarly, this process may occur in the N8 if immune reactions overreact.

## REFERENCES

- 1 **Kimura RS.** Experimental blockage of the endolymphatic duct and sac and its effect on the inner ear of the guinea pig. A study on endolymphatic hydrops. *Ann Otol Rhinol Laryngol* 1967; **76**: 664-687 [PMID: 6046009]
- 2 **Zou J, Pyykkö I, Bretlau P, Klason T, Bjelke B.** In vivo visualization of endolymphatic hydrops in guinea pigs: magnetic resonance imaging evaluation at 4.7 tesla. *Ann Otol Rhinol Laryngol* 2003; **112**: 1059-1065 [PMID: 14703111]
- 3 **Paparella MM, de Sousa LC, Mancini F.** Meniere's syndrome and otitis media. *Laryngoscope* 1983; **93**: 1408-1415 [PMID: 6633111 DOI: 10.1288/00005537-198308000-00006]
- 4 **Zou J, Pyykkö I, Bjelke B, Toppila E.** In vivo MRI visualization of endolymphatic hydrops induced by keyhole limpet hemocyanin round window immunization. *Audiol Med* 2007; **5**: 182-187 [DOI: 10.1080/16513860701305578]
- 5 **Naganawa S, Kawai H, Sone M, Nakashima T.** Increased sensitivity to low concentration gadolinium contrast by optimized heavily T2-weighted 3D-FLAIR to visualize endolymphatic space. *Magn Reson Med Sci* 2010; **9**: 73-80 [PMID: 20585197 DOI: 10.2463/mrms.9.73]
- 6 **Gianoli GJ, Soileau JS.** Chronic suppurative otitis media, caloric testing, and rotational chair testing. *Otol Neurotol* 2008; **29**: 13-15 [PMID: 18046261 DOI: 10.1097/mao.0b013e31815c2589]
- 7 **Seo T, Miyamoto A, Saka N, Shimano K, Nishida T, Hashimoto M, Sakagami M.** Vestibular evoked myogenic potential induced by bone-conducted stimuli in patients with conductive hearing loss. *Acta Otolaryngol* 2008; **128**: 639-643 [PMID: 18568497 DOI: 10.1080/00016480701635183]
- 8 **Zou J, Poe D, Ramadan UA, Pyykkö I.** Oval window transport of Gd-DOTA from rat middle ear to vestibulum and scala vestibuli visualized by in vivo magnetic resonance imaging. *Ann Otol Rhinol Laryngol* 2012; **121**: 119-128 [PMID: 22397222]
- 9 **Goycoolea MV.** Oval and round window membrane changes in otitis media in the human. An ultrastructural study. *Acta Otolaryngol* 1995; **115**: 282-285 [PMID: 7610823 DOI: 10.3109/00016489509139310]
- 10 **Papp Z, Rezes S, Jókay I, Sziklai I.** Sensorineural hearing loss in chronic otitis media. *Otol Neurotol* 2003; **24**: 141-144 [PMID: 12621323 DOI: 10.1097/00129492-200303000-00003]
- 11 **Hahn AF, Feasby TE, Wilkie L, Lovgren D.** Antigalactocerebroside antibody increases demyelination in adoptive transfer experimental allergic neuritis. *Muscle Nerve* 1993; **16**: 1174-1180 [PMID: 7692294 DOI: 10.1002/mus.880161106]

**P-Reviewers** Haralampos G, Tsutomu N, Thomas B  
**S-Editor** Jiang L **L-Editor** A **E-Editor** Zheng XM



**GENERAL INFORMATION**

*World Journal of Otorhinolaryngology* (*World J Otorhinolaryngol*, *WJO*, online ISSN 2218-6247, DOI: 10.5319) is a peer-reviewed open access (OA) academic journal that aims to guide clinical practice and improve diagnostic and therapeutic skills of clinicians.

