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## Topical biological agents targeting cytokines for the treatment of dry eye disease

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### Abstract

Because inflammation plays a key role in the pathogenesis of dry eye disease and Sjögren's syndrome, topical anti-inflammatory agents such as corticosteroids and cyclosporine A have been used to treat inflammation of the ocular surface and lacrimal gland. Systemic biological agents that target specific immune molecules or cells such as tumor necrosis factor (TNF)- $\alpha$ , interferon- $\alpha$ , interleukin (IL)-1, IL-6, or B cells have been used in an attempt to treat Sjögren's syndrome. However, the efficacy of systemic biological agents, other than B-cell targeting agents, has not yet been confirmed in Sjögren's syndrome. Several studies have recently evaluated the efficacy of topical administration of biological agents targeting cytokines in the treatment of dry eye disease. Topical blockade of IL-1 by using IL-1 receptor antagonist could ameliorate clinical signs and inflammation of experimental dry eye. Using a mouse model of desiccating stress-induced dry eye, we have demonstrated that topical application of a TNF- $\alpha$  blocking agent, infliximab, could improve tear production and ocular surface irregularity, decrease inflammatory cytokines and Th-1 CD4+ cells on the ocular surface, and increase goblet

cell density in the conjunctiva. Although controversy still remains, the use of topical biological agents targeting inflammatory cytokines may be a promising therapy for human dry eye disease.

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**Key words:** Dry eye disease; Sjögren's syndrome; Biological agent; Tumor necrosis factor- $\alpha$ ; Interleukin-1; B cell; Cytokine

**Core tip:** Although the debate remains about the efficacy of systemic biological agents on Sjögren's syndrome, topical biological agents targeting inflammatory cytokines can be applicable for the treatment of dry eye disease.

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### INTRODUCTION

It is well known that tear film hyperosmolarity activates inflammation of the ocular surface, resulting in dry eye disease. Increased expression of inflammatory cytokines, chemokines, matrix metalloproteinases, apoptotic markers, CD4+ Th-1 cells, and Th-17 cells on the ocular surface and in the lacrimal gland have been demonstrated in clinical and experimental dry eye studies<sup>[1-15]</sup>. Current treatments for dry eye include artificial tears, topical anti-inflammatory agents including corticosteroids and cyclosporine A, punctal plugs, and contact lenses<sup>[16-21]</sup>. As biological products, variants of serum and plasma, such as autologous serum, umbilical cord serum, and platelet-rich plasma, can also be used topically in severe dry eye<sup>[22-25]</sup>. Despite these treatments, patients with severe dry eye or



Sjögren's syndrome still complain of discomfort and have signs of persistent inflammation on the ocular surface.

## SYSTEMIC BIOLOGICAL AGENTS

Systemic biological agents that target specific immune molecules or cells have been used in an attempt to treat autoimmune diseases such as Sjögren's syndrome. These targets include tumor necrosis factor (TNF)- $\alpha$ , interferon (IFN)- $\alpha$ , interleukin (IL)-1, IL-6, and B cells<sup>[26-29]</sup>.

Although anti-TNF- $\alpha$  agents were found to be successful in modulating other autoimmune diseases, such as rheumatoid arthritis, controversy exists regarding the efficacy of systemic TNF- $\alpha$  blocking agents in Sjögren's syndrome. In a study using a rabbit model of dacryoadenitis, the transfer of a TNF- $\alpha$  inhibitor gene suppressed the appearance of Sjögren's syndrome-like features including reduced tear production and lacrimal gland immunopathology<sup>[30]</sup>. However, TNF- $\alpha$  inhibitors had no therapeutic effect in an autoimmune murine model of Sjögren's syndrome<sup>[31]</sup>. In clinical studies, application of a anti-TNF- $\alpha$  agent, infliximab, caused a rapid and sustained improvement in symptoms and signs without any major adverse reaction, whereas it did not show a therapeutic response in patients with primary Sjögren's syndrome compared with controls<sup>[32,33]</sup>. In addition, oral or subcutaneous administration of etanercept was ineffective in Sjögren's syndrome patients<sup>[34,35]</sup>.

Oral administration of low dose IFN- $\alpha$  showed inconsistent efficacy in various studies but failed to achieve the primary endpoint in a randomized controlled trial<sup>[27,36-38]</sup>. The efficacy of IL-1 and IL-6 and other cytokines in Sjögren's syndrome is still under investigation<sup>[28,29]</sup>.

In contrast, systemic B-cell targeted therapy has shown clinically promising results in patients with Sjögren's syndrome. Several controlled trials demonstrated considerable improvements in sicca features, salivary flow, ocular surface staining by lissamine green, fatigue, extraglandular manifestations, and quality of life scores after treatment with the B-cell-depleting anti-CD20 antibody, rituximab<sup>[39,40]</sup>. Although the marked inflammatory infiltrate in the affected glands includes a high percentage of T cell, there is abundant evidence that B cell hyperactivity is a main pathogenic factor in Sjögren's syndrome<sup>[41]</sup>. Administration of the anti-CD22 antibody, epratuzumab, also showed marked improvements in fatigue and subjective outcomes in patients with Sjögren's syndrome<sup>[42]</sup>. The B-cell-activating factor (BAFF), which stimulates the production of antibodies by B cells, may be another target for therapy.

## TOPICAL BIOLOGICAL AGENTS

Among many targets including cytokines, cytokine signaling pathways, and cell adhesion or leukocyte trafficking, cytokines are the most commonly used therapeutic target for Sjögren's syndrome and inflammatory dry eye. Compared with systemic biological agents for Sjögren's syndrome, only a few studies have evaluated the efficacy

of topical administration of biological agents that block pro-inflammatory cytokines in the treatment of dry eyes. Okanobo *et al*<sup>[43]</sup> demonstrated the therapeutic efficacy of topical blockade of IL-1 in the treatment of experimental dry eye disease. According to their study, application of topical formulations containing 5%IL-1 receptor antagonist (IL-1Ra) was effective in reducing clinical signs and inflammation of dry eye, as evidenced by a decrease in corneal fluorescein staining, the number of central corneal CD11b+ cells, corneal lymphatic growth, and corneal IL-1 $\beta$  expression<sup>[43]</sup>. The effects by topical IL-1Ra were comparable with those by topical methylprednisolone.

We previously investigated the effects of topical infliximab on the tear film and ocular surface of desiccating stress-induced murine dry eye<sup>[44]</sup>. Our results showed that mice treated with 0.01% or 0.1% infliximab eye drops had a significant improvement in tear production and corneal surface irregularity. Treated mice also had lower levels of inflammatory cytokines (IL-1 $\beta$ , IL-6, IL-17, IFN- $\gamma$ , and TNF- $\alpha$ ) and Th-1 CD4+ cells and higher goblet cell density in the conjunctiva compared with controls. The reason why the topical anti-TNF- $\alpha$  agent was effective in ocular surface inflammation in contrast to systemic agents could be explained by the dual effect of anti-TNF- $\alpha$  which can enhance T cell receptor-mediated Th1 and Th17 cell activation in peripheral blood and prevent the migration of pathogenic T cells to inflamed tissues, thereby inhibiting inflammation in target tissues<sup>[45]</sup>. The topical administration of TNF- $\alpha$  blocking agents may be effective in treating dry eye by affecting the inflamed ocular surface directly<sup>[44]</sup>.