**Aim and scope**

*WJO* covers topics concerning endoscopy, rhinology, pharyngology, laryngology, tracheo-esophagology, otology, tracheology, cancer, nasal symptomatology, congenital nasal diseases, inflammatory diseases of the external nose, rhinitis, allergic rhinitis, nasal polyps, nasal septal diseases, nasal bleeding, nasal or sinus foreign bodies, sinusitis, rhinogenic complications, diagnostic imaging, evidence-based medicine, epidemiology and nursing. The current columns of *WJO* include editorial, frontier, diagnostic advances, therapeutics advances, field of vision, mini-reviews, review, topic highlight, medical ethics, original articles, case report, clinical case conference (Clinicopathological conference), and autobiography. Priority publication will be given to articles concerning diagnosis and treatment of otorhinolaryngologic diseases. The following aspects are covered: Clinical diagnosis, laboratory diagnosis, differential diagnosis, imaging tests, pathological diagnosis, molecular biological diagnosis, immunological diagnosis, genetic diagnosis, functional diagnostics, and physical diagnosis; and comprehensive therapy, drug therapy, surgical therapy, interventional treatment, minimally invasive therapy, and robot-assisted therapy.

We encourage authors to submit their manuscripts to *WJO*. We will give priority to manuscripts that are supported by major national and international foundations and those that are of great basic and clinical significance.

*WJO* is edited and published by Baishideng Publishing Group (BPG). BPG has a strong professional editorial team composed of science editors, language editors and electronic editors. BPG currently publishes 42 OA clinical medical journals, including 41 in English, has a total of 15 471 editorial board members or peer reviewers, and is a world first-class publisher.

**Columns**

The columns in the issues of *WJO* will include: (1) Editorial: The editorial board members are invited to make comments on an important topic in their field in terms of its current research status and future directions to lead the development of this discipline; (2) Frontier: The editorial board members are invited to select a highly cited cutting-edge original paper of his/her own to summarize major findings, the problems that have been resolved and remain to be resolved, and future research directions to help readers understand his/her important academic point of view and future research directions in the field; (3) Diagnostic Advances: The editorial board members are invited to write high-quality diagnostic advances in their field to improve the diagnostic skills of readers. The topic covers general clinical diagnosis, differential diagnosis, pathological diagnosis, laboratory diagnosis, imaging diagnosis, endoscopic diagnosis, biotechnological diagnosis, functional diagnosis, and physical diagnosis; (4) Therapeutics Advances: The editorial board members are invited to write high-quality therapeutic advances in their field to help improve the therapeutic skills of readers. The topic covers medication therapy, psychotherapy, physical therapy, replacement therapy, interventional therapy, minimally invasive therapy, endoscopic therapy, transplantation therapy, and surgical therapy; (5)

Field of Vision: The editorial board members are invited to write commentaries on classic articles, hot topic articles, or latest articles to keep readers at the forefront of research and increase their levels of clinical research. Classic articles refer to papers that are included in Web of Knowledge and have received a large number of citations (ranking in the top 1%) after being published for more than years, reflecting the quality and impact of papers. Hot topic articles refer to papers that are included in Web of Knowledge and have received a large number of citations after being published for no more than 2 years, reflecting cutting-edge trends in scientific research. Latest articles refer to the latest published high-quality papers that are included in PubMed, reflecting the latest research trends. These commentary articles should focus on the status quo of research, the most important research topics, the problems that have now been resolved and remain to be resolved, and future research directions. Basic information about the article to be commented (including authors, article title, journal name, year, volume, and inclusive page numbers); (6) Minireviews: The editorial board members are invited to write short reviews on recent advances and trends in research of molecular biology, genomics, and related cutting-edge technologies to provide readers with the latest knowledge and help improve their diagnostic and therapeutic skills; (7) Review: To make a systematic review to focus on the status quo of research, the most important research topics, the problems that have now been resolved and remain to be resolved, and future research directions; (8) Topic Highlight: The editorial board members are invited to write a series of articles (7-10 articles) to comment and discuss a hot topic to help improve the diagnostic and therapeutic skills of readers; (9) Medical Ethics: The editorial board members are invited to write articles about medical ethics to increase readers' knowledge of medical ethics. The topic covers international ethics guidelines, animal studies, clinical trials, organ transplantation, etc; (10) Clinical Case Conference or Clinicopathological Conference: The editorial board members are invited to contribute high-quality clinical case conference; (11) Original Articles: To report innovative and original findings in otorhinolaryngology; (12) Brief Articles: To briefly report the novel and innovative findings in otorhinolaryngology; (13) Meta-Analysis: To evaluate the clinical effectiveness in otorhinolaryngology by using data from two or more randomised control trials; (14) Case Report: To report a rare or typical case; (15) Letters to the Editor: To discuss and make reply to the contributions published in *WJO*, or to introduce and comment on a controversial issue of general interest; (16) Book Reviews: To introduce and comment on quality monographs of otorhinolaryngology; and (17) Autobiography: The editorial board members are invited to write their autobiography to provide readers with stories of success or failure in their scientific research career. The topic covers their basic personal information and information about when they started doing research work, where and how they did research work, what they have achieved, and their lessons from success or failure.

**Name of journal**

*World Journal of Otorhinolaryngology*

**ISSN**

ISSN 2218-6247 (online)

**Launch date**

December 28, 2011

## Instructions to authors

### Frequency

Quarterly

### Editor-in-Chief

**Tsutomu Nakashima, MD, PhD, Professor**, Department of Otorhinolaryngology, Nagoya University Graduate School of Medicine, 65, Tsurumai-cho, Showa-ku, Nagoya 466-8550, Japan

**Steven J Wang, MD, FACS, Associate Professor** in Residence, Department of Otolaryngology-Head and Neck Surgery, University of California, San Francisco, 2233 Post St, 3rd Floor-Box 1225, San Francisco, CA 94115, United States

### Editorial office

Jin-Lei Wang, Director

Xiu-Xia Song, Vice Director

*World Journal of Otorhinolaryngology*

Room 903, Building D, Ocean International Center,

No. 62 Dongsihuan Zhonglu, Chaoyang District,

Beijing 100025, China

Telephone: +86-10-85381891

Fax: +86-10-85381893

E-mail: wjotorhinolaryngol@wjnet.com

<http://www.wjnet.com>

### Publisher

Baishideng Publishing Group Co., Limited

Flat C, 23/F, Lucky Plaza, 315-321 Lockhart Road,

Wan Chai, Hong Kong, China

Telephone: +852-58042046

Fax: +852-31158812

E-mail: bpgoffice@wjnet.com

<http://www.wjnet.com>

### Production center

Beijing Baishideng BioMed Scientific Co., Limited

Room 903, Building D, Ocean International Center,

No. 62 Dongsihuan Zhonglu, Chaoyang District,

Beijing 100025, China

Telephone: +86-10-85381892

Fax: +86-10-85381893

### Representative office

USA Office

8226 Regency Drive,

Pleasanton, CA 94588-3144, United States

### Instructions to authors

Full instructions are available online at [http://www.wjnet.com/2218-6247/g\\_info\\_20100722180338.htm](http://www.wjnet.com/2218-6247/g_info_20100722180338.htm).

### Indexed and Abstracted in

Digital Object Identifier.

---

## SPECIAL STATEMENT

All articles published in this journal represent the viewpoints of the authors except where indicated otherwise.

### Biostatistical editing

Statistical review is performed after peer review. We invite an expert in Biomedical Statistics to evaluate the statistical method used in the paper, including *t*-test (group or paired comparisons), chi-squared test, Redit, probit, logit, regression (linear, curvilinear, or stepwise), correlation, analysis of variance, analysis of covariance, etc. The reviewing points include: (1) Statistical methods should be described when they are used to verify the results; (2) Whether the statistical techniques are suitable or correct; (3) Only homogeneous data can be averaged. Standard deviations are preferred to standard errors. Give the number of observations and subjects (*n*). Losses in observations, such as drop-outs from the study should be re-

ported; (4) Values such as ED50, LD50, IC50 should have their 95% confidence limits calculated and compared by weighted probit analysis (Bliss and Finney); and (5) The word 'significantly' should be replaced by its synonyms (if it indicates extent) or the *P* value (if it indicates statistical significance).

### Conflict-of-interest statement

In the interests of transparency and to help reviewers assess any potential bias, *WJO* requires authors of all papers to declare any competing commercial, personal, political, intellectual, or religious interests in relation to the submitted work. Referees are also asked to indicate any potential conflict they might have reviewing a particular paper. Before submitting, authors are suggested to read "Uniform Requirements for Manuscripts Submitted to Biomedical Journals: Ethical Considerations in the Conduct and Reporting of Research: Conflicts of Interest" from International Committee of Medical Journal Editors (ICMJE), which is available at: [http://www.icmje.org/ethical\\_4conflicts.html](http://www.icmje.org/ethical_4conflicts.html).