Recently, we have reported the therapeutic effect of topical adiponectin, a protein secreted by the adipose tissue, in a mouse model of experimental dry eye<sup>[46]</sup>. Adiponectin is known to have anti-inflammatory effects as well as anti-diabetic, anti-atherogenic, and anti-angiogenic properties<sup>[47-50]</sup>. The globular region of adiponectin is structurally similar to TNF- $\alpha$ . Adiponectin can inhibit TNF- $\alpha$  and TNF- $\alpha$ -mediated activation of nuclear factor- $\kappa$ B<sup>[51,52]</sup>. It can activate adenosine monophosphate-activated protein kinase and protect salivary gland epithelial cells from spontaneous and IFN- $\gamma$ -induced apoptosis in autoimmune inflammation<sup>[53]</sup>. CD4+ T-cell-produced IFN- $\gamma$  plays a pivotal role in Sjögren's syndrome-like conjunctival epithelial apoptosis *via* activation of the extrinsic apoptotic pathway<sup>[54]</sup>. Our study suggest that topical application of 0.001% or 0.01% globular adiponectin could improve tear production and corneal surface irregularity, decrease levels of inflammatory cytokines (IL-1 $\beta$ , IL-6, TNF- $\alpha$ , IFN- $\gamma$ , and CXCL9) and Th-1 CD4+ cells in the conjunctiva and lacrimal gland, and could increase conjunctival goblet cell density.

Our experiments show that topical application of a TNF- $\alpha$  blocking agent can improve the tear film and ocular surface parameters by inhibiting inflammatory cytokines, chemokines, and T cells in the conjunctiva and lacrimal glands, and could therefore be useful in the treatment of dry eye disease. Other candidate cytokines



like IL-12, IL-17, and IL-23 may provide promising targets for Sjögren's syndrome. In addition, considering the favorable results of systemic B-cell targeted therapy observed in patients with Sjögren's syndrome, topical B-cell targeting agents such as BAFF could potentially be used as a treatment for autoimmune and inflammatory dry eye.

## CONCLUSION

Although some debate still remains about the effect of systemic biological agents on Sjögren's syndrome, topical biological agents that target various inflammatory cytokines can be applicable for the treatment of human dry eye disease. Clinical studies on the safety and efficacy of topical biological agents targeting cytokines in patients with dry eye disease will be needed in the near future.

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## GENERAL INFORMATION

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### Aim and scope

*WJO* covers topics concerning optometry, ocular fundus diseases, cataract, glaucoma, keratopathy, ocular trauma, strabismus, and pediatric ocular diseases, blindness prevention, diagnostic imaging, evidence-based medicine, epidemiology and nursing. The current columns of *WJO* include editorial, frontier, diagnostic advances, therapeutics advances, field of vision, mini-reviews, review, topic highlight, medical ethics, original articles, case report, clinical case conference (Clinicopathological conference), and autobiography. Priority publication will be given to articles concerning diagnosis and treatment of ophthalmological diseases. The following aspects are covered: Clinical diagnosis, laboratory diagnosis, differential diagnosis, imaging tests, pathological diagnosis, molecular biological diagnosis, immunological diagnosis, genetic diagnosis, functional diagnostics, and physical diagnosis; and comprehensive therapy, drug therapy, surgical therapy, interventional treatment, minimally invasive therapy, and robot-assisted therapy.

We encourage authors to submit their manuscripts to *WJO*. We will give priority to manuscripts that are supported by major national and international foundations and those that are of great basic and clinical significance.

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An informative, structured abstract should accompany each manuscript. Abstracts of original contributions should be structured into the following sections: AIM (no more than 20 words; Only the purpose of the study should be included. Please write the Aim in the form of "To investigate/study/..."), METHODS (no less than 140 words for Original Articles; and no less than 80 words for Brief Articles), RESULTS (no less than 150 words for Original Articles and no less than 120 words for Brief Articles; You should present *P* values where appropriate and must provide relevant data to illustrate how they were obtained, *e.g.*,  $6.92 \pm 3.86$  vs  $3.61 \pm 1.67$ ,  $P < 0.001$ ), and CONCLUSION (no more than 26 words).

### Key words

Please list 5-10 key words, selected mainly from *Index Medicus*, which reflect the content of the study.

### Core tip

Please write a summary of less than 100 words to outline the most innovative and important arguments and core contents in your paper to attract readers.

### Text

For articles of these sections, original articles and brief articles, the main text should be structured into the following sections: INTRODUCTION, MATERIALS AND METHODS, RESULTS and DISCUSSION, and should include appropriate Figures and Tables. Data should be presented in the main text or in Figures and Tables, but not in both.