Sample wording: [Name of individual] has received fees for serving as a speaker, a consultant and an advisory board member for [names of organizations], and has received research funding from [names of organization]. [Name of individual] is an employee of [name of organization]. [Name of individual] owns stocks and shares in [name of organization]. [Name of individual] owns patent [patent identification and brief description].

### Statement of informed consent

Manuscripts should contain a statement to the effect that all human studies have been reviewed by the appropriate ethics committee or it should be stated clearly in the text that all persons gave their informed consent prior to their inclusion in the study. Details that might disclose the identity of the subjects under study should be omitted. Authors should also draw attention to the Code of Ethics of the World Medical Association (Declaration of Helsinki, 1964, as revised in 2004).

### Statement of human and animal rights

When reporting the results from experiments, authors should follow the highest standards and the trial should conform to Good Clinical Practice (for example, US Food and Drug Administration Good Clinical Practice in FDA-Regulated Clinical Trials; UK Medicines Research Council Guidelines for Good Clinical Practice in Clinical Trials) and/or the World Medical Association Declaration of Helsinki. Generally, we suggest authors follow the lead investigator's national standard. If doubt exists whether the research was conducted in accordance with the above standards, the authors must explain the rationale for their approach and demonstrate that the institutional review body explicitly approved the doubtful aspects of the study.

Before submitting, authors should make their study approved by the relevant research ethics committee or institutional review board. If human participants were involved, manuscripts must be accompanied by a statement that the experiments were undertaken with the understanding and appropriate informed consent of each. Any personal item or information will not be published without explicit consents from the involved patients. If experimental animals were used, the materials and methods (experimental procedures) section must clearly indicate that appropriate measures were taken to minimize pain or discomfort, and details of animal care should be provided.

---

## SUBMISSION OF MANUSCRIPTS

Manuscripts should be typed in 1.5 line spacing and 12 pt. Book Antiqua with ample margins. Number all pages consecutively, and start each of the following sections on a new page: Title Page, Abstract, Introduction, Materials and Methods, Results, Discussion, Acknowledgements, References, Tables, Figures, and Figure Legends. Neither the editors nor the publisher are responsible for the opinions expressed by contributors. Manuscripts formally accepted for publication become the permanent property of Baishideng Publishing Group Co., Limited, and may not be reproduced by any means, in whole or in part, without the written permission of both the authors and the publisher. We reserve the right to copy-edit and put onto our website accepted manuscripts. Authors should

follow the relevant guidelines for the care and use of laboratory animals of their institution or national animal welfare committee. For the sake of transparency in regard to the performance and reporting of clinical trials, we endorse the policy of the ICMJE to refuse to publish papers on clinical trial results if the trial was not recorded in a publicly-accessible registry at its outset. The only register now available, to our knowledge, is <http://www.clinicaltrials.gov> sponsored by the United States National Library of Medicine and we encourage all potential contributors to register with it. However, in the case that other registers become available you will be duly notified. A letter of recommendation from each author's organization should be provided with the contributed article to ensure the privacy and secrecy of research is protected.

Authors should retain one copy of the text, tables, photographs and illustrations because rejected manuscripts will not be returned to the author(s) and the editors will not be responsible for loss or damage to photographs and illustrations sustained during mailing.

### Online submissions

Manuscripts should be submitted through the Online Submission System at: <http://www.wjgnet.com/esps/>. Authors are highly recommended to consult the ONLINE INSTRUCTIONS TO AUTHORS ([http://www.wjgnet.com/2218-6247/g\\_info\\_20100722180338.htm](http://www.wjgnet.com/2218-6247/g_info_20100722180338.htm)) before attempting to submit online. For assistance, authors encountering problems with the Online Submission System may send an email describing the problem to [wjotorhinolaryngol@wjgnet.com](mailto:wjotorhinolaryngol@wjgnet.com), or by telephone: +86-10-85381892. If you submit your manuscript online, do not make a postal contribution. Repeated online submission for the same manuscript is strictly prohibited.

## MANUSCRIPT PREPARATION

All contributions should be written in English. All articles must be submitted using word-processing software. All submissions must be typed in 1.5 line spacing and 12 pt. Book Antiqua with ample margins. Style should conform to our house format. Required information for each of the manuscript sections is as follows:

### Title page

**Title:** Title should be less than 12 words.

**Running title:** A short running title of less than 6 words should be provided.

**Authorship:** Authorship credit should be in accordance with the standard proposed by ICMJE, based on (1) substantial contributions to conception and design, acquisition of data, or analysis and interpretation of data; (2) drafting the article or revising it critically for important intellectual content; and (3) final approval of the version to be published. Authors should meet conditions 1, 2, and 3.