### Illustrations

Figures should be numbered as 1, 2, 3, *etc.*, and mentioned clearly in the main text. Provide a brief title for each figure on a separate page. Detailed legends should not be provided under the figures. This part should be added into the text where the figures are applicable. Keeping all elements compiled is necessary in line-art image. Scale bars should be used rather than magnification factors, with the length of the bar defined in the legend rather than on the bar itself. File names should identify the figure and panel. Avoid layering type directly over shaded or textured areas. Please use uniform legends for the same subjects. For example: Figure 1 Pathological changes in atrophic gastritis after treatment. A: ...; B: ...; C: ...; D: ...; E: ...; F: ...; G: ... *etc.* It is our principle to publish high resolution-figures for the E-versions.

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Three-line tables should be numbered 1, 2, 3, *etc.*, and mentioned clearly in the main text. Provide a brief title for each table. Detailed legends should not be included under tables, but rather added into the text where applicable. The information should complement, but not duplicate the text. Use one horizontal line under the title, a second under column heads, and a third below the Table, above any footnotes. Vertical and italic lines should be omitted.

### Notes in tables and illustrations

Data that are not statistically significant should not be noted. \**P* <

## Instructions to authors

0.05, <sup>b</sup> $P < 0.01$  should be noted ( $P > 0.05$  should not be noted). If there are other series of  $P$  values, <sup>c</sup> $P < 0.05$  and <sup>d</sup> $P < 0.01$  are used. A third series of  $P$  values can be expressed as <sup>e</sup> $P < 0.05$  and <sup>f</sup> $P < 0.01$ . Other notes in tables or under illustrations should be expressed as <sup>1</sup>F, <sup>2</sup>F, <sup>3</sup>F; or sometimes as other symbols with a superscript (Arabic numerals) in the upper left corner. In a multi-curve illustration, each curve should be labeled with ●, ○, ■, □, ▲, △, etc., in a certain sequence.

### Acknowledgments

Brief acknowledgments of persons who have made genuine contributions to the manuscript and who endorse the data and conclusions should be included. Authors are responsible for obtaining written permission to use any copyrighted text and/or illustrations.

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### Format

#### Journals

English journal article (list all authors and include the PMID where applicable)

- 1 **Jung EM**, Clevert DA, Schreyer AG, Schmitt S, Rennert J, Kubale R, Feuerbach S, Jung F. Evaluation of quantitative contrast harmonic imaging to assess malignancy of liver tumors: A prospective controlled two-center study. *World J Gastroenterol* 2007; **13**: 6356-6364 [PMID: 18081224 DOI: 10.3748/wjg.13.6356]

Chinese journal article (list all authors and include the PMID where applicable)

- 2 **Lin GZ**, Wang XZ, Wang P, Lin J, Yang FD. Immunologic effect of Jianpi Yishen decoction in treatment of Pixu-diarhoea. *Shijie Huaren Xiaohua Zazhi* 1999; **7**: 285-287

In press

- 3 **Tian D**, Araki H, Stahl E, Bergelson J, Kreitman M. Signature

of balancing selection in Arabidopsis. *Proc Natl Acad Sci USA* 2006; In press

Organization as author

- 4 **Diabetes Prevention Program Research Group**. Hypertension, insulin, and proinsulin in participants with impaired glucose tolerance. *Hypertension* 2002; **40**: 679-686 [PMID: 12411462 PMID: 2516377 DOI: 10.1161/01.HYP.0000035706.28494.09]

Both personal authors and an organization as author

- 5 **Vallancien G**, Emberton M, Harving N, van Moorselaar RJ; Alf-One Study Group. Sexual dysfunction in 1, 274 European men suffering from lower urinary tract symptoms. *J Urol* 2003; **169**: 2257-2261 [PMID: 12771764 DOI: 10.1097/01.ju.0000067940.76090.73]

No author given

- 6 21st century heart solution may have a sting in the tail. *BMJ* 2002; **325**: 184 [PMID: 12142303 DOI: 10.1136/bmj.325.7357.184]