**Institution:** Author names should be given first, then the complete name of institution, city, province and postcode. For example, Xu-Chen Zhang, Li-Xin Mei, Department of Pathology, Chengde Medical College, Chengde 067000, Hebei Province, China. One author may be represented from two institutions, for example, George Sgourakis, Department of General, Visceral, and Transplantation Surgery, Essen 45122, Germany; George Sgourakis, 2nd Surgical Department, Korgialenio-Benakio Red Cross Hospital, Athens 15451, Greece

**Author contributions:** The format of this section should be: Author contributions: Wang CL and Liang L contributed equally to this work; Wang CL, Liang L, Fu JF, Zou CC, Hong F and Wu XM designed the research; Wang CL, Zou CC, Hong F and Wu XM performed the research; Xue JZ and Lu JR contributed new reagents/analytic tools; Wang CL, Liang L and Fu JF analyzed the data; and Wang CL, Liang L and Fu JF wrote the paper.

**Supportive foundations:** The complete name and number of sup-

portive foundations should be provided, *e.g.*, Supported by National Natural Science Foundation of China, No. 30224801

**Correspondence to:** Only one corresponding address should be provided. Author names should be given first, then author title, affiliation, the complete name of institution, city, postcode, province, country, and email. All the letters in the email should be in lower case. A space interval should be inserted between country name and email address. For example, Montgomery Bissell, MD, Professor of Medicine, Chief, Liver Center, Gastroenterology Division, University of California, Box 0538, San Francisco, CA 94143, United States. [montgomery.bissell@ucsf.edu](mailto:montgomery.bissell@ucsf.edu)

**Telephone and fax:** Telephone and fax should consist of +, country number, district number and telephone or fax number, *e.g.*, Telephone: +86-10-85381892 Fax: +86-10-85381893

**Peer reviewers:** All articles received are subject to peer review. Normally, three experts are invited for each article. Decision on acceptance is made only when at least two experts recommend publication of an article. All peer-reviewers are acknowledged on Express Submission and Peer-review System website.

### Abstract

There are unstructured abstracts (no less than 200 words) and structured abstracts. The specific requirements for structured abstracts are as follows:

An informative, structured abstract should accompany each manuscript. Abstracts of original contributions should be structured into the following sections: AIM (no more than 20 words; Only the purpose of the study should be included. Please write the Aim in the form of "To investigate/study/..."), METHODS (no less than 140 words for Original Articles; and no less than 80 words for Brief Articles), RESULTS (no less than 150 words for Original Articles and no less than 120 words for Brief Articles; You should present *P* values where appropriate and must provide relevant data to illustrate how they were obtained, *e.g.*,  $6.92 \pm 3.86$  vs  $3.61 \pm 1.67$ ,  $P < 0.001$ ), and CONCLUSION (no more than 26 words).

### Key words

Please list 5-10 key words, selected mainly from *Index Medicus*, which reflect the content of the study.

### Core tip

Please write a summary of less than 100 words to outline the most innovative and important arguments and core contents in your paper to attract readers.

### Text

For articles of these sections, original articles and brief articles, the main text should be structured into the following sections: INTRODUCTION, MATERIALS AND METHODS, RESULTS and DISCUSSION, and should include appropriate Figures and Tables. Data should be presented in the main text or in Figures and Tables, but not in both.

### Illustrations

Figures should be numbered as 1, 2, 3, *etc.*, and mentioned clearly in the main text. Provide a brief title for each figure on a separate page. Detailed legends should not be provided under the figures. This part should be added into the text where the figures are applicable. Keeping all elements compiled is necessary in line-art image. Scale bars should be used rather than magnification factors, with the length of the bar defined in the legend rather than on the bar itself. File names should identify the figure and panel. Avoid layering type directly over shaded or textured areas. Please use uniform legends for the same subjects. For example: Figure 1 Pathological changes in atrophic gastritis after treatment. A: ...; B: ...; C: ...; D: ...; E: ...; F: ...; G: ...*etc.* It is our principle to publish high resolution-figures for the E-versions.

## Instructions to authors

### Tables

Three-line tables should be numbered 1, 2, 3, *etc.*, and mentioned clearly in the main text. Provide a brief title for each table. Detailed legends should not be included under tables, but rather added into the text where applicable. The information should complement, but not duplicate the text. Use one horizontal line under the title, a second under column heads, and a third below the Table, above any footnotes. Vertical and italic lines should be omitted.

### Notes in tables and illustrations

Data that are not statistically significant should not be noted. <sup>a</sup>*P* < 0.05, <sup>b</sup>*P* < 0.01 should be noted (*P* > 0.05 should not be noted). If there are other series of *P* values, <sup>c</sup>*P* < 0.05 and <sup>d</sup>*P* < 0.01 are used. A third series of *P* values can be expressed as <sup>e</sup>*P* < 0.05 and <sup>f</sup>*P* < 0.01. Other notes in tables or under illustrations should be expressed as <sup>1</sup>F, <sup>2</sup>F, <sup>3</sup>F; or sometimes as other symbols with a superscript (Arabic numerals) in the upper left corner. In a multi-curve illustration, each curve should be labeled with ●, ○, ■, □, ▲, △, *etc.*, in a certain sequence.

### Acknowledgments

Brief acknowledgments of persons who have made genuine contributions to the manuscript and who endorse the data and conclusions should be included. Authors are responsible for obtaining written permission to use any copyrighted text and/or illustrations.

## REFERENCES

### Coding system

The author should number the references in Arabic numerals according to the citation order in the text. Put reference numbers in square brackets in superscript at the end of citation content or after the cited author's name. For citation content which is part of the narration, the coding number and square brackets should be typeset normally. For example, "Crohn's disease (CD) is associated with increased intestinal permeability<sup>[1,2]</sup>". If references are cited directly in the text, they should be put together within the text, for example, "From references<sup>[19,22-24]</sup>, we know that..."

When the authors write the references, please ensure that the order in text is the same as in the references section, and also ensure the spelling accuracy of the first author's name. Do not list the same citation twice.

### PMID and DOI

Please provide PubMed citation numbers to the reference list, *e.g.*, PMID and DOI, which can be found at <http://www.ncbi.nlm.nih.gov/sites/entrez?db=pubmed> and <http://www.crossref.org/SimpleTextQuery/>, respectively. The numbers will be used in E-version of this journal.

### Style for journal references

Authors: the name of the first author should be typed in bold-faced letters. The family name of all authors should be typed with the initial letter capitalized, followed by their abbreviated first and middle initials. (For example, Lian-Sheng Ma is abbreviated as Ma LS, Bo-Rong Pan as Pan BR). The title of the cited article and italicized journal title (journal title should be in its abbreviated form as shown in PubMed), publication date, volume number (in black), start page, and end page [PMID: 11819634 DOI: 10.3748/wjg.13.5396].

### Style for book references

Authors: the name of the first author should be typed in bold-faced letters. The surname of all authors should be typed with the initial letter capitalized, followed by their abbreviated middle and first initials. (For example, Lian-Sheng Ma is abbreviated as Ma LS, Bo-Rong Pan as Pan BR) Book title. Publication number. Publication place: Publication press, Year: start page and end page.

### Format

#### Journals

*English journal article (list all authors and include the PMID where applicable)*

1 Jung EM, Clevert DA, Schreyer AG, Schmitt S, Rennert J,

Kubler R, Feuerbach S, Jung F. Evaluation of quantitative contrast harmonic imaging to assess malignancy of liver tumors: A prospective controlled two-center study. *World J Gastroenterol* 2007; **13**: 6356-6364 [PMID: 18081224 DOI: 10.3748/wjg.13.6356]

*Chinese journal article (list all authors and include the PMID where applicable)*

2 Lin GZ, Wang XZ, Wang P, Lin J, Yang FD. Immunologic effect of Jianpi Yishen decoction in treatment of Pixu-diarhoea. *Shijie Huaren Xiaobua Zazhi* 1999; **7**: 285-287

*In press*

3 Tian D, Araki H, Stahl E, Bergelson J, Kreitman M. Signature of balancing selection in Arabidopsis. *Proc Natl Acad Sci USA* 2006; In press