Volume with supplement

- 7 **Geraud G**, Spierings EL, Keywood C. Tolerability and safety of frovatriptan with short- and long-term use for treatment of migraine and in comparison with sumatriptan. *Headache* 2002; **42** Suppl 2: S93-99 [PMID: 12028325 DOI: 10.1046/j.1526-4610.42.s2.7.x]

Issue with no volume

- 8 **Banit DM**, Kaufer H, Hartford JM. Intraoperative frozen section analysis in revision total joint arthroplasty. *Clin Orthop Relat Res* 2002; (**401**): 230-238 [PMID: 12151900 DOI: 10.1097/00003086-200208000-00026]

No volume or issue

- 9 Outreach: Bringing HIV-positive individuals into care. *HRS-A Careaction* 2002; 1-6 [PMID: 12154804]

### Books

Personal author(s)

- 10 **Sherlock S**, Dooley J. Diseases of the liver and biliary system. 9th ed. Oxford: Blackwell Sci Pub, 1993: 258-296

Chapter in a book (list all authors)

- 11 **Lam SK**. Academic investigator's perspectives of medical treatment for peptic ulcer. In: Swabb EA, Azabo S. Ulcer disease: investigation and basis for therapy. New York: Marcel Dekker, 1991: 431-450

Author(s) and editor(s)

- 12 **Breedlove GK**, Schorffheide AM. Adolescent pregnancy. 2nd ed. Wiczorek RR, editor. White Plains (NY): March of Dimes Education Services, 2001: 20-34

Conference proceedings

- 13 **Harnden P**, Joffe JK, Jones WG, editors. Germ cell tumours V. Proceedings of the 5th Germ cell tumours Conference; 2001 Sep 13-15; Leeds, UK. New York: Springer, 2002: 30-56

Conference paper

- 14 **Christensen S**, Oppacher F. An analysis of Koza's computational effort statistic for genetic programming. In: Foster JA, Lutton E, Miller J, Ryan C, Tettamanzi AG, editors. Genetic programming. EuroGP 2002: Proceedings of the 5th European Conference on Genetic Programming; 2002 Apr 3-5; Kinsdale, Ireland. Berlin: Springer, 2002: 182-191

Electronic journal (list all authors)

- 15 Morse SS. Factors in the emergence of infectious diseases. *Emerg Infect Dis* serial online, 1995-01-03, cited 1996-06-05; 1(1): 24 screens. Available from: URL: <http://www.cdc.gov/ncidod/eid/index.htm>

Patent (list all authors)

- 16 **Pagedas AC**, inventor; Ancel Surgical R&D Inc., assignee. Flexible endoscopic grasping and cutting device and positioning tool assembly. United States patent US 20020103498. 2002 Aug 1

### Statistical data

Write as mean  $\pm$  SD or mean  $\pm$  SE.



**Statistical expression**

Express *t* test as *t* (in italics), *F* test as *F* (in italics), chi square test as  $\chi^2$  (in Greek), related coefficient as *r* (in italics), degree of freedom as *ν* (in Greek), sample number as *n* (in italics), and probability as *P* (in italics).

**Units**

Use SI units. For example: body mass, *m* (B) = 78 kg; blood pressure, *p* (B) = 16.2/12.3 kPa; incubation time, *t* (incubation) = 96 h; blood glucose concentration, *c* (glucose)  $6.4 \pm 2.1$  mmol/L; blood CEA mass concentration, *p* (CEA) = 8.6  $24.5 \mu\text{g/L}$ ;  $\text{CO}_2$  volume fraction, 50 mL/L  $\text{CO}_2$ , not 5%  $\text{CO}_2$ ; likewise for 40 g/L formaldehyde, not 10% formalin; and mass fraction, 8 ng/g, *etc.* Arabic numerals such as 23, 243, 641 should be read 23 243 641.

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**Italics**

Quantities: *t* time or temperature, *c* concentration, *A* area, *l* length, *m* mass, *V* volume.

Genotypes: *gyrA*, *arg 1*, *c myc*, *c fos*, *etc.*

Restriction enzymes: *EcoRI*, *HindI*, *BamHI*, *Kho I*, *Kpn I*, *etc.*

Biology: *H. pylori*, *E. coli*, *etc.*

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