*Organization as author*

4 Diabetes Prevention Program Research Group. Hypertension, insulin, and proinsulin in participants with impaired glucose tolerance. *Hypertension* 2002; **40**: 679-686 [PMID: 12411462 PMID: 2516377 DOI: 10.1161/01.HYP.0000035706.28494.09]

*Both personal authors and an organization as author*

5 Vallancien G, Emberton M, Harving N, van Moorselaar RJ; Alf-One Study Group. Sexual dysfunction in 1, 274 European men suffering from lower urinary tract symptoms. *J Urol* 2003; **169**: 2257-2261 [PMID: 12771764 DOI: 10.1097/01.ju.0000067940.76090.73]

*No author given*

6 21st century heart solution may have a sting in the tail. *BMJ* 2002; **325**: 184 [PMID: 12142303 DOI: 10.1136/bmj.325.7357.184]

*Volume with supplement*

7 Geraud G, Spierings EL, Keywood C. Tolerability and safety of frovatriptan with short- and long-term use for treatment of migraine and in comparison with sumatriptan. *Headache* 2002; **42** Suppl 2: S93-99 [PMID: 12028325 DOI: 10.1046/j.1526-4610.42.s2.7.x]

*Issue with no volume*

8 Banit DM, Kaufer H, Hartford JM. Intraoperative frozen section analysis in revision total joint arthroplasty. *Clin Orthop Relat Res* 2002; **(401)**: 230-238 [PMID: 12151900 DOI: 10.1097/00003086-200208000-00026]

*No volume or issue*

9 Outreach: Bringing HIV-positive individuals into care. *HRS-A Careaction* 2002; 1-6 [PMID: 12154804]

### Books

*Personal author(s)*

10 Sherlock S, Dooley J. Diseases of the liver and biliary system. 9th ed. Oxford: Blackwell Sci Pub, 1993: 258-296

*Chapter in a book (list all authors)*

11 Lam SK. Academic investigator's perspectives of medical treatment for peptic ulcer. In: Swabb EA, Azabo S. Ulcer disease: investigation and basis for therapy. New York: Marcel Dekker, 1991: 431-450

*Author(s) and editor(s)*

12 Breedlove GK, Schorfheide AM. Adolescent pregnancy. 2nd ed. Wiczorek RR, editor. White Plains (NY): March of Dimes Education Services, 2001: 20-34

*Conference proceedings*

13 Harnden P, Joffe JK, Jones WG, editors. Germ cell tumours V. Proceedings of the 5th Germ cell tumours Conference; 2001 Sep 13-15; Leeds, UK. New York: Springer, 2002: 30-56

*Conference paper*

14 Christensen S, Oppacher F. An analysis of Koza's computational effort statistic for genetic programming. In: Foster JA, Lutton E, Miller J, Ryan C, Tettamanzi AG, editors. Genetic programming. EuroGP 2002: Proceedings of the 5th European Conference on Genetic Programming; 2002 Apr 3-5; Kinsdale, Ireland. Berlin: Springer, 2002: 182-191

**Electronic journal** (list all authors)

15 Morse SS. Factors in the emergence of infectious diseases.

Emerg Infect Dis serial online, 1995-01-03, cited 1996-06-05; 1(1): 24 screens. Available from: URL: <http://www.cdc.gov/ncidod/eid/index.htm>

**Patent** (list all authors)

- 16 **Pagedas AC**, inventor; Ancel Surgical R&D Inc., assignee. Flexible endoscopic grasping and cutting device and positioning tool assembly. United States patent US 20020103498. 2002 Aug 1

#### Statistical data

Write as mean  $\pm$  SD or mean  $\pm$  SE.

#### Statistical expression

Express *t* test as *t* (in italics), *F* test as *F* (in italics), chi square test as  $\chi^2$  (in Greek), related coefficient as *r* (in italics), degree of freedom as  $\nu$  (in Greek), sample number as *n* (in italics), and probability as *P* (in italics).

#### Units

Use SI units. For example: body mass, *m* (B) = 78 kg; blood pressure, *p* (B) = 16.2/12.3 kPa; incubation time, *t* (incubation) = 96 h; blood glucose concentration, *c* (glucose)  $6.4 \pm 2.1$  mmol/L; blood CEA mass concentration, *p* (CEA) = 8.6 24.5  $\mu$ g/L; CO<sub>2</sub> volume fraction, 50 mL/L CO<sub>2</sub>, not 5% CO<sub>2</sub>; likewise for 40 g/L formaldehyde, not 10% formalin; and mass fraction, 8 ng/g, etc. Arabic numerals such as 23, 243, 641 should be read 23 243 641.

The format for how to accurately write common units and quantum numbers can be found at: [http://www.wjgnet.com/2218-6247/g\\_info\\_20100724224620.htm](http://www.wjgnet.com/2218-6247/g_info_20100724224620.htm).

#### Abbreviations

Standard abbreviations should be defined in the abstract and on first mention in the text. In general, terms should not be abbreviated unless they are used repeatedly and the abbreviation is helpful to the reader. Permissible abbreviations are listed in Units, Symbols and Abbreviations: A Guide for Biological and Medical Editors and Authors (Ed. Baron DN, 1988) published by The Royal Society of Medicine, London. Certain commonly used abbreviations, such as DNA, RNA, HIV, LD50, PCR, HBV, ECG, WBC, RBC, CT, ESR, CSF, IgG, ELISA, PBS, ATP, EDTA, mAb, can be used directly without further explanation.

#### Italics

Quantities: *t* time or temperature, *c* concentration, *A* area, *l* length, *m* mass, *V* volume.

Genotypes: *gyrA*, *arg 1*, *c myc*, *c fos*, etc.

Restriction enzymes: *EcoRI*, *HindI*, *BamHI*, *Kho I*, *Kpn I*, etc.

Biology: *H. pylori*, *E. coli*, etc.

#### Examples for paper writing

All types of articles' writing style and requirement will be found in the link: <http://www.wjgnet.com/esps/NavigationInfo.aspx?id=15>

## RESUBMISSION OF THE REVISED MANUSCRIPTS

Authors must revise their manuscript carefully according to the revision policies of Baishideng Publishing Group Co., Limited. The revised version, along with the signed copyright transfer agreement, responses to the reviewers, and English language Grade A certificate (for non-native speakers of English), should be submitted to the online system *via* the link contained in the e-mail sent by the editor. If you have any questions about the revision, please send e-mail to [esps@wjgnet.com](mailto:esps@wjgnet.com).

#### Language evaluation

The language of a manuscript will be graded before it is sent for revision. (1) Grade A: priority publishing; (2) Grade B: minor language polishing; (3) Grade C: a great deal of language polishing needed; and (4) Grade D: rejected. Revised articles should reach Grade A.

#### Copyright assignment form

Please download a Copyright assignment form from [http://www.wjgnet.com/2218-6247/g\\_info\\_20100724224507.htm](http://www.wjgnet.com/2218-6247/g_info_20100724224507.htm).

#### Responses to reviewers

Please revise your article according to the comments/suggestions provided by the reviewers. The format for responses to the reviewers' comments can be found at: [http://www.wjgnet.com/2218-6247/g\\_info\\_20100724224317.htm](http://www.wjgnet.com/2218-6247/g_info_20100724224317.htm).

#### Proof of financial support

For papers supported by a foundation, authors should provide a copy of the approval document and serial number of the foundation.

#### Links to documents related to the manuscript

WJO will be initiating a platform to promote dynamic interactions between the editors, peer reviewers, readers and authors. After a manuscript is published online, links to the PDF version of the submitted manuscript, the peer-reviewers' report and the revised manuscript will be put on-line. Readers can make comments on the peer reviewer's report, authors' responses to peer reviewers, and the revised manuscript. We hope that authors will benefit from this feedback and be able to revise the manuscript accordingly in a timely manner.

#### Publication fee

WJO is an international, peer-reviewed, OA online journal. Articles published by this journal are distributed under the terms of the Creative Commons Attribution Non-commercial License, which permits use, distribution, and reproduction in any medium and format, provided the original work is properly cited. The use is non-commercial and is otherwise in compliance with the license. Authors of accepted articles must pay a publication fee. Publication fee: 600 USD per article. All invited articles are published free of charge.



百世登

**Baishideng**®

Published by **Baishideng Publishing Group Co., Limited**

Flat C, 23/F., Lucky Plaza, 315-321 Lockhart Road,

Wan Chai, Hong Kong, China

Fax: +852-31158812

Telephone: +852-58042046

E-mail: [bpgoffice@wjgnet.com](mailto:bpgoffice@wjgnet.com)

<http://www.wjgnet.com>

